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Breakdown of Indictments against Richard Vitale and WN Advisors

| RICHARD VITALE | WN ADVISORS |
|--|---|
| 7 charges total | 3 charges total |
| <ul style="list-style-type: none">• 2 counts of Failing to file timely registration statements (for 2007 and 2008), M.G.L. Ch. 3 § 41, 49• 1 count of Making an illegal agreement for compensation contingent on the passage of legislation, M.G.L. Ch. 3 § 42, 49• 4 counts of Making campaign contributions over the \$200/year limit for legislative agents, M.G.L. Ch. 55, § 7, 7A | <ul style="list-style-type: none">• 2 counts of Failing to file timely registration statements (for 2007 and 2008), M.G.L. Ch. 3 § 41, 49• 1 count of Making an illegal agreement for compensation contingent on the passage of legislation, M.G.L. Ch. 3 § 42, 49 |