



Department of Environmental Protection

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401 Water Quality Certification Complete Application List

Pursuant to the Final 2023 Clean Water Act Section 401 Water Quality Certification (WQC) Improvement Rule, below is a list of information that must be submitted in order for MassDEP to consider an application administratively complete with sufficient information for MassDEP to establish the date that the request for certification was received and initiate technical review.

Documentation	Forms WW07/08/26	Forms WW10/11
Documentation of request for pre-filing meeting at least 30 days prior to application submittal	Yes	Yes
Evidence of DEP Sampling Analysis Plan (SAP) review or approval [314 CMR 9.07(2)]	Yes	No
Sediment grain size analysis [314 CMR 9.07(2)]	Yes	No
Chemical analysis of sediment [314 CMR 9.07(2)] including the report of analysis of dredged material from the performing laboratory	Yes	No
Project site due diligence review [314 CMR 9.07(2)]	Yes	No
Dredging and disposal operation plan	Yes	No
Project narrative	Yes	Yes
Project plan set	Yes	Yes
Alternatives analysis [314 CMR 9.06(1)(b), 314 CMR 9.07(1)(a), 314 CMR 9.07(1)(b)]	Yes	Yes
USGS quadrangle map with project location identified	Yes	Yes
Final MEPA certificate, or justification that project is not subject to MEPA review	Yes	Yes
Consultation letter or email from Division of Marine Fisheries and/or Division of Fisheries and Wildlife [314 CMR 9.07(3)(b)]	Yes	As applicable
Proof of public notice	Yes	Yes
Proof public notice has been published in the Environmental Monitor for projects in an Outstanding Resource Water or projects exempt from MGL 131 s. 40 but subject to 314 CMR 9.00	Yes	Yes
Evidence that public notice to the Board of Health in the community(ies) in which dredge or dredge material management is to be located	Yes	No
Proof that application for WW10/11 or WW07/08/26 401 WQC application has been submitted through ePLACE	Yes	Yes
Consultation letter or email from Natural Heritage and Endangered Species Program	As applicable	As applicable

Documentation	Forms WW07/08/26	Forms WW10/11
Plans for adequate containment for dewatering on barge	As applicable	No
Characteristics of the proposed ocean disposal site	As applicable	No
Eelgrass monitoring and/or management plan	As applicable	No
Plans for effluent control at the dewatering and disposal sites	As applicable	As applicable
Sediment monitoring plan (mainly for dam removal projects)	As applicable	No
Proof that application for an amendment of a WQC has been submitted through ePLACE	As applicable	As applicable
Wetlands and/or Land Under Water replication and/or restoration plan	As applicable	Yes
USACE authorization	As applicable	As applicable
List of all other required permits or authorizations	As applicable	As applicable
Documentation of meeting Stream Crossing Standards	As applicable	As applicable
Documentation of meeting Stormwater Standards	As applicable	As applicable
Details of Compensatory mitigation proposals	As applicable	As applicable
Table of impacts to WOTUS using 401 terminologies	As applicable	As applicable
Construction Sequencing Plan	As applicable	As applicable
Construction Period Pollution Prevention and Erosion and Sedimentation Control Plan (Stormwater Pollution Prevention Plan as appropriate)	As applicable	As applicable
USACE Field Data Forms documenting vegetated wetland delineation and corresponding uplands	As applicable	As applicable
USACE Field Data Sheet documenting Ordinary High Water (OHW) delineation or Plan showing OHW line	No	As applicable
A copy of the Wetlands Notice of Intent, unless the project is exempt from the Wetlands Protection Act	As applicable	As applicable
If the project is subject to the Wetlands Protection Act and if the Final Order of Conditions has been issued, a copy of the Final Order of Conditions	As applicable	As applicable
For projects involving the creation of a real estate subdivision with deed restrictions limiting the amount of fill for the single and complete project to 5,000 square feet cumulatively, proof that the deed restriction has been recorded as specified at 314 CMR 9.04(3) and a copy of the deed is submitted with the Order of Conditions	No	Yes
A copy of the 401 application is sent to the conservation commission in the town/city where the work will occur	As applicable	Yes
For projects within the Quabbin, Ware, and Wachusett watersheds not regulated by the Watershed Protection Act regulations, pursuant to 350 CMR 11.06(4), a copy of the Request for Advisory Ruling under 350 CMR 11.06 (1)(a)1., or Request for Watershed Determination of Applicability pursuant to 350 CMR 11.06(2)(a)1., with the Metropolitan District Commission's [now Department of Conservation & Recreation] Ruling or Determination	No	Yes
Other project-specific documents	As applicable	As applicable