

205 CMR: MASSACHUSETTS GAMING COMMISSION

205 CMR 6.00: PARI-MUTUEL RULES FOR THOROUGHBRED RACING, HARNESS RACING,  
AND GREYHOUND RACING

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6.01: General Rules

(1) No minor shall be allowed to wager. No jockey in racing attire and no driver in colors shall enter the betting area.

(2) Each association shall conduct wagering in accordance with applicable laws and 205 CMR 6.00. Such wagering shall employ a pari-mutuel system approved by the Commission. The totalisator shall be tested prior to and during the meeting as required by the Commission.

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- (3) In horse and harness horse racing, in the event of a foul being claimed, the word "inquiry" shall be flashed forthwith on the result and mutuel board and announcement thereof made on the public address system.
- (4) Whenever there is a difference in any pool or pools, *i.e.*, a difference between the sum total of the wagers on the individual horses or dogs as compared with the grand total as shown by the Tote Board; the larger amount shall be used as the basis for computing the payoff.
- (5) In horse racing, if a jockey's mount is disqualified pursuant to 205 CMR 4.11(6)(e)11., all monies wagered on said horse shall be deducted from the pool and be refunded, unless the race has been declared official.

6.02: Records

- (1) The association shall maintain records of all wagering so the Commission may review such records for any contest including the opening line, subsequent odds fluctuation, the amount and at which window wagers were placed on any betting interest and such other information as may be required. Such wagering records shall be retained by each association and safeguarded for a period of time specified by the Commission. The Commission may require that certain of these records be made available to the wagering public at the completion of each contest. (ARCI c. 9 g.p. B(1))
- (2) The association shall provide the Commission with a list of the licensed individuals afforded access to pari-mutuel records and equipment at the wagering facility. (ARCI c. 9 g.p. B(2))
- (3) The Manager of the Pari-mutuel Department shall furnish a copy of all calculating sheets to the Commission Accountant.

6.03: Pari-mutuel Tickets

- (1) A pari-mutuel ticket is evidence of a contribution to the pari-mutuel pool operated by the association and is evidence of the obligation of the association to pay to the holder thereof such portion of the distributable amount of the pari-mutuel pool as is represented by such valid pari-mutuel ticket. The association shall cash all valid winning tickets when such are presented for payment during the course of the meeting where sold, and for a specified period after the last day of the meeting. (ARCI c. 9 g.p. § C)
- (2) To be deemed a valid pari-mutuel ticket, such ticket shall have been issued by a pari-mutuel ticket machine operated by the association and recorded as a ticket entitled to a share of the pari-mutuel pool, and contain imprinted information as to:
  - (a) the name of the association operating the meeting.
  - (b) a unique identifying number or code.
  - (c) identification of the terminal at which the ticket was issued.
  - (d) a designation of the performance for which the wagering transaction was issued.
  - (e) the contest number for which the pool is conducted.
  - (f) the type or types of wagers represented.
  - (g) the number or numbers representing the betting interests for which the wager is recorded.
  - (h) the amount or amounts of the contributions to the pari-mutuel pool or pools for which the ticket is evidence. (ARCI c. 9 g.p. C(1))
- (3) No pari-mutuel ticket recorded or reported as previously paid, canceled, or non-existent shall be deemed a valid pari-mutuel ticket by the association. The association may withhold payment and refuse to cash any pari-mutuel ticket deemed not valid, except as provided in 205 CMR 6.04(5). (ARCI c. 9 g.p. C(2))

6.04: Pari-mutuel Ticket Sales

- (1) Pari-mutuel tickets shall not be sold by anyone other than an association licensed to conduct pari-mutuel wagering. (ARCI c. 9 g.p. D(1))
- (2) No pari-mutuel ticket may be sold on a contest for which wagering has already been closed and no association shall be responsible for ticket sales entered into but not completed by issuance of a ticket before the totalisator is closed for wagering on such contest. (ARCI c. 9 g.p. D(2))
- (3) Claims pertaining to a mistake on an issued or unissued ticket must be made by the bettor prior to leaving the seller's window. (ARCI c. 9 g.p. D(3))
- (4) Payment on winning pari-mutuel wagers shall be made on the basis of the order of finish as purposely posted and declared "official". Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or Commission shall in no way affect the pari-mutuel payoff. If an error in the posted order of finish or payoff figures is discovered, the official order of finish or payoff prices may be corrected and an announcement concerning the change shall be made to the public. (ARCI c. 9 g.p. D(4))
- (5) The association shall not satisfy claims on lost, mutilated, or altered pari-mutuel tickets without authorization of the Commission. (ARCI c. 9 g.p. § D(5))
- (6) The association shall have no obligation to enter a wager into a betting pool if unable to do so due to equipment failure. (ARCI c. 9 g.p. § D(6))

6.05: Advance Performance Wagering

Advance wagering is permitted provided the wager is accepted by the totalizator system.

6.06: Claims for Payment from Pari-mutuel Pool

- (1) At a designated location, a written, verified claim for payment from a pari-mutuel pool shall be accepted by the association in any case where the association has withheld payment or has refused to cash a pari-mutuel wager. The claim shall be made on such form as approved by the Commission, and the claimant shall make such claim under penalty of perjury. The original of such claim shall be forwarded to the Commission within 48 hours. (ARCI c. 9 g.p. § F)
- (2) In the case of a claim made for payment of a mutilated pari-mutuel ticket which does not contain the total imprinted elements required in 205 CMR 6.03(2), the association shall make a recommendation to accompany the claim forwarded to the Commission as to whether or not the mutilated ticket has sufficient elements to be positively identified as a winning ticket. (ARCI c. 9 g.p. § F(1))
- (3) In the case of a claim made for payment on a pari-mutuel wager, the Commission shall adjudicate the claim and may order payment thereon from the pari-mutuel pool or by the association, or may deny the claim, or may make such other order as it may deem proper. (ARCI c. 9 g.p. § F(2))
- (4) In the case of a claim made for a lost pari-mutuel ticket, the association shall accept the claim on a form approved by the Commission, and the claimant shall make such claim under the penalties of perjury. The claimant must identify the amount wagered, approximate time of wager, race, other tickets purchased during the same transaction, association, exact wagers made on the lost ticket to include all runners, and the window number. The association shall investigate the claim and using association official records, provide the Commission a recommendation and copies of all applicable documents to support such recommendation. The Commission will rule on the validity of all claims and hold final decisions until the end of the calendar year following the calendar year in which the loss occurred. The Commission will issue a final decision and notify the claimant and the association of the disposition of the claim. Claims for lost tickets must be filed with the appropriate association within 48 hours of running of the race associated with the lost ticket.

6.07: Payment for Errors

(1) If an error occurs in the payment amounts for pari-mutuel wagers which are cashed or entitled to be cashed; and as a result of such error the pari-mutuel pool involved in the error is not correctly distributed among winning ticket holders, the following shall apply: (ARCI c. 9 g.p. § G)

(2) Verification is required to show that the amount of the commission, the amount in breakage, and the amount in payoffs is equal to the total gross pool. If the amount of the pool is more than the amount used to calculate the payoff, the underpayment shall be given to the Commission to defray operational costs. If underpayments are discovered after the close of the meeting, the underpayment shall be given to the Commission to defray any and all operational costs. (ARCI c. 9 g.p. § G(1))

(3) In the event the error results in an overpayment to winning wagers, the association shall be responsible for such payment. (ARCI c. 9 g.p. § G(3))

6.08: Betting Explanation

A summary explanation of pari-mutuel wagering and each type of betting pool offered shall be published in the program for every wagering performance. The rules of racing relative to each type of pari-mutuel pool offered must be prominently displayed on association grounds and available upon request through association representatives. (ARCI c. 9 g.p. § H)

6.09: Display of Betting Information

(1) Approximate odds for Win pool betting and Exacta and Quinella pool betting shall be posted on display devices within view of the wagering public and updated at intervals of not more than 60 seconds. (ARCI c. 9 g.p. § I(1) amended)

(2) The probable payoff or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the Commission. (ARCI c. 9 g.p. § I(2))

(3) Official results and payoffs must be displayed upon each contest being declared official. (ARCI c. 9 g.p. § I(3))

6.10: Canceled Contests

If a contest is canceled or declared "no contest", refunds shall be granted on valid wagers in accordance with 205 CMR 6.00. (ARCI c. 9 g.p. § J)

6.11: Refunds

(1) Notwithstanding other provisions of 205 CMR 6.00, refunds of the entire pool shall be made on:

(a) Win pools, Exacta pools, and first-half Double pools offered in contests in which the number of betting interests has been reduced to fewer than two.

(b) Place pools, Quinella pools, Trifecta pools, first-half Quinella Double pools, first-half Twin Quinella pools, first-half Twin Trifecta pools, and first-half Tri-superfecta pools offered in contests in which the number of betting interests has been reduced to fewer than three.

(c) Show pools, Superfecta pools, and first-half Twin Superfecta pools offered in contests in which the number of betting interests has been reduced to fewer than four. (ARCI c. 9 g.p. § K(1)(a-c))

(2) Authorized refunds shall be paid upon presentation and surrender of the affected pari-mutuel ticket. (ARCI c. 9 g.p. § K(2))

(3) In all cases when a horse or dog has been excused by the Stewards or Judges after wagering has started but before it becomes a starter all money wagered on the horse or dog so excused shall be deducted from the pool and be refunded.

6.11: continued

- (4) In dog, horse and harness racing, if any dog is left in the box, or if any horse is left at the post at the start there shall be no refund.
- (5) In horse racing, if any horse is prevented from leaving the post at the start because of being locked in the gate, the money wagered on said horse or horses so locked in the gate shall be deducted from the pool and be refunded.
- (6) In horse and harness horse racing, if no horse finishes in a race, all money wagered on that race shall be refunded upon presentation of pari-mutuel tickets issued thereon.
- (7) In the case of a race postponed beyond the day originally scheduled, all money wagered on said race shall be refunded.

6.12: Coupled Entries and Mutuel Fields

- (1) Contestants coupled in wagering as a coupled entry or mutuel field shall be considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any contestant in a coupled entry or mutuel field be officially withdrawn or scratched, the remaining contestants in that coupled entry or mutuel field shall remain valid betting interests and no refunds will be granted, however, then any and all patrons wishing to have their tickets on the coupled entry canceled may do so, time permitting prior to post of the designated race. If all contestants within a coupled entry or mutuel field are scratched, then tickets on such betting interests shall be refunded, notwithstanding other provisions of 205 CMR 6.00. (ARCI c. 9 g.p. § L(1) amended)
- (2) For the purpose of price calculations only, coupled entries and mutuel fields shall be calculated as a single finisher, using the finishing position of the leading contestant in that coupled entry or mutuel field to determine order of placing. 205 CMR 6.12 shall apply to all circumstances, including situations involving a dead heat, except as otherwise provided by 205 CMR 6.00. (ARCI c. 9 g.p. § L(2))
- (3) In horse and harness racing, when two or more horses which have any common ties of ownership are entered to run in a race they are called an "entry" and a wager on one of them shall be a wager on all of them.
- (4) In horse and harness racing, when the individual horses competing in a race exceed the numbering capacity of the Tote, the highest numbered horse within the capacity of the Tote and all horses of a higher number shall be grouped together and called the "Field" and a wager on one of them shall be a wager on all of them.

6.13A: Pools Dependent upon Betting Interests for Thoroughbreds

When the association exercises their rights under 205 CMR 6.13A, the minimum number of runners selected by the Association shall be consistent throughout the meet.

- (1) Unless the Commission otherwise provides, at the time the pools are opened for wagering, the association:
  - (a) may offer win, place, and show wagering on all contests with six or more betting interests;
  - (b) may be allowed to prohibit show wagering on any contest with five or fewer betting interests scheduled to start;
  - (c) may be allowed to prohibit place wagering on any contest with four or fewer betting interests scheduled to start;
  - (d) may be allowed to prohibit Quinella wagering on any contest with three or fewer betting interests scheduled to start;
  - (e) may be allowed to prohibit Quinella Double wagering on any contests with three or fewer betting interests scheduled to start;
  - (f) may be allowed to prohibit Exacta wagering on any contest with three or fewer betting interests scheduled to start;

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6.13A: continued

- (g) may be allowed to prohibit Trifecta wagering on any contest with five or fewer betting interests scheduled to start;
- (h) may be allowed to prohibit Superfecta wagering on any contest with five or fewer betting interests scheduled to start;
- (i) may be allowed to prohibit Twin Quinella wagering on any contests with three or fewer betting interests scheduled to start;
- (j) shall prohibit Twin Trifecta pool wagering on any contest with seven or fewer betting interests scheduled to start;
- (k) shall prohibit Tri-superfecta pool wagering on any contests with of seven or fewer betting interests scheduled to start;
- (l) shall prohibit Twin Superfecta pool wagering on any contest with seven or fewer betting interests scheduled to start.

(2) In horse and harness racing, when the individual horses competing in a race exceed the numbering capacity of the Tote, the highest numbered horse within the capacity of the Tote and all horses of a higher number shall be grouped together and called the "field" and a wager on one of them shall be a wager on all of them.

6.13B: Pools Dependent upon Betting Interests for Harness

When the association exercises their rights under 205 CMR 6.13B the minimum number of runners selected by the Association shall be consistent throughout the meet.

(1) Unless the Commission otherwise provides, at the time the pools are opened for wagering, the association:

- (a) may offer win, place, and show wagering on all contests with six or more betting interests;
- (b) may be allowed to prohibit show wagering on any contest with five or fewer betting interests scheduled to start;
- (c) may be allowed to prohibit place wagering on any contest with four or fewer betting interests scheduled to start;
- (d) may be allowed to prohibit Quinella wagering on any contest with three or fewer betting interests scheduled to start;
- (e) may be allowed to prohibit Quinella Double wagering on any contests with three or fewer betting interests scheduled to start;
- (f) may be allowed to prohibit Exacta wagering on any contest with three or fewer betting interests scheduled to start;
- (g) may be allowed to prohibit Trifecta wagering on any contest with six or fewer betting interests scheduled to start;
- (h) may be allowed to prohibit Superfecta wagering on any contest with six or fewer betting interests scheduled to start;
- (i) may be allowed to prohibit Twin Quinella wagering on any contests with three or fewer betting interests scheduled to start;
- (j) shall prohibit Twin Trifecta wagering on any contests with six or fewer betting interests scheduled to start;
- (k) shall prohibit Tri-superfecta wagering on any contests with of six or fewer betting interests scheduled to start;
- (l) shall prohibit Twin Superfecta wagering on any contests with six or fewer betting interests scheduled to start.

(2) In horse and harness racing, when the individual horses competing in a race exceed the numbering capacity of the Tote, the highest numbered horse within the capacity of the Tote and all horses of a higher number shall be grouped together and called the "field" and a wager on one of them shall be a wager on all of them.

6.13C: Pools Dependent upon Betting Interests for Greyhounds

When the association exercises their rights under 205 CMR 6.13C, the minimum number of runners selected by the Association shall be consistent throughout the meet.

6.13C: continued

Unless the Commission otherwise provides, at the time the pools are opened for wagering, the association:

- (a) may offer win, place, and show wagering on all contests with six or more betting interests;
- (b) may be allowed to prohibit show wagering on any contest with five or fewer betting interests scheduled to start;
- (c) may be allowed to prohibit place wagering on any contest with four or fewer betting interests scheduled to start;
- (d) may be allowed to prohibit Quinella wagering on any contest with three or fewer betting interests scheduled to start;
- (e) may be allowed to prohibit Quinella Double wagering on any contests with three or fewer betting interests scheduled to start;
- (f) may be allowed to prohibit Exacta wagering on any contest with three or fewer betting interests scheduled to start;
- (g) may be allowed to prohibit Trifecta wagering on any contest with five or fewer betting interests scheduled to start;
- (h) may be allowed to prohibit Superfecta wagering on any contest with five or fewer betting interests scheduled to start;
- (i) may be allowed to prohibit Twin Quinella wagering on any contests with three or fewer betting interests scheduled to start;
- (j) shall prohibit Twin Trifecta wagering on any contests with five or fewer betting interests scheduled to start;
- (k) shall prohibit Tri-Superfecta wagering on any contests with of five or fewer betting interests scheduled to start;
- (l) shall prohibit Twin Superfecta wagering on any contests with five or fewer betting interests scheduled to start.

6.14: Prior Approval Required for Betting Pools

- (1) An association that desires to offer new forms of wagering must apply in writing to the Commission and receive written approval prior to implementing the new betting pool. (ARCI c. 9 g.p. § N(1))
- (2) The association may suspend previously-approved forms of wagering with the prior approval of the Commission. Any carryover shall be held until the suspended form of wagering is reinstated. An association may request approval of a form of wagering or separate wagering pool for specific performances. (ARCI c. 9 g.p. § N(2))

6.15: Closing of Wagering in a Contest

- (1) A Commission representative shall close wagering for each contest after which time no pari-mutuel tickets shall be sold for that contest. (ARCI c. 9 g.p. § O(1))
- (2) The association shall maintain, in good order, a system approved by the Commission for closing wagering. (ARCI c. 9 g.p. § O(2))
- (3) The pari-mutuel machines will be locked by electrical control when the gates of the starting gate open at the start of each race. In no case shall the machines be unlocked until the declaration that the race is official.
- (4) In horse racing, the Commission Steward shall sound the bell closing the pari-mutuel machines not later than at the opening of the starting gate.
- (5) In harness racing, the Commission Judge shall sound the bell closing the pari-mutuel machines immediately upon the official start of the race.
- (6) In horse racing, if a horse or horses are locked in the gate, the proper racing official shall promptly notify the Manager of the Pari-mutuel Department of the name and number of said horse or horses.

6.16: Complaints Pertaining to Pari-mutuel Operations

- (1) When a patron makes a complaint regarding the pari-mutuel department to an association, the association shall immediately issue a complaint report, setting out:
  - (a) the name of the complainant;
  - (b) the nature of the complaint;
  - (c) the name of the persons, if any, against whom the complaint was made;
  - (d) the date of the complaint;
  - (e) the action taken or proposed to be taken, if any, by the association. (ARCI c. 9 g.p. § P(1))
- (2) The association shall submit every complaint report to the Commission within 48 hours after the complaint was made. (ARCI c. 9 g.p. § P(2))

6.17: Licensed Employees

All licensees shall report any known irregularities or wrong doings by any person involving pari-mutuel wagering immediately to the Commission and cooperate in subsequent investigations. (ARCI c. 9 g.p. § Q(1))

6.18: Unrestricted Access

The association shall permit the Commission unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the association that relate to pari-mutuel wagering. (ARCI c. 9 g.p. § R)

6.19: Emergency Situations

In the event of human error, malfunction and any other breaches of 205 CMR 6.00 or accepted parimutuel accounting practices and an emergency in connection with the pari-mutuel department not covered in 205 CMR 6.00, the pari-mutuel manager representing the association shall report the problem or problems to the stewards or judges, Commission accountants and the association; and the mutuel manager shall render a full written report regarding the emergency situation to the Commission within 48 hours. (ARCI c. 9 g.p. § S amended)

6.20: General Account Wagering

Associations may, either directly or through a service provider authorized and licensed by the Commission, offer a system of account wagering to its patrons whereby wagers are debited and payoffs credited to a sum of money, deposited in an account by the patron that is held by the association. The association shall notify the patron, at the time of opening the account, of any rules the association has made concerning deposits, withdrawals, average daily balance, user fees, interest payments and any other aspect of the operation of the account. The association shall notify the patron whenever the rules governing the account are changed, such notification occurring before the new rules are applied to the account and including the opportunity for the patron to close or cash-in the account. The patron shall be deemed to have accepted the rules of account operation upon opening or not closing the account. The association shall annually request authorization from the Commission before a system of account wagering is offered. Included in the associations' request shall be information related to any planned, non-monetary, incentive programs and account security plans. If a service provider is used, copies of any and all agreements between the service provider and the association regarding the services to be provided by the service provider to the association in respect to the association's account wagering operations will be give to the Commission.

6.21: Account Opening

- (1) An association may offer to open for its patrons:
  - (a) short-term accounts that are operational only for the performance during which they were opened and only at the site where they were opened, whereby wagers are placed by the account holder at a self-service terminal;



6.21: continued

- (b) long-term accounts that are operational for any performance offered by the association whereby wagers are placed by the account holder at a self-service terminal, in person at the racetrack, or by telephone or other electronic means;
- (c) voucher accounts that are operational for any performance offered by the association, whereby wagers are placed by the account holder at any ticket-issuing terminal operated by the association. The patron may choose to credit winning payoffs in cash and may choose to close or cash-in the account at any time.

(2) Upon opening an account, the association shall provide, for each short-term or long-term account holder a confidential account number and password for use by the patron to confirm validity of every account transaction.

6.22: Refusals

The association may reserve the right at any time to refuse to open an account, to accept a wager, or to accept a deposit. The association shall not establish or maintain an account for any person who has been banned or prohibited from entering the premises of an association in the State in accordance with M.G.L. c. 128A, § 10A.

6.23: Patron Information

(1) Each short-term or long-term account holder shall provide such personal information as the association and the Commission require, on a form acceptable to the Commission. The following minimum information must be collected for all account holders:

- (a) Full legal name;
- (b) Physical address of the principal residence;
- (c) Mailing address of the applicant, if different from above;
- (d) Telephone number;
- (e) Date of birth;
- (f) Social Security Number or Federal Identification Number;
- (g) Date;
- (h) Signature.

(2) Each application may be made in person at the racetrack or submitted by mail, by telephone, or by other electronic media. Each application submitted over the telephone or by other electronic media will be subject to electronic verification with respect to name, physical address of principal residence, date of birth and Social Security number or Federal Identification number by either a national independent individual reference service company or other technology which meets or exceeds the reliability, security, accuracy, privacy and timeliness provided by individual reference service companies. Mailed applications must include a photocopy of the applicants drivers license or other photo ID that includes a signature.

(3) All applicants must be at least 18 years old.

6.24: Deposits

Deposits may be made in person or by mail, in cash or by check or negotiable order of withdrawal. The proceeds of a check may first need banker's clearance. Holding periods will be determined by the association and advised to the account holder. An individual who has established a betting account with a racing meeting licensee may deposit money into said account through the use of a credit card or debit card issued by a federal or state-chartered bank and a racing meeting licensee may collect and deposit money received in such a manner at the licensee's racetrack or through the telephone, internet or other telecommunications media. No credit shall be extended to a betting account by a running horse, harness horse or dog racing meeting licensee. A receipt for the deposit must be issued to the account holder, but does not need to reflect the current account balance.

6.25: Sufficient Account Balance

Each account must have a minimum initial balance of \$25.00. Account holders shall be deemed to be aware of the status of the account at all times. Wagers will not be accepted which would cause the available balance of the account to fall below zero. Any account not updated when a transaction is completed shall be inoperable until the transaction is posted and the account balance updated.

6.26: Account Credits

(1) When an account is entitled to a payoff or refund, said monies will be credited to the respective account, thus increasing the credit balance. It is the responsibility of the account holder to verify proper credits and, if in doubt, notify the association within the agreed upon time frame for consideration. Unresolved disputes may be forwarded to the Commission by the association or the account holder. The Commission will consider no claim unless submitted in writing and accompanied by supporting evidence.

(2) An account holder may make withdrawals from the account in person, by mail, by telephone or other electronic media. A withdrawal request, must be in a format acceptable to the Commission and must contain, at a minimum, the following information:

- (a) Full legal name;
- (b) Account number;
- (c) Pin number;
- (d) Amount to withdraw;
- (e) Date;
- (f) Signature (in the case of a withdrawal request made in person or by mail).

In event of a mail withdrawal, if there are sufficient funds in the account to cover the withdrawal, the association will send a check to the account holder within five business days of receipt, at the address specified in the account application.

(3) The association shall not be liable for any unauthorized withdrawal of funds from an account where such unauthorized withdrawal is not caused by the negligence of the association. It is the account holder's responsibility to protect deposits in the account by keeping the password to the account strictly confidential between the account holder and the association.

6.27: Account Operation

(1) The association must maintain complete records of every deposit, withdrawal, wager, and winning payoff for each short-term and long-term account. Voucher accounts shall be recorded in a manner similar to a ticket. These records shall be made available to the Commission upon request.

(2) For wagers made for an account by telephone or electronically a voice or digital recording of the entire transaction shall be kept. The association shall not accept any such wager when the recording system is inoperable.

(3) Any account wagering system must provide for the account holder's review and finalization of a wager before the association accepts it. Neither the account holder nor the association shall change a wager after the account holder has reviewed and finalized the wager. In the case of a wager made by telephone or electronically, the recording of the transaction shall be deemed to be the actual wager, regardless of what was recorded by the pari-mutuel system.

(4) The association may accept account wagers on any event carried in the association's betting menu for the day in which the wager is made.

(5) The association shall make tax withholdings and provide tax and revenue reporting as required for wagers placed at the association's racetrack.

(6) The association shall keep confidential, except from the Commission and authorized governmental agencies:

- (a) the amount of money credited to, debited from, withdrawn from, or present in any account holder's account;
- (b) the amount of money wagered by a particular account holder on any race or series of races;
- (c) the account number and secure password of a particular account holder;
- (d) the identities of particular entries on which the account holder is wagering or has wagered;
- (e) unless otherwise authorized by the account holder, the name, address, and other information in the possession of the association that would identify the account holder to anyone other than the Commission or the association.

6.28: Account Closure

(1) The association may close an account when the account holder makes repeated attempts to operate with an insufficient balance or when the account holder request account closure. In any closure, the association shall refund the remaining balance to the account holder within five business days.

(2) Any account inactive for a period of three years shall presumed to be abandoned and proceeds paid to the Commonwealth *via* the state treasurer under provisions of M.G.L. c. 200A.

6.29: General Calculation of Payoffs and Distribution of Pools

(1) The commission deducted by the association from pari-mutuel pools shall not exceed the percentage set by M.G.L. c. 128A and that said percentage of the gross amount of money handled plus any additional amount required by law and the odd cents over any multiple of ten cents winning per dollar wagered. All associations licensed by the Commission to conduct racing under the pari-mutuel or certificate system of wagering must in all cases of a minus pool pay of \$1.05 on each dollar wagered. The entire loss resulting from a minus pool shall be borne by the Association.

(2) All permitted pari-mutuel wagering pools shall be separately and independently calculated and distributed. Takeout shall be deducted from each gross pool as stipulated by law. The remainder of the monies in the pool shall constitute the net pool for distribution as payoff on winning wagers. (ARCI c. 9 cal. § A(1))

(3) For each wagering pool, the amount wagered on the winning betting interest or betting combinations is deducted from the net pool to determine the profit; the profit is then divided by the amount wagered on the winning betting interest or combinations, such quotient being the profit per dollar. (ARCI c. 9 cal. § A(2))

(4) Either the standard or net price calculation procedure may be used to calculate single commission pools, while the net price calculation procedure must be used to calculate multi-commission pools. (ARCI c. 9 cal. § A(3))

(a) Standard Price Calculation Procedure.

SINGLE PRICE POOL (WIN POOL)

gross pool	=	sum of wagers on all betting interests - refunds
takeout	=	gross pool x percent takeout
net pool	=	gross pool - takeout
profit	=	net pool - gross amount bet on winner
profit per dollar	=	profit / gross amount bet on winner
\$1 unbroken price	=	profit per dollar + \$1
\$1 broken price	=	\$1 unbroken price rounded down to the break point
total payout	=	\$1 broken price x gross amount bet on winner
total breakage	=	net pool - total payout

PROFIT SPLIT (PLACE POOL)

Profit is net pool less gross amount bet on all place finishers. Finishers split profit ½ and ½ (place profit), then divide by gross amount bet on each place finisher for two unique prices.

PROFIT SPLIT (SHOW POOL)

Profit is net pool less gross amount bet on all show finishers. Finishers split profit ⅓ and ⅓ and ⅓ (show profit), then divide by gross amount bet on each show finisher for three unique prices. (ARCI c. 9 cal. § A(3)(a))

6.29: continued

(b) Net Price Calculation Procedure.

SINGLE PRICE POOL (WIN POOL)

gross pool = sum of wagers on all betting interests - refunds  
 takeout = gross pool x percent takeout

\* for each source:

net pool = gross pool - takeout  
 net bet on winner = gross amount bet on winner x (1 - percent takeout)  
 total net pool = sum of all sources net pools  
 total net bet on winner = sum of all sources net bet on winner  
 total profit = total net pool - total net bet on winner  
 profit per dollar = total profit / total net bet on winner  
 \$1 unbroken base price = profit per dollar + \$1

\* for each source:

\$1 unbroken price = \$1 unbroken base price x (1 - percent takeout)  
 \$1 broken price = \$1 unbroken price rounded down to the break point  
  
 total payout = \$1 broken price x gross amount bet on winner  
 total breakage = net pool - total payout

PROFIT SPLIT (PLACE POOL)

Total profit is the total net pool less the total net amount bet on all place finishers. Finishers split total profit  $\frac{1}{2}$  and  $\frac{1}{2}$  (place profit), then divide by total net amount bet on each place finisher for two unique unbroken base prices.

PROFIT SPLIT (SHOW POOL)

Total profit is the total net pool less the total net amount bet on all show finishers. Finishers split total profit  $\frac{1}{3}$  and  $\frac{1}{3}$  and  $\frac{1}{3}$  (show profit), then divide by total net amount bet on each show finisher for three unique unbroken base prices. (ARCI c. 9 cal. § A(3)(b))

(5) If a profit split results in only one covered winning betting interest or combinations it shall be calculated the same as a single price pool. (ARCI c. 9 cal. § A(4))

(6) Minimum payoffs and the method used for calculating breakage shall be established by the Commission in conformity with M.G.L. c. 128A. (ARCI c. 9 cal. § A(5) amended)

(7) The individual pools outlined in 205 CMR 6.00 may be given alternative names by each association, provided prior approval is obtained from the Commission. (ARCI c. 9 cal. § A(6))

6.30: Win Pools

(1) The amount wagered on the betting interest which finishes first is deducted from the net pool, the balance remaining being the profit; the profit is divided by the amount wagered on the betting interest finishing first, such quotient being the profit per dollar wagered to Win on that betting interest. (ARCI c. 9 cal. § B(1))

(2) The net Win pool shall be distributed as a single price pool to winning wagers in the following precedence, based upon the official order of finish:

- (a) To those whose selection finished first, but if there are no such wagers; then
- (b) To those whose selection finished second, but if there are no such wagers; then
- (c) To those whose selection finished third, but if there are no such wagers; then
- (d) The entire pool shall be refunded on Win wagers for that contest. (ARCI c. 9 cal. § B(2))

6.30: continued

- (3) If there is a dead heat for first involving:
  - (a) contestants representing the same betting interest, the Win pool shall be distributed as if no dead heat occurred;
  - (b) contestants representing two or more betting interests, the Win pool shall be distributed as a profit split. (ARCI c. 9 cal. § B(3))

6.31: Place Pools

- (1) The amounts wagered to Place on the first two betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into two equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Place on that betting interest, the resulting quotient is the profit per dollar wagered to Place on that betting interest. (ARCI c. 9 cal. § C(1))
- (2) The net Place pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
  - (a) if contestants of a coupled entry or mutuel field finished in the first two places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise
  - (b) as a profit split to those whose selection is included within the first two finishers, but if there are no such wagers on one of those two finishers; then
  - (c) as a single price pool to those who selected the one covered betting interest included within the first two finishers, but if there are no such wagers; then
  - (d) as a single price pool to those who selected the third-place finisher, but if there are no such wagers; then
  - (e) the entire pool shall be refunded on Place wagers for that contest. (ARCI c. 9 cal. § C(2))
- (3) If there is a dead heat for first involving:
  - (a) contestants representing the same betting interest, the Place pool shall be distributed as a single price pool;
  - (b) contestants representing two or more betting interests, the Place pool shall be distributed as a profit split. (ARCI c. 9 cal. § C(3))
- (4) If there is a dead heat for second involving:
  - (a) contestants representing the same betting interest, the Place pool shall be distributed as if no dead heat occurred;
  - (b) contestants representing two or more betting interests, the Place pool is divided with  $\frac{1}{2}$  of the profit distributed to Place wagers on the betting interest finishing first and the remainder is distributed equally amongst Place wagers on those betting interests involved in the dead heat for second. (ARCI c. 9 cal. § C(4))

6.32: Show Pools

- (1) The amounts wagered to Show on the first three betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into three equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Show on that betting interest, the resulting quotient being the profit per dollar wagered to Show on that betting interest. (ARCI c. 9 cal. § D(1))
- (2) The net Show pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
  - (a) if contestants of a coupled entry or mutuel field finished in the first three places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise
  - (b) if contestants of a coupled entry or mutuel field finished as two of the first three finishers, the profit is divided with  $\frac{2}{3}$  distributed to those who selected the coupled entry or mutuel field and  $\frac{1}{3}$  distributed to those who selected the other betting interest included within the first three finishers; otherwise
  - (c) as a profit split to those whose selection is included within the first three finishers; but if there are no such wagers on one of those three finishers; then

6.32: continued

- (d) as a profit split to those who selected one of the two covered betting interests included within the first three finishers, but if there are no such wagers on two of those three finishers; then
  - (e) as a single price pool to those who selected the one covered betting interest included within the first three finishers, but if there are no such wagers; then
  - (f) as a single price pool to those who selected the fourth-place finisher, but if there are no such wagers; then
  - (g) the entire pool shall be refunded on Show wagers for that contest. (ARCI c. 9 cal. § D(2))
- (3) If there is a dead heat for first involving:
- (a) two contestants representing the same betting interest, the profit is divided with  $\frac{2}{3}$  distributed to those who selected the first-place finishers and  $\frac{1}{3}$  distributed to those who selected the betting interest finishing third;
  - (b) three contestants representing a single betting interest, the Show pool shall be distributed as a single price pool;
  - (c) contestants representing two or more betting interests, the Show pool shall be distributed as a profit split. (ARCI c. 9 cal. § D(3))
- (4) If there is a dead heat for second involving:
- (a) contestants representing the same betting interest, the profit is divided with  $\frac{1}{3}$  distributed to those who selected the betting interest finishing first and  $\frac{2}{3}$  distributed to those who selected the second-place finishers;
  - (b) contestants representing two betting interests, the Show pool shall be distributed as a profit split;
  - (c) contestants representing three betting interests, the Show pool is divided with  $\frac{1}{3}$  of the profit distributed to Show wagers on the betting interest finishing first and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for second. (ARCI c. 9 cal. § D(4))
- (5) If there is a dead heat for third involving:
- (a) contestants representing the same betting interest, the Show pool shall be distributed as if no dead heat occurred;
  - (b) contestants representing two or more betting interests, the Show pool is divided with  $\frac{2}{3}$  of the profit distributed to Show wagers on the betting interests finishing first and second and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for third. (ARCI c. 9 cal. § D(5))

6.33: Double Pools

- (1) The Double requires selection of the first-place finisher in each of two specified contests. (ARCI c. 9 cal. § E(1))
- (2) The net Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
- (a) As a single price pool to those whose selection finished first in each of the two contests, but if there are no such wagers; then
  - (b) As a profit split to those who selected the first-place finisher in either of the two contests, but if there are no such wagers; then
  - (c) As a single price pool to those who selected the one covered first-place finisher in either contest, but if there are no such wagers; then
  - (d) As a single price pool to those whose selection finished second in each of the two contests, but if there are no such wagers; then
  - (e) The entire pool shall be refunded on Double wagers for those contests. (ARCI c. 9 cal. § E(2))
- (3) If there is a dead heat for first in either of the two contests involving:
- (a) contestants representing the same betting interest, the Double pool shall be distributed as if no dead heat occurred;

6.33: continued

- (b) contestants representing two or more betting interests, the Double pool shall be distributed as a profit split if there is more than one covered winning combination. (ARCI c. 9 cal. § E(3))
- (4) Should a betting interest in the first-half of the Double be scratched prior to the first Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded. (ARCI c. 9 cal. § E(4))
- (5) Should a betting interest in the second-half of the Double be scratched prior to the close of wagering on the first Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded. (ARCI c. 9 cal. § E(5))
- (6) Should a betting interest in the second-half of the Double be scratched after the close of wagering on the first Double contest, all wagers combining the winner of the first contest with the scratched betting interest in the second contest shall be allocated a consolation payoff. In calculating the consolation payoff the net Double pool shall be divided by the total amount wagered on the winner of the first contest and an unbroken consolation price obtained. The broken consolation price is multiplied by the dollar value of wagers on the winner of the first contest combined with the scratched betting interest to obtain the consolation payoff. Breakage is not declared in this calculation. The consolation payoff is deducted from the net Double pool before calculation and distribution of the winning Double payoff. Dead heats including separate betting interests in the first contest shall result in a consolation payoff calculated as a profit split. (ARCI c. 9 cal. § E(6))
- (7) If either of the Double contests are canceled prior to the first Double contest, or the first Double contest is declared "no contest", the entire Double pool shall be refunded on Double wagers for those contests. (ARCI c. 9 cal. § E(7))
- (8) If the second Double contest is canceled or declared "no contest" after the conclusion of the first Double contest, the net Double pool shall be distributed as a single price pool to wagers selecting the winner of the first Double contest. In the event of a dead heat involving separate betting interests, the net Double pool shall be distributed as a profit split. (ARCI c. 9 cal. § E(7))

6.34: Pick Three Pools

- (1) The Pick Three requires selection of the first-place finisher in each of three specified contests. (ARCI c. 9 cal. § F(1))
- (2) The net Pick Three pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
  - (a) as a single price pool to those whose selection finished first in each of the three contests, but if there are no such wagers; then
  - (b) as a single price pool to those who selected the first-place finisher in any two of the three contests, but if there are no such wagers; then
  - (c) as a single price pool to those who selected the first-place finisher in any one of the three contests, but if there are no such wagers; then
  - (d) the entire pool shall be refunded on Pick Three wagers for those contests. (ARCI c. 9 cal. § F(1))
- (3) If there is a dead heat for first in any of the three contests involving:
  - (a) contestants representing the same betting interest, the Pick Three pool shall be distributed as if no dead heat occurred;
  - (b) contestants representing two or more betting interests, the Pick Three pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit. (ARCI c. 9 cal. § F(3))

## 6.34: continued

(4) Should a betting interest in any of the three Pick Three contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination. (ARCI c. 9 cal. § F(4))

(5) If all three Pick Three contests are canceled or declared "no contest", the entire pool shall be refunded on Pick Three wagers for those contests. (ARCI c. 9 cal. § F(5))

(6) If one or two of the Pick Three contests are canceled or declared "no contest", the Pick Three pool will remain valid and shall be distributed in accordance with 205 CMR 6.34(2). (ARCI c. 9 cal. § F(6))

6.35: Pick (n) Pools

(1) The Pick (n) requires selection of the first-place finisher in each of a designated number of contests. The association must obtain written approval from the Commission concerning the scheduling of Pick (n) contests, the designation of one of the methods prescribed in 205 CMR 6.35(2), and the amount of any cap to be set on the carryover. Any changes to the approved Pick (n) format require prior approval from the Commission. (ARCI c. 9 cal. § G(1))

(2) The Pick (n) pool shall be apportioned under one of the following methods:

(a) Method 1, Pick (n) with Carryover. The net Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the remainder shall be added to the carryover.

(b) Method 2, Pick (n) with Minor Pool and Carryover. The major share of the net Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of all Pick (n) contests, the minor share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the major share shall be added to the carryover.

(c) Method 3, Pick (n) with No Minor Pool and No Carryover. The net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.

(d) Method 4, Pick (n) with Minor Pool and No Carryover. The major share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher in a second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If the greatest number of first-place finishers selected is one, the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.



## 6.35: continued

(e) Method 5, Pick (n) with Minor Pool and No Carryover. The major share of net Pick (n) pool shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher in all Pick (n) contests, the entire net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If there are no wagers selecting the first-place finisher in a second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests. If there are no winning wagers, the pool is refunded. (ARCI c. 9 cal. § G(2).)

(f) Method 6, Pick (n) with Minor Pool and No Carryover. The major share of net Pick (n) pool shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher in all Pick (n) contests, the entire net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If there are no wagers selecting the first-place finisher in a second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests. If there are no winning wagers, the pool is refunded.

(g) Method 7, Pick (n) with Carryover and "Unique Winning Ticket" Provision. The net Pick (n) pool and carryover, if any, shall be distributed to the holder of a unique winning ticket that selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there is no unique ticket selecting the first-place finisher in each of the Pick (n) contests, or if there are no wagers selecting the first-place finisher of all Pick (n) contests, the minor share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, and the major share shall be added to the carryover. Associations may suspend previously approved unique winning ticket wagering with the prior approval of the Commission. Any carryover shall be held until the suspended unique winning ticket wagering is reinstated. Where there is no correct selection of the first-place finisher in at least one of the Pick (n) contests, based upon the official order of finish, the day's net pool shall be refunded and the previous carryover pool amount, if any, shall be carried over to the next scheduled corresponding pool. In obtaining authorization for operating the Pick (n) pool under 205 CMR 6.35(2)(g), associations must clearly identify which definition under ARCI c. 9 cal. § G(16)(b) will be relied upon for determining the existence of a unique winning ticket.

(h) Method 8, Pick (n) with the Pool Split into Three Shares, One Share Having a Carryover. The share percentages are determined by the pool host and approved by the Commission. The first share of the net Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The second share of the net Pick (n) pool shall be distributed to those who selected (n-1) of the Pick (n) contests, based upon the official order of finish and a third share of the Pick (n) pool shall be distributed to those who selected (n-2) of the Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of all Pick (n) contests, the first share shall be added to the carryover. If there are no wagers selecting (n-1) of the Pick (n) contests, this second share shall be added to the carryover. If there are no wagers selecting (n-2) of the Pick (n) contests, this third share shall be added to the carryover. Where there is no correct selection of the first-place finisher in at least one of the Pick (n) contests, based upon the official order of finish, the day's net pool shall be refunded and the previous carryover pool amount, if any, shall be carried over to the next scheduled corresponding pool.

6.35: continued

(i) Method 9, Pick (n) with the Pool Split into Three Shares, with Carryovers, and a Unique Winning Ticket Provision. The share percentages are determined by the pool host and approved by the Commission. The first share of the net Pick (n) pool and the first share carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The second share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of all Pick (n) contests, the second share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, and the first share shall be added to the first share carryover. The third share and the third share carryover, if any, shall be distributed to the holder of a unique winning ticket that selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there is no unique winning ticket selecting the first-place finisher in each of the Pick (n) contests, the third share shall be added to the third share carryover. For greater certainty, the holder of a unique winning ticket shall receive both the first share, and first share carryover, if any as well as the third share, and the third share carryover, if any. Where there is no correct selection of the first-place finisher in at least one of the Pick (n) contests, based upon the official order of finish, the day's net pool shall be refunded and the previous carryover pool(s) amount(s), if any, shall be carried over to the next scheduled corresponding pool. In obtaining authorization for operating the Pick (n) pool under 205 CMR 6.35(2)(i), associations must clearly identify which definition under ARCI c. 9 cal. § G(16)(b) will be relied upon for determining the existence of a unique winning ticket.

- (3) If there is a dead heat for first in any of the Pick (n) contests involving:
- (a) contestants representing the same betting interest, the Pick (n) pool shall be distributed as if no dead heat occurred; and
  - (b) contestants representing two or more betting interests, the Pick (n) pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit. (ARCI c. 9 cal. § G(3))
- (4) Should a betting interest in any of the Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the host association for the contest at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination. (ARCI c. 9 cal. § G(4).)
- (5) The Pick (n) pool shall be canceled and all Pick (n) wagers for the individual performance shall be refunded if:
- (a) at least three contests included as part of a Pick 4, Pick 5 or Pick 6 are canceled or declared "no contest";
  - (b) at least four contests included as part of a Pick 7, Pick 8 or Pick 9 are canceled or declared "no contest"; and
  - (c) at least five contests included as part of a Pick 10 are canceled or declared "no contest". (ARCI c. 9 cal. § G(5).)
- (6) If at least one contest included as part of a Pick (n) is canceled or declared "no contest", but not more than the number specified in 205 CMR 6.35(5), the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover, but not the carryover from previous performances. (ARCI c. 9 cal. § G(6).)
- (7) The Pick (n) carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover will be frozen until it is won or distributed under other provisions of 205 CMR 6.35. After the Pick (n) carryover is frozen, 100% of the net pool, part of which ordinarily would be added to the Pick (n) carryover, shall be distributed to those whose selection finished first in the greatest number of Pick (n) contests for that performance. (ARCI c. 9 cal. § G(7).)

6.35: continued

(8) A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. (ARCI c. 9 cal. § G(8).)

(9) Should the Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first-place finisher in each of the Pick (n) contests, the entire pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests. The Pick (n) carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

- (a) upon written approval from the Commission as provided in 205 CMR 6.35(8);
- (b) upon written approval from the Commission when there is a change in the carryover cap, a change from one type of Pick (n) wagering to another, or when the Pick (n) is discontinued; or
- (c) on the closing performance of the meet or split meet. (ARCI c. 9 cal. § G(9).)

(10) If, for any reason, the Pick (n) carryover must be held over to the corresponding Pick (n) pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Commission. (ARCI c. 9 cal. § G(10).)

(11) With the written approval of the Commission, the association may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap. (ARCI c. 9 cal. § G(11).)

(12) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (ARCI c. 9 cal. § G(12).)

(13) The association may suspend previously-approved Pick (n) wagering with the prior approval of the Commission. Any carryover shall be held until the suspended Pick (n) wagering is reinstated. An association may request approval of a Pick (n) wager or separate wagering pool for specific performances. (ARCI c. 9 cal. § G(13).)

6.36: Place Pick (n) Pools

(1) The Place Pick (n) requires selection of the first or second-place finisher in each of a designated number of contests. The association must obtain written approval from the Commission concerning the scheduling of Place Pick (n) contests, the designation of one of the methods prescribed in 205 CMR 6.36(2), the distinctive name identifying the pool and the amount of any cap to be set on the carryover. Any changes to the approved Place Pick (n) format require prior approval from the Commission. (ARCI c. 9 cal. § H(1).)

(2) The Place Pick (n) pool shall be apportioned under one of the following methods:

- (a) Method 1, Place Pick (n) with Carryover. The net Place Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first or second-place finisher in each of the Place Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests; and the remainder shall be added to the carryover.

6.36: continued

(b) Method 2, Place Pick (n) with Minor Pool and Carryover. The major share of the net Place Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first or second-place finisher in each of the Place Pick (n) contests, based upon the official order of finish. The minor share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the second greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first or second-place finisher of all Place Pick (n) contests, the minor share of the net Place Pick (n) pool shall be distributed as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests; and the major share shall be added to the carryover.

6.36: continued

(c) Method 3, Place Pick (n) with No Minor Pool and No Carryover. The net Place Pick (n) pool shall be distributed as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.

(d) Method 4, Place Pick (n) with Minor Pool and No Carryover. The major share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests, based upon the official order of finish. The minor share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the second greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first or second-place finisher in a second greatest number of Place Pick (n) contests, the minor share of the net Place Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests. If the greatest number of first or second-place finishers selected is one, the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.

(e) Method 5, Place Pick (n) with Minor Pool and No Carryover. The major share of net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in each of the Place Pick (n) contests, based upon the official order of finish. The minor share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the second greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first or second-place finisher in all Place Pick (n) contests, the entire net Place Pick (n) pool shall be distributed as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests. If there are no wagers selecting the first or second-place finisher in a second greatest number of Place Pick (n) contests, the minor share of the net Place Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first or second-place finisher in each of the Place Pick (n) contests. If there are no winning wagers, the pool is refunded. (ARCI c. 9 cal. § H(2))

- (3) If there is a dead heat for first in any of the Place Pick (n) contests involving:
- (a) contestants representing the same betting interest, the Place Pick (n) pool shall be distributed as if no dead heat occurred;
  - (b) contestants representing two or more betting interests, the Place Pick (n) pool shall be distributed as a single price pool with a winning wager including each betting interest participating in the dead heat. (ARCI c. 9 cal. § H(3))
- (4) If there is a dead heat for second in any of the Place Pick (n) contests involving:
- (a) contestants representing the same betting interest, the Place Pick (n) pool shall be distributed as if no dead heat occurred;
  - (b) contestants representing two or more betting interests, the Place Pick (n) pool shall be distributed as a single price pool with a winning wager including the betting interest which finished first or any betting interest involved in the dead heat for second. (ARCI c. 9 cal. § H(4))
- (5) Should a betting interest in any of the Place Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the host association for the contest at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination. (ARCI c. 9 cal. § H(5))
- (6) The Place Pick (n) pool shall be canceled and all Place Pick (n) wagers for the individual performance shall be refunded if:
- (a) at least two contests included as part of a Place Pick 3 are canceled or declared "no contest";
  - (b) at least three contests included as part of a Place Pick 4, Place Pick 5 or Place Pick 6 are canceled or declared "no contest";

6.36: continued

- (c) at least four contests included as part of a Place Pick 7, Place Pick 8 or Place Pick 9 are canceled or declared "no contest";
- (d) at least five contests included as part of a Place Pick 10 are canceled or declared "no contest". (ARCI c. 9 cal. § H(6))

(7) If at least one contest included as part of a Place Pick (n) is canceled or declared "no contest", but not more than the number specified in 205 CMR 6.36(6), the net pool shall be distributed as a single price pool to those whose selection finished first or second in the greatest number of Place Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Place Pick (n) carryover but not the carryover from previous performances. (ARCI c. 9 cal. § H(7))

(8) The Place Pick (n) carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Place Pick (n) carryover equals or exceeds the designated cap, the Place Pick (n) carryover will be frozen until it is won or distributed under other provisions of this rule. After the Place Pick (n) carryover is frozen, 100% of the net pool, part of which ordinarily would be added to the Place Pick (n) carryover, shall be distributed to those whose selection finished first or second in the greatest number of Place Pick (n) contests for that performance. (ARCI c. 9 cal. § H(8))

(9) A written request for permission to distribute the Place Pick (n) carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. (ARCI c. 9 cal. § H(9))

(10) Should the Place Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first or second-place finisher in each of the Place Pick (n) contests, the entire pool shall be distributed as a single price pool to those whose selection finished first or second in the greatest number of Place Pick (n) contests. The Place Pick (n) carryover shall be designated for distribution on a specified date and performance under any of the following circumstances:

- (a) upon written approval from the Commission as provided in 205 CMR 6.36(9);
- (b) upon written approval from the Commission when there is a change in the carryover cap, a change from one type of Place Pick (n) wagering to another, or when the Place Pick (n) is discontinued;
- (c) on the closing performance of the meet or split meet. (ARCI c. 9 cal. § H(10))

(11) If, for any reason, the Place Pick (n) carryover must be held over to the corresponding Place Pick (n) pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Place Pick (n) carryover plus accrued interest shall then be added to the net Place Pick (n) pool of the following meet on a date and performance so designated by the Commission. (ARCI c. 9 cal. § H(11))

(12) With the written approval of the Commission, the association may contribute to the Place Pick (n) carryover a sum of money up to the amount of any designated cap. (ARCI c. 9 cal. § H(12))

(13) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (ARCI c. 9 cal. § H(13))

(14) The association may suspend previously-approved Place Pick (n) wagering with the prior approval of the Commission. Any carryover shall be held until the suspended Place Pick (n) wagering is reinstated. An association may request approval of a Place Pick (n) wager or separate wagering pool for specific performances. (ARCI c. 9 cal. § H(14))

6.37: Quinella Pools

- (1) The Quinella requires selection of the first two finishers, irrespective of order, for a single contest. (ARCI c. 9 cal. § I(1))
- (2) The net Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
  - (a) if contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise
  - (b) as a single price pool to those whose combination finished as the first two betting interests, but if there are no such wagers; then
  - (c) as a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of the those two finishers; then
  - (d) as a single price pool to those whose combination included the one covered betting interest included within the first two finishers, but if there are no such wagers; then
  - (e) the entire pool shall be refunded on Quinella wagers for that contest. (ARCI c. 9 cal. § I(2))
- (3) If there is a dead heat for first involving:
  - (a) contestants representing the same betting interest, the Quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish;
  - (b) contestants representing two betting interests, the Quinella pool shall be distributed as if no dead heat occurred;
  - (c) contestants representing three or more betting interests, the Quinella pool shall be distributed as a profit split. (ARCI c. 9 cal. § I(3))
- (4) If there is a dead heat for second involving contestants representing the same betting interest, the Quinella pool shall be distributed as if no dead heat occurred. (ARCI c. 9 cal. § I(4))
- (5) If there is a dead heat for second involving contestants representing two or more betting interests, the Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:
  - (a) as a profit split to those combining the winner with any of the betting interests involved in the dead heat for second, but if there is only one covered combination; then
  - (b) as a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second, but if there are no such wagers; then
  - (c) as a profit split to those whose combination included the winner, but if there are no such wagers; then
  - (d) the entire pool shall be refunded on Quinella wagers for that contest. (ARCI c. 9 cal. § I(5) amended)

6.38: Quinella Double Pools

- (1) The Quinella Double requires selection of the first two finishers, irrespective of order, in each of two specified contests. (ARCI c. 9 cal. § J(1))
- (2) The net Quinella Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
  - (a) if a coupled entry or mutuel field finishes as the first two contestants in either contest, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest, as well as the first two finishers in the alternate Quinella Double contest; otherwise
  - (b) as a single price pool to those who selected the first two finishers in each of the two Quinella Double contests, but if there are no such wagers; then
  - (c) as a profit split to those who selected the first two finishers in either of the two Quinella Double contests, but if there are no such wagers on one of those contests; then,
  - (d) as a single price pool to those who selected the first two finishers in the one covered Quinella Double contest, but if there were no such wagers; then
  - (e) the entire pool shall be refunded on Quinella Double wagers for those contests. (ARCI c. 9 cal. § J(2))

6.38: continued

- (3) If there is a dead heat for first in either of the two Quinella Double contests involving:
  - (a) contestants representing the same betting interest, the Quinella Double pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest;
  - (b) contestants representing two betting interests, the Quinella Double pool shall be distributed as if no dead heat occurred;
  - (c) contestants representing three or more betting interests, the Quinella Double pool shall be distributed as a profit split. (ARCI c. 9 cal. § J(3))
- (4) If there is a dead heat for second in either of the Quinella Double contests involving contestants representing the same betting interest, the Quinella Double pool shall be distributed as if no dead heat occurred. (ARCI c. 9 cal. § J(4))
- (5) If there is a dead heat for second in either of the Quinella Double contests involving contestants representing two or more betting interests, the Quinella Double pool shall be distributed as profit split. (ARCI c. 9 cal. § J(5))
- (6) Should a betting interest in the first-half of the Quinella Double be scratched prior to the first Quinella Double contest being declared official, all money wagered on combinations including the scratched betting interest shall deducted from the Quinella Double pool and refunded. (ARCI c. 9 cal. § J(6))
- (7) Should a betting interest in the second-half of the Quinella Double be scratched prior to the close of wagering on the first Quinella Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded. (ARCI c. 9 cal. § J(7))
- (8) Should a betting interest in the second-half of the Quinella Double be scratched after the close of wagering on the first Quinella Double contest, all wagers combining the winning combination in the first contest with a combination including the scratched betting interest in the second contest shall be allocated a consolation payoff. In calculating the consolation payoff the net Quinella Double pool shall be divided by the total amount wagered on the winning combination in the first contest and an unbroken consolation price obtained. The unbroken consolation price is multiplied by the dollar value of wagers on the winning combination in the first contest combined with a combination including the scratched betting interest in the second contest to obtain the consolation payoff. Breakage is not declared in this calculation. The consolation payoff is deducted from the net Quinella Double pool before calculation and distribution of the winning Quinella Double payoff. In the event of a dead heat involving separate betting interests, the net Quinella Double pool shall be distributed as a profit split. (ARCI c. 9 cal. § J(8))
- (9) If either of the Quinella Double contests is canceled prior to the first Quinella Double contest, or the first Quinella Double contest is declared "no contest", the entire Quinella Double pool shall be refunded on Quinella Double wagers for those contests. (ARCI c. 9 cal. § J(9))
- (10) If the second Quinella Double contest is canceled or declared "no contest" after the conclusion of the first Quinella Double contest, the net Quinella Double pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Quinella Double contest. If there are no wagers selecting the winning combination in the first Quinella Double contest, the entire Quinella Double pool shall be refunded on Quinella Double wagers for those contests. (ARCI c. 9 cal. § J(10))

6.39: Exacta Pools

- (1) The Exacta requires selection of the first two finishers, in their exact order, for a single contest. (ARCI c. 9 cal. § K(1))
- (2) The net Exacta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:



6.39: continued

- (a) if contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise
  - (b) as a single price pool to those whose combination finished in correct sequence as the first two betting interests, but if there are no such wagers; then
  - (c) as a profit split to those whose combination included either the first-place betting interest to finish first or the second-place betting interest to finish second, but if there are no such wagers on one of those two finishers; then
  - (d) as a single price pool to those whose combination included the one covered betting interest to finish first or second in the correct sequence, but if there are no such wagers; then
  - (e) the entire pool shall be refunded on Exacta wagers for that contest. (ARCI c. 9 cal. § K(2))
- (3) If there is a dead heat for first involving:
- (a) contestants representing the same betting interest, the Exacta pool shall be distributed as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish;
  - (b) contestants representing two or more betting interests, the Exacta pool shall be distributed as a profit split. (ARCI c. 9 cal. § K(3))
- (4) If there is a dead heat for second involving contestants representing the same betting interest, the Exacta pool shall be distributed as if no dead heat occurred. (ARCI c. 9 cal. § K(4))
- (5) If there is a dead heat for second involving contestants representing two or more betting interests, the Exacta pool shall be distributed to ticket holders in the following precedence, based upon the official order of finish:
- (a) as a profit split to those combining the first-place betting interest with any of the betting interests involved in the dead heat for second, but if there is only one covered combination; then
  - (b) as a single price pool to those combining the first-place betting interest with the one covered betting interest involved in the dead heat for second, but if there are no such wagers; then
  - (c) as a profit split to those wagers correctly selecting the winner for first-place and those wagers selecting any of the dead-heated betting interests for second-place, but if there are no such wagers; then
  - (d) the entire pool shall be refunded on Exacta wagers for that contest. (ARCI c. 9 cal. § K(5))

6.40: Trifecta Pools

- (1) The Trifecta requires selection of the first three finishers, in their exact order, for a single contest. (ARCI c. 9 cal. § L(1))
- (2) The net Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
- (a) as a single price pool to those whose combination finished in correct sequence as the first three betting interests, but if there are no such wagers; then
  - (b) as a single price pool to those whose combination included, in correct sequence, the first two betting interests, but if there are no such wagers; then
  - (c) as a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers; then
  - (d) the entire pool shall be refunded on Trifecta wagers for that contest. (ARCI c. 9 cal. § L(2))
- (3) If less than three betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored. (ARCI c. 9 cal. § L(3))

6.40: continued

- (4) If there is a dead heat for first involving:
  - (a) contestants representing three or more betting interests, all of the wagering combinations selecting three betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split;
  - (b) contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place betting interest shall share in a profit split. (ARCI c. 9 cal. § L(4))
- (5) If there is a dead heat for second, all of the combinations correctly selecting the winner combined with any of the betting interests involved in the dead heat for second shall share in a profit split. (ARCI c. 9 cal. § L(5))
- (6) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any of the betting interests involved in the dead heat for third shall share in a profit split. (ARCI c. 9 cal. § L(6))

6.41: Superfecta Pools

- (1) The Superfecta requires selection of the first four finishers, in their exact order, for a single contest. (ARCI c. 9 cal. § M(1))
- (2) The net Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
  - (a) As a single price pool to those whose combination finished in correct sequence as the first four betting interests, but if there are no such wagers; then
  - (b) As a single price pool to those whose combination included, in correct sequence, the first three betting interests, but if there are no such wagers; then
  - (c) As a single price pool to those whose combination included, in correct sequence, the first two betting interests, but if there are no such wagers; then
  - (d) As a single price pool to those whose combination correctly selected the first-place betting interest only, but if there are no such wagers; then
  - (e) The entire pool shall be refunded on Superfecta wagers for that contest. (ARCI c. 9 cal. § M(2))
- (3) If less than four betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored. (ARCI c. 9 cal. § M(3))
- (4) If there is a dead heat for first involving:
  - (a) contestants representing four or more betting interests, all of the wagering combinations selecting four betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split;
  - (b) contestants representing three betting interests, all of the wagering combinations selecting the three dead-heated betting interests, irrespective of order, along with the fourth-place betting interest shall share in a profit split;
  - (c) contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place and fourth-place betting interests shall share in a profit split. (ARCI c. 9 cal. § M(4))
- (5) If there is a dead heat for second involving:
  - (a) contestants representing three or more betting interests, all of the wagering combinations correctly selecting the winner combined with any of the three betting interests involved in the dead heat for second shall share in a profit split;
  - (b) contestants representing two betting interests, all of the wagering combinations correctly selecting the winner, the two dead-heated betting interests, irrespective of order, and the fourth-place betting interest shall share in a profit split. (ARCI c. 9 cal. § M(5))

6.41: continued

(6) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any two of the betting interests involved in the dead heat for third shall share in a profit split. (ARCI c. 9 cal. § M(6))

(7) If there is a dead heat for fourth, all wagering combinations correctly selecting the first three finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fourth shall share in a profit split. (ARCI c. 9 cal. § M(7))

(8) Coupled entries and mutuel fields shall be prohibited in Superfecta contests. (ARCI c. 9 cal. § M(8))

6.42: Twin Quinella Pools

(1) The Twin Quinella requires selection of the first two finishers, irrespective of order, in each of two designated contests. Each winning ticket for the first Twin Quinella contest must be exchanged for a free ticket on the second Twin Quinella contest in order to remain eligible for the second-half Twin Quinella pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Quinella contest. There will be no monetary reward for winning the first Twin Quinella contest. Both of the designated Twin Quinella contests shall be included in only one Twin Quinella pool. (ARCI c. 9 cal. § N(1))

(2) In the first Twin Quinella contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Quinella contest:

- (a) if a coupled entry or mutuel field finishes as the first two finishers, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners; otherwise
- (b) those whose combination finished as the first two betting interests shall be winners, but if there are no such wagers; then
- (c) those whose combination included either the first- or second-place finisher shall be winners, but if there are no such wagers on one of those two finishers; then
- (d) those whose combination included the one covered betting interest included within the first two finishers shall be winners, but if there are no such wagers; then
- (e) the entire pool shall be refunded on Twin Quinella wagers for that contest. (ARCI c. 9 cal. § N(2))

(3) In the first Twin Quinella contest only, if there is a dead heat for first involving:

- (a) contestants representing the same betting interest, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners;
- (b) contestants representing two betting interests, the winning Twin Quinella wagers shall be determined as if no dead heat occurred;
- (c) contestants representing three or more betting interests, those whose combination included any two of the betting interests finishing in the dead heat shall be winners. (ARCI c. 9 cal. § N(3))

(4) In the first Twin Quinella contest only, if there is a dead heat for second involving contestants representing two or more betting interests, the Twin Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:

- (a) as a profit split to those combining the winner with any of the betting interests involved in the dead heat for second, but if there is only one covered combination; then
- (b) as a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second, but if there are no such wagers; then
- (c) as a profit split to those combining the betting interests involved in the dead heat for second, but if there are no such wagers; then
- (d) as a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second, but if there are no such wagers; then
- (e) the entire pool shall be refunded on Twin Quinella wagers for that contest. (ARCI c. 9 cal. § N(4))

6.42: continued

- (5) In the second Twin Quinella contest only, the entire net Twin Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Quinella contest:
- (a) if a coupled entry or mutuel field finishes as the first two finishers, as a single price pool to those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise
  - (b) as a single price pool to those whose combination finished as the first two betting interests, but if there are no such wagers; then
  - (c) as a profit split to those whose combination included either the first- or second-place finisher, but if there are no such wagers on one of those two finishers; then
  - (d) as a single price pool to those whose combination included the one covered betting interest included within the first two finishers, but if there are no such wagers; then
  - (e) as a single price pool to all the exchange ticket holders for that contest, but if there are no such tickets; then
  - (f) in accordance with 205 CMR 6.42(2) of the Twin Quinella rules. (ARCI c. 9 cal. § N(5))
- (6) In the second Twin Quinella contest only, if there is a dead heat for first involving:
- (a) contestants representing the same betting interest, the net Twin Quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish;
  - (b) contestants representing two betting interests, the net Twin Quinella pool shall be distributed as if no dead heat occurred;
  - (c) contestants representing three or more betting interests, the net Twin Quinella pool shall be distributed as a profit split to those whose combination included any two of the betting interests finishing in the dead heat. (ARCI c. 9 cal. § N(6))
- (7) In the second Twin Quinella contest only, if there is a dead heat for second involving contestants representing two or more betting interests, the Twin Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:
- (a) as a profit split to those combining the winner with any of the betting interests involved in the dead heat for second, but if there is only one covered combination; then
  - (b) as a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second, but if there are no such wagers; then
  - (c) as a profit split to those combining the betting interests involved in the dead heat for second, but if there are no such wagers; then
  - (d) as a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second; then
  - (e) as a single price pool to all the exchange ticket holders for that contest, but if there are no such tickets; then
  - (f) in accordance with 205 CMR 6.42(2) of the Twin Quinella rules. (ARCI c. 9 cal. § N(7))
- (8) If a winning ticket for the first-half of the Twin Quinella is not presented for exchange prior to the close of betting on the second-half Twin Quinella contest, the ticket holder forfeits all rights to any distribution of the Twin Quinella pool resulting from the outcome of the second contest. (ARCI c. 9 cal. § N(8))
- (9) Should a betting interest in the first-half of the Twin Quinella be scratched, those Twin Quinella wagers including the scratched betting interest shall be refunded. (ARCI c. 9 cal. § N(9))
- (10) Should a betting interest in the second-half of the Twin Quinella be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Quinella contest, the ticket holder forfeits all rights to the Twin Quinella pool. (ARCI c. 9 cal. § N(10))

6.42: continued

(11) If either of the Twin Quinella contests is canceled prior to the first Twin Quinella contest, or the first Twin Quinella contest is declared "no contest", the entire Twin Quinella pool shall be refunded on Twin Quinella wagers for that contest. (ARCI c. 9 cal. § N(11))

(12) If the second-half Twin Quinella contest is canceled or declared "no contest" after the conclusion of the first Twin Quinella contest, the net Twin Quinella pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Twin Quinella contest and all valid exchange tickets. If there is no such wagers, the net Twin Quinella pool shall be distributed as described in 205 CMR 6.42(2) of the Twin Quinella rules. (ARCI c. 9 cal. § N(12))

6.43: Twin Trifecta Pools

(1) The Twin Trifecta requires selection of the first three finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Trifecta contest must be exchanged for a free ticket on the second Twin Trifecta contest in order to remain eligible for the second-half Twin Trifecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Trifecta contest. Winning first-half Twin Trifecta wagers will receive both an exchange and a monetary payoff. Both of the designated Twin Trifecta contests shall be included in only one Twin Trifecta pool. (ARCI c. 9 cal. § O(1))

(2) After wagering closes for the first-half of the Twin Trifecta and commissions have been deducted from the pool, the net pool shall then be divided into separate pools: the first-half Twin Trifecta pool and the second-half Twin Trifecta pool. (ARCI c. 9 cal. § O(2))

(3) In the first Twin Trifecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Trifecta contest:

- (a) As a single price pool to those whose combination finished in correct sequence as the first three betting interests, but if there are no such wagers; then
- (b) As a single price pool to those whose combination Included, in correct sequence, the first two betting interests, but if there are no such wagers; then
- (c) As a single price pool to those whose combination correctly selected the first-place betting interest only, but if there are no such wagers; then
- (d) The entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contest and the second-half shall be canceled. (ARCI c. 9 cal. § O(3))

(4) If no first-half Twin Trifecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Trifecta pool. In such case, the second-half Twin Trifecta pool shall be retained and added to any existing Twin Trifecta carryover pool. (ARCI c. 9 cal. § O(4))

(5) Winning tickets from the first-half of the Twin Trifecta shall be exchanged for tickets selecting the first three finishers of the second-half of the Twin Trifecta. The second-half Twin Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Trifecta contest:

- (a) as a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first three betting interests, but if there are no such tickets; then
- (b) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Trifecta pool of the next consecutive performance. (ARCI c. 9 cal. § O(5))

(6) If a winning first-half Twin Trifecta ticket is not presented for cashing and exchange prior to the second-half Twin Trifecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Trifecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool. (ARCI c. 9 cal. § O(6))

(7) Coupled entries and mutuel fields shall be prohibited in Twin Trifecta contests. (ARCI c. 9 cal. § O(7))

6.43: continued

(8) Should a betting interest in the first-half of the Twin Trifecta be scratched, those Twin Trifecta wagers including the scratched betting interest shall be refunded. (ARCI c. 9 cal. § O(8))

(9) Should a betting interest in the second-half of the Twin Trifecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Trifecta contest, the ticket holder forfeits all rights to the second-half Twin Trifecta pool. (ARCI c. 9 cal. § O(9))

(10) If, due to a late scratch, the number of betting interests in the second-half of the Twin Trifecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Trifecta pool for that contest as a single price pool, but not the Twin Trifecta carryover. (ARCI c. 9 cal. § O(10))

(11) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Trifecta, all Twin Trifecta wagers selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

- (a) the first-half of the Twin Trifecta, the payoff shall be calculated as a profit split;
  - (b) the second-half of the Twin Trifecta, the payoff shall be calculated as a single price pool.
- (ARCI c. 9 cal. § O(11))

(12) If either of the Twin Trifecta contests are canceled prior to the first Twin Trifecta contest, or the first Twin Trifecta contest is declared "no contest", the entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contest and the second-half shall be canceled. (ARCI c. 9 cal. § O(12))

(13) If the second-half Twin Trifecta contest is canceled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Trifecta tickets shall be entitled to the net Twin Trifecta pool for that contest as a single price pool, but not Twin Trifecta carryover. If there are no such tickets, the net Twin Trifecta pool shall be distributed as described in 205 CMR 6.43(3) of the Twin Trifecta rules. (ARCI c. 9 cal. § O(13))

(14) The Twin Trifecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Twin Trifecta carryover equals or exceeds the designated cap, the Twin Trifecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the Twin Trifecta carryover is frozen, 100% of the net Twin Trifecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Trifecta pool.

(When the Twin-Trifecta has been capped, the carryover jackpot will be paid only to ticket holders who correctly selected the Trifecta in the first half of the Twin Trifecta and exchanged their tickets and correctly selected the trifecta in the second half.) (ARCI c. 9 cal. § O(14) amended)

(15) A written request for permission to distribute the Twin Trifecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(A written request for permission to distribute the capped Twin Trifecta pool or an optional early payout may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, a specific date and performance or the payout schedule to be implemented.) (ARCI c. 9 cal. § O(15) amended)

(16) Should the capped Twin Trifecta carryover or optional early payout be designated for distribution on a specific date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Trifecta after completion of the first-half of the Twin Trifecta:

6.43: continued

- (a) as a single price pool to those whose combination finished in correct sequence as the first three betting interests, but if there are no such wagers; then
- (b) equally to all first half winners who exchanged their Ticket for the second half of the Twin Trifecta.
- (c) In the event no person exchanges a ticket a capped or optional early payout jackpot shall be carried over to the next performance for a forced payout in accordance with 205 CMR 6.43. (ARCI c. 9 cal. § O(16) amended)

(17) Contrary to 205 CMR 6.43(4) of the Twin Trifecta rules, during a performance designated to distribute the Twin Trifecta carryover by a forced or optional early payout, where a cap has not been attained, or is not in effect, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Trifecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Trifecta, all first-half tickets will become winners and will receive 100% of that day-s net Twin Trifecta pool and any existing Twin Trifecta carryover as a single price pool. (ARCI c. 9 cal. § O(17) as amended)

(18) The Twin Trifecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

- (a) upon written approval from the Commission as provided in 205 CMR 6.43(15) of the Twin Trifecta rules;
- (b) upon written approval from the Commission when there is a change in the carryover cap or when the Twin Trifecta is discontinued. The designated cap and payout schedule cannot be changed without written authorization from the Commission;
- (c) on the closing performance of the meet or split meet unless the association has been granted on opening date of not more than five calendar days for the re-opening of a new meet. (ARCI c. 9 cal. § O(18) amended)

(19) If for any reason the Twin Trifecta carryover must be held over to the corresponding Twin Trifecta pool of a subsequent meet, which exceeds five calendar days the carryover shall be deposited in an interest bearing account approved by the Commission. The Twin Trifecta carryover plus accrued interest shall be added to the second half Twin Trifecta pool of the following meet on a date and performance so designated by the Commission. (ARCI c. 9 cal. § O(19) amended)

(20) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (ARCI c. 9 cal. § O(20))

(21) The association must obtain written approval from the Commission concerning the scheduling of Twin Trifecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Trifecta format require prior approval from the Commission. (ARCI c. 9 cal. § O(21))

(22) Distribution of the jackpot is prohibited in the event the first or second Twin Trifecta race is canceled, not declared official, or, if wagering is declared off for any reason. When this occurs payout of the jackpot shall be postponed to the next performance.)

6.44: Tri-superfecta Pools

- (1) The Tri-superfecta requires selection of the first three finishers, in their exact order, in the first of two designated contests and the first four finishers, in exact order, in the second of the two designated contests. Each winning ticket for the first Tri-superfecta contest must be exchanged for a free ticket on the second Tri-superfecta contest in order to remain eligible for the second-half Tri-superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Tri-superfecta contest. Winning first-half Tri-superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Tri-superfecta contests shall be included in only one Tri-superfecta pool. (ARCI c. 9 cal. § P(1))
- (2) After wagering closes for the first-half of the Tri-superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Tri-Superfecta pool and the second-half Tri-superfecta pool. (ARCI c. 9 cal. § P(2))
- (3) In the first Tri-superfecta contest only, winning tickets shall be determined using the following precedence, based upon the official order of finish for the first Tri-superfecta contest:
  - (a) as a single price pool to those whose combination finished in correct sequence as the first three betting interests, but if there are no such wagers; then
  - (b) as a single price pool to those whose combination included, in correct sequence, the first two betting interests, but if there are no such wagers; then
  - (c) as a single price pool to those whose combination correctly selected the first-place betting interest only, but if there are no such wagers; then
  - (d) the entire Tri-superfecta pool shall be refunded on Tri-superfecta wagers for that contest and the second-half shall be canceled. (ARCI c. 9 cal. § P(3))
- (4) If no first-half Tri-superfecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Tri-superfecta pool. In such case, the second-half Tri-superfecta pool shall be retained and added to any existing Tri-superfecta carryover pool. (ARCI c. 9 cal. § P(4))
- (5) Winning tickets from the first-half of the Tri-superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Tri-superfecta. The second-half Tri-superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Tri-superfecta contest:
  - (a) as a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests, but if there are no such tickets; then
  - (b) the entire second-half Tri-superfecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Tri-superfecta pool of the next performance. (ARCI c. 9 cal. § P(5))
- (6) If a winning first-half Tri-superfecta ticket is not presented for cashing and exchange prior to the second-half Tri-superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Tri-superfecta pool but forfeits all rights to any distribution of the second-half Tri-superfecta pool. (ARCI c. 9 cal. § P(6))
- (7) Coupled entries and mutuel fields shall be prohibited in Tri-superfecta contests. (ARCI c. 9 cal. § P(7))
- (8) Should a betting interest in the first-half of the Tri-superfecta be scratched, those Tri-superfecta tickets including the scratched betting interest shall be refunded. (ARCI c. 9 cal. § P(8))
- (9) Should a betting interest in the second-half of the Tri-superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Tri-superfecta contest, the ticket holder forfeits all rights to the second-half Tri-superfecta pool. (ARCI c. 9 cal. § P(9))



6.44: continued

(10) If, due to a late scratch, the number of betting interests in the second-half of the Tri-superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Tri-superfecta pool for that contest as a single price pool, but not the Tri-superfecta carryover. (ARCI c. 9 cal. § P(10))

(11) If there is a dead heat or multiple dead heats in either the first- or second-half of the Tri-superfecta, all Tri-superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

- (a) the first-half of the Tri-superfecta, the payoff shall be calculated as a profit split;
- (b) the second-half of the Tri-superfecta, the payoff shall be calculated as a single price pool. (ARCI c. 9 cal. § P(11))

(12) If either of the Tri-superfecta contests are canceled prior to the first Tri-superfecta contest, or the first Tri-superfecta contest is declared "no contest", the entire Tri-superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be canceled. (ARCI c. 9 cal. § P(12))

(13) If the second-half Tri-superfecta contest is canceled or declared "no contest", all exchange tickets and outstanding first-half winning Tri-superfecta tickets shall be entitled to the net Tri-superfecta pool for that contest as a single price pool, but not the Tri-superfecta carryover. If no there are no such tickets, the net Tri-Superfecta pool shall be distributed as described in 205 CMR 6.44(3) of the Tri-superfecta rules. (ARCI c. 9 cal. § P(13))

(14) The Tri-superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Tri-superfecta carryover equals or exceeds the designated cap, the Tri-superfecta carryover will be frozen until it is won or distributed under other provisions of 205 CMR 6.44. After the second-half Tri-superfecta carryover is frozen, 100% of the net Tri-superfecta pool for each individual contest shall be distributed to winners of the first-half of the Tri-superfecta pool. When the Tri-superfecta has been capped, the carryover jackpot will be paid only to ticket holders who correctly selected the Trifecta in the first half of the Tri-superfecta and exchanged their tickets and correctly selected the superfecta in the second half. (ARCI c. 9 cal. § P(14))

(15) A written request for permission to distribute the Tri-Superfecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. A written request for permission to distribute the capped Tri-superfecta pool or an optional early payout may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, a specific date and performance or the payout schedule to be implemented. (ARCI c. 9 cal. § P(15))

(16) Should the capped Tri-superfecta carryover or optional early payout be designated for distribution on a specific date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Tri-superfecta after completion of the first-half of the Tri-superfecta:

- (a) as a single price pool to those whose combination finished in correct sequence as the first four betting interests, but if there are no such wagers; then
- (b) equally to all first half winners who exchanged their ticket for the second half of the Tri-Superfecta.
- (c) In the event no person exchanges a ticket a capped jackpot shall be carried over to the next performance for a forced payout with accordance with their rules. (ARCI c. 9 cal. § P(16) amended)

6.44: continued

(17) Contrary to 205 CMR 6.44(4) of the Tri-superfecta rules, during a performance designated to distribute the Tri-superfecta carryover by a forced or optional early payout, where a cap has not been attained, or is not in effect, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Tri-superfecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Tri-superfecta, all first-half tickets will become winners and will receive 100% of that day's net Tri-superfecta pool and any existing Tri-superfecta carryover as a single price pool. (ARCI c. 9 cal. § P(17))

(18) The Tri-superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

- (a) upon written approval from the Commission as provided in 205 CMR 6.44(15) of the Tri-superfecta rules;
- (b) upon written approval from the Commission when there is a change in the carryover cap or when the Tri-superfecta is discontinued; (The designated cap and payout schedule cannot be changed without written authorization from the Commission.)
- (c) on the closing performance of the meet or split meet unless the association has been granted on opening date of not more than five calendar days for the re-opening of a new meet. (ARCI c. 9 cal. § P(18) amended)

(19) If for any reason the Tri-superfecta carryover must be held over to the corresponding Tri-superfecta pool of a subsequent meet, which exceeds five calendar days the carryover shall be deposited in an interest bearing account approved by the Commission. The Twin Trifecta carryover plus accrued interest shall be added to the second half Tri-superfecta pool of the following meet on a date and performance so designated by the Commission. (ARCI c. 9 cal. § P(19) amended)

(20) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (ARCI c. 9 cal. § P(20))

(21) The association must obtain written approval from the Commission concerning the scheduling of Tri-superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Tri-superfecta format require prior approval from the Commission. (ARCI c. 9 cal. § P(21))

(22) Distribution of the jackpot is prohibited in the event the first or second Tri-superfecta race is canceled, not declared official, or, if wagering is declared off for any reason. When this occurs payout of the jackpot shall be postponed to the next performance.

6.45: Twin Superfecta Pools

(1) The Twin Superfecta requires selection of the first four finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Superfecta contest must be exchanged for a free ticket on the second Twin Superfecta contest in order to remain eligible for the second-half Twin Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Superfecta contest. Winning first-half Twin Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Twin Superfecta contests shall be included in only one Twin Superfecta pool. (ARCI c. 9 cal. § Q(1))

6.45: continued

(2) After wagering closes for the first-half of the Twin Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Twin Superfecta pool and the second-half Twin Superfecta pool. (ARCI c. 9 cal. § Q(2))

(3) In the first Twin Superfecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Superfecta contest:

- (a) as a single price pool to those whose combination finished in correct sequence as the first four betting interests, but if there are no such wagers; then
- (b) as a single price pool to those whose combination included, in correct sequence, the first three betting interests, but if there are no such wagers; then
- (c) as a single price pool to those whose combination included, in correct sequence, the first two betting interests, but if there are no such wagers; then
- (d) as a single price pool to those whose combination correctly selected the first-place betting interest only, but if there are no such wagers; then
- (e) the entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be canceled. (ARCI c. 9 cal. § Q(3))

(4) If no first-half Twin Superfecta ticket selects the first four finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Superfecta pool. In such case, the second-half Twin Superfecta pool shall be retained and added to any existing Twin Superfecta carryover pool. (ARCI c. 9 cal. § Q(4))

(5) Winning tickets from the first-half of the Twin Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Twin Superfecta. The second-half Twin Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Superfecta contest:

- (a) as a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests, but if there are no such tickets; then
- (b) the entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Superfecta pool of the next performance. (ARCI c. 9 cal. § Q(5))

(6) If a winning first-half Twin Superfecta ticket is not presented for cashing and exchange prior to the second-half Twin Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Superfecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool. (ARCI c. 9 cal. § Q(6))

(7) Coupled entries and mutuel fields shall be prohibited in Twin Superfecta contests. (ARCI c. 9 cal. § Q(7))

(8) Should a betting interest in the first-half of the Twin Superfecta be scratched, those Twin Superfecta tickets including the scratched betting interest shall be refunded. (ARCI c. 9 cal. § Q(8))

(9) Should a betting interest in the second-half of the Twin Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Superfecta contest, the ticket holder forfeits all rights to the second-half Twin Superfecta pool. (ARCI c. 9 cal. § Q(9))

(10) If, due to a late scratch, the number of betting interests in the second-half of the Twin Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. (ARCI c. 9 cal. § Q(10))

6.45: continued

(11) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Superfecta, all Twin Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

- (a) the first-half of the Twin Superfecta, the payoff shall be calculated as a profit split;
- (b) the second-half of the Twin Superfecta, the payoff shall be calculated as a single price pool. (ARCI c. 9 cal. § Q(11))

(12) If either of the Twin Superfecta contests are canceled prior to the first Twin Superfecta contest, or the first Twin Superfecta contest is declared "no contest", the entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be canceled. (ARCI c. 9 cal. § Q(12))

(13) If the second-half Twin Superfecta contest is canceled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Superfecta tickets shall be entitled to the net Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. If there are no such tickets, the net Twin Superfecta pool shall be distributed as described in 205 CMR 6.44(3) of the Twin Superfecta rules. (ARCI c. 9 cal. § Q(13))

(14) The Twin Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Twin Superfecta equals or exceeds the designated cap, the Twin Superfecta carryover will be frozen until it is won or distributed under other provisions of 205 CMR 6.45. After the second-half Twin Superfecta carryover is frozen, 100% of the net Twin Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Superfecta pool. (ARCI c. 9 cal. § Q(14))

(15) A written request for permission to distribute the Twin Superfecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. (ARCI c. 9 cal. § Q(15))

(16) Should the Twin Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Superfecta after completion of the first-half of the Twin Superfecta:

- (a) as a single price pool to those whose combination finished in correct sequence as the first four betting interests, but if there are no such wagers; then
- (b) as a single price pool to those whose combination included, in correct sequence, the first three betting interests, but if there are no such wagers; then
- (c) as a single price pool to those whose combination included, in correct sequence, the first two betting interests, but if there are no such wagers; then
- (d) as a single price pool to those whose combination correctly selected the first-place betting interest only, but if there are no such wagers; then
- (e) as a single price pool to holders of valid exchange tickets;
- (f) as a single price pool to holders of outstanding first-half winning tickets. (ARCI c. 9 cal. § Q(16))

(17) Contrary to 205 CMR 6.44(4) of the Twin Superfecta rules, during a performance designated to distribute the Twin Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Superfecta. If there are no wagers correctly selecting the first-, second-, third-, and fourth-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-, second-, and third-place betting interests. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Superfecta, all first-half tickets will become winners and will receive 100% of that day's net Twin Superfecta pool and any existing Twin Superfecta carryover as a single price pool. (ARCI c. 9 cal. § Q(17))

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(18) The Twin Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

- (a) upon written approval from the Commission as provided in 205 CMR 6.45(15) of the Twin Superfecta rules;
- (b) upon written approval from the Commission when there is a change in the carryover cap or when the Twin Superfecta is discontinued;
- (c) on the closing performance of the meet or split meet. (ARCI c. 9 cal. § Q(18))

(19) If, for any reason, the Twin Superfecta carryover must be held over to the corresponding Twin Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Superfecta carryover plus accrued interest shall then be added to the second-half Twin Superfecta pool of the following meet on a date and performance so designated by the Commission. (ARCI c. 9 cal. § Q(19))

(20) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (ARCI c. 9 cal. § Q(20))

(21) The association must obtain written approval from the Commission concerning the scheduling of Twin Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Superfecta format require prior approval from the Commission. (ARCI c. 9 cal. § Q(21))

6.46: Pentafecta Pools

(1) The Pentafecta requires selection of the first five finishers, in their exact order, for a single contest.

(2) Distribution of Winnings - Option #1. The net Pentafecta Pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish.

- (a) As a single price pool to those whose combination finished in correct sequence as the first five betting interests; but if there are no such wagers; then
- (b) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers; then
- (c) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers; then
- (d) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers; then
- (e) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers; then
- (f) The entire pool shall be refunded on Pentafecta wagers for that contest.

(3) Distribution of Winnings - Option #2.

(a) The net Pentafecta pool shall be distributed to winning wagers in the following precedence, based on the official order of finish:

- 1. As a single price pool, including any applicable carryover, to those whose combination finished in correct sequence as the first five betting interests, but if there are no such wagers; then
- 2. The net pool will be divided into two separate pools. The major pool of the net pool shall be retained and added to a corresponding carryover pool into the next designated Pentafecta race. The remaining minor pool shall be paid as a Pentafecta consolation pool, which will be equally divided among those ticket holders who correctly select the first four betting interests, but if there are no such wagers; then
- 3. The Pentafecta consolation pool will be divided among those ticket holders who correctly select the first three interests, but if there are no such wagers; then
- 4. The Pentafecta consolation pool will be divided among those ticket holders who correctly select the first two interests, but if there are no such wagers; then

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5. The Pentafecta consolation pool will be divided among those ticket holders who correctly select the first betting interest, but if there are no such wagers; then
  6. The entire net pool shall become a carryover pool to be retained and added to the next designated Pentafecta race.
- (b) On the last Pentafecta race on the final day of the meeting, the net pool, including any applicable carryover shall be distributed using the method described in 205 CMR 6.46(2).
  - (c) Where a net pool is divided into two separate pools in accordance with 205 CMR 6.46(3) any odd cents remaining shall be retained and added to the part of the net pool that will be added to the corresponding carryover pool.
- (4) Distribution of Winnings - Option #3.
- (a) The net Pentafecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
    1. As a single price pool, including any applicable carryover, to those whose combination finished in correct sequence as the first five betting interests, but if there are no such wagers; then
    2. The entire net pool shall be retained and added to a corresponding carryover pool into the next designated Pentafecta race.
  - (b) On the last Pentafecta race on the final day of the meeting, the net pool including any applicable carryover shall be distributed using the method described in 205 CMR 6.46(2).
- (5) Distribution of Winnings - Option #4.
- (a) The net Pentafecta pool shall be distributed to winning wagers in the following precedence, based on the official order of finish:
    1. As a single price pool, including any applicable carryover, to the holder of a unique winning ticket whose combination finished in the correct sequence as the first five betting interests, but if there is no such unique winning ticket; then
    2. The net pool will be divided into two separate pools. The major pool of the net pool shall be paid as a carryover pool into the next regularly scheduled Pentafecta race. The remaining minor pool shall be paid as a Pentafecta consolation pool, which will be equally divided among those ticket holders who correctly select the first five interests, but if there are no such wagers; then
    3. The entire pool shall become a carryover pool into the next regularly scheduled Pentafecta race.
  - (b) Unique winning ticket, as used in 205 CMR 6.46(5)(a), shall be defined as having occurred when there is one and only one winning ticket whose combination finished in the correct sequence as the first five betting interests, to be verified by the unique serial number assigned by the totalisator company that issued the winning ticket. In the event that there is more than one winning ticket whose combination finished in correct sequence as the first five betting interests, the unique winning ticket shall be deemed to not have occurred.
  - (c) The association shall specify the minimum monetary amount of a unique winning ticket wager approved by the commission.
  - (d) A written request for permission to distribute the Pentafecta carryover on a specific performance may be submitted to the commission. The request must be for a specific date no greater than one year from the date the request is submitted and contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. Should the pentafecta net pool and any applicable carryover be designated for distribution on a specific date and performance in which there is no unique winning ticket, the entire pool shall be distributed using the method described in 205 CMR 6.46(2).
  - (e) A written request for permission to transfer the Pentafecta carryover to another Pentafecta pool operated by the same pool host may be submitted to the commission. The request must contain justification for the transfer, including an explanation of the benefit to be derived, a description of the method by which the pool host will present the information to the public that identifies the racetrack(s) for which the pool will be operated and the intended date(s) and performance(s) of the transfer.
  - (f) Unless otherwise stated in writing by the commission under 205 CMR 6.46(5)(d), on the last Pentafecta race on the final day of the meeting, the net pool, including any applicable carryover, shall be distributed using the Method described in 205 CMR 6.46(2).

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(6) Notwithstanding 205 CMR 6.46(5)(d) and (e), if for any other reason the Pentafecta Carryover must be held over to the corresponding Pentafecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the commission. The Pentafecta carryover plus accrued interest shall then be added to the net Pentafecta pool of the following meet on a date and performance so designated by the commission.

(7) If fewer than five betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection on any ticket beyond the number of betting interests completing the contest shall be ignored. If the pools are being distributed under 205 CMR 6.46(3)(a), (4)(a), or (5)(a) any previous Pentafecta contest's carryover will not be included in the payoff and will be retained for the next contest's carryover, and this contest's net Pentafecta pool will be distributed using the method described in 205 CMR 6.46(2).

(8) If there is a dead heat for first involving:

(a) contestants representing five or more betting interests, all of the wagering combinations selecting the five betting interests, irrespective of order, shall share in a profit split.

(b) contestants representing four betting interests, all of the wagering combinations selecting the four dead heated betting interests, irrespective of order, along with the fifth place betting interest shall share in a profit split.

(c) contestants representing three betting interests, all of the wagering combinations selecting the three dead heated betting interests, irrespective of order, along with the fourth place and fifth place betting interests, in correct order, shall share in a profit split.

(d) contestants representing two betting interests, both of the wagering combinations selecting the two dead heated betting interests, irrespective of order, along with the third place, fourth place and fifth place betting interests, in correct order, shall share in a profit split.

(9) If there is a dead heat for second involving:

(a) contestants representing four or more betting interests, all of the wagering combinations correctly selecting the winner along with the four dead heated betting interests shall share in a profit split.

(b) contestants representing three betting interests, all of the wagering combinations correctly selecting the winner, the three dead heated betting interests, irrespective of order, and the fifth place betting interests shall share in a profit split.

(c) contestants representing two betting interests, all of the wagering combinations correctly selecting the winner, the two dead heated betting interests, irrespective or order, and the fourth place and fifth place betting interests, in correct order, shall share in a profit split.

(10) If there is a dead heat for third involving:

(a) contestants representing three or more betting interests, all of the wagering combinations correctly selecting the first two finishers, in correct order, and the three dead heated betting interests, irrespective of order, shall share in a profit split.

(b) contestants representing two betting interests, both of the wagering combinations selecting the first two finishers, in correct order, and the two dead heated betting interests, irrespective of order, along with the fifth place betting interest shall share in a profit split.

(11) If there is a dead heat for fourth, all wagering combinations correctly selecting the first three finishers, in correct sequence, along with any two of the betting interests involved in the dead heat for fourth, irrespective of order, shall share in a profit split.

(12) If there is a dead heat for fifth, all wagering combinations correctly selecting the first four finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fifth shall share in a profit split.

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(13) In the event that more than one component of a coupled entry or mutual field finishes within the first five positions, the first member of the coupled entry or mutual field to finish shall determine the position of the single betting interest. The net pool shall be distributed to those whose selection included the coupled entry or mutual field and each of the other four betting interests.

REGULATORY AUTHORITY

205 CMR 6.00: M.G.L. c. 128A and 128C.