# Office of Medicaid BOARD OF HEARINGS

## **Appellant Name and Address:**



Appeal Decision: Denied Appeal Number: 2305468

**Decision Date:** 10/4/2023 **Hearing Date:** 08/09/2023

Hearing Officer: Radha Tilva Record Open to: 08/25/2023

Appearance for Appellant:

Appearance for MassHealth:

Dr. Harold Kaplan, DentaQuest consultant



The Commonwealth of Massachusetts
Executive Office of Health and Human Services
Office of Medicaid
Board of Hearings
100 Hancock Street, Quincy, Massachusetts 02171

### APPEAL DECISION

Appeal Decision: Denied Issue: PA – orthodontics -

retainer

**Decision Date:** 10/4/2023 **Hearing Date:** 08/09/2023

MassHealth's Rep.: Dr. Harold Kaplan Appellant's Rep.: Mother

Hearing Location: Tewksbury Aid Pending: No

MassHealth

**Enrollment Center** 

# **Authority**

This hearing was conducted pursuant to Massachusetts General Laws Chapter 118E, Chapter 30A, and the rules and regulations promulgated thereunder.

## Jurisdiction

Through a notice dated May 2, 2023, MassHealth denied the appellant's prior authorization request for D8703 (replacement of lost or broken maxillary retainer) and D8704 (replacement of lost or broken mandibular retainer) (Exhibit 1). The appellant filed this appeal in a timely manner on July 6, 2023 (see 130 CMR 610.015(B) and Exhibit 2). Challenging a denial of a prior authorization request is valid grounds for appeal (see 130 CMR 610.032).

## Action Taken by MassHealth

MassHealth denied appellant's prior authorization request for D8703 and D8704.

#### Issue

The appeal issue is whether MassHealth was correct in denying appellant's prior authorization for replacement retainers.

## **Summary of Evidence**

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MassHealth was represented in person by a DentaQuest orthodontic consultant. On May 2, 2023, a prior authorization request for D8703 (replacement of lost or broken maxillary retainer) and D8704 (replacement of lost or broken mandibular retainer) was requested. MassHealth denied that request because appellant had surpassed MassHealth's two-year time frame after the removal of braces in which replacement retainers would otherwise be allowed. The MassHealth representative stated that he was unable to overturn MassHealth's decision.

The appellant was represented by his mother. The appellant's mother stated that appellant had facial surgery on 2018 and then had orthodontic treatment following that which continued for about two years. The appellant was given retainers thereafter which he has been wearing continuously, however, one snapped in half and the other one has a large crack in it (appellant testimony). The appellant's mother stated that the retainers are flimsy and one of them was replaced once already by MassHealth. Following that the orthodontist suggested a heavier retainer, but the mother was unaware of any of the provider's every requesting one. The appellant's mother did state, however, that the dental provider put in a request for a retainer replacement within two years, but got denied from MassHealth. No one could provide an explanation as to why it was denied even though it was within the two-year window (appellant testimony).

The hearing record was left open for the MassHealth representative to identify where in the regulations the two-year limit to request treatment was located. The MassHealth representative responded on August 13, 2023 and referenced and cited to the Office Reference Manual (Exhibit 6). The appellant's representative responded the next day and stated that she had concern over the issue date and picture of the manual the orthodontist cited (Exhibit 6, p. 3). A copy of the manual was sent by the hearing officer on September 22, 2023 (Exhibit 6, p. 2). The appellant's representative responded and asked where there had been requests for replacement retainers and why no decision had ever been received by appellant or her orthodontic provider (Exhibit 6, p. 2). The appellant pointed out that she requested that information from MassHealth, but never got it despite asking multiple times (Exhibit 6, p. 1).

## **Findings of Fact**

Based on a preponderance of the evidence, I find the following:

- 1. On May 2, 2023, a prior authorization request for D8703 (replacement of lost or broken maxillary retainer) and D8704 (replacement of lost or broken mandibular retainer) was requested by appellant's orthodontic provider.
- 2. Appellant's mother stated that appellant had facial surgery on orthodontic treatment following that which continued for about two years.

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- 3. The appellant was given retainers following his treatment; both are damaged now, however.
- 4. MassHealth denied the request as it was not made within two years of completion of orthodontic treatment.

# **Analysis and Conclusions of Law**

The issue under appeal is whether MassHealth was correct in denying appellant's prior authorization request for upper and lower replacement retainers. Under 130 CMR 420.431(C)(5) the MassHealth agency pays for the replacement of lost or broken retainers with prior authorization. MassHealth's Dental Office Reference Manual, dated June 17, 2023, addresses the two procedure codes requested and states that the MassHealth agency pays for replacement retainers only during the 2-year retention period following orthodontic treatment (see MassHealth Dental Program, Office Reference Manual, p. 103 (June 17, 2023)). The appellant had orthodontic surgery on March 16, 2018 and his mother testified that appellant completed his orthodontic treatment two years after that. Thus, the retainers were requested more than 2 years following completion of his orthodontic treatment. With respect to appellant's testimony that the retainers were previously denied when they were requested within the 2-year period, that prior authorization request is not under appeal and therefore beyond the scope of this appeal. Based on the above analysis this appeal is DENIED.

## **Order for MassHealth**

None.

# **Notification of Your Right to Appeal to Court**

If you disagree with this decision, you have the right to appeal to Court in accordance with Chapter 30A of the Massachusetts General Laws. To appeal, you must file a complaint with the Superior Court for the county where you reside, or Suffolk County Superior Court, within 30 days of your receipt of this decision.

Radha Tilva Hearing Officer Board of Hearings

cc:

MassHealth Representative: DentaQuest 1, MA

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