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Executive Office of Energy & Environmental Affairs

Department of Environmental Protection

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DRAFT 2023 INTENDED USE PLAN

For the

CLEAN WATER STATE REVOLVING FUND

December 16, 2022



This information is available in alternate format. Contact Glynis Bugg at 617-348-4040.

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EXECUTIVE SUMMARY

The Massachusetts Department of Environmental Protection (MassDEP) is pleased to present the Draft Calendar Year 2023 Intended Use Plan (IUP). The IUP details the projects, borrowers and amounts that are being recommended for financing through the Clean Water State Revolving Fund (CWSRF) loan program. The CWSRF is a joint federal-state financing program that provides subsidized loans to improve and protect water quality and public health in the Commonwealth.

Massachusetts is offering approximately \$903 million to finance clean water projects across the Commonwealth. As noted in Table 1, approximately \$878 million is being offered to finance 59 new construction projects, and \$2 million is being offered to finance 2 previously approved multi-year projects. A total of \$ 3 million has been allocated to the emergency set-aside account. An additional \$5 million will be directed to the Community Septic Management Program (CSMP) to remediate failed septic systems in participating communities. An additional \$15 million has been set-aside to finance planning and PFAS design projects in calendar year 2023; MassDEP will begin accepting loan applications for planning projects and PFAS design projects, on a rolling basis, as soon as the Final IUP is published.

Twenty-seven project proposals, totaling approximately \$5 million, as shown in Table 2, are recommended to receive financial assistance for their Asset Management Planning (AMP) projects. Communities will receive 60% of the project cost, up to \$150,000, as a grant from the Massachusetts Clean Water Trust (the Trust), totaling approximately \$3 million for the 2023 applicants. The balance of the cost may be funded by local contributions of cash, or a combination of cash and in-kind services. All or part of the local contribution may be borrowed from the Trust at the standard 2% interest rate for a term of up to five years.

2023 Highlights

- On November 15, 2021, President Biden signed the Bipartisan Infrastructure Law (BIL) that provides two new federal grants through the CWSRF:
 1. Supplemental Clean Water State Revolving Fund Grant
 2. Emerging Contaminants Grant

These grants will be provided annually over the next five years. For fiscal year 2023, Massachusetts expects to receive \$70.5 million for the Supplemental CWSRF Grant and \$7.2 million in the Emerging Contaminants Grant. The Supplemental CWSRF Grant requires that Massachusetts provide \$34.5 million, 49% of the total grant amount, as loan forgiveness to Disadvantaged Communities. The Emerging Contaminant Grant requires that the entire \$7.2 million be provided as loan forgiveness for any eligible project.

Using supplemental funds, Massachusetts anticipates being able to offer fixed percentages of additional loan forgiveness for eligible projects on the 2023 IUP. These fixed percentages are anticipated to be consistently applied to the future IUPs covered under these new BIL federal grants subject to funds availability. MassDEP, in consultation with the Massachusetts

Clean Water Trust (The Trust), may amend this IUP as necessary given that the United States Environmental Protection Agency (USEPA) continues to issue guidance to states on the implementation of the expanded SRF program. The large increase in the 2023 IUP capacity is evidence of the additional BIL funding being put to use in the Commonwealth, and Massachusetts looks forward to financing more projects at a lower cost to communities throughout the Commonwealth.

Some of the key provisions of BIL include amendments to the Clean Water Act (CWSRF Base Program):

American Iron and Steel (AIS) – The AIS procurement requirement is permanent for *all* CWSRF construction projects going forward.

Build America, Buy America (BABA) Act – BIL also expands domestic sourcing requirements with the inclusion of the BABA. Starting on **May 14, 2022**, all steel, iron, manufactured products, non-ferrous metals, plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables), glass (including optic glass), lumber, and drywall used in infrastructure projects for federal financial assistance programs must be produced in the United States, unless (i) the SRF borrower has requested and obtained a waiver from the USEPA pertaining to the Project or the Project is otherwise covered by a general applicability waiver; or (ii) the MassDEP has otherwise advised the borrower in writing that the Build America, Buy America requirement is not applicable to the project. The Made in America Office at the Office of Management and Budget (OMB) has developed initial overarching implementation guidance: <https://www.whitehouse.gov/wp-content/uploads/2022/04/M-22-11.pdf>. Additionally, USEPA issued an adjustment period waiver for projects that initiated planning design prior to May 14, 2022, a small project applicability waiver for projects with SRF loans of \$250,000 or less, and a De Minimis waiver: <https://www.epa.gov/cwsrf/build-america-buy-america-baba-approved-waivers>. The USEPA will be issuing BABA implementation guidance specific to the SRF program.

- BIL establishes an additional subsidy range of 10% to 30% for the annual CWSRF capitalization grant, while Congress also requires that states use 10% of the annual CWSRF capitalization grant for additional subsidy. Massachusetts expects a capitalization grant award of approximately \$53 million in 2023. Additional subsidy will be provided in the form of loan forgiveness for communities that might otherwise not be able to afford to undertake the project. MassDEP and the Trust will continue to provide loan forgiveness as a fixed percentage of principal. Further details may be found in section I(A) of this IUP. Information about the Trust's Affordability Calculation may be found on the following webpage: <https://www.mass.gov/info-details/the-disadvantaged-community-program#the-affordability-calculation>.
- MassDEP is also offering loan forgiveness for projects with a renewable energy component.
- To better address specific state priorities, *Section 302 of the 1996 Safe Drinking Water Act Amendments*, allow states the flexibility to move funds between the CWSRF and the Drinking

Water State Revolving Fund (DWSRF) programs. Massachusetts will transfer non-federal funds from the CWSRF to the DWSRF program in the amount of \$24.3 million (33% of the 2023 DWSRF capitalization grant amount estimated at \$25.5 million and the supplemental grant amount of \$48.2 million). Additionally, the CWSRF Emerging Contaminants Grant will be transferred to DWSRF, as detailed below. Massachusetts reserves authority of future transfers of Supplemental BIL funds.

- BIL allows states the flexibility to move funds between the CWSRF and the DWSRF programs. Due to great demand for DWSRF PFAS remediation projects, Massachusetts will transfer \$7.2 million of CWSRF Emerging Contaminant funds to the DWSRF Emerging Contaminant program (100% of the grant amount). This transfer will not adversely impact the CWSRF projects in this IUP.

MassDEP appreciates the efforts that proponents have invested in the development of applications and recognizes their efforts to enhance and protect the quality of waters of the Commonwealth.

TABLE 1
CLEAN WATER STATE REVOLVING FUND
Calendar Year 2023 CWSRF Draft Intended Use Plan

NEW PROJECTS

| Rating | Applicant | SRF ID | Project | Project Cost | 2023 IUP Cost |
|---------------|---|---------------|---|---------------------|----------------------|
| 552 | YARMOUTH #(PF)(RE)(SG) | 8349 | Phase I - WRRF and Collection System | \$207,244,000 | \$50,000,000 |
| 550 | NEW BEDFORD #(PF)(SG) | 12413 | Wastewater Collection System Improvements | \$21,315,000 | \$21,315,000 |
| 549 | BARNSTABLE #(H)(PF)(SG) | 7346 | FY24-25 BWPCF Improvements | \$6,121,800 | \$6,121,800 |
| 546 | NANTUCKET #(H)(SG) | 12453 | Phase 2 Surfside WWTF Improvements | \$12,922,000 | \$12,922,000 |
| 544 | KINGSTON (PF)(BG) | 10373 | Effluent Recharge Site No. 3 & Sewer Expansion | \$11,652,000 | \$11,652,000 |
| 544 | TAUNTON (H)(PF)(BG) | 12563 | 2024 Pump Station Improvements | \$7,000,000 | \$7,000,000 |
| 543 | BILLERICA #(H)(PF)(BG) | 7300 | Infiltration/Inflow Rehabilitation Project | \$1,487,200 | \$1,487,200 |
| 543 | HOLYOKE #(PF)(BG) | 7339 | River Terrace Sewer Separation Project - Phase 1 | \$10,500,000 | \$10,500,000 |
| 543 | FITCHBURG #(PF)(BG) | 12395 | CSO 032, 045, 083 Separation/Rehabilitation 12525 | \$22,350,000 | \$22,350,000 |
| 543 | CHATHAM # | WPCF | Sludge Processing Upgrades | \$5,000,000 | \$5,000,000 |
| 542 | SPRINGFIELD WATER AND SEWER COMMISSION (PF)(W) | 12490 | Primary Treatment Upgrade at the Springfield Region | \$14,010,000 | \$14,010,000 |
| 542 | TAUNTON #(H)(PF) | 12561 | 2024 Sewer and Drain Improvements | \$4,000,000 | \$4,000,000 |
| 540 | FALL RIVER #(PF) | 12466 | Mothers Brook Sewer Replacement | \$22,160,000 | \$22,160,000 |
| 540 | HAVERHILL #(PF) | 7252 | Haverhill MA Locke Street Sewer Separation Phase 1 | \$7,004,200 | \$7,004,200 |
| 539 | HARWICH (PF) | 7290 | Harwich Phase 3 Sewer Extension | \$48,927,000 | \$41,900,410 |
| 539 | MASHPEE (PF) | 7334 | Phase 1 Mashpee Treatment and Collection System | \$12,000,000 | \$12,000,000 |
| 538 | BARNSTABLE (H)(PF) | 7305 | Park City Wind Route Sewer Expansion | \$30,900,000 | \$30,900,000 |
| 538 | REVERE #(PF) | 7310 | Phase 14 Construction - I/I, IDDE, P.S. & Drainage | \$10,000,000 | \$10,000,000 |
| 538 | ACUSHNET (PF) | 8356 | CWMP Needs Area 2 Sewer Extension | \$14,400,000 | \$14,400,000 |
| 538 | HARWICH (PF) | 10378 | Harwich Rt. 28 Sewer Construction Project | \$8,099,590 | \$8,099,590 |
| 538 | HULL #(PF) | 11385 | Collection System Improvements Phase 2 | \$15,471,760 | \$15,471,760 |
| 538 | NEW BEDFORD (PF) | 12507 | Sassaquin Pond Water Quality Improvements | \$9,340,000 | \$9,340,000 |
| 536 | HAVERHILL #(PF) | 9366 | Sewer System Improvements - CIPP Lining | \$2,275,000 | \$2,275,000 |
| 535 | FALL RIVER #(PF) | 9369 | Birch Street Sewer Separation | \$18,000,000 | \$18,000,000 |
| 535 | HAVERHILL #(PF) | 10381 | WWTP Upgrades | \$41,730,000 | \$37,433,800 |
| 534 | TYNGSBOROUGH (H)(PF) | 7293 | Phase 3 Sewer Extension & Sunset Park | \$21,595,374 | \$21,595,374 |
| 534 | LAWRENCE #(H)(PF) | 12479 | Sewer and Drainage Improvements/ Contract 7 | \$7,711,000 | \$7,711,000 |
| 533 | LOWELL #(H)(PF) | 12454 | Duck Island WWTF Phase 3 Upgrade | \$28,100,000 | \$28,100,000 |
| 532 | SAUGUS #(PF) | 12442 | Comprehensive Sewer System Rehabilitation- 3A | \$3,453,789 | \$3,453,789 |

| | | | |
|---|--|------------------------|----------------------|
| 532 BILLERICA #(H)(PF) | 7307 Sewer Contracts 37 & 38 | \$58,860,909 | \$41,286,040 |
| 532 HAVERHILL (PF) | 12419 Pump Station Upgrades | \$3,287,000 | \$3,287,000 |
| 531 NORTH READING | 7336 North Reading Wastewater Collection System | \$107,200,000 | \$50,000,000 |
| 531 SOMERVILLE #(H)(PF) | 12441 Morrison & Winslow Ave Flood & CSO Mitigation | \$49,957,000 | \$49,957,000 |
| 529 QUINCY #(H)(PF) | 8364 FY24 Sewer & Drain Improvements | \$10,000,000 | \$10,000,000 |
| 527 BROCKTON #(H)(PF) | 12444 Cashman Road Sewer | \$4,500,000 | \$4,500,000 |
| 527 BROCKTON #(H)(PF) | 12445 Sewer System Rehabilitation Phase 3 | \$2,700,000 | \$2,700,000 |
| 526 WELLFLEET | 12470 95 Lawrence Wastewater Treatment and Collection | \$6,309,000 | \$6,309,000 |
| 525 FALL RIVER (PF) | 7345 Ferry Street Pump Station Rehabilitation | \$2,955,900 | \$2,955,900 |
| 525 QUINCY (H)(PF) | 12398 Wollaston Stormwater Capacity Improvements Phase 1 | \$5,000,000 | \$5,000,000 |
| 525 WORCESTER #(H)(PF) | 12524 Quinsigamond Avenue CSO Facility Upgrades | \$14,090,000 | \$14,090,000 |
| 524 LOWELL (H)(PF) | 12549 Douglas Road Wet Weather Satellite Storage Tank | \$9,626,000 | \$9,626,000 |
| 522 BILLERICA #(H)(PF) | 7349 Rangeway Road Sewer and Pump Station | \$4,466,760 | \$4,466,760 |
| 521 WORCESTER (H)(PF) | 8353 Lake Avenue Pump Station Improvements | \$6,200,000 | \$6,200,000 |
| 520 SUDBURY (H) | 7251 WWTF and Collection System Project | \$58,711,600 | \$50,000,000 |
| 510 ACTON (H) | 7277 Acton Great Road Sewer Project | \$20,854,000 | \$20,854,000 |
| 444 SOMERSET #(PF) | 8360 Somerset Wastewater Pump Station Upgrades | \$4,780,000 | \$4,780,000 |
| 439 GREATER LAWRENCE SANITARY DISTRICT | 12456 NORTH BANK INTERCEPTOR CSO STORAGE FACILITY | \$71,350,000 | \$50,000,000 |
| 434 NEW BEDFORD (PF) | 12414 Wastewater Treatment Plant Improvements | \$11,500,000 | \$11,500,000 |
| 434 MARION # | 12487 Creek Road Pump Station Resiliency Improvements | \$3,000,000 | \$3,000,000 |
| 433 BARNSTABLE #(H)(PF) | 7302 2023 Wastewater Pump Station Improvements | \$3,320,000 | \$3,320,000 |
| 429 BARNSTABLE (H)(PF) | 7291 Sewer Extension and Vacuum Sewer Removal | \$1,120,000 | \$1,120,000 |
| 426 MARSHFIELD | 10375 Plymouth Ave Pump Station Upgrades | \$8,117,000 | \$8,117,000 |
| 426 NEW BEDFORD (PF) | 12508 Buttonwood Brook Improvements | \$4,200,000 | \$4,200,000 |
| 419 SHREWSBURY | 12483 Rolfe & Maple Ave PS Upgrade and FM Replacement | \$9,820,000 | \$9,820,000 |
| 341 PLYMOUTH #(H)(PF) | 12462 Camelot Drive Sewer Extension Project | \$12,647,000 | \$12,647,000 |
| 340 BILLERICA #(H)(PF) | 7309 Darby and Waterview Avenue Pump Stations | \$2,760,000 | \$2,760,000 |
| 332 WAREHAM (PF) | 12430 WPCF Improvements - Phase 2 | \$36,450,000 | \$16,000,000 |
| 329 UPPER BLACKSTONE WATER POLLUTION ABATEMENT DISTRICT #(RE) | 7324 Standby Power for Resiliency | \$10,000,000 | \$10,000,000 |
| 328 WELLFLEET | 12403 Wellfleet Enhanced I&A Septic System Program | \$3,000,000 | \$3,000,000 |
| TOTAL OF NEW PROJECTS | | \$1,171,551,882 | \$877,698,623 |

(Count: 59)

(Average Rating: 502.15)

(BG) - Base Capitalization Grant

(D) - D eveloped by Applicant

(H) - Housing Choice Communities

(PF) - Affordability Criteria

(RE) - Potential Renewable Energy Projects

(SG) - BIL CWSRF General Supplemental Grant

(W) - Water Infrastructure Finance and Innovation Act (WIFIA)

- Projects contains Energy Efficiency, Renewable Energy and/or meets EPA's definition of a Green Project (<https://www.epa.gov/greeningepa/energy-and-emissions-epa>)

MULTI-YEAR CARRYOVER AND STATUTORY PROJECTS

| Applicant | SRF ID Project | Project Cost | 2023 IUP Cost |
|---|------------------------------------|----------------------|--------------------|
| MASSACHUSETTS WATER RESOURCES AUTHORITY | 4150 DITP Asset Protection Phase 3 | \$66,500,000 | \$1,000,000 |
| MASSACHUSETTS WATER RESOURCES AUTHORITY | 6822 DITP Clarifier #2 | \$149,527,777 | \$1,000,000 |
| TOTAL OF MULTI-YEAR CARRYOVER AND STATUTORY PROJECTS | | \$216,027,777 | \$2,000,000 |

(Count: 2)

MassDEP PRIORITY PROJECTS

| Applicant | SRF ID Project | Project Cost | 2023 IUP Cost |
|---|--|---------------------|---------------------|
| COMMUNITY SEPTIC MANAGEMENT | 3850 Community Septic Management Program | \$5,000,000 | \$5,000,000 |
| EMERGENCY SRF SET-A-SIDE | 2977 | \$3,000,000 | \$3,000,000 |
| PLANNING AND PFAS DESIGN SRF SET-A-SIDE | 13575 | \$15,000,000 | \$15,000,000 |
| TOTAL OF MassDEP PRIORITY PROJECTS | | \$23,000,000 | \$23,000,000 |

(Count: 3)

| | | |
|---|------------------------|----------------------|
| TOTAL OF DRAFT INTENDED USE PLAN | \$1,410,579,659 | \$902,698,623 |
|---|------------------------|----------------------|

TABLE 2
CLEAN WATER STATE REVOLVING FUND
Calendar Year 2023 CWSRF ASSET MANAGEMENT PLANNING PROJECTS

ASSET MANAGEMENT PLANNING PROJECTS

| Rating | Applicant | SRF ID Project | Project Cost | Grant Amount | SRF Loan |
|---------------|------------------|---|---------------------|---------------------|-----------------|
| 86 | BRAINTREE | 7319 Sewer Pump Station & Stormwater Culvert Evaluation | \$142,933 | \$82,178 | |
| 85 | LITTLETON | 7308 Littleton Stormwater Asset Management Project | \$182,500 | \$109,500 | |
| 85 | WINCHENDON | 12461 Winchendon Stormwater Asset Management Plan | \$135,625 | \$81,375 | |
| 85 | SOUTHAMPTON | 12485 Town of Southampton Stormwater Management Plan | \$140,000 | \$84,000 | |
| 83 | HOLBROOK | 12498 Holbrook Sewer & Stormwater Asset Management Plan | \$261,727 | \$150,000 | |
| 81 | WEST SPRINGFIELD | 12514 West Springfield Sewer SCADA Improvements | \$403,000 | \$150,000 | \$18,000 |
| 80 | WARE | 12530 Town of Ware Wastewater Asset Management Plan | \$175,000 | \$105,000 | |
| 77 | NEW BEDFORD | 12537 Asset Management Program Expansion | \$261,500 | \$150,000 | |
| 77 | DUDLEY | 12543 Dudley Sewer Asset Management Plan | \$185,000 | \$111,000 | |
| 77 | ROCKLAND | 12555 Rockland Sewer & Stormwater Asset Management Plan | \$279,618 | \$150,000 | |
| 76 | STURBRIDGE | 12436 Sturbridge Water/Sewer Asset Management Plan | \$250,000 | \$150,000 | |
| 75 | HADLEY | 12502 Hadley Wastewater Asset Management Plan | \$120,000 | \$72,000 | |
| 74 | FRANKLIN | 12541 Franklin Asset Management Plan | \$250,000 | \$150,000 | |
| 71 | LOWELL | 12560 LRWWU Asset Management Plan | \$250,000 | \$150,000 | |
| 65 | EVERETT | 12529 Everett Stormwater Asset Management Plan | \$200,070 | \$120,042 | |
| 65 | HARWICH | 12515 Harwich Stormwater Asset Management Plan | \$125,000 | \$75,000 | |
| 63 | MEDWAY | 7275 Asset Management Plan - Phase 2 | \$250,000 | \$150,000 | |
| 62 | DOVER | 11391 Town of Dover Stormwater Asset Management Plan | \$165,000 | \$99,000 | |
| 62 | EASTON | 12505 Easton Stormwater Asset Management Plan | \$136,375 | \$81,825 | |
| 61 | AMESBURY | 12533 Asset Management Inventory and Planning | \$249,961 | \$149,821 | |
| 60 | MERRIMAC | 12496 Asset Management Inventory and Planning | \$117,031 | \$69,991 | |
| 56 | NEWBURYPORT | 12522 Newburyport Stormwater Asset Management Analysis | \$124,692 | \$74,720 | |
| 55 | LAWRENCE | 7273 Stormwater Asset Management Plan | \$250,000 | \$150,000 | |
| 52 | HUDSON | 12558 Hudson Asset Management Plan | \$53,000 | \$31,800 | |
| 47 | HAVERHILL | 7276 Asset Management Plan - Phase 2 | \$250,000 | \$150,000 | |
| 46 | CONCORD | 7288 Wastewater Asset Management Plan | \$179,950 | \$107,970 | |
| 42 | BEDFORD | 7274 Culvert Asset Management Plan | \$112,500 | \$67,500 | |

| | | | | | |
|--|--|--|--------------------|--------------------|-----------------|
| TOTAL OF ASSET MANAGEMENT PLANNING PROJECTS | | | \$5,250,482 | \$3,022,722 | \$18,000 |
| (Count: 27) | | | | | |

| | | | |
|---------------------------------------|--------------------|--------------------|-----------------|
| TOTAL OF PROJECT PRIORITY LIST | \$5,250,482 | \$3,022,722 | \$18,000 |
|---------------------------------------|--------------------|--------------------|-----------------|

BACKGROUND

In 1987, Congress established a program of capitalization grants for States to create the CWSRF loan program. This program provides state-administered subsidized loans to finance the construction of publicly owned water pollution abatement projects. Under the CWSRF program, states provide a 20% match to the federal capitalization grant to create the loan fund. Projects to be financed are selected using a priority ranking system based upon the public health and environmental protection benefits of the proposed projects and improved compliance.

The CWSRF is jointly administered by MassDEP and the Trust. MassDEP manages the technical aspects of the project development, while the Trust manages the disbursement of funds and the sale of bonds to provide capital to the program.

Pursuant to the applicable provisions of the Federal Water Pollution Control Act of 1972, as amended, Chapter 21 of the General Laws, and 310 CMR 44.00, MassDEP has developed its Calendar Year 2023 Intended Use Plan/Project Priority List (IUP/PPL), with its attendant ranking of water pollution abatement projects. A project must appear on the PPL and the IUP Project Listing to receive financial assistance under the CWSRF Loan program.

This IUP includes approximately \$903 million in financing and describes Massachusetts' intended uses for all funds available in the CWSRF program for 2023, including the projects that Massachusetts expects to provide financial assistance to and an overview of how the Commonwealth will comply with federally mandated requirements.

This IUP contains a list of 27 Clean Water project proposals totaling \$5 million that will receive grant funding for their AMP projects. These communities will receive 60% of the project cost, up to \$150,000, as a grant from the Trust, totaling \$3 million. The remaining project costs are to be funded by local contributions of cash, or a combination of cash and in-kind services. All or part of the local contribution may also consist of a loan from the Trust at the standard 2% interest rate with a term of up to five years.

All new projects receiving financial assistance from the CWSRF will be eligible for loans at 2% interest rate or, in certain instances, below 2%. The Commonwealth subsidizes these loans, funding the spread between market interest rates and the awarded rate. This subsidy will continue to be allotted to the SRF programs in this and upcoming budget cycles. Certain priority projects, with the primary purpose of nutrient enrichment reduction, may be eligible for 0% interest loans. Prior to committing to permanent financing, MassDEP will review all submitted information to determine a project's eligibility for the reduced interest rate. The standard term for constructions loans is 20 years.

To be considered for financing priority, communities must have appropriated the necessary local project funds or have committed to a schedule to obtain those funds by **June 30, 2023**.

Completed loan applications must be submitted to MassDEP at the earliest possible time, but not later than **October 13, 2023**. A complete application must contain the local debt authorization and the required supporting documentation. Any project not meeting these deadlines will be

removed from the IUP Project Listing. Projects offered financing that do not advance, may be replaced by the highest ranked project on the PPL that is ready to proceed. Following receipt of a complete loan application package, MassDEP must issue a Project Approval Certificate to the Trust, no later than December 31, 2023, certifying the total costs of the project determined to be eligible for financial assistance. The Trust then proceeds to execute loan commitments.

All SRF related documents, including this IUP, the priority ranking system, loan application forms, regulations, and IUPs from the previous year, may be found on the MassDEP website at: <http://www.mass.gov/eea/agencies/massdep/water/grants/state-revolving-fund.html>.

I. CALENDAR YEAR 2023 PROJECT FINANCING

In 2023, MassDEP expects to finance 59 new construction projects and 2 carryover projects totaling \$903 million. Included in this amount is a \$3 million emergency reserve to finance unanticipated problems of acute public health concern that may arise during the year. Also included is a \$5 million allocation to the Community Septic Management Program, and an additional \$15 million to finance planning projects and PFAS design projects. Table 1 is the proposed financing list for 2023 and Table 3 is the extended PPL. Tables 2 and 4 list the projects that have been selected to receive grants from the Trust for their Asset Management Planning projects.

Following are some of the more notable CWSRF program components and requirements:

A. Additional Subsidy

As may be required in federal law applicable to the 2023 CWSRF capitalization grant, 20% of the grant amount shall be provided as additional subsidy, but no less than 10% and no more than 30%, to disadvantaged communities based on the affordability tier system, provided eligible applicants exist. In the 2023 CWSRF IUP, Massachusetts intends to award a minimum of \$10.6 million of the capitalization grant in additional subsidy to eligible projects. In addition, Massachusetts expects to receive \$70.5 million for the Supplemental CWSRF Grant. The Supplemental CWSRF Grant requires that Massachusetts provide \$34.5 million, 49% of the total grant amount, as loan forgiveness to Disadvantaged Communities.

Eligible construction projects for Disadvantaged Communities appearing on the 2023 IUP will receive additional subsidy, to the extent that funds are available, in the form of loan forgiveness based on the affordability tier system described below and provided as a set percentage based on the community's tier ranking.

Massachusetts has established an affordability criteria to identify Disadvantaged Communities, which drives the distribution of loan forgiveness. The assignment of communities to an affordability tier is based on an adjusted per capita income (APCI) calculation. Tier rankings are calculated annually by comparing a community's APCI as

a percentage of the Commonwealth's APCI. See the following table for the tier breakdown.

| Tier | Percent of State APCI | Minimum Loan Forgiveness |
|-------------|------------------------------------|---------------------------------|
| 1 | 80% or greater, but less than 100% | 3.3% |
| 2 | 60% or greater, but less than 80% | 6.6% |
| 3 | Less than 60% | 9.9% |

BIL funding may increase the amount of loan forgiveness to Disadvantaged Communities beyond these standard offerings.

Projects that have a renewable energy component will qualify as a Tier 1 community and receive loan forgiveness for the portion of the loan that is financing the renewable energy component.

*Loan forgiveness will be awarded to construction contracts that are executed by **June 30, 2024**, and may be limited to the lesser of the executed contracts or the IUP cost. Planning projects are not eligible for loan forgiveness.*

In addition to meeting the Affordability Criteria, under Massachusetts General Law Chapter 259 of the Acts of 2014, *An Act Improving Drinking Water and Wastewater Infrastructure*, applicants for additional subsidy are required to:

1. establish water enterprise funds (or equivalent separate restricted accounts), and
2. not have made any transfers from such enterprise fund in the last five years to fund the community's general operating budget.

B. Housing Choice Initiative

Communities that have earned the Housing Choice designation at the time of the SRF project solicitation are eligible to have their loan's interest rate reduced by 0.5% (for example from 2% to 1.5% for a standard term loan). However, under no circumstance can the interest rate fall below 0%. For example, if a community qualifies for a 0% interest loan for a nutrient reduction project, it cannot receive an added discount through the Housing Choice designation. Housing Choice initiative communities must also certify to the enterprise account requirements noted above.

C. Green Infrastructure

Congress requires that at least 10% of the federal CWSRF capitalization and supplemental BIL grants be dedicated to green infrastructure projects, or components, as defined by the USEPA. For Massachusetts, this requires that \$12.3 million be allocated towards Green Infrastructure projects that minimize greenhouse gas emissions and energy use. For 2023, MassDEP will work to determine the value of the green projects or portions of projects that qualify as green. The total value of green components of those

projects will be determined when detailed project applications are submitted. MassDEP expects to meet the minimum \$12.3 million that USEPA requires to be allocated towards green infrastructure projects.

D. Applicant Cap

The Massachusetts SRF regulations (310 CMR 44) place a limit on any one proponent receiving any more than 33% of the available financing each year. Because of the shortfall of available funds relative to the number of worthwhile projects, and to extend financial assistance to as many highly ranked projects as possible, MassDEP is implementing an applicant cap of \$50 million for the 2023 financing period. MassDEP, however, reserves the right to waive the applicant cap limitation if MassDEP and the Trust determines that one or more projects on the IUP Project Listing are not ready to proceed. If the applicant cap is waived, communities may see an increase in financing for projects not fully financed on the IUP listing.

E. Fiscal Sustainability Planning

For treatment works proposed for repair, replacement, or expansion, the USEPA requires that borrowers develop and implement a fiscal sustainability plan (FSP) that includes:

- an inventory of critical assets that are a part of the treatment works;
- an evaluation of the condition and performance of inventoried assets or asset groupings;
- a certification that the recipient has evaluated and will be implementing water and energy conservation efforts as part of the plan; and
- a plan for maintaining, repairing, and, as necessary, replacing the treatment works, and a plan for funding such activities; or
- a certification that the borrower has developed and implemented a plan that meets these requirements.

Except for the third bullet above, these are all components of an AMP. The AMP grant program is designed to help communities cover 60% or up to \$150,000 of the costs associated with developing an AMP. The USEPA issued a Best Management Practices guide for the development of AMPs, which may be used as a basis for the development of an FSP <https://nepis.epa.gov/Exe/ZyPURL.cgi?Dockkey=P1000LP0.txt>. There are numerous other guidance documents available on the USEPA website and several other states (New Mexico and New Jersey, among others) have developed detailed guidance on the development of AMPs,, which is publicly available.

At project completion, MassDEP requires certification from the borrower's Project Engineer stating that an FSP has been developed and implemented. The development of FSPs is an eligible SRF cost, and borrowers on the 2023 IUP may request an increase to their project cost to cover the development of the FSP or can apply in future years for

the AMP grant program <https://www.mass.gov/service-details/asset-management-planning-grant-program>.

F. Cost and Effectiveness

USEPA requires a certification that the borrower:

- has studied and evaluated the cost and effectiveness of the processes, materials, techniques, and technologies for carrying out the proposed project; and
- has selected, to the maximum extent practicable, a project that maximizes the potential for efficient water use, reuse, recapture, conservation, and energy conservation, considering:
 - the cost of constructing the project;
 - the cost of operating and maintaining the project over its life; and
 - the cost of replacing the project.

MassDEP expects that applicants will have evaluated the cost of their project, as well as the effectiveness of the solution, in the required planning element such as a Comprehensive Wastewater Management Plan or a Project Evaluation Report. These reports typically evaluate alternatives and compare their various life cycle costs. The only additional work is to evaluate that the project maximizes the potential for efficient water use and energy conservation. This evaluation, if not already complete, can be appended to the planning document.

A Cost and Effectiveness Certification form is required to be submitted with each Loan Application package.

G. Architectural and Engineering (A/E) Services Procurement

The USEPA requires that A/E services that are paid with federal funds be procured using the qualifications-based selection process under 40 U.S. Code § 11 or an equivalent state qualifications-based requirement.

There are two Massachusetts statutes for procuring A/E services: Chapter 149 for vertical construction; and Chapter 30B for horizontal construction. Chapter 149 appears to meet the qualifications-based selection requirement while Chapter 30B does not.

Rather than add a third method of procurement or forcing communities into using a different method of procurement for horizontal construction and/or owner's project manager services, the Trust has chosen to not use federal funds for any A/E services. SRF federal funds will still be available for construction services. However, on large projects that receive federal funds for construction, the A/E services may be split out into a separate loan using non-federal funds.

H. Project Accounting

The USEPA requires that project accounts be maintained in accordance with the most recent applicable standard. The current standard is Governmental Accounting Standards Board (GASB) Statement No. 34, which was issued in June 1999 and details governmental reporting requirements including standards for reporting infrastructure assets.

E. Set-Aside Activities

Amendments to the Clean Water Act through the BIL allows States to use 2% of the capitalization and supplemental grants for technical assistance provided by nonprofits. MassDEP and the Trust intend to contract with a qualified nonprofit organization(s) to provide technical assistance to small, rural and tribal publicly owned treatment works, particularly in Disadvantaged Communities, for the purpose of encouraging participation in the CWSRF loan program. This technical assistance will include aiding local government units with the preparation of Project Evaluation Forms (PEFs), SRF loan applications, and other relevant documents.

II. SHORT- AND LONG-TERM GOALS

The following are the goals that Massachusetts has set for its CWSRF.

Short-Term Goals

- Finance highly ranked, construction ready, water improvement projects.
- Prioritize projects that promote nutrient removal, especially those which implement the Cape Cod 208 Plan recommendations.
- Prioritize projects that eliminate or abate Combined Sewer Overflow discharges.
- Promote the asset management planning and cybersecurity best management practices by providing grants through the Trust's AMP grant program.
- Allocate at least 10% of the annual federal grant to Green Infrastructure projects, or components, for projects that minimize greenhouse gas emissions and energy use.
- Encourage energy efficiency/conservation by providing enhanced subsidy to projects that include renewable energy components for the portion of the loan that is financing the renewable energy component.
- Ensure that wastewater treatment projects financed through the SRF fully consider cost-effective energy efficiency measures and/or renewable energy strategies.
- Finance eligible projects addressing unanticipated problems of acute public health concern that arise during the year and constitute an imminent public health threat.
- Provide targeted outreach and engage with communities across the Commonwealth about SRF program benefits, priorities, and opportunities to improve water quality and reduce project costs.

Long-Term Goals

- Ensure that the CWSRF program remains accessible to assist communities across the Commonwealth to maintain compliance with the Clean Water Act, particularly communities with small systems.
- Identify and prioritize additional subsidy for projects in the IUP that serve Disadvantaged Communities.
- Assist stormwater and wastewater treatment plant operators to finance projects to address the impact of climate change and resiliency concerns on the safe and continuous operation of the utility.
- Promote systematic Asset Management Planning for water, wastewater, and stormwater utilities to achieve long-term sustainability and deliver consistent service in a cost-efficient manner.
- Establish and efficiently manage a permanent source of financing for the construction of publicly owned water pollution abatement facilities to enhance and protect the quality of the waters of the Commonwealth.
- Promote public engagement and program transparency by publishing informative and readily accessible program materials and reports.

III. ALLOCATION OF FUNDS

A. Criteria and Method for Distribution of Funds

Massachusetts seeks to finance projects that mitigate documented threats to public health and/or impacts to the environment, and for which proponents have completed comprehensive planning and alternatives analysis. Details supplied through the PEF help MassDEP determine the extent to which projects meet the goals of the program. Once all projects have been rated and ranked following the *Clean Water State Revolving Fund 2023 Project Evaluation Form* instructions and guidance, <https://www.mass.gov/lists/state-revolving-fund-applications-forms#2020-project-evaluation-form-instructions-and-guidance>, the PPL is developed as shown in Table 3. With input from the Trust, MassDEP identifies all the sources of funds and spending limits and develops the IUP Project Listing as shown in Table 1.

To be considered eligible for financing, projects must meet State and Federal eligibility requirements, must be ready to proceed during the financing period, have appropriated local funds necessary to finance the project, and have a MassDEP-approved planning element. An approvable planning element can be a Project Evaluation Report, Comprehensive Water Resources Management Plan, Long-term combined sewer overflow (CSO) Strategy, Stormwater Management Plan, or other MassDEP sanctioned planning document. Projects on Cape Cod are required to be consistent with the Cape Cod Area-Wide Section 208 Water Quality Management Plan. Six Cape Cod communities: Yarmouth, Barnstable, Chatham, Harwich, Mashpee and Wellfleet have

proposals on this IUP; the Cape Cod Commission has determined these projects are consistent with the Cape Cod 208 Plan.

Eligibility includes consistency with the assurances described in this document and the requirements contained within MassDEP's financial assistance regulations <https://www.mass.gov/regulations/310-CMR-4400-the-clean-water-state-revolving-fund>. Certain projects on the 2023 PPL may have higher priority point totals than the projects on the IUP Project Listing. That occurred because those projects on the PPL are not ready to proceed. An important indicator of readiness to proceed is that a project has completed the State Environmental Review Process.

MassDEP reserves the right to increase the amount allocated to any project appearing on this IUP, should additional federal or state funding or program loan capacity become available.

B. Project Bypass Procedure

A project on the IUP Project Listing may be bypassed if MassDEP determines that the bypassed project will not be ready to proceed during the financing period. Project(s) bypassed may be replaced by the highest ranked priority project(s) on the PPL that are ready to proceed, or the funds may be used for cost increases to other projects approved previously. Complete project applications not received by MassDEP by **October 13, 2023**, will be automatically considered for bypassing.

The Trust and MassDEP will not add projects to the PPL/IUP beyond those that may qualify for emergency assistance, the CSMP, planning and PFAS design categories. MassDEP, considering any material program change that would require amendments to this IUP, will provide public notice and an appropriate comment period before issuing an amended IUP.

C. Types of Projects to be Financed and Financing Rates

Any water pollution abatement project of an eligible borrower is eligible to receive financial assistance from the Trust. Such eligible projects include, but are not limited to:

- Wastewater Treatment Projects, as defined in 310 CMR 44.03;
- Infiltration Inflow (I/I) Projects, as defined in 310 CMR 44.03;
- Collection System Projects, as defined in 310 CMR 44.03. However, 85% of the expected wastewater flow into the proposed collection system must be for wastewater flows in existence as of July 1, 1995;
- Nonpoint Source Projects, as defined in 310 CMR 44.03;
- Nutrient Management Projects, as defined in 310 CMR 44.03;
- Stormwater Projects;
- Green Infrastructure Projects; and
- The planning or design for any project in one of the categories identified above.

Costs of construction that MassDEP determines as being necessary for the completion of the project are eligible for financing through the loan and can receive a subsidy under the loan, subject to the applicant cap. Although costs for design are eligible, only PFAS design will be financed during this financing period due to the high demand for construction financing. MassDEP may limit the SRF loan for PFAS design to 10% of the total estimated cost of the remedy.

All projects on the IUP are eligible for 2% interest rate loans. Certain projects that are primarily for nutrient removal are eligible for 0% interest rate loans. These nutrient removal projects will be evaluated in accordance with 310 CMR 44.04(2) and a determination will be made as to the eligibility for 0% interest loans before the loan is permanently financed.

IV. FINANCIAL MANAGEMENT

A. Source of State Match

Based on the anticipated 2023 CWSRF federal grant of \$53 million from USEPA, the required 20% state match equals \$10.6 million. In addition, for 2023, Massachusetts expects to receive \$70.5 million for the Supplemental CWSRF Grant, the required 10% state match equals \$7.05 million. These state match funds are provided to the Trust from the Commonwealth's Capital Plan.

B. Fee Income

The Trust may charge an amount not to exceed \$5.50 per \$1,000 as a loan origination fee to offset the costs incurred during its bond issuance. Recipients of SRF loans are charged an annual administrative fee of 0.15% (15 basis points) against the outstanding loan principal to fund salaries and expenses of the Trust and MassDEP related to SRF project development and loan management. As of June 30, 2022, the Trust has a balance of approximately \$51.5 million in its Administrative Account.

C. Program Administration

The Commonwealth of Massachusetts intends to use the full 4% of the Federal capitalization grant and the supplemental BIL grant funds for administrative support of activities related to the CWSRF. Use of those funds is detailed in the CWSRF Grant Application that the Trust files each year with the USEPA.

D. Anticipated Cash Draw Ratio

Massachusetts will draw 100% of the state match funds before drawing federal funds. This will ensure that Massachusetts remains in compliance with the required cash draw ratios.

E. Transfer of Funds Between CWSRF and DWSRF

Section 302 of the 1996 Safe Drinking Water Act Amendments allows states the flexibility to move funds between the Clean and the Drinking Water SRF programs to better address

specific state priorities. The USEPA allows an equivalent of up to 33% of the Drinking Water grant to be transferred between the CWSRF and DWSRF.

The CWSRF IUP capacity frequently allows Massachusetts to finance all the requested projects. This year, approximately a third of the requested CWSRF construction projects will not be offered financing. Approximately two thirds of requested DWSRF construction projects will go unfinanced. The level of federal grant funding of the DWSRF is insufficient to meet the need for project financing. Transferring a limited amount of funds from the CWSRF to the DWSRF this year and in the future will help increase the overall capacity of the DWSRF and reduce the number of unfinanced projects. Massachusetts will transfer funds from the CWSRF to the DWSRF program in the amount of \$24.3 million (33% of the 2023 DWSRF capitalization grant amount estimated at \$25.5 million and the supplemental grant amount of \$48.2 million) .

BIL allows states the flexibility to move funds between the CWSRF and the DWSRF programs. Due to great demand for PFAS remediation in drinking water projects, Massachusetts will transfer \$7.2 million of CWSRF Emerging Contaminant supplemental funds to the DWSRF Emerging Contaminant program (100% of the grant amount). This transfer will not adversely impact CWSRF project financing in this IUP.

F. Estimated Sources and Uses

The sources of funds available to the Massachusetts CWSRF include the federal capitalization grant, the federal supplemental BIL grants, state match and borrower loan repayments. The Trust may leverage these funds up to 3 times. Under this authority, the Trust will offer to finance approximately \$903 million in projects.

V. Program Management

A. Assurances and Special Conditions

MassDEP and the Trust have provided the required assurances and certifications as part of the Operating Agreement (OA) between Massachusetts and the USEPA. The OA describes the mutual obligations among USEPA, MassDEP, and the Trust. The purpose of the OA is to provide a framework of procedures to be followed in the management and administration of the CWSRF.

The OA addresses the commitment to key CWSRF requirements, including:

- Section 602(a) Environmental Reviews: The CWSRF will conduct environmental reviews according to the State Environmental Review Process developed for the SRF.
- Section 603(b) (3) Binding Commitments: The CWSRF will enter into binding commitments for 120% of each quarterly grant payment within one year of receipt of the payment.

- Section 602(b) (4) Expeditious and Timely Expenditures: The CWSRF will expend all funds in the CWSRF in a timely manner.
- Consistency with Planning. The Commonwealth agrees that it will not provide assistance to any project unless that project is consistent with plans developed under Section 205(j), 208, 303(e), 319, or 320.

B. Federal Requirements

A number of federal requirements apply to CWSRF projects in an amount equal to the capitalization grant including:

- Single Audit Act (2 CFR 200 Subpart F)
- Federal Funding Accountability and Transparency Act (FFATA) reporting
- Disadvantaged Business Enterprise compliance (all projects)
- Federal environmental crosscutters (all projects)
- Federal Flood Risk Management Standard (FFRMS) to comply with Executive Order [\(EO\) 14030](#) that reinstated EO 13690
- Project signage to enhance the public awareness
- Ineligible Costs: any costs that are prohibited including but not limited to: 2 CFR 200.216, Prohibition on Certain Telecommunications and Video Surveillance Services or Equipment.

MassDEP and the Trust will identify the group of projects that must comply with these requirements in the USEPA grant applications. Frequently, the group of projects changes as projects move towards construction, usually due to attrition. If changes are made to the group of projects, appropriate amendments to the grant application will be made.

C. Davis-Bacon Wage Rates

The amendments to the Clean Water Act, as part of the Water Resources Reform and Development Act, apply the Davis-Bacon Act requirements to all treatment works projects going forward. The Davis-Bacon requirements do not apply to nonpoint source or decentralized wastewater treatment projects. MassDEP ensures that the required Davis-Bacon language is included in contracts and conducts field verifications of project compliance with the wage rate requirements.

D. American Iron and Steel and the Build America, Buy America Act

The amendments to the Clean Water Act, as part of WRRDA, apply the American Iron and Steel (AIS) requirements to all treatment works projects. Furthermore, BIL extends this procurement requirement to *all* CWSRF construction projects going forward with the inclusion of the **Build America, Buy America Act (BABA)**. Starting on May 14, 2022, all steel, iron, manufactured products, non-ferrous metals, plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables), glass (including optic glass), lumber, and drywall used in infrastructure projects for federal financial

assistance programs must be produced in the United States, unless (i) the SRF borrower has requested and obtained a waiver from the EPA pertaining to the Project or the Project is otherwise covered by a general applicability waiver; or (ii) the Department has otherwise advised the borrower in writing that the Build America, Buy America requirement is not applicable to the project. MassDEP ensures that the required procurement language is included in contracts and conducts field verifications of project compliance. Appropriate AIS and BABA language has also been included in the Project Regulatory Agreement and the Financing Agreement.

E. Audits and Reporting

MassDEP and the Trust will comply with all the auditing and reporting requirements of the USEPA. A single audit is conducted annually and reporting to the USEPA is done through the Office of Water State Revolving Fund (OWSRF) data system, Annual Report, and FFATA reporting.

VI. Public Review and Comment

Notice of the availability of the Draft Calendar Year 2023 IUP/PPL will be made on December 16, 2022. Pursuant to the provisions for adequate alternative public access to agency hearings, set forth in Section 20 of Chapter 20 of the Acts of 2021, an Act Extending Certain COVID-19 Measures Adopted During the State of Emergency, a public hearing on the Draft IUP will take place virtually on **January 19, 2023**. Records of the testimony received will be maintained in MassDEP's files. MassDEP will take into consideration the testimony received to finalize this IUP.

TABLE 3
CLEAN WATER STATE REVOLVING FUND
Calendar Year 2023 CWSRF Project Priority List

NEW PROJECTS

| Rating | Applicant | NPDES/PERMIT | SRF ID Project | Project Cost |
|---------------|--|---------------------|---|---------------------|
| 552 | YARMOUTH #(PF)(RE)(SG) | GW Permit | 8349 Phase I - WRRF and Collection System | \$207,244,000 |
| 550 | NEW BEDFORD #(PF)(SG) | MA0100781 | 12413 Wastewater Collection System Improvements | \$21,315,000 |
| 549 | BARNSTABLE #(H)(PF)(SG) | GW Permit | 7346 FY24-25 BWPCF Improvements | \$6,121,800 |
| 546 | NANTUCKET #(H)(SG) | GW Permit | 12453 Phase 2 Surfside WWTF Improvements | \$12,922,000 |
| 544 | KINGSTON (PF)(BG) | GW Permit | 10373 Effluent Recharge Site No. 3 & Sewer Expansion | \$11,652,000 |
| 544 | TAUNTON (H)(PF)(BG) | MA0100897 | 12563 2024 Pump Station Improvements | \$7,000,000 |
| 543 | BILLERICA #(H)(PF)(BG) | MA0101711 | 7300 Infiltration/Inflow Rehabilitation Project | \$1,487,200 |
| 543 | HOLYOKE #(PF)(BG) | MA0101630 | 7339 River Terrace Sewer Separation Project - Phase 1 | \$10,500,000 |
| 543 | FITCHBURG #(PF)(BG) | MA0100986 | 12395 CSO 032, 045, 083 Separation/Rehabilitation 12525 | \$22,350,000 |
| 543 | CHATHAM # | GW Permit | WPCF Sludge Processing Upgrades | \$5,000,000 |
| 542 | TAUNTON #(H)(PF) | MA0100897 | 12561 2024 Sewer and Drain Improvements | \$4,000,000 |
| 542 | SPRINGFIELD WATER AND SEWER COMMISSION (PF)(W) | MA0101613 | 12490 Primary Treatment Upgrade at the Springfield Region | \$14,010,000 |
| 540 | HAVERHILL #(PF) | MA0101621 | 7252 Haverhill MA Locke Street Sewer Separation Phase 1 | \$7,004,200 |
| 540 | FALL RIVER #(PF) | MA0100382 | 12466 Mothers Brook Sewer Replacement | \$22,160,000 |
| 539 | HARWICH (PF) | TBD | 7290 Harwich Phase 3 Sewer Extension | \$48,927,000 |
| 539 | MASHPEE (PF) | TBD | 7334 Phase 1 Mashpee Treatment and Collection System | \$12,000,000 |
| 538 | BARNSTABLE (H)(PF) | GW Permit | 7305 Park City Wind Route Sewer Expansion | \$30,900,000 |
| 538 | REVERE #(PF) | MA0103284 | 7310 Phase 14 Construction - I/I, IDDE, P.S. & Drainage | \$10,000,000 |
| 538 | ACUSHNET (PF) | MA0100781 | 8356 CWMP Needs Area 2 Sewer Extension | \$14,400,000 |
| 538 | HARWICH (PF) | TBD | 10378 Harwich Rt. 28 Sewer Construction Project | \$8,099,590 |
| 538 | HULL #(PF) | MA0101231 | 11385 Collection System Improvements Phase 2 | \$15,471,760 |
| 538 | NEW BEDFORD (PF) | MA0100781 | 12507 Sassaquin Pond Water Quality Improvements | \$9,340,000 |
| 536 | HAVERHILL #(PF) | MA0101621 | 9366 Sewer System Improvements - CIPP Lining | \$2,275,000 |
| 535 | FALL RIVER #(PF) | MA0100382 | 9369 Birch Street Sewer Separation | \$18,000,000 |
| 535 | HAVERHILL #(PF) | MA0101621 | 10381 WWTP Upgrades | \$41,730,000 |
| 534 | TYNGSBOROUGH (H)(PF) | MA0100633 | 7293 Phase 3 Sewer Extension & Sunset Park | \$21,595,374 |
| 534 | LAWRENCE #(H)(PF) | MA0100447 | 12479 Sewer and Drainage Improvements/ Contract 7 | \$7,711,000 |
| 533 | LOWELL #(H)(PF) | MA0100633 | 12454 Duck Island WWTF Phase 3 Upgrade | \$28,100,000 |

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|-----|---|-----------|--|---------------|
| 532 | BILLERICA #(H)(PF) | MA0101711 | 7307 Sewer Contracts 37 & 38 | \$58,860,909 |
| 532 | HAVERHILL (PF) | MA0101621 | 12419 Pump Station Upgrades | \$3,287,000 |
| 532 | SAUGUS #(PF) | MA0100552 | 12442 Comprehensive Sewer System Rehabilitation- 3A | \$3,453,789 |
| 531 | NORTH READING | MA0100447 | 7336 North Reading Wastewater Collection System | \$107,200,000 |
| 531 | SOMERVILLE #(H)(PF) | MA0103284 | 12441 Morrison & Winslow Ave Flood & CSO Mitigation | \$49,957,000 |
| 529 | QUINCY #(H)(PF) | MA0103284 | 8364 FY24 Sewer & Drain Improvements | \$10,000,000 |
| 527 | BROCKTON #(H)(PF) | MA0101010 | 12445 Sewer System Rehabilitation Phase 3 | \$2,700,000 |
| 527 | BROCKTON #(H)(PF) | MA0101010 | 12444 Cashman Road Sewer | \$4,500,000 |
| 526 | WELLFLEET | TBD | 12470 95 Lawrence Wastewater Treatment and Collection | \$6,309,000 |
| 525 | QUINCY (H)(PF) | MAR041081 | 12398 Wollaston Stormwater Capacity Improvements Phase 1 | \$5,000,000 |
| 525 | WORCESTER #(H)(PF) | MA0102369 | 12524 Quinsigamond Avenue CSO Facility Upgrades | \$14,090,000 |
| 525 | FALL RIVER (PF) | MA0100382 | 7345 Ferry Street Pump Station Rehabilitation | \$2,955,900 |
| 524 | LOWELL (H)(PF) | MA0100633 | 12549 Douglas Road Wet Weather Satellite Storage Tank | \$9,626,000 |
| 522 | BILLERICA #(H)(PF) | MA0101711 | 7349 Rangeway Road Sewer and Pump Station | \$4,466,760 |
| 521 | WORCESTER (H)(PF) | MA0102369 | 8353 Lake Avenue Pump Station Improvements | \$6,200,000 |
| 520 | SUDBURY (H) | TBD | 7251 WWTF and Collection System Project | \$58,711,600 |
| 510 | ACTON (H) | GW Permit | 7277 Acton Great Road Sewer Project | \$20,854,000 |
| 444 | SOMERSET #(PF) | MA0100676 | 8360 Somerset Wastewater Pump Station Upgrades | \$4,780,000 |
| 439 | GREATER LAWRENCE SANITARY DISTRICT | MA0100447 | 12456 NORTH BANK INTERCEPTOR CSO STORAGE FACILITY | \$71,350,000 |
| 434 | MARION # | MA0100030 | 12487 Creek Road Pump Station Resiliency Improvements | \$3,000,000 |
| 434 | NEW BEDFORD (PF) | MA0100781 | 12414 Wastewater Treatment Plant Improvements | \$11,500,000 |
| 433 | BARNSTABLE #(H)(PF) | GW Permit | 7302 2023 Wastewater Pump Station Improvements | \$3,320,000 |
| 429 | BARNSTABLE (H)(PF) | GW Permit | 7291 Sewer Extension and Vacuum Sewer Removal | \$1,120,000 |
| 426 | MARSHFIELD | MA0101737 | 10375 Plymouth Ave Pump Station Upgrades | \$8,117,000 |
| 426 | NEW BEDFORD (PF) | MA0100781 | 12508 Buttonwood Brook Improvements | \$4,200,000 |
| 419 | SHREWSBURY | MA0100412 | 12483 Rolfe & Maple Ave PS Upgrade and FM Replacement | \$9,820,000 |
| 412 | COUNTY OF DUKE COUNTY (PF)(D) | GW Permit | 12439 Martha's Vineyard Airport WWTF Upgrades | \$0 |
| 341 | PLYMOUTH #(H)(PF) | MA0100587 | 12462 Camelot Drive Sewer Extension Project | \$12,647,000 |
| 340 | BILLERICA #(H)(PF) | MA0101711 | 7309 Darby and Waterview Avenue Pump Stations | \$2,760,000 |
| 332 | WAREHAM (PF) | MA0101893 | 12430 WPCF Improvements - Phase 2 | \$36,450,000 |
| 329 | UPPER BLACKSTONE WATER POLLUTION ABATEMENT DISTRICT #(RE) | MA0102369 | 7324 Standby Power for Resiliency | \$10,000,000 |

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|-----|---|-----------|--|--------------|
| 328 | WELLFLEET | N/A | 12403 Wellfleet Enhanced I&A Septic System Program | \$3,000,000 |
| 325 | WORCESTER #(H)(PF) | MA0102369 | 12415 Various Streets Rehabilitation Project 2023 | \$2,091,000 |
| 325 | WAREHAM #(PF) | MA0101893 | 12526 Swifts Beach Inflow and Infiltration Project | \$24,000,000 |
| 325 | MEDFIELD #(H) | MA0100978 | 7296 Medfield Sewer Infrastructure Rehabilitation | \$2,133,000 |
| 323 | WORCESTER #(H)(PF) | MA0102369 | 12536 Shrewsbury Street Sewer Rehabilitation Project | \$10,560,000 |
| 322 | SALEM (H)(PF) | MA0100501 | 7342 Ocean Avenue West Pump Station Improvements | \$9,800,000 |
| 322 | FREETOWN (PF) | MA0100382 | 8358 Assonet Sewer Extension Project | \$15,456,000 |
| 308 | AVON (PF) | | 12437 Avon Industrial Park Localized Sewer District | \$14,595,600 |
| 244 | BARNSTABLE #(H)(PF) | GW Permit | 7303 Sewer System Rehab Infiltration and Inflow Removal | \$810,000 |
| 235 | BROCKTON (H)(PF)(RE) | MA0101010 | 12464 AWRF Biosolids Management Improvements | \$35,000,000 |
| 232 | HUDSON #(PF) | MA0101788 | 12501 Wastewater Treatment Facility Phase 2 Improvements | \$20,000,000 |
| 226 | MASSACHUSETTS WATER RESOURCES AUTHORITY | MA0103284 | 7326 SSPS VFD Replace Const | \$75,000,000 |
| 226 | EASTHAMPTON #(PF) | MA0101478 | 7333 Liberty/Lovefield Pump Station Upgrades | \$4,120,000 |
| 225 | EASTHAMPTON #(PF) | MA0101478 | 7317 Easthampton Wastewater Pump Station Elimination | \$3,810,792 |
| 224 | MASSACHUSETTS WATER RESOURCES AUTHORITY | MA0103284 | 7329 Hayes Pump Station Improvements | \$14,840,000 |
| 224 | BROCKTON #(H)(PF) | MA0101010 | 12446 AWRF Effluent Upgrades | \$9,000,000 |
| 224 | ACTON (H) | GW Permit | 12400 WWTF and Pump Station Rehabilitation - Phase 2 | \$4,438,000 |
| 224 | SALEM (H)(PF) | MA0100501 | 7343 Canal Street Flood Mitigation Project - Phase 2B | \$37,500,000 |
| 222 | GLOUCESTER #(PF) | MA0100625 | 12429 Riverdale Park PS Upgrades | \$2,293,200 |
| 222 | MARSHFIELD # | MA0101737 | 12547 Marshfield Sewer Replacement Project | \$7,856,000 |
| 220 | TYNGSBOROUGH (H)(PF) | MA0100633 | 7284 Phalanx Pump Station Upgrade | \$550,000 |
| 220 | WINTHROP #(PF) | MA0103284 | 9367 Sewer System Infiltration Program | \$5,900,000 |
| 219 | ANDOVER (H) | MA0100447 | 7295 Shawsheen Village Sewer Pump Station Upgrades | \$9,891,790 |
| 218 | ACTON #(H)(RE) | GW Permit | 7278 Acton MFPB WWTF - New Groundwater Discharge | \$10,280,000 |
| 218 | WORCESTER (H)(PF) | MA0102369 | 12473 Worcester - Dunkirk Ave. PS Upgrade | \$5,608,100 |
| 216 | NORTH ANDOVER (H) | MA0100447 | 7270 Sewer Pump Station Rehabilitation | \$4,968,348 |
| 216 | NORTHBOROUGH | MA0100480 | 12527 SCADA and Controls System Project | \$691,205 |
| 215 | SAUGUS (PF) | MA0100552 | 12401 Lynnhurst School Pump Station Improvements | \$800,000 |
| 215 | MILTON # | MA0103284 | 12494 Sewer Improvements Project - Contract S23-1 | \$8,953,000 |
| 212 | WESTFIELD (PF) | MA0101800 | 12469 Water Recovery Facility Dewatering Upgrade | \$2,442,900 |
| 212 | SHREWSBURY | MA0100412 | 12484 Walnut St PS Upgrade & FM/Sewer Replacement | \$4,750,000 |
| 212 | WESTFIELD (PF) | MA0101800 | 12460 Westfield Administration Building | \$4,150,129 |

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|-----|------------------|-----------|---|--------------|
| 210 | PALMER (PF) | MA0101168 | 7323 Thorndike Street Siphon Replacement | \$6,140,000 |
| 207 | HOLDEN | MA0102369 | 12513 Industrial Drive Sewer Pump Station Replacement | \$1,610,000 |
| 118 | AGAWAM (PF) | MA0101613 | 7281 Drainage Infrastructure Improvements (East) | \$4,223,000 |
| 118 | LAWRENCE (H)(PF) | MA0100447 | 9364 DPW Yard Flood Mitigation | \$2,665,000 |
| 117 | WINTHROP (PF) | MA0103284 | 9368 Ingleside Park Site & Drainage Improvements | \$15,020,000 |
| 117 | WELLFLEET | N/A | 12540 Mayo Creek Restoration Project | \$1,967,700 |
| 116 | AGAWAM (PF) | MA0101613 | 7282 Drainage Infrastructure Improvements (West) | \$4,588,000 |
| 110 | WINTHROP (PF) | MA0103284 | 9365 Morton Street Drainage Improvements | \$9,300,000 |

TOTAL OF NEW PROJECTS
\$1,569,354,646

(Count: 99)

(Average Rating: 391.14)

(BG) - Base Capitalization Grant

(D) - Dropped by Applicant

(H) - Housing Choice Communities

(PF) - Affordability Criteria

(RE) - Potential Renewable Energy Projects

(SG) - BIL CWSRF General Supplemental Grant

(W) - Water Infrastructure Finance and Innovation Act (WIFIA)

- Projects contains Energy Efficiency, Renewable Energy and/or meets EPA's definition of a Green Project (<https://www.epa.gov/greeningepa/energy-and-emissions-epa>)

MULTI-YEAR CARRYOVER AND STATUTORY PROJECTS

| Applicant | NPDES/PERMIT | SRF ID | Project | Project Cost |
|---|--------------|--------|-------------------------------|----------------------|
| MASSACHUSETTS WATER RESOURCES AUTHORITY | MA0103284 | 4150 | DITP Asset Protection Phase 3 | \$66,500,000 |
| MASSACHUSETTS WATER RESOURCES AUTHORITY | MA0103284 | 6822 | DITP Clarifier #2 | \$149,527,777 |
| TOTAL OF MULTI-YEAR CARRYOVER AND STATUTORY PROJECTS | | | | \$216,027,777 |

(Count: 2)

MassDEP PRIORITY PROJECTS

| Applicant | NPDES/PERMIT | SRF ID | Project | Project Cost |
|---|--------------|--------|-------------------------------------|---------------------|
| COMMUNITY SEPTIC MANAGEMENT | N/A | 3850 | Community Septic Management Program | \$5,000,000 |
| EMERGENCY SRF SET-A-SIDE | N/A | 2977 | | \$3,000,000 |
| PLANNING AND PFAS DESIGN SRF SET-A-SIDE | | 13575 | | \$15,000,000 |
| TOTAL OF MassDEP PRIORITY PROJECTS | | | | \$23,000,000 |

(Count: 3)

TOTAL OF PROJECT PRIORITY LIST **\$1,808,382,423**

TABLE 4
CLEAN WATER STATE REVOLVING FUND
Calendar Year 2023 CWSRF ASSET MANAGEMENT PLANNING PROJECTS

ASSET MANAGEMENT PLANNING PROJECTS

| Rating | Applicant | SRF ID | Project | Project Cost |
|---|------------------|--------|--|--------------------|
| 86 | BRAINTREE | 7319 | Sewer Pump Station & Stormwater Culvert Evaluation | \$142,933 |
| 85 | LITTLETON | 7308 | Littleton Stormwater Asset Management Project | \$182,500 |
| 85 | WINCHENDON | 12461 | Winchendon Stormwater Asset Management Plan | \$135,625 |
| 85 | SOUTHAMPTON | 12485 | Town of Southampton Stormwater Management Plan | \$140,000 |
| 83 | HOLBROOK | 12498 | Holbrook Sewer & Stormwater Asset Management Plan | \$261,727 |
| 81 | WEST SPRINGFIELD | 12514 | West Springfield Sewer SCADA Improvements | \$403,000 |
| 80 | WARE | 12530 | Town of Ware Wastewater Asset Management Plan | \$175,000 |
| 77 | NEW BEDFORD | 12537 | Asset Management Program Expansion | \$261,500 |
| 77 | DUDLEY | 12543 | Dudley Sewer Asset Management Plan | \$185,000 |
| 77 | ROCKLAND | 12555 | Rockland Sewer & Stormwater Asset Management Plan | \$279,618 |
| 76 | STURBRIDGE | 12436 | Sturbridge Water/Sewer Asset Management Plan | \$250,000 |
| 75 | HADLEY | 12502 | Hadley Wastewater Asset Management Plan | \$120,000 |
| 74 | FRANKLIN | 12541 | Franklin Asset Management Plan | \$250,000 |
| 71 | LOWELL | 12560 | LRWWU Asset Management Plan | \$250,000 |
| 65 | EVERETT | 12529 | Everett Stormwater Asset Management Plan | \$200,070 |
| 65 | HARWICH | 12515 | Harwich Stormwater Asset Management Plan | \$125,000 |
| 63 | MEDWAY | 7275 | Asset Management Plan - Phase 2 | \$250,000 |
| 62 | DOVER | 11391 | Town of Dover Stormwater Asset Management Plan | \$165,000 |
| 62 | EASTON | 12505 | Easton Stormwater Asset Management Plan | \$136,375 |
| 61 | AMESBURY | 12533 | Asset Management Inventory and Planning | \$249,961 |
| 60 | MERRIMAC | 12496 | Asset Management Inventory and Planning | \$117,031 |
| 56 | NEWBURYPORT | 12522 | Newburyport Stormwater Asset Management Analysis | \$124,692 |
| 55 | LAWRENCE | 7273 | Stormwater Asset Management Plan | \$250,000 |
| 52 | HUDSON | 12558 | Hudson Asset Management Plan | \$53,000 |
| 47 | HAVERHILL | 7276 | Asset Management Plan - Phase 2 | \$250,000 |
| 46 | CONCORD | 7288 | Wastewater Asset Management Plan | \$179,950 |
| 42 | BEDFORD | 7274 | Culvert Asset Management Plan | \$112,500 |
| TOTAL OF ASSET MANAGEMENT PLANNING PROJECT | | | | \$5,250,482 |

(Count: 27)

TOTAL OF PROJECT PRIORITY LIST **\$5,250,482**