

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
AirSure Limited of Colorado, Inc.	Producer	6014	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$500 fine, cease & desist from violations	08/27/07
Alpha Surety & Insurance Brokerage, LLC	Producer	5988	Placed policies while unlicensed	Settlement Agreement: \$600 fine, cease & desist from violations	09/12/07
Arthur J. Gallagher & Co. Ins. Brks of California	Producer	5616	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	08/29/07
Ash Insurance Brokerage	Producer	5928	Placed policies while unlicensed	Settlement Agreement: \$2,400 fine, cease & desist from violations	07/12/07
Beverly Insurance Brokerage, Inc.	Producer	5978	Issued false certificate of insurance	Settlement Agreement: \$2,500 civil penalty, cease & desist from violations	09/17/07
Blackman Insurance Agency, Inc.	Producer	5972	Placed policies while unlicensed	Settlement Agreement: \$50 fine, cease & desist from violations	08/08/07
Bradley Insurance & Bonding Agency, Inc.	Producer	5295	Placed policies while unlicensed	Settlement Agreement: \$50 fine, cease & desist from violations	09/20/07
Business Benefits Insurance Brokerage, Inc.	Producer	5898	Placed policies while unlicensed	Settlement Agreement: \$350 fine, cease & desist from violations	08/22/07
Cavalari, Thomas Joseph	Producer	5507	Failed to make proper disclosure on licensing application(s)	Administrative Decision: License Revocation; cease & desist from all insurance activity, \$1,500 fine	09/24/07

Cuenca & Associates Insurance Agency, Inc.	Producer	5893	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$1,200 fine, cease & desist from violations	08/30/07
Diranian, Nicholas M.	Producer	5297	Failed to timely report administrative action(s)	Settlement Agreement: \$200 fine, cease & desist from violations	08/13/07
Farmington Insurance Agency, Inc., The	Producer	5906	Placed policies while unlicensed	Settlement Agreement: \$3,425 fine, cease & desist from violations	07/18/07
Gilbert, Gaston J.	Producer	6008	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	09/12/07
Hilb Rogal & Hobbs of Atlanta, Inc.	Producer	5933	Placed policies while unlicensed	Settlement Agreement: \$500 fine, cease & desist from violations	08/21/07
Keough, Thomas Kevin	Producer	5435	Failed to maintain continuing education requirement	Settlement Agreement: \$50 civil penalty, cease & desist from violations	09/20/07
Limoncelli, Robert Peter	Producer	5579	Failed to timely report administrative action(s)	Consent Agreement: \$250 fine, cease & desist from violations	09/14/07
Manulife Ins. Co.	Company	5963	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	07/19/07
McCormick Smith & Curry Insurance Agency, Inc.	Producer	5749	Placed policies while unlicensed	Settlement Agreement: \$1,950 fine, cease & desist from violations	08/22/07

Medway Cooperative Bank	Producer	5899	Placed policies while unlicensed	Settlement Agreement: \$100 fine, cease & desist from violations	07/23/07
Meekins, Luke G.	Producer	5296	Failed to timely report administrative action(s)	Settlement Agreement: \$200 fine, cease & desist from violations	08/13/07
NCMIC Insurance Services, Inc.	Producer	5896	Placed policies while unlicensed	Settlement Agreement: \$300 fine, cease & desist from violations	08/09/07
New England Risk Specialists, Inc.	Producer	5929	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$750 fine, cease & desist from violations	07/09/07
Nordgren-Fandrich Insurance Agency, Inc.	Producer	5842	Placed policies while unlicensed	Settlement Agreement: \$2,500 fine, cease & desist from violations	08/16/07
One Stop Insurance Agency, Inc.	Producer	5935	Placed policies while unlicensed, failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$2,700 fine, cease & desist from violations	09/12/07
Pullen Insurance Services, Inc.	Producer	5914	Placed policies while unlicensed	Settlement Agreement: \$100 fine, cease & desist from violations	08/14/07
Sellica Insurance Agency, Inc.	Producer	5265	Placed policies while unlicensed	Consent Agreement: \$12,000 fine, cease & desist from violations	09/27/07
U.S. Insurance Group, LLC	Producer	5450B	Failed to timely report administrative action(s)	Settlement Agreement: \$250 civil penalty, cease & desist from violations	07/09/07

White, Vonda K.	Producer	6016	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	08/21/07
Willis Insurance Brokerage of Maryland	Producer	5891	Placed policies while unlicensed	Settlement Agreement: \$200 fine, cease & desist from violations	07/18/07
Willis Insurance Services of Ga., Inc.	Producer	5836	Placed policies while unlicensed	Settlement Agreement: \$250 fine, cease & desist from violations	07/31/07