



The Commonwealth of Massachusetts  
Executive Office of Health and Human Services  
Department of Public Health  
Bureau of Health Care Safety and Quality  
**Medical Use of Marijuana Program**  
99 Chauncy Street, 11<sup>th</sup> Floor, Boston, MA 02111

CHARLES D. BAKER  
Governor

KARYN E. POLITO  
Lieutenant Governor

MARYLOU SUDDERS  
Secretary

MONICA BHAREL, MD, MPH  
Commissioner

Tel: 617-660-5370  
[www.mass.gov/medicalmarijuana](http://www.mass.gov/medicalmarijuana)

**APPLICATION OF INTENT**  
**Request for a Certificate of Registration to**  
**Operate a Registered Marijuana Dispensary**

**INSTRUCTIONS**

This application form is to be completed by any non-profit corporation that wishes to apply for a Certificate of Registration to operate a Registered Marijuana Dispensary (“RMD”) in Massachusetts.

If seeking a Certificate of Registration for more than one RMD, the applicant non-profit corporation (“Corporation”) must submit a separate *Application of Intent*, all required attachments, and an application fee for each proposed RMD. Please identify each application of multiple applications by designating it as Application 1, 2 or 3 in the header of each application page. Please note that no executive, member, or any entity owned or controlled by such an executive or member, may directly or indirectly control more than three RMDs.

However, even if submitting an *Application of Intent* for more than one RMD, an applicant need only submit one *Character and Competency form* for each required individual.

Unless indicated otherwise, all responses must be typed into the application forms. Handwritten responses will not be accepted. Please note that character limits include spaces.

Attachments should be labelled or marked so as to identify the question to which it relates.

Each submitted application must be a complete, collated response, printed single-sided, and secured with a binder clip (no ring binders, spiral binding, staples, or folders).

Mail or hand-deliver the *Application of Intent*, with all required attachments, the \$1,500 application fee, and Remittance Form to:

Department of Public Health  
Medical Use of Marijuana Program  
RMD Applications  
99 Chauncy Street, 11<sup>th</sup> Floor  
Boston, MA 02111

**Application fees are non-refundable and non-transferable.**

RECEIVED

JUN 29 2015  
MA Dept. of Public Health  
99 Chauncy Street  
Boston, MA 02111

Application 3 of 3 Applicant Non-Profit Corporation \_\_\_\_\_**REVIEW**

Applications are reviewed in the order they are received.

After a completed application packet and fee is received by the Department of Public Health ("Department"), the Department will review the information and will contact the applicant if clarifications/updates to the submitted application materials are needed. The Department will notify the applicant whether they have met the standards necessary to be invited to submit a *Management and Operations Profile*.

If invited by the Department to submit a *Management and Operations Profile*, the applicant must submit the *Management and Operations Profile* within 45 days from the date of the invitation letter, or the applicant must submit a new *Application of Intent* and fee.

**PROVISIONAL CERTIFICATE OF REGISTRATION**

Applicants have one year from the date of the submission of the *Management and Operations Profile* to receive a Provisional Certificate of Registration. If an applicant does not receive a Provisional of Certificate of Registration after one year, the applicant must submit a new *Application of Intent* and fee.

**REGULATIONS**

For complete information regarding registration of an RMD, please refer to 105 CMR 725.100.

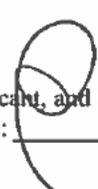
It is the applicant's responsibility to ensure that all responses are consistent with the requirements of 105 CMR 725.000, et seq., and any requirements specified by the Department, as applicable.

**PUBLIC RECORDS**

Please note that all application responses, including all attachments, will be subject to release pursuant to a public records request, as redacted pursuant to the requirements at M.G.L. c. 4, § 7(26).

**QUESTIONS**

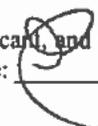
If additional information is needed regarding the RMD application process, please contact the Medical Use of Marijuana Program at 617-660-5370 or [RMDapplication@state.ma.us](mailto:RMDapplication@state.ma.us).

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: 

Application 3 of 3 Applicant Non-Profit Corporation \_\_\_\_\_**CHECKLIST**

The forms and documents listed below must accompany each application, and be submitted as outlined above:

- A fully and properly completed *Application of Intent*, signed by an authorized signatory of the corporation
- A copy of the Corporation's *Certificate of Legal Existence* from the Massachusetts Secretary of State
- Financial account summary(ies) (as outlined in Section D)
- A bank or cashier's check made payable to the *Commonwealth of Massachusetts* for \$1,500.
- A completed *Remittance Form* (use template provided)
- A completed and signed *Character and Competency* form (use template provided) for each of the following actors:
  - Chief Executive Officer; Chief Operating Officer; Chief Financial Officer; individual/entity responsible for marijuana for medical use cultivation operations; individual/entity responsible for the RMD security plan and security operations; each member of the Board of Directors; each Member of the Corporation, if any; and each person and entity known to date that is committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, the *Character and Competency* Form must be completed and signed by the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

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**SECTION A. APPLICANT INFORMATION**

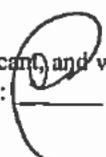
1. CommCan, Inc.  
Legal name of Corporation
2. Marc Rosenfeld  
Name of Corporation's Chief Executive Officer
3. 730 Main Street, Millis, MA 02054  
Address of Corporation (Street, City/Town, Zip Code)
4. Ellen Rosenfeld  
Applicant point of contact (name of person the Department should contact regarding this application)
5. (508) 376-4600  
Applicant point of contact's telephone number
6. ellen@rosenfeld-law.com  
Applicant point of contact's e-mail address
7. Number of applications: How many *Applications of Intent* do you intend to submit? 3

**SECTION B. INCORPORATION**

8. Attach a *Certificate of Legal Existence* from the Massachusetts Secretary of State, documenting that the applicant non-profit entity is incorporated as a non-profit in Massachusetts.

**SECTION C. CHARACTER AND COMPETENCY**

9. Attach a *Character and Competency* form (use template provided) for each of the following actors:
  - The Chief Executive Officer; Chief Operating Officer; Chief Financial Officer; individual/entity responsible for marijuana for medical use cultivation operations; individual/entity responsible for the RMD security plan and security operations; each member of the Board of Directors; each Member of the Corporation, if any; and each person and entity known to date that is committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, the *Character and Competency* Form must be completed and signed by the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

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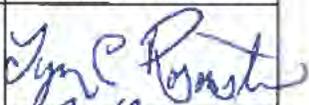
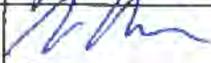
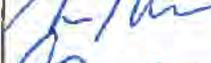
Application 3 of 3 Applicant Non-Profit Corporation \_\_\_\_\_

**SECTION D. INITIAL CAPITAL REQUIREMENT**

Describe the sources, types, and amounts of required initial capital in the table below, showing that the Corporation has at least \$500,000 in its control and available for this *Application of Intent* and at least \$400,000 in its control and available for each additional *Application of Intent*, if any, as evidenced by bank statements, lines of credit, or financial institution statements. Add more tables if needed.

If the required funds are being held in an account in the name of an individual or entity other than the Corporation, the individual or authorized signatory of the entity must provide their signature in the "Signature of Account Holder" column. Their signature below indicates that they are committing the amount of their funds identified in the table to the applicant.

In addition to completing this table, submit a **one-page** financial account summary for each account listed below documenting the available funds, dated no earlier than 30 days prior to the date the *Application of Intent* was submitted to the Department.

Name on Account	Financial Institution	Type of Account	Amount	Signature of Account Holder
Lyn Rosenstein	Fidelity Bank	Brokerage	\$ 150,000.00	
Jon Rosenfeld Patricia L Rosenfeld	Ameriprise	SPS Advantage with One Features	\$ 105,000.00	
Jon Rosenfeld Patricia L Rosenfeld	Ameriprise	Cash Reserve Certificate	\$ 30,000.00	
Jon Rosenfeld Patricia L Rosenfeld	Ameriprise	Flexible Savings Certificate	\$ 10,000.00	
Jon Rosenfeld Patricia L Rosenfeld	Ameriprise	Flexible Savings Certificate	\$ 10,000.00	
Jon Rosenfeld Patricia L Rosenfeld	Ameriprise	Flexible Savings Certificate	\$ 10,000.00	
Jon Rosenfeld Patricia L. Rosenfeld	Middlesex Savings Bank	Money Fund	\$ 85,000.00	
-----	-----	<b>TOTAL:</b>	\$ 400,000.00	---

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: 



Investment Report

May 1, 2015 - May 31, 2015

Envelope 920360311



LYN C ROSENSTEIN

Your Advisor
WEST BRANCH CAPITAL LLC
PO BOX 2067
AMHERST MA 01002
Phone: (413)256-1225

Brokerage LYN C ROSENSTEIN - INDIVIDUAL TOD

Effective January 1, 2015, the Fidelity(R) tax exempt money market funds no longer will be available as core options for new non-retirement brokerage accounts. Existing accounts currently using these funds as core options will not be impacted by this change at this time. Effective January 1, 2015, the Fidelity(R) Treasury and Fidelity(R) Government Money Market Funds will become eligible core options on all non-retirement accounts.

Account Summary

Ending value as of May 31 \$177,410.62
Accrued Interest (AI) \$0.00
Change in AI from last statement \$0.00

Income Summary

Realized Gain/Loss from Sales

Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC

Holdings (Symbol) as of May 31, 2015
Stocks 90% of Holdings

Table with 4 columns: Quantity May 31, 2015, Price per Unit May 31, 2015, Total Cost Basis, Total Value May 1, 2015, Total Value May 31, 2015, Unrealized Gain (Loss) May 31, 2015

May 1, 2015 - May 31, 2015  
**Ameriprise Achiever Circle Portfolio Review**  
 JON ROSENFELD  
 PATRICIA L ROSENFELD

**Portfolio Summary**

Starts on Page	Owner/ Account	Account Number	Beginning Value	Net Additions & Withdrawals	Change in Value	Ending Value
	JON ROSENFELD AND PATRICIA L ROSENFELD					\$106,349.25
						\$30,117.71
						\$10,094.58
						\$10,134.61
						\$10,057.65
						<b>\$166,753.80</b>
						\$2,366.76
						\$3,539.29
						\$42,200.51
						\$83,473.01
						<b>\$11,391.81</b>





Jon Rosenfeld  
Patricia L. Rosenfeld



----- History Account Number [REDACTED] -----  
06-11-2015 to 06-29-2015

Post Date	Eff Date	Check Nbr	Description	Amount	Running Bal	Status
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	115,304.86	Completed
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	87,304.86	Completed

Application 3 of 3 Applicant Non-Profit Corporation \_\_\_\_\_

**ATTESTATIONS**

Signed under the pains and penalties of perjury, I, the authorized signatory for the applicant non-profit corporation, agree and attest that all information included in this application is complete and accurate and that I have an ongoing obligation to submit updated information to the Department if the information presented within this application has changed.

\_\_\_\_\_  
Signature of Authorized Signatory

Ellen Rosenfeld

6/23/2015  
Date Signed

\_\_\_\_\_  
Print Name of Authorized Signatory

President, CommCan, Inc.

\_\_\_\_\_  
Title of Authorized Signatory

I hereby attest that if the non-profit corporation is allowed to proceed to submit a *Management and Operations Profile*, the applicant non-profit corporation is prepared to pay a non-refundable application fee of \$30,000 and the cost of all required background checks, and comply with all *Management and Operations Profile* and *Siting Profile* requirements.

\_\_\_\_\_  
Signature of Authorized Signatory

Ellen Rosenfeld

6/23/2015  
Date Signed

\_\_\_\_\_  
Print Name of Authorized Signatory

President, CommCan, Inc.

\_\_\_\_\_  
Title of Authorized Signatory

I hereby attest that I understand that registered marijuana dispensaries are required to conduct background investigations of proposed Dispensary Agents, that such background investigations are subject to the Department's inspection and review, and that the applicant non-profit corporation will not engage the services of a Dispensary Agent that has ever been convicted of a felony drug offense in Massachusetts, or a like violation of the laws of another state, the United States, or a military, territorial, or Indian tribal authority.

\_\_\_\_\_  
Signature of Authorized Signatory

Ellen Rosenfeld

6/23/2015  
Date Signed

\_\_\_\_\_  
Print Name of Authorized Signatory

President, CommCan, Inc.

\_\_\_\_\_  
Title of Authorized Signatory

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William Francis Galvin  
Secretary of the  
Commonwealth

*The Commonwealth of Massachusetts*  
*Secretary of the Commonwealth*  
*State House, Boston, Massachusetts 02133*

Date: June 10, 2015

To Whom It May Concern :

I hereby certify that

**COMMCAN, INC.**

appears by the records of this office to have been incorporated under the General Laws of this  
Commonwealth on **June 08, 2015** (Chapter 180).

I also certify that so far as appears of record here, said corporation still has legal existence.



In testimony of which,  
I have hereunto affixed the  
Great Seal of the Commonwealth  
on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth

Certificate Number: 15063677030

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

Processed by: smc