

**Commonwealth of Massachusetts
Executive Office of Public Safety & Security
Office of Grants & Research**



**State Fiscal Year 2020
2020 Senator Charles E. Shannon, Jr. Community Safety
Initiative
Local Action Research Partners
Availability of Grant Funds**

**Charles D. Baker
Governor**

**Karyn E. Polito
Lieutenant Governor**

**Thomas A. Turco, III
Secretary**

**Kevin J. Stanton
Executive Director**

PROGRAM OVERVIEW

The Executive Office of Public Safety and Security's (EOPSS) Office of Grants & Research (OGR) is responsible for administering the Senator Charles E. Shannon Jr., Community Safety Initiative (Shannon CSI) Grant Program. The Shannon CSI Grant Program is authorized by Chapter 47 of the Massachusetts Acts of 2019, an act making appropriations for the Fiscal Year 2020.

The Shannon CSI Grant Program incorporates the key elements of the Office of Juvenile Justice and Delinquency Prevention (OJJDP) Comprehensive Gang Model.¹ Grant funding, oversight, and technical assistance supports regional and multi-disciplinary approaches to *combat gang violence* through coordinated prevention and intervention, law enforcement, prosecution, and reintegration type programs. Local Action Research Partners (LARPs) assist funded sites by providing strategic, analytic, technical, and research support.

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SECTION 1 - IMPORTANT HIGHLIGHTS

1.1 Key Dates

AGF Posted:	September 30, 2019
Questions Submitted to OGR:	October 11, 2019
Letter of Intent ² due:	October 15, 2019
Proposals due:	October 25, 2019, No later than 4:00 pm
Award Announcements:	December 2019
Grant Period:	On or about January 1, 2020 through December 31, 2020

1.2 Funding Overview

EOPSS and OGR expect to award up to \$700,000 in 2020 Shannon CSI LARP Grant Funds. Each applicant should only apply for up to \$60,000 in funding. However, an applicant may solicit additional funding (modest request) on a separate attachment (Attachment H) if one can prove such need exists and justify that such additional funds if available would be in the best interest of the program and the community it serves. The additional funding request will only be considered by OGR if Shannon grant funds are available prior to any award determination. This is a competitive award process; EOPSS may award full funding, partial funding, or no funding.

1.3 Eligibility

Public and quasi-public entities or non-profit research entities established to fulfill a primary public purpose are eligible to apply for funding.

¹ For more information on the OJJDP Comprehensive Gang Model, see: www.nationalgangcenter.gov/Comprehensive-Gang-Model

² A Letter of Intent template will be available online at: <https://www.mass.gov/service-details/justice-and-prevention-grants>
All applicants **must** submit a Letter of Intent.

SECTION 2 - GRANT COMPLIANCE DETAILS

2.1 Fund Disbursement

Details about the fund disbursement process will be provided when the awards are made.

2.2 Project Duration

Applicants must apply for up to one year of funding. The anticipated funding cycle for projects will begin on or about **January 1, 2020 and end on December 31, 2020.**

2.3 Sub-recipient Requirements

Sub-recipients must abide by the grant requirements below and the OGR Sub-recipient Grant Conditions which will be provided at the time of contracting.

2.3.1 Grants Management

If awarded, OGR requires:

- Sub-recipient grant conditions to be signed and dated.
- The submission of satisfactory and timely progress reports and quarterly financial reports.
- The individual acting as the LARP to be the single point of contact for the management of the grant.
- The identification of the project director and their contact information.
- Cooperation during OGR monitoring endeavors including site visits, desk reviews and attendance at technical assistance meetings.
- Cooperation during the implementation of the individual risk assessment tool.
- All costs paid with grant funds must be direct and specific to the implementation of the Shannon CSI LARP-funded project (see [Table 1, p.10](#) for detailed cost descriptions).
- Funds for projects and services provided through this grant must supplement, not supplant, other state or local funding sources. Supplanting of funds is strictly prohibited.

2.3.2 Procurement

- Applicants must submit a Memorandum of Understanding (MOU) signed by an authorized signatory of the site and the LARP that outlines the respective roles and responsibilities of the applicant and their Shannon CSI partner site. This document can be included as the [MOU \(Attachment F\)](#).

- Procurement of services, equipment and supplies must follow M.G.L. Ch. 30B for local units of government and non-profit entities and the Operational Services Division (OSD) Purchasing Guide for state agencies. Local units of government must ensure that subcontracts with private organizations have provisions ensuring any goods and services provided by the subcontractor are consistent with M.G.L. Ch. 30B procedures.
- It is the responsibility of the sub-recipient to report alleged waste, fraud or abuse. Including any alleged violations, serious irregularities, sensitive issues or overt or covert acts involving the use of public funds in a manner not consistent with related laws and regulations or appropriate guidelines for purposes of the grant. Reports should be made to the Offices of the Massachusetts Inspector General or State Auditor.

Office of the Inspector General
John W. McCormack State Office Building
One Ashburton Place, Room 1311
Boston, MA 02108
617-727-9140
MA-IGO-General-Mail@massmail.state.ma.us

Office of the State Auditor
Massachusetts State House, Room 230
Boston, MA 02133
617-727-2075
www.mass.gov/auditor/report-fraud-and-waste.html

2.3.3 Other Requirements

- LARPs assist the Shannon CSI site program director, steering committee and funded program partners by providing strategic thinking, critical analysis, and continuous feedback to improve or maintain program operations and ensure that the goals of the funded Shannon CSI programs are within scope, on schedule and within budget.
- LARPs must work with the site program director to ensure timely and accurate programmatic reporting within the reporting database for the site and funded program partners.
- LARPs inform the site program director, funded program partners and other program stakeholders as to emerging and relevant evidence-based and best practices research, specific to comprehensive, multi-disciplinary approaches like the OJJDP's Comprehensive Gang Model. This information should be used to guide Shannon CSI program strategies.
- LARPs assist the Shannon CSI site program directors and Statewide Research Partner in implementing the individual risk assessment tool to be used for identifying Shannon eligible youth participating in the Shannon CSI funded programs.

- LARPs must work with their respective site to adopt and utilize the following terms:
 - ***Shannon eligible youth*** must be: **(1)** between the ages of 10-24 years of age; **(2)** living in a *high-risk (or hot-spot) area within the community*; and **(3)** *at-risk or high-risk* of becoming involved, or currently involved, in gang activity.
 - ***Low-risk youth*** are in danger of engaging in risky behaviors or gang activity, due to the presence of known risk factors for gang involvement, such as exposure to gang affiliates, in their environment (home or community).
 - ***Moderate-risk youth*** are exposed to the same risks factors as low-risk youth, as well as additional risk individual factors such as school failure, truancy, dropping out of school, substance abuse, court involvement, witnessing violence or violent victimization, and gang involvement as an affiliate or member not yet participating in violent criminal activity.
 - ***High-risk youth*** are identified as those youth being perpetrators or victims of shooting or stabbing violence, and/or are known gang members.
- LARPs support the Shannon CSI site in researching, developing and implementing the community risk assessment to be used for identifying strategies or gaps in services needed to address the specific *gang violence problem*. **Community assessments are to follow the OJJDP guidelines.**³
- LARPs are required to present recommendations and propose suggestions for their Shannon CSI colleagues (via steering committee meetings, funded partner meetings, technical assistance meetings, email blasts, etc.) based on:
 - Outcomes and performance of the funded Shannon CSI programs;
 - Knowledge gained from research and evaluation literature; and
 - Results of individual and community risk assessments.
 - Minimal recommendations should:
 - Suggest improvements to services;
 - Advise changes to program strategy;
 - Improve communication and collaboration within the community;
 - Identify gaps in community programming;
 - Determine emerging trends in the community; and
 - Advance means of data collection.
- LARPs will provide and/or facilitate technical assistance for the site program director and funded program partners on relevant topics such as, but not limited to, use and implementation of individual and community risk assessment tools, quarterly reporting, etc., on an as needed basis.

³ For more information on the OJJDP Comprehensive Gang Model Community Assessment Guideline, see: www.nationalgangcenter.gov/Comprehensive-Gang-Model

- LARPs must attend all scheduled technical meetings or other trainings deemed mandatory by OGR.

SECTION 3 - APPLICATION PROCESS

3.1 Proposal Pre-Submission Requirements

3.1.1 Letter of Intent

Applicants intending to apply for the FY2020 Shannon CSI LARP AGF **must** mail or fax a non-binding letter of intent by **October 15, 2019**. Address the letter to OGR and specify the applicant's name and fiscal agent. Fax letters to 617-725-0260 or mail to:

The Executive Office of Public Safety and Security
c/o Emily Fontaine
Office of Grants and Research
Ten Park Plaza, Suite 3720
Boston, MA 02116

3.1.2 Application Questions

OGR will accept written questions regarding this AGF until **October 11, 2019**. Submit questions via email to: eopsshannon@mass.gov. Responses to all questions will be posted no later than **October 15, 2019** at: <https://www.mass.gov/service-details/justice-and-prevention-grants>

Responses will not be provided for questions submitted after October 11, 2019.

3.2 Application Instructions

Acceptable application formatting includes:

- **One original and four copies** of the proposal;
- Templates for Attachments A-H (<https://www.mass.gov/service-details/justice-and-prevention-grants>);
- Binders to affix application (please do not staple proposal or attachments);
- Typed, single-spaced, using PDF fillable template provided; and
- For any attachments where a PDF template is not provided, the format should be typed, single-spaced, 12-point font with one inch margins.

3.3 Required Sections

3.3.1 Cover Page (Attachment A):

- Submit an unsigned fillable PDF of the cover page via email to: eopsshannon@mass.gov.
- Submit a hard copy with an original signature dated in **BLUE INK***.

**The application will be considered invalid unless an authorized official from the applicant agency has signed and dated the cover page.*

3.3.2 Program Narrative (Attachment B)

- **Applicant Qualifications and Experience**
If an applicant received funding previously:
 - Provide resumes for only the *new* staff filling a key role or having key responsibilities on this project during 2020.

- Applicants must submit a MOU, signed by an authorized signatory of the site and the LARP, which outlines the respective roles and responsibilities of the applicant and their Shannon CSI partner site. The MOU can be included within the **MOU (Attachment F)**.

If a new applicant:

Demonstrate the applicant's knowledge and experience working on a project with a comprehensive, multi-disciplinary approach based on OJJDP's Comprehensive Gang Model. Include:

- Knowledge and experience relating to evidence-based practices and best practices research relevant to this model. ⁴
- Based on the proposed Shannon CSI site strategy, detail the applicant's capacity to provide support, assist, guide and potentially re-access the feasibility and appropriateness of the selected strategy with the site program director.
- Provide resumes of all individuals who will be filling any key role or having key responsibilities on this project. Resumes can be included within **Additional Material (Attachment G)**.
- Applicants must submit a MOU signed by an authorized signatory of the site and the LARP that outlines the respective roles and responsibilities of the applicant and their Shannon CSI partner site. The MOU can be included within the **MOU (Attachment F)**.
- **Program Strategy**
 - **Action Research**
Explain the methods the applicant will use to provide strategic, analytic, technical and research support when assisting the Shannon CSI site director, steering committee and funded partners in improving or maintaining the Shannon CSI strategy and outcomes.
 - How will the applicant assess the effectiveness of the implemented Shannon CSI strategy?
 - What data will be used?
 - How will the data be collected and analyzed?
 - How will this information be communicated?
 - How will the applicant inform the Shannon CSI site director, funded partners and other stakeholders as to emerging and relevant evidence-based and best practices research, specific to comprehensive, multi-disciplinary approaches like OJJDP's Comprehensive Gang Model?
 - What methodology/steps will be used for collecting, organizing and archiving this information for ease of accessibility of all stakeholders?

⁴ For more information regarding the Office of Juvenile Justice and Delinquency Prevention Best Practices (Second Edition) at www.ncjrs.gov/pdffiles1/ojjdp/231200.pdf

- How frequently will the applicant present recommendations and proposed suggestions (as outlined in *Other Requirements*) to the Shannon CSI site director, steering committee and funded partners?

If an applicant received funding previously:

Indicate any challenges experienced in the previous grant cycle and means for addressing these challenges.

If a new applicant:

Define the methods for establishing an effective working relationship with the site (including steps that have already been taken such as any preliminary discussions regarding this application).

Shannon CSI LARP and Site Collaboration Efforts

Shannon CSI site applicants are asked to provide a *gang definition* and *gang violence problem statement*. Based on the site's definition and statement:

If an applicant received funding previously:

Describe the applicant's involvement in the development or revision of the Shannon CSI site's:

- *Gang Definition*
- *Gang Problem Statement*

If a new applicant:

Describe the steps, methods and strategies that would be taken to assist the Shannon CSI site develop or define:

- *Gang Definition*
- *Gang Problem Statement*

3. Risk Assessments

Shannon CSI site applicants are asked to describe the methods used to identify strategies or gaps in services needed to address the specific gang violence problem in the community, as well as the strategies and funded programs selected as a result of these methodologies. Based on the methods and strategies provided, indicate the progress made on the following items:

- **Community Risk Assessments**

If an applicant received funding previously:

- What is the status of your community risk assessment?
- If an assessment has not been completed, please explain why and provide a timeline for completion.
- If completed, detail what recommendations which, if any, were made to the site from the assessment and their impact on Shannon programming.

If a new applicant:

Explain how the applicant will strategize, research or commence with the development of a community risk assessment. **Community assessments are to follow the OJJDP guidelines.**⁵

- **Individual Risk Assessments**

In 2019, the Shannon CSI sites implemented an individual risk assessment tool to be utilized for assessing Shannon eligibility (see *Other Requirements* on page 4-5) for non-case management, non-drop-in and non-suppression programs. How will the applicant assist the site to:

- Increase the number of programs utilizing the individual risk assessment tool.
- Assist in the implementation of the risk assessment tool for new programs.

4. Reporting technical assistance

Explain how the applicant will assist the Shannon CSI site and funded partners to ensure timely and accurate programmatic reporting within the reporting database. Explanations should include:

- How the applicant will assist with data entry and processing, review and validate the data, and liaise with the Statewide Research Partner.
- How will the applicant provide technical assistance related to the reporting database (i.e., periodic check-ins, scheduled meetings, etc.).

3.3.3 Budget Excel Workbook (*Attachment C*)

This section outlines the budget necessary to implement the applicant's proposed strategy. Applicants must:

- **Submit a *twelve month budget*.**
- Utilize *Attachment C* to document the proposed costs for each cost category (see *Table 1, p.10*). Estimated costs must be identified.

3.3.4 Budget Narrative (*Attachment D*)

Submit a Budget Narrative which provides additional details for budget expenditures. The Budget Narrative should further indicate how each budget line item links to the applicant's proposed strategy. Activities and programs referenced in the proposed strategy should be outlined in the Budget Narrative in order to be considered for funding.

Match funding

Authorizing legislation mandates that preference be given to applicants submitting a match commitment of 25%. Match funds must align with the costs as outlined above.

- ***Calculating Match Funding***

To determine the 25% match, divide the state funding request by three (3). The resulting figure will equal 25% of the total project cost.

⁵ For more information on the OJJDP Comprehensive Gang Model Community Assessment Guideline, see: www.nationalgangcenter.gov/Comprehensive-Gang-Model

Example:

If requesting \$300,000 in state funds to support the project, the applicant must provide **an additional** \$100,000 in matching funds (\$300,000/3 = \$100,000).

State funds = \$300,000 (75%)
Match funds= \$100,000 (25%)
Total Project Cost = \$400,000 (100%)

Table 1. Cost categories.

Budget Cost Categories	Explanation of Costs
Personnel	<ul style="list-style-type: none">• Full- or part-time regular salaried employees working on the grant.
Fringe	<ul style="list-style-type: none">• Employer Fringe benefits requested to be paid by this grant can be based on either: 1) actual known municipality paid costs for each benefit category, or 2) an established formula applied to the base salary numbers shown above broken out by the benefit category. Fringe benefits are for the personnel listed in budget category A and only for the percentage of time devoted to the project. Employer paid payroll taxes may also be included as a separate cost.
Contracts/Consultants	<ul style="list-style-type: none">• Consultant or contractor fees.• The maximum rate for consultants is \$650 for an eight hour day or \$81.25 per hour (excluding travel and subsistence costs). Any request for compensation over \$650 per day requires prior written approval by OGR.• Contracts – a competitive process based on the municipality’s procurement policy should be followed when procuring contracted services.• <i>Contract salary, fringe benefit, travel, and other costs should be placed within this category and follow instructions within direct salary, fringe benefit, travel and other cost categories.</i>
Travel	<ul style="list-style-type: none">• Travel directly related to the purpose of the grant.• In-state travel costs associated with the grant shall include mileage rates not in excess of \$0.45 per mile, as well as the actual costs of tolls and parking.
Equipment	<ul style="list-style-type: none">• Tangible, non-expendable personal property having a useful life of more than one year; cost based on classification of equipment (e.g., communication, IT, etc.).
Supplies	<ul style="list-style-type: none">• General supplies required for project or office (pens, pencils, postage, training materials, copying paper, and other expendable items such as books, ink, etc.).
Other	<ul style="list-style-type: none">• Items (e.g., direct and/or support service costs, rent costs, telephone costs, reproduction costs, training material costs, 10% grant administration costs).

3.3.5 Contractor Authorized Signatory Listing (*Attachment E*)

Complete this form by following the instructions within the attachment.

3.3.6 MOU (*Attachment F*)

See MOU (p. 7) for further instructions.

3.3.7 Additional Material (Attachment G).

Applicants may attach any additional material that may be helpful to reviewers, including but not limited to community assessments, cooperative agreements or letters of support to demonstrate collaboration and press clippings or survey results that illustrate the community crime problems, etc.

Applicants should be aware that award determinations will be based primarily on the required information provided in the application. Please note, that additional material will not be factored into the scoring of the application.

3.3.8 Additional Costs (Attachment H).

If more funding becomes available, EOPSS and OGR will award additional funds to the LARPs who demonstrate the need for further funding.

Applicants should provide a clear and detailed description of the services/programs that could be conducted with these additional funds.

3.4 Submission Process and Deadline

Completed applications must be mailed or hand-delivered⁶ to:

The Executive Office of Public Safety and Security
c/o Emily Fontaine
Office of Grants and Research
Ten Park Plaza, Suite 3720
Boston, MA 02116

ONE ORIGINAL and FOUR COPIES of the proposal **must be received by 4:00pm on October 25, 2019.** *Attachment A* must be submitted electronically as a fillable PDF to: eopsshannon@mass.gov and as a hard copy (signed in **BLUE INK** by the authorizing official). *Faxed proposals will **not** be accepted.*

3.5 Proposal Review Process

Applications will be subjected to a competitive review process and may be reviewed in tandem with the respective Shannon CSI application. Each application will be evaluated based on the following:

- **Relevancy of applicant qualifications and experience.**
The applicant's demonstrated knowledge of, and past professional experience working with evidence-based and best practices relevant to the Comprehensive Gang Model will help to determine the applicant's capacity to provide support to Shannon CSI site.
- **Quality of action research.**
The applicant's demonstrated ability to present qualitative and quantitative data will be used to determine the applicant's ability to inform the Shannon CSI stakeholders of the emerging trends and program effectiveness.

⁶ If you choose to hand deliver the proposal, please note that a valid form of identification is required to enter the Ten Park Plaza Office Building on the 2nd floor.

- **Justification of the partnership and collaboration.**
Partnerships between the applicant and the Shannon CSI site, as well as the proposed strategies for developing and implementing the community risk assessment and individual risk assessments will be used to determine if the proposed partnerships will result in productive and effective efforts that help reduce the *gang violence problem*. Additionally, the applicant's ability to adequately allocate resources for reporting assistance will be taken into consideration
- **Consistency between the proposed budget and strategy.**
The proposed Budget Excel Workbook and Budget Narrative will be evaluated to ensure that the approved cost categories are comprised of items which ensure proper implementation and maximum effectiveness of the proposed strategy.

SECTION 4 - NOTIFICATION OF AWARDS

All final funding decisions are at the discretion of the Secretary of Public Safety and Security. It is anticipated that grant awards will be announced in **December 2019**.

SECTION 5 - PROPOSAL CHECKLIST

- ☐ **Cover Page** (*Attachment A*) -A completed Grant Application Cover Page submitted electronically as a fillable PDF to: eopsshannon@mass.gov and as a hard copy in the application package.
- ☐ **Program Narrative** (*Attachment B*)
- ☐ **Budget Excel Workbook** (*Attachment C*)
- ☐ **Budget Narrative** (*Attachment D*)
- ☐ **Contract Authorized Signatory Listing** (*Attachment E*)
- ☐ **MOU** (*Attachment F*)
- ☐ **Additional Material** (*Attachment G*)
- ☐ **Additional Costs** (*Attachment H*)