Licensee Name	Type of License	Case No.	Primary Allegation(s)	<u>Disposition</u>	Effective Date
A.A. Dority Company, Inc.	Producer	7621	Placed surety bonds while unlicensed	Settlement Agreement: \$12,000 fine, Cease & Desist from violations	12/06/10
Allianz Aviation Managers, LLC	Producer	6933	Placed policies while unlicensed	Settlement Agreement: \$250 fine, Cease & Desist from violations	11/22/10
Associations Underwriters, Inc.	Producer	7415	Placed policies while unlicensed	Settlement Agreement: Cease & Desist from violations	11/24/10
Brothers International Corporation	Company	7557	Placed policies while unlicensed	Settlement Agreement: \$200 Fine, Cease & Desist from violations	12/20/10
Camden Fire Insurance Associates	Company	7050	Placed policies while unlicensed	Settlement Agreement: \$300 fine, Cease & Desist from violations	12/17/10
City Securities Corporation	Producer	7534	Placed policies while unlicensed	Settlement Agreement: \$200 fine, Cease & Desist from violations	11/04/10
Cronin, Daniel William Jr.	Producer	6094	Failed to make proper disclosure on licensing application(s), used fraudulent, coercive or dishonest practices, forged another's name to an application for insurance	Settlement Agreement: License Revocation, Cease & Desist from violations	10/18/10
Flaherty Insurance Agency, Inc.	Producer	7307	Issued false certificate of insurance	Settlement Agreement: \$1,000 fine, Cease & Desist from violations	11/17/10

				Settlement Agreement:	
			Failed to timely report	Cease & Desist from	
Goetz Insurors	Producer	6674	administrative action(s).	Violations	12/29/10
			Demonstrated		
			incompetence or		
			untrustworthiness in the		
			conduct of business by	Administrative Decision:	
Gerrior, Jr., Raymond		6298 &	submitting a false	Suspension of license for	
Paul	Producer	6402	document to the Division	18 months, \$1,000 fine	12/08/10
				Settlement Agreement:	
Gremesco of			Placed policies while	Cease & Desist from	
Massachusetts, LLC	Producer	7417	unlicensed	violations	11/09/10
				Settlement Agreement:	
Gunderman & Gunderman, Inc.	Producer	7505	Placed policies while unlicensed	\$150 fine, Cease & Desist from violations	10/14/10
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ICI Insurance Claims, Inc./Insurance Claims,				Concept Agreement	
Inc. & Lynch, Christopher				Consent Agreement: \$4,000 fine, Cease &	
J.	Adjuster	6157	Adjusted without a license		12/16/10
				Settlement Agreement:	
International Excess			Placed surplus lines	\$450 fine, Cease & Desist	
Agency, Inc.	Producer	7422	policies while unlicensed	from violations	10/25/10
				Settlement Agreement:	
John R. Sharry d/b/a	Advisor	5818C		\$44,000 fine, Cease &	10/06/10
Group Benefit Strategies	Adviser	58180	Advised while unlicensed	Desist from violations	10/06/10
			Placed policies while	Settlement Agreement: Cease & Desist from	
M3 Financial, Inc.	Producer	6715	unlicensed	violations	10/08/10
				Settlement Agreement:	
			Placed policies while	\$2,250 fine, Cease &	
McDonough Insurance, LLC	Producer	7159	unlicensed	Desist from violations	11/09/10

MMGRI, LLC	Producer	7574	Placed policies while unlicensed	Settlement Agreement: \$100 fine, Cease & Desist from violations	10/26/10
Nally Insurance, Inc.	Producer	7228	Placed policies while unlicensed	Settlement Agreement: \$8,000 fine, Cease & Desist from violations	11/05/10
Neace & Associates Insurance Agency of Ohio, Inc.	Producer	7584	Placed policies while unlicensed	Settlement Agreement: \$650 fine, Cease & Desist from violations	12/06/10
Patriot Insurance Agency, Inc.	Producer	7381	Placed policies while unlicensed	Settlement Agreement: \$5,000 fine, Cease & Desist from violations	11/22/10
Perlroth, Morton	Producer	6318	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Settlement Agreement: Cease & Desist from violations	10/14/10
Phelan Insurance Agency, Inc.	Producer	7587	Placed policies while unlicensed	Settlement Agreement: \$150 fine, Cease & Desist from violations	11/29/10
Sadick Public Insurance Adjusters, Inc.	Adjuster	7284	Adjusted without a license	Settlement Agreement: \$6,000 fine, Cease & Desist from violations.	12/30/10
Scott Five Insurance Agency, LLC	Producer	7492	Placed policies while unlicensed	Settlement Agreement: \$120 fine, Cease & Desist from violations	10/10/10
Stuckey and Company, Inc.	Producer	6439A	Placed policies while unlicensed	Settlement Agreement: \$4,000 fine, Cease & Desist from violations	11/30/10
Sullivan Insurance & Financial, Inc.	Producer	7221	Placed policies while unlicensed	Settlement Agreement: \$250 fine, Cease & Desist from violations	10/18/10

		(/.00	Failed to maintain	Settlement Agreement: Cease & Desist from	
Taylor, James Clarence	Producer	6622	continuing education	violations	11/18/10
UCN Insurance Services, Inc.	Producer	6833	Placed policies while unlicensed, failed to make proper disclosure on licensing application(s)	Settlement Agreement: \$450 fine, Cease & Desist from violations	10/18/10
United States Aviation Underwriters, Incorporated	Producer	7508	Placed policies while unlicensed	Settlement Agreement: \$1,500 fine, Cease & Desist from violations	11/16/10
William C. Muldoon Insurance Agency, Inc.	Producer	6814	Placed policies while unlicensed	Settlement Agreement: \$350 fine, Cease & Desist from violations	12/15/10