

## SPECIAL INVESTIGATIONS UNIT – 1st QUARTER 2013

<b><u>Licensee Name</u></b>	<b><u>Type of License</u></b>	<b><u>Case No.</u></b>	<b><u>Primary Allegation</u></b>	<b><u>Disposition</u></b>	<b><u>Effective Date</u></b>
American Wholesalers	Non-Resident Producer	6953	Placed Policies While Unlicensed	Settlement Agreement: Cease & Desist from Violation	1/14/13
BLPS, LLC	Non-Resident Producer	8700	Placed Policies While Unlicensed	Settlement Agreement: \$1,800 Fine; Cease & Desist from Violation	3/5/13
Carr Epstein, Karina	Non-Resident Producer	8365	Unlicensed in Home State	Hearing Officer Decision & Order: License Revocation; \$2,000 Fine; Cease & Desist from violation	3/13/13
Chanthalangsy, Pravit Vincent	Non-Resident Producer	8245	Failure to Disclose Administrative Actions	Hearing Officer Decision: License Revocation; \$4,000 Fine; Cease & Desist from Violation	1/23/13
Durso & Jankowski Insurance Agency, LLC	Producer	8028	Placed Policies While Unlicensed	Settlement Agreement: \$2,000 Fine; Cease & Desist from Violation	3/28/13
Robert Fine & Randy Fine Insurance, LLC	Producer	7819	Placed policies while unlicensed	Settlement Agreement: \$1,750 Fine; Cease & Desist from Violation	1/28/13
First Protective Insurance Group	Producer	8692	Unlicensed	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	2/13/13
Hashemian, Seyed Ahmad	Non-Resident Producer	8108	Misrepresentation on License Application	Settlement Agreement: \$250 Fine; Cease & Desist from violation	3/21/13

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JB Insurance Agency, Inc.	Producer	8186	Placed Policies While Unlicensed	Settlement Agreement: \$1,500 Fine; Cease & Desist from Violation	3/11/13
KAH Insurance Brokerage, Inc.	Non-Resident Producer	8102	Placed Policies While Unlicensed	Settlement Agreement: \$300 Fine; Cease & Desist from Violation	3/20/13
Knight Management Insurance Services, Inc.	Non-Resident Producer	8283	Late Notice of Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from Violation	2/22/13
Lavery Funeral Home, Inc.	Producer	7691	Placed Policies While Unlicensed	Settlement Agreement: Cease & Desist from Violation	3/21/13
Lima, Virgen	Producer	7376	Unfair Trade Practices; Criminal Conviction	Consent Agreement; License Revocation; Cease & Desist from all insurance activity	3/22/13
Medex Insurance Services, Inc.	Non-Resident Producer	8327	Placed Policies While Unlicensed	Settlement Agreement: \$24,340 Fine; Cease & desist from violation	1/31/13
Ohio National Insurance Agency, Inc.	Non-Resident Producer	7668	Placed Policies While Unlicensed	Settlement Agreement: \$300 Fine; Cease & Desist from violation	3/21/13
Patriot Health, Inc.	Non-Resident Producer	7700	Placed Policies While Unlicensed	Settlement Agreement: \$800 Fine; Cease & Desist from Violation	3/22/13

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Pineau, Brian E.	Producer	8249	Misrepresentation on insurance policy applications	Hearing Officer Decision: License Revocation; \$2,000 Fine; Cease & desist from Violation	1/18/13
Regan Insurance Agency, Inc.	Producer	8673	Placed Policies While Unlicensed	Settlement Agreement: Cease & Desist from Violation	1/14/13
Rider Risk Specialists Insurance Agency, Inc.	Producer	8131	Placed Policies While Unlicensed	Consent. Agreement: \$3,700 Fine; Cease & Desist from Violation	3/12/13
Santos, Mark	Non-Resident Producer	8327	Misrepresentation on License Application	Settlement Agreement: License Revocation; Cease & desist from violation	1/3/13
Servco Insurance Services of WA, LLC	Non-Resident Producer	8667	Placed Policies While Unlicensed:	Settlement Agreement: \$5,000 Fine; Cease & Desist from Violation	1/23/13
Stasiukevicius, Kazimieras Vincas	Producer	8076	Misrepresentation on License Application	Hearing Officer Decision & Order: License Revocation; \$5,000 Fine; Cease & Desist from Violation	

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Summit Alliance Benefits Company, LLP	Producer	8668	Unlicensed	Settlement Agreement: \$750 Fine; Cease & Desist from Violation	2/19/13
Vacca, Mark Anthony	Producer	8691	Failed to timely report criminal prosecution	Settlement Agreement: \$150 Fine; Cease & Desist from Violation	1/28/13
W.G. Bridges & Sons Insurance Agency, Inc.	Producer	8711	Placed Policies While Unlicensed	Settlement Agreement: \$400 Fine; Cease & Desist from Violation	3/7/13
Williams, Lester	Non-Resident Producer	8206	Home State License Suspension; Failure to report administrative action	Hearing Officer Decision: \$1,000 Fine; License Revocation	3/13/13
Wm. A. Spencer, LLC	Non-Resident Producer	8693	Placed Policies While Unlicensed	Settlement Agreement: \$300 Fine; Cease & Desist from Violation	2/22/13
Wong, Justin R.	Producer	8345	Misrepresentation on License Application	Settlement Agreement: \$250 Fine; Cease & Desist from Violation	2/26/13

