

# Commonwealth of Massachusetts Executive Office of Health and Human Services Office of Medicaid



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MassHealth
Transmittal Letter ALL-219
March 2017

**TO:** All Providers Participating in MassHealth

FROM: Daniel Tsai, Assistant Secretary for MassHealth

**RE**: All Provider Manual (Appendix W – Behavioral Health Updates)

This letter transmits revisions to Appendix W for MassHealth all provider manuals. The revised codes in the appendix become effective Monday, April 17, 2017.

# **Developmental and Behavioral Health Screens**

MassHealth includes developmental and behavioral health (mental health and substance use disorder) screens in its list of Early Periodic Screening, Diagnosis and Treatment (EPSDT) services and Preventive Pediatric Healthcare Screening and Diagnosis (PPHSD) services, in accordance with 130 CMR 450.140 through 450.150.

MassHealth has revised Appendix W (EPSDT/PPHSD Periodicity Schedule), which requires providers to choose a clinically appropriate behavioral health-screening tool from a menu of approved, standardized tools when conducting a behavioral health screen at a periodic or interperiodic visit.

MassHealth has added three more tools to its list of approved, standardized behavioral-health screening tools for children younger than age 21. These tools are

- The Pediatric Symptom Checklist, 17-question version (PSC-17)
- Modified Checklist for Autism in Toddlers, Revised (M-CHAT-R)
- Edinburgh Postnatal Depression Scale (EPDS)

With this transmittal letter, MassHealth is also highlighting the inclusion of both the standard and Massachusetts-specific versions of the Survey of Well-being of Young Children (SWYC and SWYC-MA) on its list of approved screening tools.

For dates of service through December 31, 2017, providers may use either the M-CHAT or the M-CHAT-R. For dates of service on or after January 1, 2018, providers should use only the newer, revised version, MCHAT-R.

MassHealth will provide claiming instructions in upcoming Subchapter 6 transmittal letters for providers to use when selecting the Edinburgh Postnatal Depression Scale.

No changes are being transmitted in this transmittal letter to The Dental Schedule in Appendix W.

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# **Changes to Claiming for Postpartum Depression Screening by Pediatric Providers**

Effective for dates of service on or after April 17, 2017, pediatric providers who administer the Edinburgh Postnatal Depression Scale when screening caregivers of infants younger than six months for postpartum depression must claim for these screenings using CPT code 96110 with the appropriate modifiers. (See below.)

In addition, effective April 17, 2017, pediatric providers may <u>no longer claim</u> for the administration of postpartum depression screening using CPT code S3005.

Providers delivering perinatal care services should continue to administer and claim for postpartum depression using CPT code S3005 and associated modifiers, in accordance with the information provided in Transmittal Letters PHY-148, CHC-105, and AOH-37, all issued in May 2016.

# **Modifiers to CPT Code 96110**

Providers who administer behavioral-health screenings using one of the tools from the menu of approved behavioral health screening tools must submit a claim using the CPT code 96110 and the appropriate modifier (U1 through U8).

When the provider submits a claim for 96110 for the administration of the Edinburgh Postnatal Depression Scale (EPDS), the provider must include an additional (second) modifier to the claim. This second modifier is UD.

### Menu of Standardized Behavioral-Health Screening Tools

The menu of behavioral-health screening tools that primary care providers may use during EPSDT and PPHSD visits is published in the attached, updated Appendix W. These tools accommodate a range of ages while permitting some flexibility for provider preference and clinical judgment.

In performing the behavioral-health screening, providers must use a clinically appropriate tool from the following list of approved, standardized, behavioral health-screening tools.

- a) Ages and Stages Questionnaires (ASQ: SE);
- b) Brief Infant-Toddler Social and Emotional Assessment (BITSEA):
- c) Car, Relax, Alone, Forget, Friends, Trouble (CRAFFT);
- d) Early Childhood Screening Assessment (ECSA);
- e) Edinburgh Postnatal Depression Scale (EPDS);
- f) Modified Checklist for Autism in Toddlers (M-CHAT) and M-CHAT-Revised (M-CHAT-R);
- g) Modified Checklist for Autism in Toddlers Revised with Follow-up (M-CHAT-R/F);
- h) Parents' Evaluation of Developmental Status (PEDS);
- i) Patient Health Questionnaire-9 (PHQ-9);
- j) Pediatric Symptom Checklist (PSC-35), Pediatric Symptom Checklist (PSC-17), and Pediatric Symptom Checklist-Youth Report (PSC-Y);
- k) Strengths and Difficulties Questionnaire (SDQ); and
- I) Survey of Well-being of Young Children (SWYC) and Survey of Well-being of Young Children-MA (SWYC-MA).

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# Standardized Behavioral-Health Screening Tools (cont.)

For more information about the standardized behavioral-health screening tools, please go to <a href="https://www.mass.gov/masshealth/cbhi">www.mass.gov/masshealth/cbhi</a> and click on "Screening for Behavioral Health Conditions."

### **MassHealth Website**

This transmittal letter and attached pages are available on the MassHealth website at <a href="https://www.mass.gov/masshealth">www.mass.gov/masshealth</a>.

### Questions

If you have any questions about the information in this transmittal letter, please contact the MassHealth Customer Service Center at 1-800-841-2900, e-mail your inquiry to <a href="mailto:providersupport@mahealth.net">providersupport@mahealth.net</a>, or fax your inquiry to 617-988-8974.

# **NEW MATERIAL**

(The pages listed here contain new or revised language.)

## All Provider Manuals

Pages W-1 through W-8

## **OBSOLETE MATERIAL**

(The pages listed here are no longer in effect.)

# All Provider Manuals

Pages W-1 through W-8 — transmitted by Transmittal Letter ALL-207

Commonwealth of Massachusetts MassHealth Provider Manual Series	Subchapter Number and Title Appendix W. EPSDT Services Medical and Dental Protocols and Periodicity Schedules	<b>Page</b> W-1
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Early and Periodic Screening, Diagnosis and Treatment (EPSDT)
Medical Protocol and Periodicity Schedule (The Medical Schedule)
and EPSDT Dental Protocol and Periodicity Schedule (The Dental
Schedule)

# **The Medical Schedule**

The EPSDT Medical Protocol and Periodicity Schedule (the Medical Schedule) consists of screening procedures arranged according to the intervals or age levels at which each procedure should be provided. See 130 CMR 450.140 through 450.150 for more information about Early and Periodic Screening, Diagnosis and Treatment (EPSDT) services and Preventive Pediatric Health-Care Screening and Diagnosis (PPHSD) services.

#### **Pediatric Preventive Health-Care Visits**

Pediatric preventive health-care visits must

- contain the components explained in the descriptions in The Medical Schedule; and
- at a minimum, occur at the following ages: one to two weeks, one month, two months, four months, six months, nine months, 12 months, 15 months, 18 months, two years, and then every year until the member's 21<sup>st</sup> birthday.

### Each EPSDT or PPHSD visit must include the following components.

## **Initial or Interval Health History**

- **Initial** an initial history must be taken at the first member visit with a provider. The initial health history includes the family health history and baseline data on the member, including, but not limited to
  - (a) growth and developmental history;
  - (b) immunization history;
  - (c) medications, herbal remedies, and known reactions to medications and allergies; and
  - (d) pertinent information about previous illnesses and hospitalizations; risk-taking behaviors, such as drug, alcohol, and tobacco use; sexual activity; and other medical, psychosocial, and behavioral health concerns.
- **Interval** an interval history must be taken at each periodic visit. The interval history includes an update of the member's medical history, including, but not limited to
  - (a) a review of all systems and any illnesses, diseases, medications, or medical problems experienced by the member since the last visit; and
  - (b) an updated assessment of lifestyle, risk behavior, sexual activity, and psychosocial and behavioral health concerns.

### **Unclothed Comprehensive Physical Examination**

- **Growth Assessment** assessment of growth parameters using height and weight. Measurements must be plotted on appropriate growth charts. Screen for healthy weight using the Centers for Disease Control and Prevention (CDC) body-mass index (BMI) charts for members aged two through 20 years of age. Include head-circumference measurements until the age of two years.
- **Blood Pressure** selective screening for high blood pressure at every well visit starting at three years of age.

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#### **Nutritional Assessment**

The provider should do the following.

- Ask about dietary habits.
- Promote breastfeeding as the best form of infant nutrition. Assess breast-fed infants between two and five days of age.
- Starting in middle childhood, screen annually for eating disorders and ask about body image and dieting patterns.
- Make every effort to inform a potentially eligible member or his or her parent or guardian about the Women, Infants, and Children (WIC) nutrition program. A referral to WIC should be made using the WIC Medical Referral Form (MRF) from the Massachusetts WIC Program.

In addition, the member, parent, or guardian may also be referred to the Supplemental Nutrition Assistance Program (SNAP), which is administered by the Department of Transitional Assistance.

# **Developmental Screening**

- The provider must screen the member for delays or differences in functioning in the following areas, as appropriate to the member's age.
  - (a) physical development, including gross motor development (strength, balance, and locomotion), fine motor development (hand-eye coordination), and sexual development;
  - (b) cognitive development, including self-help and self-care skills, and problem-solving and reasoning abilities:
  - (c) language development, including expression, comprehension, and articulation;
  - (d) social integration and peer relations, including school performance and family issues;
  - (e) socialization and infant attachment indicators;
  - (f) psychosocial and behavioral development, behavioral difficulties, such as sleep disturbances and aggression, psychological problems, such as depression and risk-taking behavior; and
  - (g) signs of family violence and physical or sexual abuse.
- Essential components of the screening process include, but are not limited to
  - (a) sensitive attention to member, parent, or guardian concerns about the member;
  - (b) thoughtful inquiry about parent or guardian observations;
  - (c) observation by the provider and the member's parent or guardian about the member's behaviors;
  - (d) examination of specific developmental attainments; and
  - (e) observation of member, parent, or guardian interaction.
- The provider must inform the parent or guardian about the benefits of developmental intervention and special education services if a concern is identified. To access these services for any member who is between birth and two years six months, refer the member to the local Early Intervention Program of the Massachusetts Department of Public Health. If the child is older than two years, six months, refer the parent or guardian to the local public school system. The Early Intervention Program, the local public school, or both will conduct assessments to determine eligibility and service needs.

#### **Behavioral Health Screening**

- In performing the behavioral health screening, providers must use one of the clinically appropriate tools from the following list of approved, standardized behavioral-health screening tools.
  - (a) Ages and Stages Questionnaires (ASQ: SE);
  - (b) Brief Infant-Toddler Social and Emotional Assessment (BITSEA);
  - (c) Car, Relax, Alone, Forget, Friends, Trouble (CRAFFT);

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# **Behavioral Health Screening (cont.)**

- (d) Early Childhood Screening Assessment (ECSA);
- (e) Edinburgh Postnatal Depression Scale (EPDS);
- (f) Modified Checklist for Autism in Toddlers (M-CHAT) and M-CHAT-Revised (M-CHAT-R);
- (g) Modified Checklist for Autism in Toddlers Revised with Follow-up (M-CHAT-R/F);
- (h) Parents' Evaluation of Developmental Status (PEDS);
- (i) Patient Health Questionnaire-9 (PHQ-9);
- (j) Pediatric Symptom Checklist (PSC-35), Pediatric Symptom Checklist (PSC-17), and Pediatric Symptom Checklist-Youth Report (PSC-Y);
- (k) Strengths and Difficulties Questionnaire (SDQ); and
- (l) Survey of Well-being of Young Children (SWYC) and Survey of Well-being of Young Children—MA (SWYC-MA).

If there is evidence of a behavioral-health concern or need for further assessment, the provider must offer the necessary behavioral-health services or make a referral to another provider who can provide them. To determine which providers may be available to provide the needed behavioral health services and how to use out-of-network providers, if necessary, contact the MassHealth Customer Service Center at 1-800-841-2900 (TTY: 1-800-497-4648) or the member's health plan.

# **Hearing Screening**

An objective hearing screening must be performed using an audiometer or otoacoustic emissions at the following ages: four years, five years, six years, eight years, and 10 years.

- If the objective hearing screen is performed in another setting, such as a school, the screening does not need to be repeated by the provider, but he or she must document the results. Conduct a subjective hearing assessment at all other routine visits. Conduct audiological monitoring every six months until the age of three years if there is a language delay or risk of hearing loss.
- If the provider receives notification of a missed or failed newborn hearing screen, the provider must ensure that a new screening or diagnostic follow-up takes place. The provider may contact the Massachusetts Department of Public Health's Universal Newborn Hearing Screening Program for additional information about the screening.

### **Vision Screening**

- Assess newborns before discharge or at least by the age of two weeks, including corneal light reflex and red reflex.
- Evaluate fixation preference, alignment, and eye disease by the age of six months and at each subsequent visit until 12 months of age. Screen for strabismus between the ages of three years and five years. An objective visual acuity screening must be performed at the following ages: three years, four years, five years, six years, eight years, 10 years, 12 years, 15 years, 17 years, and 18 years.
- Screen children at entry to kindergarten if they have not been screened during the previous 12-month
  period using the Massachusetts Preschool Vision Screening Protocol. Children who fail to pass the
  vision screening and children with neurodevelopmental delay must be referred to a licensed optometrist
  or ophthalmologist.
- If the objective vision screen is performed in another setting, such as a school, the screen does not need to be repeated by the provider, but he or she must document the results in the member's medical record.

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# **Newborn Metabolic Screening**

Verify that newborn has received all required newborn metabolic screenings, especially if newborn was not born in a hospital setting or was born outside Massachusetts.

## **Dental Assessment and Referral**

- Assess oral health at each visit. Intraoral assessments should identify obvious dental problems and ensure that regular visits to a dental provider are occurring.
- The screening provider must encourage members to seek regular dental care from a dental provider, at the eruption of the first tooth and no later than 12 months of age, including examinations once every six months, preventive services, and treatment, as necessary
- Assess the need for fluoride supplementation starting at the age of six months continuing until 14 years of age, based on availability in water supply and dietary source of fluoride. Counsel on good dental-hygiene habits, and prevention of infant caries, including avoidance of bottle propping, weaning from bottle, and drinking from a cup by one year of age.

### **Cancer Screening and Examination**

- Initiate a pelvic exam and Pap test for females at 21 years of age or earlier at the discretion of clinician or patient.
- Perform a clinical breast exam for females and counsel about the benefits and limitations of breast self-exam starting at 20 years of age.
- Perform a clinical testicular exam for males and counsel about the benefits and limitations of self-exam annually beginning at the age of 15 years.
- Screen all members for the presence of other cancers as indicated by member or family history.

### **Immunization Assessment and Administration**

Assess the member's immunization status and administer all immunizations for which the member is due in accordance with the recommendations of the Department of Public Health's Immunization Program.

### **Lead Toxicity Screening**

- Perform initial screening between nine and 12 months and again at two and three years of age. Screen at four years of age if a child lives in a city or town with a high risk for childhood lead poisoning. Screen at entry to kindergarten if not screened before.
- Screen for lead poisoning more than once a year if the child meets one of the high-risk criteria set forth by the Massachusetts Childhood Lead Poisoning Prevention Program (MCLPPP) or, in the sound medical judgment of the health care provider, the child is at high risk of lead poisoning. A list of high-risk communities and additional information about screening may be found at <a href="https://www.mass.gov/dph/clppp">www.mass.gov/dph/clppp</a>.

Pursuant to M.G.L. c. 111,§ 191, physicians, other health care providers, and private laboratories must report all cases of childhood lead poisoning known to them to the agency director within three working days of identification, unless previously reported. If a child suffers multiple episodes of lead poisoning, the provider must report each episode.

### **Tuberculin Skin Test**

Test all members at high risk. Determine the need for repeat skin testing by the likelihood of continued exposure to infectious TB.

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# Hemoglobin/Hematocrit Test

- Test once between nine months and 12 months of age;
- At clinician discretion, conduct detailed assessment of infants at high risk for iron deficiency;
- Conduct assessment, including dietary iron sufficiency, at the clinician's discretion for children aged one year through 10 years; and
- Screen all non-pregnant adolescents for anemia every 5-10 years starting at 12 years of age and screen members 11 through 21 years of age annually, if at high risk.

# **Cholesterol Screening**

- Screen children aged two years through 17 years at least once if they have a family history of premature cardiovascular disease or a parent with known lipid disorder or who is overweight or obese.
- Screen once between the ages of 18 years and 21 years, if not screened previously.

## **Hepatitis C**

- Obtain anti-hepatitis C virus test after the age of 12 months in children with mothers infected with hepatitis-C virus and periodically test all children if at high risk.
- Periodically test all high-risk members 11 through 20 years of age.

# **Sexually Transmitted Infections**

- Screen all sexually active adolescents and young adults annually for gonorrhea and chlamydia.
- Initiate female pelvic exam and Pap test at 21 years of age or earlier, based on risk factors at clinician discretion.
- Consider urine-based screening for females when a pelvic exam is not performed.
- Advise about the schedule of HPV vaccines. Screen for syphilis if at risk.

#### HIV

- Perform annual HIV testing for those at increased risk.
- Perform routine screening at 13 years of age and older. Advise about risk factors for HIV infection.

# **Other Laboratory Testing**

Obtain other laboratory tests according to the member's risk, the provider's professional judgment, and applicable state requirements for newborn screening tests.

# **Health Education and Anticipatory Guidance**

- Considering the privacy of the member, age-specific and appropriate counseling must be delivered to parents, guardians, or members about common and expected developmental advancements and common physical and behavioral concerns.
- Effective discussion includes assessment and teaching based on a family-centered, culturally competent approach, addressing the concerns of the members, parent(s), and guardian(s).
- Discussion topics should include, but not be limited to
  - (a) developmental expectations and sound parenting practices;
  - (b) behavioral risks, such as avoidance of the use of alcohol, drugs, tobacco, and other substances with the potential for abuse; violence; bullying; and depression;
  - (c) safe and healthy sexual behaviors, including abstinence and contraception, with sensitivity to sexual orientation;

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### **Health Education and Anticipatory Guidance (cont.)**

- (d) benefits and components of a healthy diet and safe weight management; ways to maintain adequate calcium and vitamin D; and advise against sugar-sweetened and caffeinated drinks;
- (e) benefits of daily physical activity, opportunities for daily physical activity, parents as role models;
- (f) asking about sleep habits and encourage proper sleep amounts. Advise that infants be placed on their backs when putting them to sleep until at least six months of age;
- (g) impact of electronic media as a risk factor for being overweight, low school performance, and violent behavior. Encourage limiting of screen time. Discourage placement of computers and TVs in bedrooms;
- (h) chronic and communicable disease prevention;
- (i) safety measures and injury prevention, including childproofing, car seats and seat belts, bike and motorcycle helmets, poison prevention, gun safety, and other age-appropriate counseling;
- (j) use of sunscreen, minimizing exposure to the sun, and discouraging use of indoor tanning; and
- (k) potential risks of body piercing or tattooing.
- Educational activities and resources (such as brochures, audiovisual materials, class instruction, and health-risk questionnaires) can enhance comprehensive child and adolescent health supervision, but they should not replace interaction between the provider and the member.
- Bright Futures Guidelines for Health Supervision of Infants, Children, and Adolescents—Third Edition and the American Medical Association's (AMA) Guidelines for Adolescent Preventive Services (GAPS) provide lists of topics that may be discussed and resources for providers, parents, guardians, and members.

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# **The Dental Schedule**

The EPSDT Dental Protocol and Periodicity Schedule (The Dental Schedule) consists of procedures arranged according to the intervals or age levels at which each procedure is to be provided. The Dental Schedule is based on the Preventive Pediatric Oral Health Care recommendations from the American Academy of Pediatric Dentistry (AAPD) Reference Manual 2007-2008. See 130 CMR 450.140 through 450.150 for more information about Early and Periodic Screening, Diagnosis and Treatment (EPSDT) services and Preventive Pediatric Health-Care Screening and Diagnosis (PPHSD) services.

# Recommendations for Preventive Pediatric Oral Health Care

(AAPD Reference Manual 2007-2008)

	6 - 12 Months	12 -24 Months	2 – 6 Years	6 - 12 Years	12 - 20 Years
Clinical oral examination (1, 2)	X	X	X	X	X
Assess oral growth and development (3)	X	Х	X	X	Х
Caries-risk assessment (4)	X	X	X	X	X
Radiographic assessment (5)	X	Х	X	X	X
Prophylaxis and topical fluoride (4, 5)	X	Х	X	X	Х
Fluoride supplementation (6, 7)	X	X	X	X	X
Anticipatory guidance/counseling (8)	X	х	Х	X	X
Oral hygiene counseling (9)	Parent	Parent	Patient/parent	Patient/parent	Patient
Dietary counseling (10)	X	х	X	X	X
Injury prevention counseling (11)	X	х	X	X	X
Counseling for nonnutritive habits (12)	X	х	X	X	X
Counseling for speech/language development	X	х	X		
Substance-use disorder screening				X	х
Screening for intraoral/perioral piercing				X	X
Assessment and treatment of developing malocclusion			X	X	X
Assessment for pit and fissure sealants (13)			X	X	X
Assessment and/or removal of third molars					X
Transition to adult dental care					Х

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# **The Dental Schedule**

- 1. First examination at the eruption of the first tooth and no later than 12 months. Repeat every six months or as indicated by child's risk status/susceptibility to disease.
- 2. Includes assessment of pathology and injuries.
- 3. By clinical examination.
- 4. Must be repeated regularly and frequently to maximize effectiveness.
- 5. Timing, selection, and frequency determined by child's history, clinical findings, and susceptibility to oral disease.
- 6. Consider when systematic fluoride exposure is suboptimal.
- 7. Up to at least 16 years.
- 8. Appropriate discussion and counseling should be an integral part of each visit for care.
- 9. Initially, responsibility of parent or guardian; as child develops, jointly with parent or guardian; then, when indicated, only child.
- 10. At every appointment, initially discuss appropriate feeding practices, then the role of refined carbohydrates and frequency of snacking in caries development and childhood obesity.
- 11. Initially play objects, pacifiers, car seats; then when learning to walk, sports and routine playing, including the importance of mouth guards.
- 12. At first, discuss the need for additional sucking; digits vs. pacifiers; then the need to wean from the habit before malocclusion or skeletal dysplasia occurs. For school-aged children and adolescent patients, counsel regarding any existing habits such as fingernail biting, clenching, or bruxism.
- 13. For caries-susceptible primary molars, permanent molars, premolars, and anterior teeth with deep pits and fissures; placed as soon as possible after eruption.