

## SPECIAL INVESTIGATIONS UNIT – 2<sup>ND</sup> QUARTER 2012

<b>Licensee Name</b>	<b>Type of License</b>	<b>Case No.</b>	<b>Primary Allegation</b>	<b>Disposition</b>	<b>Effective Date</b>
Delmonico, Michael Mark	Producer	5778	Misrepresentation on Annuity Applications	Settlement Agreement: \$2,000 Fine; Cease & Desist from Violations	4/17/12
D.J. Colby Company, Inc.	Non-Resident Producer	8265	Placed Policies While Unlicensed	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	4/6/12
Esco Insurance Agency, Inc.	Non-Resident Producer	8240	Placed Policies While Unlicensed	Settlement Agreement: \$25,000 Fine; Cease & Desist from Violations	5/7/12
Escobar, Adelo	Non-Resident Producer	8058	Late Notice of Administrative Action	Settlement Agreement: Cease & Desist from Violations	5/3/12
Partner Re Insurance Company of New York	Reinsurance Company	8187	Failure to Renew or Cancel Agent Appointments	Settlement Agreement: Cease & Desist from Violations	5/3/12
Stanley, Kara L.	Non-Resident Producer	8156	Late Notice of Administrative Action	Settlement Agreement: Cease & Desist from Violations	5/23/12
Sucoff, Scott	Non-Resident Producer	7789	Misrepresentation on License Application	Settlement Agreement: Cease & Desist from Violations	4/9/12