

Grant Modification / Notice of Obligation

U.S. DEPARTMENT OF LABOR / EMPLOYMENT AND TRAINING ADMINISTRATION

GRANT MODIFICATION		No. 1	PROJECT: NEG
			MED - Dual Enrollment Project No. MA-52
GRANT NUMBER: EM-25488-14-60-A-25	EIN: 046002284	EFFECTIVE DATE: 02/11/2015	PAGE 1
GRANTEE: Executive Office of Labor and Workforce Development 19 Staniford Street BOSTON, MASSACHUSETTS 02114		ISSUED BY U.S. DEPARTMENT OF LABOR / ETA DIVISION OF FEDERAL ASSISTANCE 200 CONSTITUTION AVENUE NW - ROOM N-4716 WASHINGTON, DC 20210	

Action:

The Grantee's modification request of February 11, 2015, for incremental funding of \$854,851, and to add National Envelope to the project (and the associated increase in the number of planned participants to 300) is approved. The revised budget and plan is approved. See incoming Grantee request, which is incorporated by reference.

Additional Terms and Conditions: N/A

NOTES:

The Grantee indicated on both the Modification Details and Planning Form of this modification request that the requested Increment Period of Performance is October 1, 2013 - September 30, 2015. The Grantee is reminded that this incremental funding is being provided from resources appropriated for Program Year 2014, which are not available for expenditure for costs that occurred prior to July 1, 2014. As both of these issues were specific to this modification request and will be automatically deleted when the Grantee creates its next modification request, no further action is required of the Grantee to address these issues.

For the portion of these grant funds awarded after December 26, 2014, the Grantee must follow the Office of Management and Budget's (OMB's) final guidance on Administrative Requirements, Cost Principles, and Audit Requirements, 2 Code of Federal Regulations (CFR) Part 200, including the Department of Labor's exceptions codified at 2 CFR Part 2900, which supersede the requirements from OMB Circulars A-21, A-87, A-110, and A-122; Circulars A-89, A-102, and A-133; 29 CFR Parts 95, 96, 97 and 99, and the guidance in Circular A-50 on Single Audit Act follow-up, unless different provisions are required by statute or approved by OMB. The Grantee has the option to request a modification to this grant award to enable these provisions to apply to the entire grant award.

As a part of this modification request, the Grantee uploaded a Trade Adjustment Assistance (TAA) certification for the workers dislocated from Dow Jones & Co, but failed to update the associated Employer Data Form (EDF) to reflect the TAA certification. While a specific modification request is not required to address this issue, the Grantee must ensure it updates this EDF in any future modification request that requires this EDF to be resubmitted. The Grantee is reminded that it should not be using these NEG funds to pay for any services that can be provided by the TAA program for any of the participants included in this project that are covered by a TAA certification.

As a part of this modification request, the Grantee added a new EDF to add National Envelope to this project, which provides no date for Rapid Response contact with the workers, but indicates 185 workers were contacted/field surveys were completed. This may also have contributed to the discrepancy between the number of surveys reported across the EDFs contained in this modification request and the number of surveys discussed in the "Description of Hampden County Dislocation Event MOD1 08082014 Response.docx" attachment. While a modification request is not required solely to address this issue, the Grantee must ensure it makes appropriate revisions to this EDF to address this issue in any future modification request that requires this EDF to be resubmitted.

While the Grantee properly allocated funding to Indirect Costs on the SF-424A and the associated Budget Information Narrative, these costs were not reflected on the Indirect line of the Planning Form submitted as a part of this modification request. The Grantee must ensure that it is properly reporting these costs in its Quarterly Performance Reports (QPRs) and that Indirect Costs are shown on the Indirect line of the Planning Form in any future modification request that requires a Planning Form.

YEAR / CFDA PROGRAM ACCOUNT ID	Mod 0 CURRENT LEVEL	Mod 1 MODIFICATION	NEW LEVEL	PMS DOC #
FY 14 / 17.277 WIA DIS WKRS NAT RES - EMERGENCY (ADVANCE) 14-1630-2014- 0501741414BD201401740003145DW093A0000AONR00AONR00- A90184-410023-ETA-DEFAULT TASK-	\$721,370.00	\$0.00	\$721,370.00	EM25488QX0

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		MED - Dual Enrollment	Project No. MA-52
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YEAR / CFDA PROGRAM ACCOUNT ID	Mod 0 CURRENT LEVEL	Mod 1 MODIFICATION	NEW LEVEL	PMS DOC #
FY 15 / 17.277 WIA DIS WKRS NAT RES - EMERGENCY (ADVANCE) 14-1630-2015- 0501741515BD201501740003155DW093A0000AONR00AONR00- A90184-410023-ETA-DEFAULT TASK-	\$0.00	\$854,851.00	\$854,851.00	EM25488VO0
TOTAL FUND AVAILABILITY	\$721,370.00	\$854,851.00	\$1,576,221.00	

Except as modified, all terms and conditions of said grant /agreement remain unchanged and in full effect.

Approved
by

Date Signed 03/20/2015

THOMAS C MARTIN

Grant Officer