LPL Financial

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SAMPLE A. SAMPLE
123 MAIN STREET
ANYTOWN US 12345-6789

September 14, 2026

RE: Notice of Security Incident

Dear Sample A. Sample:

We are writing to inform you about a recent security incident affecting certain of your personal information that is maintained by LPL Financial LLC and an associated advisor for the purpose of providing you with financial advice. We want to provide you with (1) information about the incident, (2) which of your personal information was involved, (3) steps we are taking in response, and (4) steps you can consider to help protect your personal information.

We encourage you to remain vigilant and review your account statements and free credit reports regularly to ensure there is no unauthorized or unexplained activity and report suspicious activity to your financial advisor.

What Happened?

On May 29, 2026, security monitoring software on your financial advisor's device detected activity indicating that the device had been accessed by an unauthorized third party using remote access software and this unauthorized party may have accessed an LPL portal that maintains certain client data associated with your advisor's account. In response, containment measures were implemented, including disabling the advisor's LPL portal access and LPL email account until his device had been secured. LPL's investigation determined that the unauthorized activity may have started as early as January 14, 2025, until it was identified and contained on May 29, 2026.

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What Information Was Involved?

The following personal information of yours was or is reasonably believed to have been accessed without authorization: name; Social Security number; account number and type; contact information (address, phone number, email); and transaction history and account balances. We have not identified any unauthorized transactions impacting your account.

What We Are Doing.

We commenced an internal investigation and contained and reviewed the affected device, reviewed relevant account activity, rotated credentials, and implemented additional technical measures to help prevent further unauthorized access. LPL has found no evidence of additional unauthorized activity related to this incident. We are working with law enforcement regarding the investigation.

What You Can Do.

We wanted to make you aware of this incident so that you can take appropriate steps to protect your personal information and to offer you a complimentary 24-month membership for credit monitoring and identity theft protection services through Experian. We encourage you to enroll in these services. Please also review the enclosed *Steps You Can Take to Help Protect Your Personal Information*, which contains details about this offer, including instructions on how to enroll, and general guidance on what you can do to safeguard against possible future misuse of your information, including information about fraud alerts, how to obtain free credit reports, and guidance from the Federal Trade Commission.

For More Information.

If you have further questions, concerns or would like more information about the incident, or would like an alternative to enrolling online, please call 833-745-2348 toll-free Monday through Friday from 8 am – 8 pm Central (excluding major U.S. holidays). Please be prepared to provide your engagement number [B######]. We can also be reached at privacyresponseteam@lplfinancial.com.

We sincerely regret that this incident occurred and are taking measures to help prevent it from happening again.

Sincerely,

Mona Nadim
Vice President, Interim Chief Privacy Officer & Associate General Counsel

Steps You Can Take to Help Protect Your Personal Information

Activate IdentityWorks Credit 1B Now in Three Easy Steps

To start monitoring your personal information, please follow the steps below:

- Ensure that you **enroll by** November 30, 2026 (Your code will not work after this date)
- **Visit** the Experian IdentityWorks website to enroll: https://www.experianidworks.com/1Bcredit
- Provide your **activation code**: [Activation Code]

If you have questions about the product, need assistance with Identity Restoration that arose as a result of this incident or would like an alternative to enrolling in Experian IdentityWorks online, please contact Experian's customer care team by November 30, 2026 at 833-745-2348 Monday – Friday, 8 am – 8 pm Central Time (excluding major U.S. holidays). Be prepared to provide engagement number [B######] as proof of eligibility for the Identity Restoration services by Experian.

Additional Details Regarding Your 24-Month Experian Identity Works Membership:
A credit card is not required for enrollment in Experian IdentityWorks. You can contact Experian immediately regarding any fraud issues, and have access to the following features once you enroll in Experian IdentityWorks:

- **Experian credit report at signup:** See what information is associated with your credit file. Daily credit reports are available for online members only.*
- **Credit Monitoring:** Actively monitors Experian file for indicators of fraud.
- **Identity Restoration:** Identity Restoration specialists are immediately available to help you address credit and non-credit related fraud.
- **Experian IdentityWorks ExtendCARE™:** You receive the same high-level of Identity Restoration support even after your Experian IdentityWorks membership has expired.
- **$1 Million Identity Theft Insurance**:** Provides coverage for certain costs and unauthorized electronic fund transfers.

If you believe there was fraudulent use of your information as a result of this incident and would like to discuss how you may be able to resolve those issues, please reach out to an Experian agent. If, after discussing your situation with an agent, it is determined that identity restoration support is needed then an Experian Identity Restoration agent is available to work with you to investigate and resolve each incident of fraud that occurred from the date of the incident (including, as appropriate, helping you with contacting credit grantors to dispute charges and close accounts; assisting you in placing a freeze on your credit file with the three major credit bureaus; and assisting you with contacting government agencies to help restore your identity to its proper condition).

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Please note that Identity Restoration is available to you for 24 months from the date of this letter and does not require any action on your part at this time. The Terms and Conditions for this offer are located at www.ExperianIDWorks.com/restoration. While identity restoration assistance is immediately available to you, we also encourage you to activate the fraud detection tools available through Experian IdentityWorks as a complimentary 24-month membership. This product provides you with superior identity detection and resolution of identity theft. In addition to taking steps to protect your identity, be sure that bills and accounts look correct. We include steps on how to do that in this letter. If you learn of a crime against you, you can file a report with law enforcement.

*Offline members will be eligible to call for additional reports quarterly after enrolling.

**The Identity Theft Insurance is underwritten and administered by American Bankers Insurance Company of Florida, an Assurant company. Please refer to the actual policies for terms, conditions, and exclusions of coverage. Coverage may not be available in all jurisdictions.

Review Your Account Statements

Carefully review statements sent to you from your healthcare providers, insurance company, and financial institutions to ensure that all of your account activity is valid. Report any questionable charges promptly to the provider or company with which you maintain the account.

Order Your Free Credit Report

You may also periodically obtain credit reports from the nationwide credit reporting agencies. If you discover information on your credit report arising from a fraudulent transaction, you should request that the credit reporting agency delete that information from your credit report file. In addition, under federal law, you are entitled to one free copy of your credit report every 12 months from each of the three nationwide credit reporting agencies. You may obtain a free copy of your credit report by going to www.AnnualCreditReport.com or by calling (877) 322-8228. You may contact the nationwide credit reporting agencies at:

Equifax	Experian	TransUnion
(800) 685-1111	(888) 397-3742	(833) 799-5355
P.O. Box 740241	P.O. Box 9701	P.O. Box 2000
Atlanta, GA 30374-0241	Allen, TX 75013-9701	Chester, PA 19016-2000
www.Equifax.com	www.Experian.com	www.TransUnion.com

You also have other rights under the Fair Credit Reporting Act ("FCRA"). These include, among others, the right to know what is in your file; to dispute incomplete or inaccurate information; and to have consumer reporting agencies correct or delete inaccurate, incomplete, or unverifiable information. For information about your rights under the FCRA, please visit: https://files.consumerfinance.gov/f/201504_cfpb_summary_your- rights-under-fcra.pdf.

Contact the U.S. Federal Trade Commission

You may contact the Federal Trade Commission ("FTC"), law enforcement, or your state Attorney General to report incidents of identity theft or to learn about steps you can take to protect yourself from identity theft. To learn more, you can go to the FTC's website at www.ftc.gov/idtheft, or call the FTC at (877) IDTHEFT (438-4338) or write to Federal Trade Commission, Consumer Response Center, 600 Pennsylvania Avenue, NW, Washington, DC 20580.

Fraud Alerts and Security Freezes

You may obtain additional information from the FTC and the credit reporting agencies about fraud alerts and security freezes. You can add a fraud alert to your credit report file to help protect your credit information at no cost to you. A fraud alert can make it more difficult for someone to get credit in your name because it tells creditors to follow certain procedures to verify your identity. You may place a fraud alert in your file by calling any of the nationwide credit reporting agencies listed above. As soon as that agency processes your fraud alert, it will notify the other two agencies, which then must also place fraud alerts in your file.

You can also contact the nationwide credit reporting agencies at the numbers listed above to place a security freeze to restrict access to your credit report free of charge. You must separately place a credit freeze on your credit file at each credit reporting agency. You will need to provide the credit reporting agency with certain information, such as your name, address, date of birth and Social Security number. After receiving your request, the credit reporting agency will send you a confirmation containing a unique PIN or password that you will need in order to remove or temporarily lift the freeze. You should keep the PIN or password in a safe place. If you request a lift of the credit freeze online or by phone, the credit reporting agency must lift the freeze within one (1) hour. If you request a credit freeze or lift of a credit freeze by mail, the credit reporting agency must place or lift the credit freeze no later than three (3) business days after getting your request.

State Specific Information: For residents of Massachusetts and New York.

You may contact your Attorney General for additional information about avoiding identity theft. You may use the following information to contact your Attorney General:

New York	New York	For residents of Massachusetts
New York Attorney General Consumer Frauds & Protection Bureau 28 Liberty Street, New York, NY 10005 (800) 771-7755 www.ag.ny.gov	New York Department of State Division of Consumer Protection 99 Washington Avenue Suite 650 Albany, New York 12231 (800) 697-1220 www.dos.ny.gov	Under Massachusetts law, you have the right to obtain any police report filed in connection with the incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

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