



000001



HENNESSY
ADVISORS, INC.
P.O. Box 989728
West Sacramento, CA 95798-9728





000001



Enrollment Code: [REDACTED]
 Enrollment Deadline: May 23, 2026

To Enroll, Scan the QR Code Below:



 SCAN ME

Or Visit:
<https://app.idx.us/account-creation/protect>

February 23, 2026

Subject: Notice of Data Security Incident

Dear [REDACTED]:

We are writing about a data security incident experienced by Hennessy Advisors, Inc. (“Hennessy Advisors” or “we”) that may have affected your personal information shared as part of your business dealings with Hennessy Advisors (the “Incident”). Hennessy Advisors is a publicly traded investment management firm who provides investment advisory services to the Hennessy Funds. Please read this letter carefully as it contains information regarding the incident and steps that you can take to help protect your personal information.

Under Massachusetts law, you have the right to obtain any police report filed in regard to this incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

Massachusetts law also allows consumers to place a security freeze on their credit reports. A security freeze prohibits a consumer reporting agency from releasing any information from a consumer’s credit report without written authorization. However, please be aware that placing a security freeze on your credit report may delay, interfere with, or prevent the timely approval of any requests you make for new loans, credit, mortgages, employment, housing or other services.

To place a security freeze on your credit report, you must send a written request to each of the three major consumer reporting agencies:

- Equifax: P.O. Box 105788, Atlanta, GA 30348, 1-800-525-6285, www.equifax.com
- Experian: P.O. Box 9532, Allen, TX 75013, 1-888-397-3742, www.experian.com
- TransUnion: P.O. Box 2000, Chester, PA 19016, 1-800-916-8800, freeze.transunion.com

In order to request a security freeze, you will need to provide the following information:

1. Full name and any suffixes;
2. Social Security Number;
3. Date of birth;
4. If you have moved in the past five years, the addresses where you have lived over the prior five years;
5. Proof of current address such as a current utility bill or telephone bill;
6. A legible photocopy of a government issued identification card (state driver’s license or ID card, military identification, etc.);
7. If you are a victim of identity theft, include a copy of either the police report, investigative report, or complaint to a law enforcement agency concerning identity theft;

The consumer reporting agencies have three business days after receiving your request to place a security freeze on your credit report. The credit bureaus must also send written confirmation to you within five business days and provide you with a unique personal identification number (PIN) or password, or both that can be used by you to authorize the removal or lifting of the security freeze.

To lift the security freeze in order to allow a specific entity or individual access to your credit report, you must call or send a request to the consumer reporting agencies and include proper identification (name, address, and Social Security Number) and the PIN or password provided to you when you placed the security freeze as well as the identities of those entities or individuals you would like to receive your credit report or the specific period of time you want the credit report available. The consumer reporting agencies have three business days after receiving your request to lift the security freeze for those identified entities or for the specified period of time.

To remove the security freeze, you must send a request to each of the three consumer reporting agencies and include proper identification (name, address, and Social Security Number) and the PIN or password provided to you when you placed the security freeze. The consumer reporting agencies have three business days after receiving your request to remove the security freeze. No fee is required to be paid to any of the consumer reporting agencies to place, lift or remove a security freeze.

In order to determine whether any unauthorized credit was obtained with your information, you may obtain a copy of your credit report at www.annualcreditreport.com or 1-877-322-8228. You may also request information on how to place a fraud alert by contacting any of the above consumer reporting agencies. A fraud alert is intended to alert you if someone attempts to obtain credit in your name without your consent. It is recommended that you remain vigilant for any incidents of fraud or identity theft by reviewing credit card account statements and your credit report for unauthorized activity. You may also contact the Federal Trade Commission (FTC) to learn more about how to prevent identity theft:

FTC, Consumer Response Center, 600 Pennsylvania Avenue, NW, Washington, D.C. 20580, www.ftc.gov/bcp/edu/microsites/idtheft/, 1-877-IDTHEFT (438-4338).

Hennessy Advisors has no evidence of the misuse or attempted misuse of any potentially impacted information. In addition, we are offering identity theft protection services through IDX, the data breach and recovery services expert. IDX identity protection services include: 24 months of credit and CyberScan monitoring, a \$1,000,000 insurance reimbursement policy, and fully managed id theft recovery services. With this protection, IDX will help you resolve issues if your identity is compromised.

To enroll in Credit Monitoring services at no charge, please call 1-888-201-1772 or visit <https://app.idx.us/account-creation/protect> and provide the enrollment code at the top of this page. Please note you must enroll by May 23, 2026. You will need to reference the enrollment code at the top of this letter when calling or enrolling online, so please do not discard this letter. Please also review the guidance at the end of this letter, which includes additional resources you may utilize to help protect your information. We also encourage your beneficiaries to enroll by calling IDX at 1-888-201-1772.

Please accept my sincere apologies for any worry or inconvenience that this may cause you. If you have questions or need assistance, please call 1-888-201-1772 Monday through Friday from 9 a.m. to 9 p.m. Eastern Time. IDX representatives are fully versed on this incident and can answer any questions that you may have.

Sincerely,

Hennessy Advisors, Inc.
7250 Redwood Boulevard, Suite 200
Novato, CA 94945
(800) 966-4354
info@hennessyadvisors.com



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HENNESSY
ADVISORS, INC.
P.O. Box 989728
West Sacramento, CA 95798-9728





000002

Barrett Costello
88 Hawthorn Rd
Braintree, MA 02184-1403



Enrollment Code: FRCUXRSWN5
Enrollment Deadline: May 23, 2026

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February 23, 2026

Subject: Notice of Data Security Incident

Dear Barrett Costello:

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