

SENATE No. 1415

The Commonwealth of Massachusetts

PRESENTED BY:

James B. Eldridge

To the Honorable Senate and House of Representatives of the Commonwealth of Massachusetts in General Court assembled:

The undersigned legislators and/or citizens respectfully petition for the passage of the accompanying bill:

An Act Relative to Lobbyist Reform.

PETITION OF:

NAME:	DISTRICT/ADDRESS:
James B. Eldridge	Middlesex and Worcester
William F. Galvin	
Robert A. O'Leary	Cape and Islands
Steven J. D'Amico	4th Bristol
Denise Provost	27th Middlesex
Jason Lewis	31st Middlesex
Jennifer M. Callahan	18th Worcester
Susan C. Tucker	Second Essex and Middlesex
Thomas M. Stanley	9th Middlesex
Lori Ehrlich	8th Essex
John F. Quinn	9th Bristol
Martin J. Walsh	13th Suffolk
Matthew C. Patrick	3rd Barnstable

The Commonwealth of Massachusetts

In the Year Two Thousand and Nine

AN ACT RELATIVE TO LOBBYIST REFORM.

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows:

1 **SECTION 1.** Section 39 of chapter 3, as appearing in the 2006 Official Edition, is
2 hereby stricken, and replaced with the following language:

3 **“Section 39. Definitions.**

4 In any proceeding under this chapter, the burden of proving an exemption or an exception from a
5 definition is upon the person claiming it.

6 As used in sections thirty-nine to fifty, inclusive, the following words shall, unless the context
7 clearly indicates otherwise, have the following meanings:—

8 “Authority”, any public instrumentality of the commonwealth which is not subject to the
9 supervision and control of either the legislative, executive or judicial departments of state
10 government, or of any city, town, or county within the commonwealth, and which does not
11 receive state appropriations either for operations or the payment of debt obligations.
12 Notwithstanding the foregoing provisions, the following entities shall be considered to be
13 authorities: Bay State Skills Corporation, Boston Metropolitan District, centers of excellence,

14 Community Economic Development Assistance Corporation, Community Development Finance
15 Corporation, Government Land Bank, Massachusetts Bay Transportation Authority,
16 Massachusetts Convention Center Authority, Massachusetts Corporations for Educational
17 Telecommunications, Massachusetts Educational Loan Authority, Massachusetts Health and
18 Educational Facilities Authority, Massachusetts Housing Finance Agency, Massachusetts
19 Industrial Finance Agency, Massachusetts Industrial Service Program, Massachusetts Legal
20 Assistance Corporation, Massachusetts Municipal Wholesale Electric Company, Massachusetts
21 Port Authority, Massachusetts Product Development Corporation, Massachusetts Technology
22 Development Corporation, Massachusetts Technology Park Corporation, Massachusetts
23 Turnpike Authority, Massachusetts Water Resources Authority, Nantucket land bank, Pension
24 Reserves Investment Management Board, State College Building Authority, Southeastern
25 Massachusetts University Building Authority, Thrift Institutions Fund for Economic
26 Development, University of Lowell Building Authority, University of Massachusetts Building
27 Authority, Victim and Witness Board, Woods Hole, Martha's Vineyard, and Nantucket
28 Steamship Authority, Worcester Business Development Corporation, the several regional transit
29 authorities, the several regional school districts, the several solid waste districts, the several
30 water, sewer, and fire districts, the several local housing authorities, the several local
31 redevelopment authorities, and the several home care corporations.

32 "Client", any principal, individual or business that contracts with, hires, arranges, or otherwise
33 engages the services of another principal, individual or business to receive lobbying services for
34 a fee.

35 "Covered executive official", the governor, lieutenant governor, state secretary, attorney
36 general, state treasurer, state auditor, any person who holds a major policy making position, as

37 defined in section one of chapter two hundred and sixty-eight B, and as designated by the
38 governor, lieutenant governor, state secretary, attorney general, state treasurer or state auditor in
39 accordance with the provisions of said chapter two hundred and sixty-eight B, the secretary or
40 deputy or assistant secretary of any executive office, or the executive or administrative head or
41 deputy or assistant head of any authority, any department, board, commission, or division of the
42 state government or subdivision of any of the foregoing, but not including the legislative and
43 judicial departments.

44 "Legislation", bills, resolutions and proposals of every kind, character or description considered
45 by the general court or any committee thereof, or the governor.

46 "License", the state secretary shall issue a picture identification card which entitles a lobbyist or
47 a lobbyist entity as defined herein to practice lobbying on behalf of a client who or which has
48 filed a registration statement pursuant to section 41. Any license so issued shall expire on
49 December 31 of each year.

50 "Lobbying", means any act or effort on behalf of a client which directly or indirectly attempts to
51 influence procurement decisions, legislative or administrative action by oral or written
52 communication with any elective state official, agency official, authority employee, legislative or
53 executive employee or covered executive official, and includes any person engaged in providing
54 business consulting or strategic consulting services, but excluding:

55 (a) any act made in the course of participation in an advisory committee or task force;

56 (b) providing information in writing in response to a written request for specific information by
57 an officer or employee of the executive branch or an authority, including, but not limited to,
58 statewide constitutional officers and employees thereof;

59 (c) any act required by subpoena, civil investigative demand, or otherwise compelled by statute,
60 regulation or other action of the executive branch or legislative branch or an authority, including,
61 but not limited to, statewide constitutional offices;

62 (d) a communication made to an officer or employee of the executive branch or legislative
63 branch or an authority, including, but not limited to, statewide constitutional officers and
64 employees thereof, with regard to: (1) a judicial proceeding or a criminal or civil law
65 enforcement inquiry, investigation or proceeding; or (2) a filing or proceeding that the executive
66 branch or legislative branch or an authority, including, but not limited to, statewide constitutional
67 offices, is specifically required by statute or regulation to maintain or conduct on a confidential
68 basis; if such executive branch or authority, including, but not limited to, statewide constitutional
69 offices, is charged with responsibility for such proceeding, inquiry, investigation or filing;

70 (e) any act made in compliance with written agency procedures regarding an adjudicatory
71 proceeding, as defined in section one of chapter thirty A, conducted by the agency, or similar
72 adjudicatory or evidentiary proceedings conducted by any department, board, commission or
73 official not governed by chapter thirty A;

74 (f) a petition for action by the executive branch or legislative branch or an authority, including,
75 but not limited to, statewide constitutional offices made in writing and required to be a matter of
76 public record pursuant to established procedures of such executive branch or legislative branch
77 or authority, including, but not limited to, statewide constitutional offices;

78 (g) any act made on behalf of an individual with regard to that individual's benefits,
79 employment or other personal matters;

80 (h) a response to a request for proposals or similar invitation by an officer or employee of the
81 executive branch or legislative branch or an authority, including, but not limited to, statewide
82 constitutional officers and employees thereof, for information relevant to a contract;

83 (i) participation in a bid conference;

84 (j) an appeal or request for review of a procurement decision.

85 “Lobbyist”, any person who: 1) does any act on behalf of a client to influence the decision of
86 any officer or employee of the executive branch or an authority, including but not limited to
87 statewide constitutional officers and employees thereof, where such decision concerns
88 procurement decisions, legislation or the adoption, defeat or postponement of a standard, rate,
89 rule or regulation pursuant thereto, or any act on behalf of a client to communicate directly with
90 a covered executive official to influence a decision concerning policy or procurement or 2) does
91 any act on behalf of a client to promote, oppose or influence legislation, or to promote, oppose or
92 influence the governor’s approval or veto thereof. Reference anywhere in this chapter to
93 legislative or executive agent shall invoke the definition of lobbyist.

94 “Lobbyist entity”, any business entity or type consisting of one or more persons engaged in
95 providing lobbyist type services, including a sole proprietor, foreign or domestic corporation,
96 association, proprietor, partnership, limited liability partnership or company, joint stock
97 company, joint venture or any other similar business formation.

98 “Policy”, a plan or course of action which is applicable to a class of persons, proceedings or
99 other matters and which is designed to influence or determine the subsequent decisions and
100 actions of any covered executive official, including, but not limited to, a plan or course of action
101 which would constitute a “regulation”, as defined in chapter thirty A. The term shall not include

102 the adjudication or determination of any rights, duties, or obligations of a person made on a case
103 by case basis, including but not limited to the issuance or denial of a license, permit, or
104 certification or a disciplinary action or investigation involving a person.

105 “Procurement”, the buying, purchasing, renting, leasing or otherwise acquiring or disposing, by
106 contract or otherwise, of supplies, services or construction or the acquisition or disposition of
107 real property or any interest therein, including, but not limited to, the purchase, lease or rental of
108 any such real property or the granting of easements or rights of way therein; but not including
109 any item of expenditure the value of which is twenty-five thousand dollars or less.”

110 **SECTION 2.** Section 41 of chapter 3, as appearing in the 2006 Official Edition, is
111 hereby amended by striking the title “**Docket of executive and legislative agents and lobbyists;**
112 **annual registration statements; annual filing fee; identification cards**” and replacing it with
113 the following title:

114 “**Chapter 3: Section 41. Registration and training**”.

115 **SECTION 3.** Section 41 of chapter 3, as appearing in the 2006 Official Edition, is
116 hereby further amended by adding the following subtitle in line 1:

117 “**Section 41(A). Docket of lobbyists, lobbyist entities, and clients; annual**
118 **registration statements; annual filing fee; licenses**”.

119 **SECTION 4.** Section 41 of chapter 3, as appearing in the 2006 Official Edition, is
120 hereby further amended by striking out the figure "10" in line 17 and inserting in place thereof
121 the following figure: “3”.

122 **SECTION 5.** Section 41 of chapter 3, as appearing in the 2006 Official Edition, is
123 hereby further amended by striking lines 31 – 35, which contain the following paragraph:

124 “Upon registration, the state secretary shall issue to each legislative agent and executive
125 agent, a nontransferable identification card that shall include the person's name and
126 photograph. Out-of-state legislative agents and executive agents shall submit 3 passport-
127 sized photographs to the state secretary upon registration.”

128 And replacing lines 31 – 35 with the following paragraph:

129 “Upon registration and payment of all applicable fees, the state secretary shall issue to
130 each lobbyist and lobbyist entity a nontransferable identification card or license that shall
131 include the lobbyist’s name and photograph and the lobbyist entity and authorizing
132 officer names.”

133 **SECTION 6.** Section 41 of chapter 3, as appearing in the 2006 Official Edition, is
134 hereby further amended by adding the following language after line 35:

135 “The secretary may from time to time make, amend, and rescind such rules, forms, and orders as
136 are necessary to carry out the provisions of this chapter, including rules and forms governing all
137 filings and defining any terms, whether or not used in this chapter, insofar as the definitions are
138 not inconsistent with the provisions of this chapter.

139 The state secretary or his designee shall assess a penalty for any statement which is filed by such
140 lobbyist, lobbyist entity, or client later than the prescribed date. Said penalty shall be in the
141 amount of two hundred and fifty dollars when such statement has been filed ten days late or less,
142 and in the amount of five hundred dollars when such statement is more than ten days late;

143 provided, however that the state secretary may waive said penalty for good cause. No waiver
144 shall be granted when a statement has been filed more than thirty days late.”

145 **SECTION 7.** Section 41 of chapter 3, as appearing in the 2006 Official Edition, is
146 hereby further amended by adding the following subtitle at the end of section 41(A):

147 **“Section 41(B). Annual training”.**

148 **SECTION 8.** Section 41 of chapter 3, as appearing in the 2006 Official Edition, is
149 hereby further amended by adding the following language under subtitle **“Section 41(B).**
150 **Annual training”:**

151 “All lobbyists and lobbyist entities shall attend mandatory annual training on lobbyist rules and
152 regulations, ethics, and campaign contribution guidelines to be provided by the state secretary
153 pursuant to regulations he drafts.

154 Failure of a lobbyist or lobbyist entity to attend and complete such training will result in an
155 automatic bar from lobbying until compliance with the training requirements.”

156 **SECTION 9.** Section 42 of chapter 3, as appearing in the 2006 Official Edition, is
157 hereby amended by striking the title **“Agreements to influence decisions of executive branch**
158 **employees or legislation for consideration prohibited”** and replacing it with the following
159 title:

160 **“Chapter 3: Section 42. Contingency compensation of lobbyists prohibited.”**

161 **SECTION 10.** Section 42 of chapter 3, as appearing in the 2006 Official Edition, is
162 hereby further amended by striking out the word "executive agent" in line 3 and inserting in
163 place thereof the following word: “lobbyist”.

164 **SECTION 11.** Section 42 of chapter 3, as appearing in the 2006 Official Edition, is
165 hereby further amended in line 13, by inserting the following word after the word “bona fide”:
166 “solicited”.

167 **SECTION 12.** Section 43 of chapter 3, as appearing in the 2006 Official Edition, is
168 hereby stricken, and replaced with the following section:

169 “Section 43. Disclosure.

170 (A) Quarterly disclosure statements of lobbyists and lobbyist entities.

171 On or before the fifteenth day of April, complete from January first through March thirty-first;
172 and on or before the fifteenth day of July, complete from April first to June thirtieth; and on or
173 before the fifteenth day of October, complete from July first to September thirtieth; and on or
174 before the fifteenth day of January, complete from October first to December thirty-first, every
175 lobbyist and lobbyist entity appearing on the docket shall render to the state secretary, under
176 oath, an itemized, electronic disclosure statement of all expenditures or payments made to any
177 person or organization within the context of providing lobbying services as defined in section
178 39.

179 The disclosure statement shall contain an itemized statement of expenditures, which shall include
180 but not be limited to, campaign contributions as defined in section one of chapter fifty-five;
181 expenditures incurred or payments made by a lobbyist or lobbyist entity regardless of value, paid
182 in whole or in part to any statewide constitutional officer, officers and employees of such office,
183 members of the general court, officers and employees of the general court, officers and
184 employees of the executive branch, and officers and employees of an authority; and operating
185 expenses, office expenses, and any other expenses associated with the provision of lobbying

186 services, including such specific expenditures and/or reimbursements for meals, beverages,
187 recreation and entertainment, gifts, lodging, transportation, advertising, public relations, printing,
188 mailing, and telephone, and the names of the payees and the amount paid to each payee.

189 The disclosure statement shall contain an itemized statement of expenditures which shall also
190 include the names of the candidates or political committees to whom or to which a contribution
191 was made, along with the amount of and date of each contribution, and the date, place, name and
192 position of any official or individual receiving an expenditure for any meal, beverage, recreation
193 and entertainment, lodging or transportation expense and a description of the benefit.

194 No such expenditure shall be split or divided for the purpose of evading any provision of this
195 section.

196 On or before the fifteenth day of April, complete from January first through March thirty-first;
197 and on or before the fifteenth day of July, complete from April first to June thirtieth; and on or
198 before the fifteenth day of October, complete from July first to September thirtieth; and on or
199 before the fifteenth day of January, complete from October first to December thirty-first, every
200 lobbyist and lobbyist entity appearing on the docket shall render to the state secretary, under
201 oath, an itemized, electronic disclosure statement of all activities performed in conjunction with
202 lobbying services as defined in section 39.

203 The disclosure statement shall contain an itemized statement of activities which shall include the
204 number of hours each month within the reporting period said lobbyist or lobbyist entity engaged
205 in/or provided lobbyist services including a complete and detailed description of the subject,
206 subjects, or issues; the name and title of the person or persons to whom the lobbying services
207 were provided; the legislative numbers of any bills, rules, regulations, ratemaking, or proposed

208 rules, regulations or rates; the titles and any other identifying numbers of any procurement
209 contracts; the names of the persons, organizations, legislative bodies, or committees before
210 which he has lobbied; and the client on behalf of whom the lobbyist services were performed.

211 The state secretary or his designee shall assess a penalty for any disclosure statement which is
212 filed by such lobbyist or lobbyist entity later than the prescribed date. Said penalty shall be in
213 the amount of two hundred and fifty dollars when such statement has been filed ten days late or
214 less, and in the amount of five hundred dollars when such statement is more than ten days late;
215 provided, however that the state secretary may waive said penalty for good cause. No waiver
216 shall be granted when a statement has been filed more than thirty days late.

217 Any lobbyist who or lobbyist entity which fails to timely file said disclosure statement within
218 the time required by this chapter shall be subject to the immediate administrative revocation
219 of his or its license to lobby for a period not to exceed 3 years.

220 No lobbyist or lobbyist entity whose license has been revoked may engage in lobbying activity
221 until such person has been reinstated to the practice of lobbying and duly licensed.

222 The license of any lobbyist or lobbyist entity to lobby on behalf of the client or entity shall be
223 restored immediately upon filing the delinquent statement. All notices to be sent in accordance
224 with this chapter shall be sent by certified mail to the last-known addresses of the lobbyist or
225 lobbyist entity.

226 Any lobbyist or lobbyist entity who is aggrieved by a suspension of lobbying privileges under
227 this subsection may request a hearing regarding the revocation. The state secretary or his
228 designee may refer any matter to the office of the attorney general for further enforcement action
229 for which a criminal penalty is applicable.

230 (B) Quarterly disclosure statements of clients.

231 On or before the fifteenth day of April, complete from January first through March thirty-first;
232 and on or before the fifteenth day of July, complete from April first to June thirtieth; and on or
233 before the fifteenth day of October, complete from July first to September thirtieth; and on or
234 before the fifteenth day of January, complete from October first to December thirty-first, every
235 client of a lobbyist whose name appears upon the docket shall render to the state secretary under
236 oath, an itemized, electronic disclosure statement of all expenses, expenditures, and/or payments
237 made to any person or organization incurred in connection with any lobbying activity as defined
238 in section 39 performed on its behalf.

239 The disclosure statement shall contain an itemized statement of expenditures, which shall include
240 but not be limited to, operating expenses, office expenses, and any other expenses associated
241 with the provision of lobbying services, including such specific expenditures and/or
242 reimbursements for meals, gifts, transportation, entertainment, advertising, public relations,
243 printing, mailing, and telephone, and the names of the payees and the amount paid to each payee.

244 Where such expenditure is for meals, entertainment or transportation, said expenditure shall be
245 identified by the date, place, amount, and names of all persons in the group partaking in, or of,
246 such meal, entertainment, or transportation.

247 When such compensation is included as part of the lobbyist or lobbyist entity's regular salary or
248 retainer, the disclosure statement shall specify the total amount of the lobbyist or lobbyist
249 entity's salary or retainer with an additional indication as to the amount of salary or retainer
250 allocable to his or its lobbyist duties, if apportionment is possible. If no such apportionment is
251 possible, the statement shall indicate such impossibility.

252 No expenditure shall be split or divided for the purpose of evading any provision of this section.

253 The state secretary shall assess a penalty for any disclosure statement which is filed by such
254 client later than the prescribed date. Said penalty shall be in the amount of two hundred and fifty
255 dollars when such statement has been filed ten days late or less, and in the amount of five
256 hundred dollars when such statement is more than ten days late; provided, however that the state
257 secretary may waive said penalty for good cause. No waiver shall be granted when a statement
258 has been filed more than thirty days late.

259 Upon failure of a client to file the required disclosure statement, the state secretary shall mail
260 written notices to the client and to any lobbyist or lobbyist entity of said client, informing them
261 that unless the client files the delinquent statement within 10 business days after the date of
262 mailing of the notices, no lobbyist or lobbyist entity may lobby on behalf of the client.”

263 **SECTION 13.** Section 44 of chapter 3 is hereby repealed.

264 **SECTION 14..** Section 45 of chapter 3, as appearing in the 2006 Official Edition, is
265 hereby stricken, and replaced with the following section:

266 **“Section 45. Enforcement authority; inquiry; cease and desist; injunction; adjudicatory**
267 **proceeding; license revocation; suspension; hearing; effect; penalties for violations;**
268 **inspection of statements; authority of attorney general.**

269 (A) (1) Upon receipt of a sworn complaint signed under pains and penalties of perjury, or upon
270 receipt of evidence or information which is deemed sufficient by the state secretary, the secretary
271 or his designee shall initiate a preliminary inquiry into any alleged violation of this chapter. All
272 proceedings and records relating to a preliminary inquiry or initial staff review to determine

273 whether to initiate an inquiry or adjudicatory proceeding shall be confidential, except that the
274 secretary or his designee may turn over to the attorney general, the United States Attorney or a
275 district attorney of competent jurisdiction evidence which may be used in a criminal proceeding.
276 The secretary or his designee shall notify, in writing, any individual or entity who is the subject
277 of the preliminary inquiry of the existence of such inquiry and the general nature of the alleged
278 violation within thirty days of the commencement of the preliminary inquiry.

279 (2) If a preliminary inquiry fails to indicate reasonable cause for belief that this chapter has been
280 violated, the secretary or his designee shall immediately terminate the inquiry and so notify, in
281 writing, the complainant, if any, and the person who or entity that had been the subject of the
282 inquiry.

283 (3) If a preliminary inquiry indicates reasonable cause for belief that this chapter has been
284 violated, the secretary or his designee may issue a temporary cease and desist order. Upon the
285 entry of a temporary cease and desist order, the secretary or his designee shall promptly notify in
286 writing the individual or entity subject to the order that such order has been entered, the reasons
287 therefor, and that within twenty days after the receipt of a written request from such individual or
288 entity, the matter shall be set down for hearing to determine whether or not the order shall
289 become permanent and final. If no hearing is requested and none is ordered by the secretary or
290 his designee, the order shall remain in effect until it is modified or vacated by the secretary or his
291 designee. If a hearing is requested or ordered, the secretary or his designee, after giving notice of
292 and opportunity for a hearing to the person or entity subject to the order, shall by written findings
293 of fact and conclusions of law, vacate, modify, or make permanent the order.

294 (4) If any inquiry indicates reasonable cause for belief that any individual or entity has engaged
295 or is about to engage in any act or practice constituting a violation of any provision of this
296 chapter or any rule or order hereunder, the secretary or his designee may in his discretion bring
297 an action in the superior court for the county in which the individual or entity is found or is an
298 inhabitant or transacts business or engages or has engaged in lobbying activity or the provision
299 of lobbyist services to enjoin the acts or practices and to enforce compliance with this chapter or
300 any rule or order hereunder. Upon a proper showing, the court may grant a preliminary or
301 permanent injunction or a temporary restraining order and may order such other relief as may be
302 in the public interest.

303 (5) For the purpose of any inquiry or proceeding under this chapter, the secretary or his designee
304 may commence an adjudicatory proceeding, administer oaths and affirmations, summons and
305 subpoena witnesses, compel attendance, hear testimony all of which shall be under oath, take
306 evidence, and require the production of any books, papers, correspondence, memoranda,
307 agreements, or other documents or records which the secretary or his designee deems relevant or
308 material to the inquiry. Such summonses or subpoenas may be issued by the secretary or his
309 designee and shall be served in the same manner as summonses and subpoenas for witnesses in
310 civil cases, and all provisions of law relative to summonses and subpoenas issued in such cases,
311 including the compensation of witnesses, shall apply to summonses and subpoenas issued by the
312 secretary or his designee.

313 (6) In case of contumacy by, or refusal to obey a summons or subpoena issued to, any individual
314 or entity, any justice of the superior court for the county in which the individual or entity is
315 found or is an inhabitant or transacts business or engages or has engaged in lobbying activity or

316 the provision of lobbyist services, upon application by the secretary or his designee, may issue to
317 the person or entity an order requiring him or it to appear before the secretary or his designee,
318 there to produce documentary evidence if so ordered, or to give evidence touching the matter
319 under investigation or in question. Failure to obey the order of the court may be punished by the
320 court as a contempt of court.

321 (7) No person is excused from attending and testifying or from producing any document or
322 record before the secretary or his designee, or in obedience to the subpoena of the secretary or
323 his designee, or in any proceeding instituted by the secretary or his designee, on the ground that
324 the testimony or evidence, documentary or otherwise, required of him may tend to incriminate
325 him or subject him to a penalty of forfeiture; but no individual may be prosecuted or subjected to
326 any penalty or forfeiture for or on account of any transaction, matter, or thing concerning which
327 he is compelled, after claiming his privilege against self-incrimination, to testify or produce
328 evidence, documentary or otherwise, except that the individual testifying is not exempt from
329 prosecution and punishment for perjury or contempt committed in testifying.

330 (8) All parties to an inquiry or an adjudicatory proceeding shall have the right to call and
331 examine witnesses, to introduce exhibits, to cross-examine witnesses who testify, to submit
332 evidence, and to be represented by counsel. Before testifying, all witnesses shall be given a copy
333 of the regulations governing adjudicatory proceedings. All witnesses shall be entitled to be
334 represented by counsel.

335 (9) Any person or entity whose name is mentioned during an adjudicatory proceeding of the
336 secretary or his designee and who may be adversely affected thereby may appear personally
337 before the secretary or his designee on his own behalf, with or without counsel, to give a

338 statement in opposition to such adverse mention or file a written statement of such opposition for
339 incorporation into the record of the proceeding. Within thirty days after completion of
340 deliberations, the secretary or his designee shall publish a written report of its findings and
341 conclusions.

342 (10) The secretary or his designee upon a finding pursuant to an adjudicatory proceeding that
343 there has been a violation of this chapter may issue an order:

344 (a) demanding that the violator cease and desist such violation of said chapter; and/or

345 (b) suspending the license and registration of said violator until the third regular
346 session of the general court after the date of such violation; and/or

347 (c) demanding a full written accounting and reporting of all expenditures associated
348 with lobbying activities; and/or

349 (d) imposing an administrative fine, not to exceed \$5,000.00; and/or

350 (e) any other remedy the secretary deems appropriate to ensure compliance with the
351 filing and other requirements of this chapter.

352 (11) Any person aggrieved by a final decision of the secretary or his designee in an adjudicatory
353 proceeding may obtain judicial review pursuant to section fourteen of chapter thirty A. The
354 commencement of proceedings under subsection (11) does not, unless specifically ordered by the
355 court, operate as a stay of the secretary or his designee's order.

356 (B) Violation of any provision of sections forty-one, forty-two, or forty-three shall be punished
357 by a fine of not less than one hundred, nor more than five thousand dollars. Any person acting as
358 a lobbyist or lobbyist entity who has been found guilty of violating any provisions of said
359 sections shall in addition to such fine, be disqualified from acting as a lobbyist or lobbyist entity
360 until the termination of the third regular session of the general court after the date of conviction
361 of such offense. Upon investigation and when deemed appropriate, the attorney general shall
362 cause prosecutions to be instituted for violation of any provision of sections forty-one and forty-
363 two.

364 The state secretary or his designee shall inspect all statements required by sections forty-one and
365 forty-three filed with him if it appears that any person or entity has failed to file such statement
366 as required by said sections, or if it appears to the state secretary or his designee that any such
367 statement filed with him does not conform to law, the state secretary or his designee shall within
368 a reasonable time notify the delinquent person, group or organization in writing.

369 Upon failure to file a statement within fourteen days after receiving notice under this section, or
370 if any statement filed after receiving notice indicates any violation of sections forty-one or forty-
371 three, the state secretary or his designee shall within a reasonable time notify the attorney general
372 thereof and shall furnish him with copies of all papers relating thereto. The attorney general shall
373 examine every such case and upon investigation and when deemed appropriate shall cause
374 prosecutions to be instituted in the name of the commonwealth or shall institute appropriate civil
375 proceedings pursuant to section forty-nine or refer the case to the proper district attorney for such
376 action as may be appropriate.”

377 **SECTION 15.** Section 47 of chapter 3 is hereby repealed.

378 **SECTION 16.** Section 48 of chapter 3 is hereby repealed.