

[INSERT JANNEY LETTERHEAD]

**IMPORTANT INFORMATION
PLEASE REVIEW CAREFULLY**

«First_Name» «MI» «Last_Name»
«Address»
«City», «State» «Zip»

October [REDACTED], 2026

Dear «First_Name» «MI» «Last_Name»:

Janney Montgomery Scott LLC (“Janney”, “we”, “us” or “our”) is writing to you regarding a data security incident that our vendor, Alation, Inc. (“Alation”), a data intelligence platform, recently experienced. Alation’s data security incident may affect some of your personal information. Given our commitment to client service, we are providing this notice to you directly, offering complimentary identity protection/credit monitoring, and sharing other proactive steps you may wish to take to help protect your information.

What Happened?

According to Alation, it detected unauthorized access to its system on August 18, 2026, and immediately took steps to contain the intrusion and secure its systems. That same day, Alation began an investigation and engaged external legal counsel, who subsequently engaged a digital forensics and incident response firm to determine what happened and assist in conducting a review of any potentially compromised data.

The investigation revealed that on August 10, 2026, an unauthorized actor accessed Alation’s systems.

What Information Was Involved?

On September 1, 2026, Alation notified Janney that certain kinds of personal information belonging to some Janney clients were impacted by this incident. Upon Janney’s further investigation and based on the information made available to Janney, Janney discovered on September 24, 2026 that the potentially impacted information included: name, address, Social Security number or Tax Identification Number, and account number. Some of these data elements containing your personal information were accessed.

What Did Alation Do and What Did Janney Do?

In response to the incident, Alation initiated containment measures, which confirmed unauthorized access to Alation’s systems was terminated. Alation also worked to further strengthen its security controls and network configurations, such as tightening access controls.

In light of this incident, Janney has ended its contractual relationship with Alation. While we are not aware of any misuse of your information at this time, as an added precaution to help protect your identity, we are offering complimentary access to credit monitoring from Experian for 24 months. See instructions at the bottom of this letter.

What You Can Do

We urge all Janney clients to remain vigilant against cybersecurity threats and identity theft, including by regularly viewing your account statements and monitoring your free credit reports. Please notify your Financial Advisor immediately if you notice anything suspicious.

For more information on how you can help protect yourself, please review the *More Information about Identity Theft and Ways to Protect Yourself* document attached, including:

- Reviewing your credit reports
- Placing fraud alerts
- Freezing your credit
- Contacting the Federal Trade Commission and Offices of the Attorneys General.

For More Information

If you have questions or concerns about this issue, please contact Janney's Data Privacy Manager, Walter Robinson, at (215) 665-6565, or submit an email to privacyofficer@janney.com.

Sincerely,

Brett Riggi
Chief Operating Officer