

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Aiken, James J.	Producer	7564	Failure to Make Required Disclosure on License Application	Settlement Agreement: \$1,250 Fine; Cease & Desist from Violations	3/1/2011
American Insurance Marketing Services, Inc.	Producer	7746	Placed Policies While Unlicensed	Settlement Agreement: \$4,150 Fine; Cease & Desist from violations	3/24/2011
The Bethea Insurance Group, LLC	Producer	7243	Placed Policies While Unlicensed	Settlement Agreement: \$250 Fine; Cease & Desist from Violations	3/1/2011
Berends, Hendricks Stuit Insurance Agency, Inc.	Producer	7720	Placed Policies While Unlicensed	Settlement Agreement: \$800 Fine; Cease & Desist from violations	3/16/2011
Bratrud Middleton Insurance Brokers, dba Propel Insurance	Producer	7502	Impersonation	Settlement Agreement: Cease & Desist from Violations	1/14/2011
Charron Planning Corporation	Producer	7768	Failure to Timely Report Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from Violations	3/3/2011
CNA Solutions, Inc.	Producer	7122	Placed Policies While Unlicensed	Settlement Agreement: \$1,750 Fine; Cease & Desist from Violations	2/24/2011
Creative Insurance Services, Inc.	Producer	6135B	Failure to Timely Report Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from violations	1/4/2011
Deerfield Insurance Company	Company	7579	Placed Policies While Unlicensed	Settlement Agreement: \$1,000 Fine; Cease & Desist from violations	3/25/2011

Doran Excess Underwriters, Inc.	Producer	7457	Placed Policies While Unlicensed	Settlement Agreement: \$100 Fine; Cease & Desist from violations	1/10/2011
Draco Insurance Solutions, Inc.	Producer	7486	Placed Policies While Unlicensed	Settlement Agreement: \$200 Fine; Cease & Desist from Violations	3/23/2011
Field, Daniel J.	Producer	7704	Failure to Timely Notify of Administrative Action	Settlement Agreement: \$1,000 Fine; Cease & Desist from violations	1/24/2011
Geer, Jonathon	Producer	7772	Failure to Report Administrative Actions	Settlement Agreement: \$250 Fine; Cease & Desist from violations	3/23/2011
Halfhill, Eunice E.	Producer	7593	Failure to Make Required Disclosure on License Application	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	3/7/2011
HCC Medical Insurance Services, LLC	Producer	7699	Placed Policies While Unlicensed	Settlement Agreement: \$4,000 Fine; Cease & Desist from Violations	2/11/2011
Johnson, Richard M.	Producer	7571	Failure to Remit Premium	Settlement Agreement: License Revocation; Cease & Desist from all Insurance Activity	3/8/2011
LJM Insurance Agency, Inc.	Producer	7509	Placed Policies While Unlicensed	Settlement Agreement: \$500 Fine; Cease & Desist from violations	1/7/2011
M & O Agencies, Inc.	Producer	7634	Placed Policies While Unlicensed	Settlement Agreement: \$750 Fine; Cease & Desist from Violations	2/18/2011

Nestor, Ryan M.	Producer	6826	Misappropriation of Client Funds	Settlement Agreement: Permanent License Revocation; Cease & Desist from violations	1/27/2011
New Life Agency, Inc.	Producer	7438	Placed Policy While Unlicensed	Settlement Agreement: Cease & Desist from violation.	1/27/2011
Specht Insurance Brokerage	Producer	6866	Placed Policies While Unlicensed	Settlement Agreement: Cease & Desist from violations	1/19/2011
Viable Alternatives Insurance Brokerage, Inc.	Producer	7010	Impersonation	Settlement Agreement: Cease & Desist from violations	3/30/11
Williams, Glenda R.	Producer	6386	Failure to Report Administrative Actions	Settlement Agreement: \$1,000 Fine; Cease & Desist from Violations	3/11/2011
Young, Marjorie Lee	Producer	7777	Failure to timely report administrative action	Settlement Agreement: \$250 Fine; Cease & Desist from violations	3/30/2011
Worldwide Insurance Specialists, Inc.	Producer	7498	Placed Policies While Unlicensed	Settlement Agreement: \$10,000 Fine; Cease & Desist from violations	1/19/11