The Effect of Community Reintegration on Rates of Recidivism: A Statistical Overview of Data for the Years 1971 Through 1982

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February, 1985

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365 M3 E44 1985

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statistical overview of the findings. report attempts to draw together data generated from the recidivism studies of the past 12 years and to present a summary halfway houses. From these studies data are currently available for the releasee cohorts for the years 1971 through 1982. prior studies maximum, medium and minimum security facilities as well as state run prerelease centers and sub-contracted privately operated comparisons between varying modes of correctional programming are also made. Correctional Institutions is correlated with rates of recidivism. Comparisons between current findings and trends discerned in data on rates of recidivism. The Research Division of the Massachusetts Department of Correction's routinely collects and publishes on an annual basis are made. Additionally, comparisons between specific correctional institutions of varying security levels and In these reports a series of descriptive variables on all individuals released from Massachusetts The state correctional institutions include This

population of state prison releases was 25%; in 1973 it had dropped to 19%; and in 1976 it had dropped to 16%. reduction in the recidivism rates from 1971 through to 1978. For example, in the year 1971 the recidivism rate for the combined trends occuring within the Massachusetts correctional system. Dominant among these trends was the occurrence of a systematic recidivism The annual statistical monitoring of recidivism data since the year 1971 has led to the detection of a number of significant rate was 15%. More recent data, however, reveal that þ reversal has occurred By 1977, the 5

rates in a nine year period. However, there has been a modest drop as indicated by the 1981 and 1982 data. historical trend. The 1979 and 1980 releasee populations represent the first statistically significant increase in recidivism

relationship remained positive. and expanded subsequent to, the year 1971. Recidivism studies demonstrated that inmate participation in the furlough program recidivism than did individuals who had not experienced a furlough prior to release. revealed that those individuals who had experienced a furlough prior to release from prison had significantly lower rates of may be an important variable in accounting for the systematic reduction in recidivism rates occurring in Massachusetts. second major trend concerned the home furlough program in the Massachusetts correctional system, a program begun in, This trend continued in a consistent pattern for the ten successive years for which data were When selection factors were controlled, the The data

Recidivism studies have also revealed that participation in prerelease programs prior to community release leads to reduced Again, when selection factors were controlled the relationship remained constant.

recidivism selection factors were controlled. among institutions in descending level of security and size. Analyses revealed that individuals released from prison directly from medium or minimum security institutions (including prerelease centers and halfway houses) final documented trend that has emerged from the recidivism studies focused on the process of graduated movement than did individuals released directly from a maximum security institution. Again, this relationship held even when had significantly lower rates of

prerelease centers, and security level of releasing institution remained constant. When follow-up periods were extended from one to two and then to five years, the above findings with respect to furloughs,

community The major findings of the research were collectively interpreted as evidence of a positive effect of the reintegrative based correctional programming. That is, correctional programs operating 5 the Massachusetts

system which are geared to maintain, to establish, or to re-astablish general societal links such as family, economic, political, and social roles may be associated with a subsequent reduction in recidivism. Also associated with the reduction in recidivism institutions in descending levels of security and size along with the awarding of increased increments of community contacts, is the graduated societal reintroduction of the offender. through participation in furloughs, education release, and work release programs. This is accomplished through a series of movements among

in recidivism, participation in reintegration programs remains associated with lower rates of recidivism.  $^{
m 1}$ The above conclusions hold even with the recently documented trend of increased recidivism. Despite the overall increase

A bibliography of the research data referred to in this summary is presented at the end of this report.

#### Method

to a county house of correction, or to a jail for a period of 30 days or more during the period of follow-up Definition of Recidivism: A recidivist was defined as any subject who was returned to a state or federal correctional institution,

subject was followed for one full year after release to the community. In addition, two releasee cohorts (1973 and 1976 releases) Follow-Up Period: For each of the releasee cohorts a one year time criterion constituted the follow-up period. That is, each

used follow-up criterion varying from one to five years

Variables Collected: For the analysis that follows in this report, four categories of variables were collected: (1) current offense commitment variables; (2) personal background characteristics variables; (3) criminal history variables; and (4) recidivism Data were collected from the files of the Department of Correction, the Board of Parole and the Board of Probation.

Base Expectancy Rates: At several important junctures in the analysis, it was necessary to conduct a test for possible differences in the recidivism risk potentials of two populations. Such a test is important when comparing separately yearly cohorts as well as when comparing sub-populations within these cohorts. Base Expectancy tables are used in these studies for this purpose.<sup>2</sup>

see: LeClair, Daniel P., "Development of Base Expectancy Prediction Tables for Treatment and Control Groups in correctional For a copy of the specific base expectancy table, a description of the method of construction, and a listing of variables utilized Research," DOC Report No. 134, August, 1977.

Dallas Miller, Research Assistant, was responsible for updating this report by adding data to 1981 and 1982 releases.

## Format of the Report

span. Additionally, data on the specified individual trends are also provided in this section. The report is divided into three sections. Section I provides a general overview of the recidivism data for a twelve year

analyzed in terms of expected vs. actual results and tests of significance are performed Expectancy Tables have been utilized to construct expected rates of recidivism. Data for each of the trends are therefore Section II presents data on trends for which a control for program selection biases has been performed. That is, Base

not trends uncovered in a one year follow-up remain valid when the follow-up is extended up to five years. Section III reviews the data patterns discerned in terms of extended follow-up periods. It addresses the question whether or

statistical overview summarizes. Copies of these reports are available at the Department of Correction. At the end of the report a bibliography is provided which contains a listing of all the published recidivism reports that this

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SECTION ONE

OVERVIEW OF RECIDIVISM DATA

Table 1

# Rates of Recidivism for Releases From State Prisons During the Years 1971 Through 1982

Year of Release	Number of Releases	Recidivism Rate
1971	1107	25%
1972	1550	22%
1973	966	19%
1974		19%
1975		20%
1976	925	16%
1977	1138	15%
1978	1118	16%
1979	1053	. 26%
1980	941	26%
1981	1032	24%
1987	1201	205.0

Table 2

Comparative Recidivism Rates For Years 1971 - 1982

Year	Concord	Walpole	Norfolk	Gardner	Framingham SECC*	SECC*	Bay State* NCC*	NCC*	Pre- Forestry Release	Pre- Release	Total
1971	28%	27%	18%	<b>I</b>	29%	1	ì	ı	14%	•	25%
1972	27%	21%	15%	1	18%	1	1	I	14%	ı	22%
1973	26%	21%	14%	i	17%	ı	1	1	14%	12%	%ei
1974	27%	22%	19%	•	12%	1 -	ı	ı	7%	12%	19%
1975	26%	27%	12%		18%	ı	1	ı	15%	14%	20%
1976	25%	24%	22%	1	19%	12%	ı	ı	5%	9%	16%
1977	18%	25%	15%	ı	23%	20%	i.	1	14%	8%	15%
1978	27%	21%	23%	1 .	14%	23%	1	15%	6%	9%	16%
1979	43%	31%	31%		33%	33%	0%	20%	12%	16%	26%
1980	39%	38%	34%	ı	23%	32%	33%	33%	' 22%	15%	26%
1981	35%	36%	29%	33%	22%	29%	0%	23%	19%	18%	24%
1982	27%	41%	28%	28%	24%	39%	0%	18%	19%	17%	23%
										14	

<sup>\*</sup> Southeastern Correctional Center Bay State Correctional Center Northeastern Correction Center

Table 3

Comparative Recidivism Rates for Individual Pre-Release Centers for Years 1971-1982

					)			İ									
Year	Shirley	Boston State	Park Drive	lotte House	idge House	Brooke Housing	Tempo- rary Housing	699 House	Drug	Bosp	MFTAC House	577	South Middle	Lan	Norfolk Pre-	Western	
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							اي	ì	2	ı	1	80%	23%	13%	18%		<b>?</b>

Yearly Recidivism Rate Differentials Furlough Program Participation, 1971 Through 1982

Table 4

Year of Release	Total Number of Releases	Percentage Furloughed Before Release	Recidivism Rate for Furlough Participants	Recidivism Rate for Furlough Non-Participants	Recidivis Rate for Total Populatio
1971*	1107	0%	•	1	25%
1972*	1550	0%	1		22%
1973	966	69%	16%	25%	19%
1974	911	74%	14%	31%	19%
1975	806	59%	14%	30%	20%
1976	925	51%	9%	25%	16%
1977	1138	50%	7%	23%	15%
1978	1118	49%	8%	24%	16%
1979	1053	%44	14%	36%	26%
1980	941	42%	14%	35%	26%
1981	1032	%44	15%	30%	24%
1982	1221	35%	9%	30%	23%

<sup>\*</sup>Because the Home Furlough began after 1972, individuals in the 1971 and 1972 cohorts did not participate in the program.

Table 5

Yearly Comparison of Recidivism Rates By Prerelease Participation: 1971 Through 1982

Year of Releases

Number of Releases

Released From Prerelease Centers

Recidivism
Rate of Releases
From Prerelease

Security Institutions

leases Population

Recidivism Rate of Releases From Higher

> Recidivism Rate of Total Re-

Percentage of Population

1982	1981	1980	1979	1978	1977	1976	1975	1974	1973	1972	1971
			•					:			
1221	1032	941	1053	1118	1138	925	806	911	966	1550	1107
41%	41%	34%	35%	36%	42%	40%	28%	25%	11%	1%	0%
			•							· •	•
17%	18%	15%	16%	9%	8%	9%	14%	12%	12%	! !	
٠	•										
26%	28%	32%	32%	21%	19%	21%	22%	21%	20%	! !	25%
				• .							
70EC	24%	26%	26%	16%	15%	16%	20%	19%	19%	22%	.:5%

<sup>\*</sup>Figures not available for sub-samples in this year.

Security Level of Releasing Institution by Recidivism During the Years 1973 Through 1982 Table 6

*In 1978, and medi	1972 1973 1974 1975 1976 1977 1978 1978 1980	1971	Year
the securium securi		677	Number
ity designat ty which oc	(6) (6) (6) (6) (6)	(61)	Percent
In 1978, the security designation of MCI-Concord and medium security which occurred after 1977.	26% 25% 26% 27% 27% 21% 21% 20% 31% 31% 31%	2880	Recidivism Rate
Z.	234 318 211 130 73 111 120 480 449 426 421		Number
s changed	(21) (22) (14) (9) (11)* (43)* (43) (43)		Medium Percent
was changed from maximum to medium security.	18% 15% 14% 19% 12% 12% 12% 22% 36% 36% 32%		Recidivism Rate
Im to med	196 250 182 137 147 142 165 88 105 91		Number
um securit	(10) (10) (10) (10) (10)	. el cellt	Minimum
-	21% 16% 9% 17% 17% 18% 11% 26% 20%	Kate	Recidivism
ins the dif	109 226 224 365 474 408 362 325 423	Number	
ference in t	(11) (25) (28) (29) (41) (36) (36) (31)	Percent	Pre-Release
his explains the difference in the percentage of releases from maximum	12% 12% 14% 9% 16% 15%	Rate	R
1221 Se of relea	1107 1550 966 911 806 925 1118 1118 11053 941	Number	
(100)	(100) (100) (100) (100) (100) (100) (100)	Percent	Total
23% laximum	25% 22% 19% 19% 20% ,16% 15% 16% 26% 26%	Recidivis Rate	

Table 7

Recidivism Rates for Inmates Released During the Years 1973 Through 1982
According to Prerelease and Furlough Experience

Received Furloughs Prior to Release	No	No	Yes	Yes
Number Percent		4093 (40)		

#### SECTION TWO

DATA ON TRENDS, CONTROLLED FOR SELECTION FACTORS

## Data on Trends, Controlling for Selection Processes

examines the data on recidivism trends from the standpoint of controlling for program selection biases. use of the community reintegration model is associated with a reduction in recidivism. Collectively, the data presented in Section One of this report provide a foundation which supports the proposition that the the resolution of issues regarding program selection processes. Therefore, Section Two of this report re-Such a proposition remains tentative,

always is subject to the criticism that less serious offender risks, in terms of recidivism outcome, have been chosen for treatment. consisted of good risks who would have done well with or without treatment. confidence that the selection process at the time of intake does not bias the treatment sample. An uncontrolled selection process treatment (control) groups would occur administratively as part of program operation. an experimental design at the initial stage of program development. The random allocation of subjects into treatment and nonif and when treatment effects are demonstrated, the researcher is faced with the criticism that the treatment group When possible, the most ideal method of evaluating the effects of a particular correctional treatment program is to impose This allows the researcher to have

decisions. If unanticipated vacancies suddenly occur in programs and the administrator, conscious of the costs and of resources subjects can be cumbersome and difficult to operate. It often ties the administrator's hands when faced with practical day to day and who is not admitted to their programs. A second reason, also an administratively related one, is that random assignment of the correctional setting. Nevertheless, more frequently than not, the random assignment of subjects to treatment and control groups is not possible in One reason for this situation is the program administrators frequently insist upon having a say in who is

eligible from the control pool unused, cannot find enough individuals immediately available in the treatment pool the temptation is often great to select those

status at the present site and thus prefer to remain serious "beef" and would therefore be placed in danger; or the inmate may be reticent about leaving a known and secure social preventing normal family visitation patterns; the inmate may know of an individual already in the program with whom there is a treatment programs for a variety of personal reasons, such as: third inhibition to the use of random allocation is the inmate. Often inmates prefer to choose or reject involvement in the program may be located too far away from their families thus

administrators as well as inmates would answer that to do so would be to deny basic inmate rights--the right for treatment and the right of choice of treatment final inhibition to random allocation is a moral or civil rights reason. Should inmates be denied treatment simply for the of research? In many correctional systems, especially in our time of growing consciousness of inmate rights,

program had it existed utilizing the population thus selected as a control group. A third technique, is to utilize Base Expectancy matching background and criminal history characteristics with the treatment sample. A second technique has been to go back to alternative strategies are often used. Some researchers use matching techniques whereby the control group is constructed by prison population prior to the existence of the treatment program and select inmates who would have been eligible for the Because of the many difficulties of utilizing random selection at the point of intake into the treatment programs,

varying degrees to which individuals in a given prison population, or sub-group such as a particular treatment group, are at risk of In correctional research, the Base Expectancy Table has been developed as a device whereby an estimation is made of the approximates the actual rate of the control group determines the degree to which non-random selection has occurred risk categories, and an expected outcome rate is determined. individual items alone. combined into a table; the resultant interaction effects are believed to constitute a more powerful predictive instrument than the with the known outcome criteria-subsequent criminality or recidivism. Those items found to have the most predictive value are population of prisoners not receiving that specified treatment and for whom criminal behavior subsequent to release is already continuing their criminal careers subsequent to release. It is a classification technique in which individuals are placed in risk Background information known prior to release is collected on this separate population and these items are correlated The basis for the assignment of individuals into the appropriate risk group is determined on the experience of a separate At this point, the treatment sample (whose outcome criteria are not yet known) is divided into the same The degree to which the expected rate of the treatment group

reduce the Actual Outcome Rate below the Expected Outcome Rate and thus measure the effectiveness of the program under statistical tests for differences are computed, a judgement can be made as to whether or not the treatment program appears to collected and determined. treatment is completed and after the subsequent follow-up period in the community occurs, data on the Actual Outcome Rate are each of the individuals in the treatment sample is combined to form an Expected Outcome Rate for the entire sample. before treatment began, a base line is formed against which the outcomes of treatment can be assessed. Additionally, if persons to be given various treatments are classified according to the risks that would have been expected At this point, the Expected Outcome is compared to the Actual Outcome. The risk estimate for After appropriate When

The data presented in the following section summarize a series of research studies that examine selection issues in the

Expectancy tables. However, the female populations were too small for table construction and validation purposes. populations. Characteristics of male and female populations were felt to be sufficiently divergent to warrant separate Base material associating lower recidivism with participation in reintegration programming. Expectancy methodology discussed above. It should be noted that the analyses have included only the males in the release Each of the studies utilizes the Base

material presented in section one. than 10% of the total sample). Therefore, the reader is alerted to the fact that the samples that follow are slightly lower in number than the similar These differences are solely the result of the exclusion of the female populations (usually less

summarized in Table 8 types which seem to be disproportionately helped by prerelease program participation was tentatively identified. This material is program and a significantly lower actual recidivism rate than their derived expected recidivism rate. Second, a series of inmate significantly lower rates of recidivism than a control group of similar types of inmates who had not participated in a prerelease related to participation in two prerelease centers - Boston State and Shirley Prerelease. The research evaluation resulted in two The first research study that controlled for selection factors in the assignment of individuals to reintegration programs wa First, it was found that individuals who had completed the combined prerelease programs under study had

program and not simply to the types of inmates who were selected for furloughs. These results are summarized in Table 9. release from prison. initial supportive evidence that participation in Furlough Programs reduces the probability that an individual will recidivate upon A second study looked at Home Furlough Program participation during the years 1973 and 1974. Analysis indicated that the determined reduction in recidivism was due to the impact of the furlough The research provided

prerelease programs and controlled for selection factors. Results showed that the greater the participation in the model, the lower the recidivism rate. The third study included in this section, summarized in Table 10 focused on the combined participation in both furlough and

reintegration model is associated with reduced recidivism even when selection factors are controlled. selection factors were controlled. The results presented in Table 11 were similar to the other studies. That is, the participation The final study in this section graded the level of security of the releasing institution with the level of recidivism. Again,

Table 8

Expected Rates of Recidivism Compared to Observed Rates, Boston State and Shirley Releases During the Years 1972 and 1973

Sample	Expected Recidivism Rate	Observed Recidivism Rate	Probabilit Level
Shirley Prerelease	30.9%	17.7%	°02
Boston State Pre-Release	21.5%	8.0%	. 01
TOTAL SAMPLE	25.7%	12.4%	.001

SOURCE: LeClair, Daniel P., <u>Preparing Prisoners for Their Return to the Community: The Evaluation of the Rehabilitative Effectiveness of Two Pre-Release Programs Operated in Massachusetts; Unpublished doctoral dissertation, Tulane University, July 1975.</u>

Table 9

Expected and Actual Recidivism Rates by Furlough Participation

		Expected Rate	Actual Rate
GROUP A:	GROUP A: Releases in Year 1973		
	All males released in 1973 who received a furlough	25%	16%
F	All males released in 1973 who did not receive a furlough	27%	27%
Ħ	Total group of all males released in 1973	26%	
GROUP B:	Releases in Year 1974		
<b></b>	All males released in 1974 who did receive a furlough	24%	16%
Ħ.	All males released in 1974 who did not receive a furlough	26%	31%
<b>#</b>	Total group of all males released in 1974	25%	20%

SOURCE: LeClair, Daniel P., "Home Furlough Program Effects on Rates of Recidivism", Criminal Justice and Behavior, Volume 5, No. 3, September 1978.

Table 10

Matrix of Differential Participation in Two Reintegration Programs
Selection Factors Controlled by Base Expectancy Tables:
Males Released from Years 1973 Through 1976

Cate	Category	Number	Expected Recidivism Rate	Actual Recidivism Rate
	Prerelease, Furlough	769	22.2%	9%
Ħ	Non-Prerelease, Furlough	1393	25.2%	17%
Ħ	Prerelease, Non-Furloughs	1115	23.0%	26%
.7	Non-Prerelease, Non-Furloughs	967	26,3%	29%

SOURCE: LeClair, Daniel P., "Societal Reintegration and Recidivism Rates", Massachusetts Department of Correction Report Number 159, August 1978.

Table 11

Differential Recidivism Rates of Security Level of Institution of Release for Male Population Released in 1974

Cate	Category	Number of Releases	Expected Recidivism Rate	Actual Recidivism Rate
•	Maximum Security	418	27.9%	26%
Ħ	Medium Security	130	21.1%	19%
Ħ.	Minimum Security	<b>81</b>	22.1%	9%*
IV.	Prerelease	212	21.1%	12%*
.<	Total Male Releases	841	24,6%	20%*
;				

## \*Statistically Significant

SOURCE: LeClair, Daniel P., "An Analysis of Recidivism Rates Among Residents Released From Massachusetts Correctional Institutions During the Year 1974", Massachusetts Department of Correction Report Number 136, September 1977.

### SECTION THREE

# OVERVIEW OF RECIDIVISM DATA, EXTENDED FOLLOW-UP PERIODS

# Trends Discerned Through Extended Follow-Up Periods

making processes, leading both to an expansion of the reintegration programs and to the permanent state funding The series planned for pick up by permanent state funding at a later date if and when programmatic effectiveness could be demonstrated. administrators to receive feedback in a reasonable time frame for the decision-making process. 두 individual program components of the reintegration model had been federally funded for experimental trial purposes and were Data presented in sections one and two of this report incorporate a definition of recidivism that utilizes a one year followof one year follow-up studies allowed timely input, and thus relevant research data were available in the decision-Though subject to limitations, the one year follow-up period used in this definition allows planners and For example, many of of these

over effects" was found. replication attempt involved a two year follow-up of releases in the year 1973 (LeClair, 1976). In this study no evidence of "crosswhich used a one year follow-up to see if emerging trends had remained consistent after additional years of follow-up. doubt on the validity of the overall research findings. This prompted replications of some of the earlier studies of prison releases period as a response to this problem. have prompted the National Advisory Commission of Criminal Justice Standards and Goals to recommend a three year follow-up results found using a one year follow-up period become changed or reversed when the follow-up period is extended. In studying recidivism, however, correctional researchers have pointed to the problem of "cross-over effects" A second replication involved a five year follow-up of the releases in the year 1973 (LeClair, 1981). Again the major The major findings from the two year follow-up analysis fully supported the original one year follow-up Therefore, a concern existed that the limitations of the one year follow-up studies cast Such concerns whereby

up, thus denying a significant role to "cross-over effects" in the Massachusetts research. releases in the 1973 sample had participated in reintegration programs (approximately 10% of the sample), the results were programming. Analysis revealed that all trends previously identified remained unchanged upon extention to five years of followviewed as tentative. For this reason a second five year follow-up study was conducted using the population of releases in the year 1976 (LeClair, 1983). For the 1976 releases, more than 50% of the sample had been involved to the reintegration model findings of the former one and two year follow-up studies remained consistent. But because only a small percentage of the

Table 12

Recidivism Rates Broken Down by Furlough Participation: Five Year Follow-Ups for Releases in Years 1973 and 1976

		Ħ.			. •		
TOTAL	Furlough Participants Non-Participants	Sample II: Release in 1976**	TOTAL	Non-Participants	Furlough Participants	Sample I: Releases in 1973*	
923	500		951	290	661		Number
(100)	( 54)		(100)	(31)	( 69 )		Percent
39% 39%	33%		%44	52%	40%		Recidivism Rate

individuals died before the 5 year follow-up period was completed and these individuals were also dropped from the sample. to custody (another criminal justice jurisdiction) and thus were mistakenly included in the sample. The remaining sample thus included 951 individuals. Though the original study contained 966 individuals, the present effort determined that six of those individuals were released Additionally, nine

<sup>\*\*</sup> Though the original study contained 925 individuals, the present effort determined that two of those individuals were released to custody (another criminal justice jurisdiction) and thus were mistakenly included in the sample. Therefore, those two individuals were deleted, and the present study focused on a population of 923 individuals released directly to the community.

Table 13

Recidivism Rates Broken Down by Prerelease Participation: Five Year Follow-Ups for Releases in Years 1973 and 1976

			Recidivism
	Number	Percent	Rate
Releases in 1973			
Released Via Prerelease Centers	105	(11)	35%
Released by Other Institutions	846	(89)	45%
TOTAL	951	(100)	844
Releases in 1976			
Released Via Prerelease Centers	365	(04)	30%
Released by Other Institutions	558	(60)	%44
TOTAL	923	(100)	39%

Table 14

Recidivism Rates Broken Down by Security Level of Releasing Institutions: Five Year Follow-Ups for Releases in years 1973 and 1976

Security Level of Releasing Institution	leasing Institution	Number	Percent	Recidivism Rate
Releases in 1973				
Maximum		464	(49)	54%
Medium		205	(22)	35%
Minimum		177	(19)	33%
Prerelease		105	(11)	35%
TOTAL		951	(100)	%4 <i>t</i>
Releases in 1976				
Maximum		307	( 33)	
Medium		110	(12)	38%
President		141	(15)	28%
TOTAL		365	(40)	30%
I CIDE		923	(001)	39%

#### Bibliography

obtained by written request to the following address: Department of Correction. The principal data referred to in the paper were drawn from a series of research publications of A listing of these studies is contained below. Individual copies of any of the listed studies can be the Massachusetts

## Research Unit Massachusetts Department of Correction 100 Cambridge Street Boston, MA 02202

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