

SPECIAL INVESTIGATIONS UNIT – 3rd QUARTER 2013

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Cassano, Denise C.	Producer	7327B	Misrepresentation on License Application	Settlement Agreement: \$1,500 Fine; Cease & Desist from Violation	8/16/13
G.M. Insurance Center, Inc.	Producer	8724	Placed or renewed policies while unlicensed	Settlement Agreement: \$4,000 Fine; Cease & Desist from Violation.	9/13/13
Hebel, Crystal L.	Non-resident producer	8744	Unlicensed in home state; failure to report administrative actions	Settlement Agreement: Permanent License Revocation; Cease & Desist from Violations	7/15/13
Husnick, Robert Charles	Producer	8747	Misrepresentation on license application	Settlement Agreement: Cease & Desist from Violation	9/20/13
James C. Jenkins Insurance Services, Inc.	Producer	8779	Placed or renewed policies while unlicensed	Settlement Agreement: Cease & Desist from Violation	9/6/13
Lattime Insurance Agency & Lattime, Russell C.	Producer	8387	Misrepresentation in the sale of insurance	Settlement Agreement: \$5,000 Fine; Cease & Desist from Violation	8/7/13

SPECIAL INVESTIGATIONS UNIT – 3rd QUARTER 2013

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Palmer, Patricia Ann	Producer	5626	Misrepresentation on license application	Settlement Agreement: \$250 Fine; Cease & Desist from Violation	7/16/13
Rothman, Adam A.	Unlicensed	8261	Misrepresentation on license application	Settlement Agreement: \$1,000 Fine; Cease & Desist from Violation	8/5/13
Scanlon, Thomas William	Producer	8272	Trustworthiness; Violation of Privacy Regulation	Settlement Agreement: Cease & Desist from Violation	9/9/13
Torres, Moses G.	Producer	8803	Failure to Report Criminal Prosecution	Settlement Agreement: Cease & Desist from Violation	9/16/13