

SPECIAL INVESTIGATIONS UNIT – 4th QUARTER 2013

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Anthony Cecil Insurance, Inc.	Producer	8783	Placed or renewed policies while unlicensed	Settlement Agreement: \$250 Fine; Cease & Desist from Violation	10/23/13
Central United Life Insurance Company	Life Insurance Company	8857	Failure to Renew or Cancel Agent Appointments	Settlement Agreement: \$500 Fine; Cease & Desist from Violation.	12/2/13
Edwards, Darrin M.	Non-Resident Producer	8802	Late Notice of Administrative Actions	Settlement Agreement: Cease & Desist from Violations	10/2/13
Fallon Health & Life Insurance Company	Life Insurance Company	8859	Failure to Renew or Cancel Agent Appointments	Settlement Agreement: \$750 Fine; Cease & Desist from Violation.	12/17/13
H. Alan Daniels Insurance Agency, Inc.	Producer	8840	Placed policies while unlicensed	Settlement Agreement: Cease & Desist from Violation.	12/16/13
Kalmanson, Mitchel & Lester Kalmanson Insurance Agency, Inc.	Non-Resident Producer	8446	Placed Surplus Lines Policies While Unlicensed	Settlement Agreement: \$1,500 Fine; Cease & Desist from Violation	11/4/13

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Kuruvilla, George	Non-Resident Producer	8781	Misrepresentation on license application; Late notice of administrative action	Settlement Agreement: \$500 Fine; Cease & Desist from Violations	10/1/13
Mahler, Richard A.	Non-Resident Producer	8804	Failure to Notify DOI of administrative actions	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	11/13/13
Medeiros Insurance Agency, Inc.	Producer	8787	Placed policies while unlicensed	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	11/26/13
Mullen, David Mark	Non-Resident Producer	8811	Misrepresentation on License Application	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	11/1/13
NLADA Service Corporation	Non-Resident Producer	8713	Placed policies while unlicensed	Settlement Agreement: \$250 Fine; Cease & Desist from Violation.	12/17/13
Nolan, Adolphus	Non-Resident Producer	8157	Misrepresentation on license application	Hearing Officer Decision: License Revocation; Cease & Desist from Violation.	12/24/13

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Pan World Insurance Agency, LLC	Producer	7696	Misrepresentation on insurance policy applications	Settlement Agreement: Cease & Desist from Violations	10/2/13
Penta, Jeffrey Douglas	Producer	8440	Failure to Remit; Misrepresentation	Hearing Officer Decision: License Revocation; \$3,000 Fine; Cease & Desist from Violations.	12/24/13
The Covenant Agency, LLC	Producer	8763	Placed policies while unlicensed	Settlement Agreement: \$100 Fine; Cease & Desist from Violation	11/26/13
West Coast Life Insurance Company	Life Insurance Company	8858	Failure to Renew or Cancel Agent Appointments	Settlement Agreement: \$500 Fine; Cease & Desist from Violation.	12/2/13