

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
All Risks, Ltd.	Producer	5847	Placed policies while unlicensed	Settlement Agreement: \$1,000 fine, cease & desist from violations	10/23/07
Atradius Trade Credit Insurance, Inc.	Company	5951	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	10/23/07
Boucher Insurance Agency, Inc.	Producer	6123	Placed policies while unlicensed	Settlement Agreement: \$5,550 fine, cease & desist from violations	12/10/07
Ciocca, Mark A.	Producer	5535	Failure to remit premium	Settlement Agreement: \$500 fine, cease & desist from violations	12/12/07
Craig, Timothy Allen	Producer	6005	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	11/01/07
Davis, Talonda Carmen	Producer	5225	Misrepresented the terms of actual or proposed insurance policies	Settlement Agreement: \$4,000 fine, cease & desist from violations	10/05/07
Dowd, James J.	Producer	5923	Failed to maintain continuing education	Settlement Agreement: Cease & desist from violations, complete continuing education	10/22/07
Dowling, Ronald R.	Producer	5887	Failed to timely report administrative action(s)	Settlement Agreement: \$500 fine, cease & desist from violations	10/31/07
Fucillo, Richard Joseph	Producer	5889	Failed to make proper disclosure	Settlement Agreement: \$500 fine, cease & desist from violations	10/17/07

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General Star National Ins. Co.	Company	5950	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	10/26/07
Genesis Ins. Co.	Company	5956	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	10/25/07
Gilman, Steven Andrew	Producer	4999	Failed to maintain the standard of trustworthiness	Administrative Decision: License Revocation; cease & desist from all insurance activity	10/09/07
Goldstein, Allan	Producer	6036	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	10/05/07
Grimes, Clara M.	Producer	6002	Failed to timely report administrative action(s)	Settlement Agreement: \$350 fine, cease & desist from violations	12/24/07
Grosky Associates Adjusters, Inc.	Public Insurance Adjuster	6067	Adjusted losses while unlicensed	Settlement Agreement: \$1,000 fine, cease & desist from violations	11/01/07
J.P. Creedon Insurance Agency, Inc.	Producer	6069	Placed policies while unlicensed	Settlement Agreement: \$200 fine, cease & desist from violations	11/15/07
J.W. Terrill, Inc.	Producer	6048	Placed policies while unlicensed	Settlement Agreement: \$50 fine, cease & desist from violations	11/19/07
McDonald, Susan C.	Producer	5864	Proprietary rights of a producer	Settlement Agreement: \$1,200 fine, cease & desist from violations	12/13/07

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Merritt, Marika L.	Producer	5888	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Settlement Agreement: License Revocation; cease & desist from all insurance activity	10/15/07
Ryder Insurance Agency, Inc.	Producer	5973	Placed policies while unlicensed	Settlement Agreement: \$750 fine, cease & desist from violations	12/10/07
Safe-Guard Products International, Inc.	Producer	5976	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$2,500 fine, cease & desist from violations	11/20/07
T. Nguyen Insurance, Ltd.	Producer	5825	Placed policies while unlicensed	Settlement Agreement: \$1,725 fine, cease & desist from violations	10/16/07
Tamaren, Davis S.	Producer	4947	Failed to maintain the standard of trustworthiness	Settlement Agreement: License Revocation; cease & desist from all insurance activity	10/03/07
Trisure Corporation	Producer	6089	Placed policies while unlicensed	Settlement Agreement: \$100 fine, cease & desist from violations	12/10/07
True, Thomas M.	Producer	6068	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	11/14/07
Wexler, Steven Marc	Producer	4938	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$750 fine, cease & desist from violations	12/21/07