

SPECIAL INVESTIGATIONS UNIT – 4th QUARTER 2011

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
AAA Life Insurance Company	Life Insurance Company	7647	Failure to renew or cancel agent appointments	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	10/17/11
Cantrill Clark, Inc.	Non-Resident Producer	8124	Placed Policies While Unlicensed	Settlement Agreement: \$350 Fine; Cease & Desist from Violations	11/7/11
Coscia, Richard R.	Producer	6633A-D; 7080	Failure to Remit	Settlement Agreement: \$3,000 Fine; Restitution; Cease & Desist from Violations	12/2/11
Easthampton Travel, Inc.	Producer	8007	Placed Policies While Unlicensed	Settlement Agreement: \$150 Fine; Cease & Desist from Violations	12/9/11
Ehrhardt Aviation Agency, LLC	Non Resident Producer	8117	Placed policies while unlicensed	Settlement Agreement: \$100 Fine; Cease & Desist from Violations	10/6/11
Enrollment First, Inc.	Non-Resident Producer	8019	Placed Policies While Unlicensed	Settlement Agreement: Cease & Desist from Violations	11/10/11

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First Health Life & Health Insurance Company	Life & Health Company	7650	Failure to renew or cancel agent appointments	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	10/6/11
First Investors Life Insurance Company	Life & Health Company (NY)	8189	Failure to renew or cancel agent appointments	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	11/9/11
Gennatt Associates, Inc.	Non-Resident Producer	8197	Failure to Notify Division of Administrative Action	Settlement Agreement: \$1,000 Fine; Cease & Desist from Violations	12/7/11
Gotro, Ryan Thomas	Non-Resident Producer	8094	Late Notice of Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from Violations	10/3/11
Granite State Insurance Company	Property Casualty Company	7653	Failure to renew or cancel agent appointments	Settlement Agreement: \$250 Fine; Cease & Desist from violations	10/31/11

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J.J. Best Insurance Agency, Inc.	Producer	8168	Placed Policies While Unlicensed	Settlement Agreement: \$750 Fine; Cease & Desist from Violations	12/19/11
Keefe, Lawrence Michael	Non Resident Producer	8092	Late Notice of Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from Violations	10/7/11
Insurance Services of Gabriel Bundschuh	Non-Resident Producer	7405	Misrepresentation on insurance license application	Settlement Agreement: \$350 Fine; Cease & Desist from Violations	11/23/11
Lapre, Margaret	Producer	5975, 6531	Demonstrated incompetence, untrustworthiness or financial irresponsibility in the conduct of business	Settlement Agreement: Permanent License Revocation; Cease & Desist from all insurance activity	10/7/11
Lewis Tours Worldwide, LLC	Producer	8026	Placed Policies While Unlicensed	Settlement Agreement: \$300 Fine; Cease & Desist from Violations	10/7/11

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Manci, Davis	Non-Resident Producer	8002	Failed to timely report criminal prosecution	Settlement Agreement: \$250 Fine; Cease & Desist from Violations	11/25/11
Mapfre Insurance Company	Property Casualty Company	7641	Failure to renew or cancel agent appointments	Settlement Agreement: \$300 Fine; Cease & Desist from Violations	10/5/11
Matthews, Michael	Non-Resident Producer	8182	Late Notice of Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from violation	10/18/11
M. Barrist & Co., Inc.	Non-Resident Producer	7878	Placed Policies While Unlicensed	Settlement Agreement: \$100 Fine; Cease & Desist from Violations	12/1/11
McEvoy, John Robert	Non-Resident Producer	8029	Late Notice of Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from violations	10/17/11

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Multifamily Community Insurance Agency, Inc.	Non-Resident Producer	8056	Placed Policies While Unlicensed	Settlement Agreement: \$12,000 Fine; Cease & Desist from Violations	12/15/11
National Home Insurance Company	Risk Retention Group	7644	Failed to Renew or Terminate Agent Appointments	Settlement Agreement: Cease & Desist from Violations	12/7/11
New Hampshire Insurance Company	Property Casualty Company	7654	Failure to renew or cancel agent appointments	Settlement Agreement: \$250 Fine; Cease & Desist from Violations	10/28/11
Progressive Direct Insurance Company	Property Casualty Company	8203A	Unfair Trade Practice	Settlement Agreement: \$125,000 Fine; Cease & Desist from Violations	12/19/11
Stonebridge Casualty Insurance Company	Property Casualty Company	7638	Failed to Renew or Cancel Agent Appointments	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	10/3/11

Stonebridge Life Insurance Company	Property Casualty Company	7637	Failed to Renew or Cancel Agent Appointments	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	10/3/11
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Tirana Travel & Services, Inc.	Producer	8040	Placed Policies While Unlicensed	Settlement Agreement: \$100 Fine; Cease & Desist from Violations	11/3/11
Willis of New Hampshire, Inc.	Non-Resident Producer	8127	Failure to Notify Division of Administrative Action	Settlement Agreement: \$1,000 Fine; Cease & Desist from Violations	12/1/11