

Grant Modification / Notice of Obligation

U.S. DEPARTMENT OF LABOR / EMPLOYMENT AND TRAINING ADMINISTRATION

GRANT MODIFICATION		No. 0	PROJECT: NEG
			PHILIPS MERSEN- DUAL ENROLLMENT Project No. MA-51
GRANT NUMBER: EM-25327-14-60-A- 25	EIN: 046002284	EFFECTIVE DATE: 07/01/2013	PAGE 1
GRANTEE: MA DEPARTMENT OF WORKFORCE DEVELOPMENT 19 STANIFORD STREET, CHARLES F. HURLEY BLDG. BOSTON, MASSACHUSETTS 02114		ISSUED BY U.S. DEPARTMENT OF LABOR / ETA DIVISION OF FEDERAL ASSISTANCE 200 CONSTITUTION AVENUE NW - ROOM N-4716 WASHINGTON, DC 20210	

Action:

Grant funding obligation action for referenced grant project per NEG agreement for the requested Grant Period: July 1, 2013 through June 30, 2015. See Grantee application dated January 10, 2014, which is incorporated by reference.

Additional Special Terms and Conditions: The Grantee must submit a grant modification request within 30 days to address the following:

Budget and Plan - The approved threshold amount is up to \$1,222,904 with \$641,983 released initially. Additional increments may be provided based upon enrollments and expenditures and will be subject to the availability of Federal funds. The Grantee must revise the SF-424 and SF-424A and associated Budget Information Narrative to reflect the initial award amount. In the interim, the budget and plan figures are approved as reflected on Quarter 4 of the Planning Form.

Funding Amount - This grant project is funded with multiple fund sources, (i.e., \$147,010 in Program Year (PY) 13 funds and \$494,973 in Fiscal Year (FY) 14 Advance funds). The portion of the PY 13 funds has an effective date of July 1, 2013 and should cover Quarter 1, as reflected on the Planning Form. The Grantee is reminded that the effective date for the use of the FY 14 Advance portion is October 1, 2013.

Planning Form - The Grantee must revise Quarter 1 of the Planning Form which indicates participants Enrolled in Training and Receiving Supportive Services, but there are no expenditures shown for these services.

Employer Data Forms (EDFs) - The Grantee must reconcile the following discrepancies between the EDFs and the application attachments:

- The narrative attachment indicates that Mersen USA is closing its manufacturing operation in Newburyport although the Mersen USA EDF indicates the facility isn't closed.

- The narrative attachment indicates that the Mersen USA layoffs were to occur between April 2013 and September 2013, whereas the EDF indicates all the layoffs occurred on September 30, 2013.

- The Mersen USA EDF indicates the Trade Adjustment Assistance (TAA) petition was filed on February 25, 2013; however, the attached petition was signed and dated on February 11, 2013.

- The Philips EDF indicates the TAA petition was filed on December 27, 2012; however, the attached petition was signed and dated on December 10, 2012.

YEAR / CFDA PROGRAM ACCOUNT ID	CURRENT LEVEL	Mod 0 MODIFICATION	NEW LEVEL	PMS DOC #
FY 14 / 17.277 WIA DIS WKRS NAT RES - EMERGENCY (ADVANCE) <small>14-1630-2014-0501741414BD201401740003145DW093A0000AONR00AONR00-A90184-410023-ETA-DEFAULT TASK-</small>	\$0.00	\$494,973.00	\$494,973.00	EM25327QX0
PY 13 / 17.277 WIA DIS WKRS NAT RES EMERGENCY <small>14-1630-2014-0501741314BD201401740003135DW020A0000AONR00AONR00-A90184-410023-ETA-DEFAULT TASK-</small>	\$0.00	\$147,010.00	\$147,010.00	EM25327PW1
TOTAL FUND AVAILABILITY	\$0.00	\$641,983.00	\$641,983.00	

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Except as modified, all terms and conditions of said grant /agreement remain unchanged and in full effect.

Approved
by

Digital Signature

THOMAS C MARTIN

Grant Officer

Date Signed 02/27/2014