

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
A. Regan Insurance Agency, Inc.	Producer	6224	Placed policies while unlicensed	Settlement Agreement: \$4,000 fine, cease & desist from violations	06/09/08
ACE Fire Underwriters Ins. Co.	Company	5725	Issued policies at unapproved rate	Settlement Agreement: \$1,500 fine, cease & desist from violations	06/24/08
All Risks, Ltd.	Producer	6300	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$600 fine, cease & desist from violations	04/11/08
Allen, Corinna Rose	Producer	6081	Violated order of the Commissioner	Administrative Decision: License Revocation; cease & desist from all insurance activity	06/16/08
Apple Valley Insurance Agency, Inc.	Producer	6255	Placed policies while unlicensed	Settlement Agreement: \$200 fine, cease & desist from violations	04/24/08
Arc Excess & Surplus, LLC	Producer	6230	Placed policies while unlicensed	Settlement Agreement: \$6,000 fine, cease & desist from violations	05/06/08
Argonaut Ins. Co.	Company	6195	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	05/13/08
Barile, Kevin Michael	Producer	5449	Failed to maintain continuing education	Settlement Agreement: Cease & desist from violations	06/12/08
Bedula, Greg	Producer	5566	Failed to timely report administrative action(s)	Settlement Agreement: \$750 fine, cease & desist from violations	06/02/08
Bene-Marc, Inc.	Producer	5804	Placed policies while unlicensed	Settlement Agreement: \$4,950 fine, cease & desist from violations	06/20/08
Bertholon-Rowland, Inc.	Producer	5806	Placed policies while unlicensed	Settlement Agreement: \$400 fine, cease & desist from violations	04/01/08
Boater's Insurance Agency, Inc.	Producer	6041	Placed policies while unlicensed	Consent Agreement: \$5,000 fine, cease & desist from violations	06/25/08
Burke, Patrick John	Producer	5943	Failed to maintain continuing education	Settlement Agreement: Cease & desist from violations	06/10/08
Caddell & Associates Insurance, Inc.	Producer	6303	Placed policies while unlicensed	Settlement Agreement: \$300 fine, cease & desist from violations	05/14/08
Central United Life Ins. Co.	Company	5957	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	04/11/08
CLA Program Insurance Services	Producer	6252	Placed policies while unlicensed	Settlement Agreement: \$3,000 fine, cease & desist from violations	06/24/08

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Coonan, Thomas F.	Producer	5682	Submitted application for Second Hand Motor Vehicle Bond without permission and subsequently altered the name of the principal on the bond form	Settlement Agreement: \$7,500 fine, cease & desist from violations	05/08/08
CRC Insurance Services, Inc.	Producer	4856 & 6065	Placed policies while unlicensed, failed to timely report administrative action(s)	Settlement Agreement: \$1,000 fine, cease & desist from violations	06/03/08
CSI Insurance Agency, Inc.	Producer	6180	Placed policies while unlicensed	Settlement Agreement: \$8,000 fine, cease & desist from violations	04/10/08
Daguid, Danielle	Producer	6106	Failed to make proper disclosure	Settlement Agreement: \$100 fine, cease & desist from violations	04/01/08
Dewitt Stern Group Insurance Brokers	Producer	6174	Placed policies while unlicensed, failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$2,000 fine, cease & desist from violations	04/30/08
Doloff Insurance Agency of Massachusetts, Inc., L.J.	Producer	6295	Placed policies while unlicensed, failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$750 fine, cease & desist from violations	04/10/08
Edward J. Stott Insurance Agency, Inc.	Producer	5212	Placed policies while unlicensed	Settlement Agreement: \$200 fine, cease & desist from violations	05/08/08
First Colony Life Insurance Company	Company	5501	Use of an unapproved form	Settlement Agreement: \$500 fine, cease & desist from violations	05/02/08
GE Capital Assurance Company	Company	5500	Use of an unapproved form	Settlement Agreement: \$250 fine, cease & desist from violations	05/02/08
Giddings, Corby, Hynes, Inc.	Producer	5487	Placed policies while unlicensed	Settlement Agreement: \$250 fine, cease & desist from violations	05/16/08
Glennon Peck Travel, Inc.	Unlicensed	6340	Placed policies while unlicensed	Settlement Agreement: \$250 fine, cease & desist from violations	06/27/08
Goodnow, Jr. Insurance Agency, Inc., Alden C.	Producer	6311	Placed policies while unlicensed	Settlement Agreement: \$2,000 fine, cease & desist from violations	05/19/08
Gorham, Eileen	Producer	6216	Failed to maintain continuing education	Settlement Agreement: Cease & desist from violations, required to take continuing education	04/10/08

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Health Net Life Ins. Co.	Company	5961	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	04/23/08
Henderson & Phillips, Incorporated	Producer	5671	Failed to timely report administrative action(s)	Settlement Agreement: Cease & desist from violations	04/15/08
Integro USA, Inc.	Producer	6262	Placed policies while unlicensed	Settlement Agreement: \$7,500 fine, cease & desist from violations	04/16/08
J.W. Allen Co., Inc.	Producer	6021	Placed policies while unlicensed	Settlement Agreement: \$300 fine, cease & desist from violations	04/15/08
Jackson, Michael Jermaine	Producer	6344	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	05/23/08
John F. Martin Insurance Agency, Inc.	Producer	6119	Placed policies while unlicensed	Settlement Agreement: \$2,000 fine, cease & desist from violations	04/16/08
Leibowitz, Michael A.	Producer	5997	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$1,800 fine, cease & desist from violations	05/15/08
Lindayag, Fe Pamela	Producer	6271	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	04/15/08
Lindsey, Victor A.	Producer	5656	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Administrative Decision: License Revocation; cease & desist from all insurance activity, \$3,000 fine	04/16/08
Malik, Amit	Producer	6313	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$250 fine, cease & desist from violations	06/20/08
Marine MGA, Inc.	Producer	5883	Placed policies while unlicensed	Settlement Agreement: \$7,000 fine, cease & desist from violations	04/02/08
Mason, Craig Kendall	Producer	5694	Failed to timely report administrative action(s), failed to make proper disclosure	Administrative Decision: License Revocation; cease & desist from all insurance activity, \$3,000 fine	05/08/08
Metropolitan Property & Casualty Ins. Co.	Company	6029	Issued policies at unapproved rate	Settlement Agreement: \$2,000 fine, \$2,600 restitution, cease & desist from violations	06/27/08
Moore, Corbin Randolph	Producer	6000	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	04/25/08

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Pearsall, Philip David	Producer	6019	Failed to timely report administrative action(s)	Settlement Agreement: \$100 fine, cease & desist from violations	04/24/08
Pennsylvania Life Insurance Company	Company	6203	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	05/06/08
Petersen, Arianne	Producer	6105	Failed to timely report administrative action(s)	Settlement Agreement: \$400 fine, cease & desist from violations	04/01/08
Platt, Arthur F.	Producer	5859	Failed to make proper disclosure on licensing application(s), failed to timely report administrative action(s)	Consent Agreement: \$1,250 fine, cease & desist from violations	04/23/08
Quinn, Douglas R.	Producer	5268	Failed to timely report administrative action(s)	Settlement Agreement: Cease & desist from violations	06/30/08
Roanoke Trade Insurance, Inc.	Producer	6296	Placed policies while unlicensed	Settlement Agreement: \$425 fine, cease & desist from violations	05/21/08
Robert Thibodeau, Jr. Insurance Agency, Inc.	Producer	6308	Placed policies while unlicensed	Settlement Agreement: \$800 fine, cease & desist from violations	05/01/08
Salinas, Steven A.	Producer	6038	Failed to make proper disclosure	Settlement Agreement: \$400 fine, cease & desist from violations	04/09/08
Sanders, Gina A.	Producer	6328	Failed to timely report administrative action(s)	Settlement Agreement: \$350 fine, cease & desist from violations	06/20/08
Southern General Ins. Co.	Company	5955	Failed to renew producer appointments	Settlement Agreement: \$250 fine, cease & desist from violations	05/05/08
Steves, Frederick B.	Producer	5417	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	04/07/08
Stonebridge Insurance, LLC	Producer	6334	Placed policies while unlicensed	Settlement Agreement: \$100 fine, cease & desist from violations	06/24/08
Suarez, Roiti	Producer	6006	Failed to timely report administrative action(s)	Consent Agreement: \$250 fine, cease & desist from violations	04/23/08
Sullivan Insurance, Inc.	Producer	6050	Placed policies while unlicensed	Settlement Agreement: \$1,200 fine, cease & desist from violations	04/30/08
Surplus Line Managers Insurance Brokerage of MASS	Producer	6085	Placed policies while unlicensed	Settlement Agreement: \$7,500 fine, cease & desist from violations	06/11/08
Swiss Reinsurance America Corporation	Company	5962	Failed to renew producer appointments	Settlement Agreement: \$150 fine, cease & desist from violations	05/23/08

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Taylor, Dorothy	Producer	6270B	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	05/07/08
Taylor, Harry I., Jr.	Producer	6121	Failed to timely report administrative action(s)	Settlement Agreement: Cease & desist from violations	04/10/08
The Gilberg Organization, Inc.	Producer	6192	Placed policies while unlicensed	Settlement Agreement: \$25 fine, cease & desist from violations	04/10/08
Thomas, Kelly R.	Producer	6102	Failed to timely report administrative action(s)	Settlement Agreement: \$500 fine, cease & desist from violations	04/01/08
Trivedi & Associates, LLC	Producer	6266	Failed to timely report administrative action(s)	Settlement Agreement: \$400 fine, cease & desist from violations	04/30/08
Tufts, Charles K.	Producer	5412	Signed Division of Insurance employee name without authorization	Settlement Agreement: \$10,000 fine, cease & desist from violations	04/16/08
Tzamalass, Peter	Producer	5917	Untrustworthiness, failed to timely report administrative action(s)	Administrative Decision: License Revocation; cease & desist from all insurance activity, \$1,000 fine	06/12/08
UCN Insurance Services, Inc.	Producer	6222	Placed policies while unlicensed	Settlement Agreement: \$500 fine, cease & desist from violations	06/03/08
Vitale, Anthony Richard	Producer	5982	Failed to maintain continuing education	Settlement Agreement: Cease & desist from violations	04/23/08
Watts, Michael E.	Producer	5565	Failed to make proper disclosure on licensing application(s), failed to make proper disclosure	Settlement Agreement: \$250 fine, cease & desist from violations	06/24/08
Weeks, Andrew Layne	Producer	5446	Failed to make proper disclosure, failed to timely report administrative action(s), failed to respond to a demand for information	Administrative Decision: License Revocation; cease & desist from all insurance activity, \$3,000 fine	04/02/08
Whalley, Stephen R.	Producer	6181	Failed to timely report administrative action(s)	Consent Agreement: \$250 fine, cease & desist from violations	06/23/08
Wittman, William P.	Producer	5568	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	06/19/08

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
World Wide Facilities, Inc.	Producer	6270A	Placed policies while unlicensed, failed to timely report administrative action(s)	Settlement Agreement: \$5,000 fine, cease & desist from violations	05/07/08
XL Capital Assurance, Inc.	Company	6196	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	04/15/08
Zeiler, Daniel	Producer	5754	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	04/07/08