

**SPECIAL INVESTIGATIONS UNIT – 4th QUARTER 2012**

<b><u>Licensee Name</u></b>	<b><u>Type of License</u></b>	<b><u>Case No.</u></b>	<b><u>Primary Allegation</u></b>	<b><u>Disposition</u></b>	<b><u>Effective Date</u></b>
Bernadin, Cavenack	Producer	8351	Misrepresentation on License Application	Settlement Agreement: \$500 Fine; Cease & Desist from violation	10/17/12
Boucher, Ronald T.	Non-Resident Producer	8371	Misrepresentation on License Application	Settlement Agreement; \$500 Fine; Cease & Desist from violation	10/30/12
CLA Program Insurance Services	Non-Resident Producer	8272X	Placed Policies While Unlicensed	Settlement Agreement: \$1,500 Fine; Cease & Desist from violation	10/9/12
HDI-Gerling America Insurance Company	Property Casualty Company	8356	Use of Policy Form Prior to Approval	Settlement Agreement: Cease & Desist from violation	11/27/12
Lott, Kenneth	Non-Resident Producer	8398	Misrepresentation on License Applications	Settlement Agreement: Cease & Desist from violation	12/10/12
Thompson, Gregory Steele	Non-Resident Producer	8238	Failure to Report Administrative Actions	Settlement Agreement: \$500 Fine; Cease & Desist from violation	11/23/12