Print Form

**The Commonwealth of Massachusetts**
**Alcoholic Beverages Control Commission**
**239 Causeway Street**
**Boston, MA 02114**
**www.mass.gov/abcc**

# APPLICATION FOR A WHOLESALER LICENSE

## MONETARY TRANSMITTAL FORM

[APPLICATION MUST BE COMPLETED ONLINE]

ECRT CODE: **WHWM**

CHECK PAYABLE TO ABCC OR COMMONWEALTH OF MA:

IF USED EPAY, CONFIRMATION NUMBER:

A.B.C.C. LICENSE NUMBER (IF AN EXISTING LICENSEE):

LICENSEE NAME:

ADDRESS:

CITY/TOWN: STATE ZIP CODE

| LICENSE TYPE | FEE | # OF PERMITS | COST |
|---|---|---|---|
| WHOLESALERS, WINE & MALT LESS THAN 7,500 GALLONS (per calendar year) | $3,500.00 | | |

# YOU MUST MAIL THIS TRANSMITTAL FORM ALONG WITH YOUR CHECK AND COMPLETED APPLICATION TO:

# BANK OF AMERICA
# P. O. BOX 3396
# BOSTON, MA 02241-3396

# Application for a Wholesaler License

**1. License Category:**

☐ All Alcoholic Beverages ☐ Wine and Malt Beverages ☐ Wines for Sacramental purposes only

**2. Licensee Information:**

Name of Applicant: _____ Business Name (if different) : _____

Manager of Record: _____

Address of Premises: _____ CITY/TOWN: _____ STATE _____ ZIP _____

Address of Warehouse (if different from above) _____ CITY/TOWN: _____ STATE _____ ZIP _____

Phone Number of Premises: _____ Other Phone: _____

Email: _____ Website: _____

**3. Description of Premises:**

Please attach a detailed floor plan of premises to be licensed, clearly delineate licensed from unlicensed areas and include dimensions, square footage and entrances / exits.

Do you lease or otherwise provide space for any other entity to store alcoholic beverages? Yes ☐ No ☐

Provide the distance to the nearest Church or School from any storage location: _____

Do you provide transportation delivery service for any other person or entity? Yes ☐ No ☐

**If yes**, please explain: _____

**4. Occupancy of Premises:**

By what right does the applicant have possession of the premises? Please select Other _____

Landlord is a(n): Please Select Other _____

Name _____ Phone: _____

Address: _____ City/Town: _____ State _____ Zip _____

Lease Term: Beginning Date _____ Ending Date _____

Rent: _____ per year Rent: _____ per month

**IMPORTANT ATTACHMENTS:** A copy of the signed lease must accompany this application in order for it to be processe

# Application for a Wholesaler License (Cont)

**5. License Structure:**

The Applicant is a(n): Please select Other :

If the applicant is a Corporation or LLC, complete the following:

State of Incorporation/Organization: Date of Incorporation/Organization:

Shares of stock are authorized: Shares of stock are issued:

Is the Corporation publicly traded? Yes ☐ No ☐

Are all directors United States citizens? Yes ☐ No ☐

Are the majority of directors' residents of Massachusetts? Yes ☐ No ☐

Is the manager or principal representative a U.S. citizen? Yes ☐ No ☐

**IMPORTANT ATTACHMENTS:** Attach the vote by the Board of Directors or LLC Managers appointing a manager or principal representative as well as the Articles of Organization as filed with the Secretary of State's Office.

**6. Interests in this License:**

List all individuals involved in the entity (e.g. corporate stockholders, directors, officers and LLC members and managers) and any person or entity with a direct or indirect, beneficial or financial interest in this license (e.g. landlord with a percentage rent based on alcohol sales).

**IMPORTANT ATTACHMENTS:** All individuals or entities listed below are required to complete a Personal Information Form.

| Name | Title | Beneficial Interest | Stock or % Owned |
|---|---|---|---|
| | | | |

***If additional space is needed, please click here***

**7. Existing Interests in Other Licenses:**

a. Does the applicant hold a direct or indirect beneficial or financial in any other license, permit or certificate to sell alcoholic beverages? Yes ☐ No ☐ **If yes,** list said interest below:

| Name | License Type | Licensee Name & Address |
|---|---|---|
| | Please Select | |
| | Please Select | |
| | Please Select | |
| | Please Select | |
| | Please Select | |

# Application for a Wholesaler License (Cont)

b. Does any person, entity or member of their immediate family (spouse, children, parents or siblings) who has a direct or indirect beneficial interest in this license have any direct or indirect beneficial or financial interest in any other license, permit or certificate to sell alcoholic beverages? Yes ☐ No ☐ **If yes,** list said interest below:

| Name | License Type | Licensee Name & Address | Type of Interest |
|---|---|---|---|
| | | | |

**8. Previously Held Interests in Other Licenses:**

Has any individual or entity who has a direct or indirect beneficial interest in this license ever held a direct or indirect, beneficial or financial interest in a license to sell alcoholic beverages, which is not presently held? Yes ☐ No ☐ **If yes**, list said interest below:

| Name | Licensee Name & Address | Date | Reason Terminated |
|---|---|---|---|
| | | | Please Select |
| | | | Please Select |
| | | | Please Select |

**9. Criminal Record:**

Has any individual who has a direct or indirect beneficial interest in this license ever been convicted of a municipal, state, federal or military crime? Yes ☐ No ☐

**IMPORTANT ATTACHMENTS:** If yes, the individual must provide an affidavit as to any and all charges as well as the disposition.

**10. Prior Disciplinary Action:**

Has any person or entity identified in this license application ever been involved directly or indirectly in an alcoholic beverage license, permit or certificate suspension, revocation or cancellation? Yes ☐ No ☐
**If yes**, complete the following for each person or entity.

| Date of Action | Licensee Name | Reason of Suspension, Revocation or Cancellation |
|---|---|---|
| | | |
| | | |
| | | |

# Application for a Wholesaler License (Cont)

**11. Suppliers of Licenses:**

Identify suppliers that your company is presently doing business with (a computerized printout may be submitted.)

| Supplier Name | Address | FDA Registration Number |
|---|---|---|
| | | |

**12. Wholesaler of Licensee:**

Identify other Massachusetts Wholesaler(s) who are distributing your product(s) and the product(s) each distributes:

| Wholesaler | Products Distributed |
|---|---|
| | |
| | |

**13. Federal Compliance:**

a. Submit a copy of the permit issued by the Alcohol and Tobacco Tax and Trade Bureau (TTB).

b. Have you registered with the Food and Drug Administration? Yes ☐ No ☐

Registration Date

**14. Costs Associated with License Transaction:**

A. Real Property:

B. Business Purchase

C. Renovations/Construction:

D. Start up/Operating Capital:

E. Inventory:

F. Goodwill:

G. Fixtures / Equipment:

**H. TOTAL COST**

**I. TOTAL CASH**

**J. TOTAL AMOUNT FINANCED**

**IMPORTANT ATTACHMENTS:** Submit any and all records, documents and affidavits including loan agreements that explain the source(s) of money for this transaction.

The amounts listed in subsections (I) and (J) must total the amount reflected in (H).

# Application for a Wholesaler License (Cont)

**15. Financing:** Explain how financing this license (include loans, mortgages, lines of credit, notes, etc.):

**16. Pledge:** (i.e. collateral for a loan)

Are you seeking approval to pledge the license? ☐ Yes ☐ No

**If yes**, describe terms and conditions and to whom:

If a corporation, are you seeking approval to pledge any of the corporate stock? ☐ Yes ☐ No

**If yes**, to whom: Number of Shares

Pledging Inventory? ☐ Yes ☐ No **If yes**, to whom:

**IMPORTANT ATTACHMENTS:** If you are applying for a pledge, submit the pledge agreement, the promissory note and a vote of the Corporation/LLC approving the pledge.

**17. Financial Aid:**

Have you or your company received any financial aid from any individual or entity, foreign or domestic, holding any type of alcoholic beverage license, permit or certificate? ☐ Yes ☐ No

Does any individual or entity, foreign or domestic, licensed for the sale of alcoholic beverages have any financial interest in the business for which you seek a license? ☐ Yes ☐ No

**If yes**, to either question provide details:

**18. Surety Bond:**

Submit the required surety bond in the penal sum of:

☐ $6,000.00 for All Alcoholic Beverages license

☐ $3,000.00 for Wine and Malt Beverages only license or Sacramental Wines only license.

**Pursuant to M.G.L. Ch. 62C, Sec. 49A, I certify under the penalties of perjury that, I have filed all state tax returns and paid all state taxes required under law. I further understand that each representation in this application is material to the determination of the application and state under penalty of perjury that all statements and representations therein are true.**

Signature Date

Title

The Commonwealth of Massachusetts
Alcoholic Beverages Control Commission
239 Causeway Street
Boston, MA 02114
www.mass.gov/abcc

# Personal Information Form

**Each individual listed in §10 of this application must complete this form.**

**1. Licensee Information:**

Legal Name of Licensee: _____ Business Name (d/b/a) _____

Address: _____ ABCC License Number: _____
(If existing licensee)

City/Town _____ State _____ Zip Code _____

Phone Number of Premise _____ EIN of License: _____

**2. Personal Information:**

Individual Name _____ Home Phone Number: _____

Address: _____

City/Town _____ State _____ Zip Code _____

Social Security Number _____ Date of Birth _____

Place of Employment _____

Have you ever been convicted of a state, federal or military crime? Yes ☐ No ☐
**If yes, attach an affidavit as to all charges and disposition.**

**3. Financial Interest:**

Provide a detailed description of your direct or indirect, beneficial or financial interest in this license.

**IMPORTANT ATTACHMENTS:** For all cash contributions, attach last 3 months of bank statements for the source(s) of this cash.
***If additional space is needed, please use the last page***

I hereby swear under the pains and penalties of perjury that the information I have provided in this application is true and accurate:

Signature _____ Date _____

Title _____ (If Corporation/LLC Representative)

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# Manager Application

**All proposed managers are required to complete a Personal Information Form, and attach a copy of the corporate vote authorizing this action and appointing a manager.**

**1. Licensee Information:**

Legal Name of Licensee: _____ Business Name (d/b/a) _____

Address: _____

City/Town _____ State _____ Zip Code _____

ABCC License Number: (If existing licensee) _____ Phone Number of Premise _____

**2. Manager Information:**

Name: _____ Cell Phone Number: _____

Are you a U.S. Citizen: Yes ☐ No ☐ Court and Date of Naturalization: _____

(Submit proof of citizenship and/or naturalization such as Voter's Certificate, Birth Certificate or Naturalization Papers)

List the number of hours per week you will spend on the licensed premises: _____

Have you ever been charged or convicted of a state, federal or military crime? Yes ☐ No ☐

**If yes, attach an affidavit as to all charges and disposition.**

Do you now, or have you ever, held any direct or indirect, beneficial or financial interest in a license to sell alcoholic beverages? Yes ☐ No ☐

If yes, please describe: _____

Have you ever been the Manager of Record of a license to sell alcoholic beverages that has been suspended, revoked or cancelled? Yes ☐ No ☐

If yes, please describe: _____

*If additional space is needed, please use the last page*

Please list your employment for the past ten years (Dates, Position, Employer, Address and Telephone):

_____

*If additional space is needed, please use the last page*

I hereby swear under the pains and penalties of perjury that the information I have provided in this application is true and accurate:

Signature _____ Date _____

Additional Space

Please note which question you are using this space for.