

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
ACA Financial Guaranty Corporation	Company	6197	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	02/19/08
Advanta Insurance Agency, Inc.	Producer	6056	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$250 fine, cease & desist from violations	01/31/08
American Consumer Insurance Agency, Inc.	Producer	6126	Placed policies while unlicensed	Settlement Agreement: \$1,500 fine, cease & desist from violations	02/25/08
Armfield, Harrison & Thomas Insurance Agency	Producer	6001	Failed to timely report administrative action(s)	Settlement Agreement: \$500 fine, cease & desist from violations	03/11/08
Atlantic Star Intermediaries, LLC	Producer	5601B	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	03/20/08
Bottieri, Salvatore Vincent	Producer	5615	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Administrative Decision: License Revocation; cease & desist from all insurance activity, \$4,000 fine	03/19/08
Brown, Marcus Wayne	Producer	5398	Failed to maintain continuing education	Settlement Agreement: \$250 fine, cease & desist from violations	02/21/08
Chambers, Brian C.	Producer	2713	Placed policies while unlicensed	Settlement Agreement: \$500 fine, cease & desist from violations	03/18/08
CJM Insurance Agency, Inc.	Producer	5822	Placed policies while unlicensed	Settlement Agreement: \$625 fine, cease & desist from violations	03/31/08
Cluett, Bruce Edward	Producer	6099	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	02/26/08
Coface Holding	Holding Company	6226	Failed to obtain approval or exemption from approval of a change in control of a domestic insurer	Settlement Agreement: \$5,000 fine, cease & desist from violations	02/21/08
Corda, Frank Anthony	Producer	5601A	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	03/20/08
Curtis, Jennifer Renee	Producer	6101	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	01/04/08
Dedham Institution for Savings	Producer	6077	Placed policies while unlicensed	Settlement Agreement: \$2,500 fine, cease & desist from violations	01/31/08

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Delos Ins. Co.	Company	6200	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	02/22/08
Fintegra, LLC	Producer	5901	Placed policies while unlicensed	Settlement Agreement: \$150 fine, cease & desist from violations	01/31/08
Garcia, Patty Antoinette	Producer	6031	Failed to make proper disclosure	Settlement Agreement: \$350 fine, cease & desist from violations	01/17/08
General Fidelity Life Insurance Company	Company	5960	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	02/13/08
Goetz, Marcel J.	Producer	6020	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	01/08/08
Goll, Stephen A.	Producer	5186B	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$1,000 fine, cease & desist from violations	01/17/08
Gumbinger, David Michael	Producer	6071	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	03/18/08
Harbottle, John, Jr.	Producer	6103	Failed to make timely disclosure	Consent Agreement: \$250 fine, cease & desist from violations	03/27/08
Harleysville Worcester Insurance Company	Company	5790	Issued unapproved commercial automobile policy endorsement at unapproved rate	Settlement Agreement: \$3,000 fine, cease & desist from violations	03/24/08
Harris, Shannon Lee	Producer	5284	Failed to timely report administrative action(s)	Settlement Agreement: Cease & desist from violations	03/24/08
Hoff, David M.	Producer	6153B	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$500 fine, cease & desist from violations	01/23/08
Joyal ,Gary F.	Producer	5667	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$1,000 fine, cease & desist from violations	03/19/08
Judith M. Flynn Insurance Agency, Inc.	Producer	6150	Placed policies while unlicensed	Settlement Agreement: \$4,000 fine, cease & desist from violations	01/22/08
K.J.P. Associates d/b/a Cruise Holiday at the Stateline	Unlicensed	6023	Placed policies while unlicensed	Settlement Agreement: \$1,500 fine, cease & desist from violations	02/14/08
Kemper Investors Life Ins. Co.	Company	6208	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	02/22/08

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Khozozian, Mark	Producer	6015	Failed to timely report administrative action(s)	Settlement Agreement: Cease & desist from violations	03/14/08
L.A. Xcess Insurance Brokers, Inc.	Producer	6152	Placed policies while unlicensed	Settlement Agreement: \$1,500 fine, cease & desist from violations	02/13/08
Lehner, Diane Juanita	Producer	6229	Failed to make proper disclosure	Settlement Agreement: \$250 fine, cease & desist from violations	03/12/08
Lewis, Amanda Kay	Producer	5688	Failed to make timely disclosure	Consent Agreement: Cease & desist from violations	03/26/08
LifeMark Partners, Inc./Wingate, Rebecca Gomez	Producer	5509	Failed to respond to demand for information	Consent Agreement: \$250 fine, cease & desist from violations	03/25/08
Lupke Insurance Agency, Inc.	Producer	6059	Placed policies while unlicensed	Settlement Agreement: \$25 fine, cease & desist from violations	03/21/08
Maher, Jane C.	Producer	5337	Failed to timely report administrative action(s)	Settlement Agreement: License revocation, cease & desist from all insurance activity	02/22/08
National Casualty Company	Company	6082	Placed policies without proper licensing authority	Settlement Agreement: \$3,000 fine, cease & desist from violations	03/07/08
Norcal Mutual Ins. Co.	Company	6199	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	03/28/08
OneAmerica Securities Insurance Agency, Inc.	Producer	5984	Placed policies while unlicensed	Settlement Agreement: \$125 fine, cease & desist from violations	02/22/08
Pereira, Milton	Producer	5748	Failed to maintain the standards of trustworthiness and suitability, failed to make proper disclosure	Consent Agreement: Revocation of license, cease and desist from all insurance activity	02/19/08
Pottinger, Phillip W.	Producer	5240	Failed to make proper disclosure	Settlement Agreement: \$250 fine, cease & desist from violations	03/14/08
Quanta Indemnity Company	Company	5952	Failed to renew producer appointments	Settlement Agreement: \$1,500 fine, cease & desist from violations	02/06/08
Reassure America Life Ins. Co.	Company	6205	Failed to renew producer appointments	Settlement Agreement: \$500 fine, cease & desist from violations	02/19/08

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Reischman, Philip Eugene	Producer	6053	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	03/31/08
S.A. Goll Insurance Agency, Inc.	Producer	5186A	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$1,500 fine, cease & desist from violations	01/17/08
SCOR Life U.S. Re Insurance Company	Company	5958	Failed to renew producer appointments	Settlement Agreement: cease & desist from violations	01/17/08
Spencer, Geoffrey William	Producer	5965	Failed to timely report administrative action(s)	Settlement Agreement: cease & desist from violations	01/16/08
SSN Insurance Agency, Inc.	Producer	6055	Placed policies while unlicensed	Settlement Agreement: \$500 fine, cease & desist from violations	03/18/08
Tech Circle Insurance Agency, Inc.	Producer	6278	Failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$1,500 fine, cease & desist from violations	03/24/08
Title Pac, Inc.	Producer	6132	Placed policies while unlicensed	Settlement Agreement: \$25 fine, cease & desist from violations	03/18/08
United Casualty and Surety Ins. Co.	Company	6057	Failed to obtain prior approval of a payment on a surplus note	Settlement Agreement: \$2,000 fine, cease & desist from violations	03/24/08
Universal Insurance, Inc.	Producer	5835	Placed policies while unlicensed	Settlement Agreement: \$100 fine, cease & desist from violations	03/07/08
Vorbach, Charles J.	Producer	5343	Failed to timely report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	03/24/08
Wesco Ins. Co.	Company	6027	Failed to properly refund premiums	Settlement Agreement: \$3,039 restitution, cease & desist from violations	01/23/08