[Date]

Dear NAME **L01**

RE: **Notice of Data Breach**

We are writing to inform you about a recent security incident involving some of your personal information that is maintained at our custodian, LPL Financial LLC. We take the protection and proper use of your information very seriously. For this reason, we are contacting you directly to explain the circumstances of the incident.

What Happened?

On August 19, 2026, we were made aware of suspicious activity on an LPL portal account for one of our team members. This portal account maintains certain client data. Through our relationship with LPL, they immediately began an investigation and determined that remote access software had been installed on this team member's device as early as August 11, 2026. This software may have allowed an unauthorized party to access the portal account. This software was promptly removed upon discovery, and additional containment measures were implemented, including disabling the portal access, email account and other database access until his device had been secured along with replacing the hard drive of the device. The incident window was from on or about August 11, 2026 through August 19, 2026. This notice was not delayed as a result of a law enforcement investigation.

What Information Was Involved?

Through its investigation, LPL has informed us that the following personal information of yours was or is reasonably believed to have been accessed without authorization: name, social security number or taxpayer identification number, account number and type, contact information (address, phone number, email), and transaction history and account balances. LPL has not identified any unauthorized transactions impacting your account.

What We Are Doing and What You Can Do.

We understand the stress and concern that this news may cause you. We want you to know that the threat has been contained. Additionally, credentials for databases, portals, and emails have been updated. Technical measures have been implemented to help prevent further unauthorized access.

You should also receive or may have already received a letter from LPL Financial LLC about this same incident. In that letter they stated that LPL has found no evidence of additional unauthorized activity related to this incident. Further, they are working with law enforcement regarding the investigation. Additionally, included in LPL's separate letter is information regarding the services of Experian to provide credit monitoring and identity theft protection services at no cost to you for 24 months. Please enroll by January 31, 2027 and review the letter from LPL for instructions. Please review the letter from LPL and contact your Wealth Advisor with any questions about the information or process to obtain the services offered to you.

Other Important Information.

Cyber-security threats are now a reality in the financial services industry, and we are dedicated to protecting your information at the highest levels. We immediately activated our cyber response plan to protect you. We've committed our resources to minimize any further concerns or inconvenience to you. We remain deeply committed to continually strengthening our cybersecurity defenses and investing in measures designed to protect confidential information. To that end, we have included with this letter certain recommendations you can follow and resources you can use to help protect yourself.

We encourage you to remain vigilant and review your account statements and free credit reports regularly to ensure there is no unauthorized or unexplained activity and to immediately report any suspicious activity to your Wealth Advisor.

Sincerely,

Michael J. Hirthler

Founder
Chief Investment Officer

Additional Resources

As an added precaution, we are recommending that you take certain steps to further enhance the security and privacy of your credit information.

Contact Information for Credit-Reporting Agencies

Experian	Equifax	TransUnion
1-888-397-3742	1-888-378-4329	1-800-916-8800
P.O. Box 2002	P.O. Box 740241	P.O. Box 2000
Allen, TX 75013	Atlanta, GA 30347	Chester, PA 19016
www.experian.com	www.equifax.com	www.transunion.com

Order and Review Credit Reports

You may order credit reports annually from any one of the top three credit-reporting agencies for free. To do this call 1-877-322-8228 or go to www.annualcreditreport.com. When you receive your credit reports, look them over carefully and please call us with any questions. We can help you walk through the report to check for any red flags such as: any errors or incomplete information; any unfamiliar account names or lines of credit; or inquiries from creditors that you did not initiate.

Even if you find no evidence of fraud on your reports, we advise that you remain vigilant by reviewing account statements and monitoring free credit reports on a regular basis.

Place A Fraud Alert on Your Credit Files

For an additional measure of security, we recommend placing a fraud alert on your credit file. It's a free security notification that requires businesses to take extra care when issuing credit in your name. To place a fraud alert, simply contact any one of the three credit-reporting agencies listed above and ask for an "initial fraud alert." The alert lasts for one year and may be renewed indefinitely free of charge. It will become immediately effective and be automatically communicated to all other credit agencies. You will receive letters from all three confirming your protected status.

Consider Placing a Security Freeze on Your Credit Files

You have the ability to place a security freeze on your credit report. A security freeze is designed to prevent potential creditors from accessing your credit file at the credit-reporting agencies without your consent, keeping them from opening any credit account in your name. Unlike a fraud alert, you must place a security freeze on your credit file at each credit-reporting agency individually. Placing, lifting, or removing a security freeze is free of charge. To request a security freeze, you must provide your full name, Social Security number, date of birth, addresses for the prior five years, proof of your current address, and a copy of a government-issued identification. The credit-reporting agencies must place a freeze within one business day of receiving an online or telephone request and within three business days of receiving a request by mail. They must lift a freeze within one hour of receiving an online or telephone request or within three business days of receiving a request by mail. The credit-reporting agencies will send you a PIN or password that you will need to remove or temporarily lift the freeze; you should keep it in a safe place.

For more information about fraud alerts and security freezes, you may contact the credit-reporting agencies at the information above or the Federal Trade Commission as described below.

Contact the Federal Trade Commission and State Attorney General Offices

You can contact the Federal Trade Commission and the Attorney General's office in your home state to learn more about how to prevent or avoid identify theft. You may contact the Federal Trade Commission at: Federal Trade Commission, Consumer Response Center, 600 Pennsylvania Avenue, NW, Washington, DC 20580, www.ftc.gov/ idtheft/, 1-877-IDTHEFT (43804338).

You also have other rights under the Fair Credit Reporting Act ("FCRA"), including the right to know what is in your file; to dispute incomplete or inaccurate information; and to have consumer reporting agencies correct or delete inaccurate, incomplete, or unverifiable information. For information about your rights under the FCRA, please visit: https://files.consumerfinance.gov/f/201504_cfpb_summary_your-rights-under-fcra.pdf. You may report identity theft to the Federal Trade Commission, law enforcement, or your state Attorney General.

Complimentary Credit Monitoring and Identity Protection Through Experian

LPL Financial LLC is offering you a complimentary 24-month Experian IdentityWorks membership, which includes credit monitoring, internet surveillance, identity restoration, and $1 million in identity theft insurance. A credit card is not required for enrollment. To enroll, visit https://www.experianidworks.com/1Bcredit by January 31, 2027 using the personal activation code in the LPL letter. Alternatively, call Experian at 833-918-1723 Monday–Friday from 8 am–8 pm Central (excluding major U.S. holidays), and provide the engagement number in the LPL letter.

State Specific Information

For Maryland residents: Maryland Office of the Attorney General, Consumer Protection Division, 200 St. Paul Place, Baltimore, MD 21202, (410) 528-8662, www.marylandattorneygeneral.gov.

For New York residents: New York Attorney General, Consumer Frauds & Protection Bureau, 28 Liberty Street, New York, NY 10005, (800) 771-7755, www.ag.ny.gov.

For New York residents: New York Department of State, Division of Consumer Protection, 99 Washington Avenue, Suite 650, Albany, NY 12231, (800) 697-1220, www.dos.ny.gov.

For North Carolina residents: North Carolina Department of Justice, 9001 Mail Service Center, Raleigh, NC 27699-9001, (919) 716-6000, www.ncdoj.gov.

Residents can obtain information from the Federal Trade Commission and their state Attorney General about steps to avoid identity theft, fraud alerts, and security freezes.

For Massachusetts residents: Under Massachusetts law, you have the right to obtain any police report filed in connection with the incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

For More Information

If you have any questions or there is anything that we can do to assist you, please contact us at *(570)826-1801 or (610)722-5948*. You can also e-mail your Wealth Advisor or write to us at *154 Enterprise Way, Pittston, PA 18640 or 1055 Westlakes Drive Ste 135, Berwyn, PA 19312*.