

Office of Medicaid BOARD OF HEARINGS

Appellant Name and Address:



Appeal Decision:	Denied	Appeal Number:	2415566
Decision Date:	11/22/2024	Hearing Date:	11/18/2024
Hearing Officer:	Marc Tonaszuck		

Appearance for Appellant:



Appearance for MassHealth:

Dr. Katherine Moynihan, DentaQuest



*The Commonwealth of Massachusetts
Executive Office of Health and Human Services
Office of Medicaid
Board of Hearings
100 Hancock Street, Quincy, Massachusetts 02171*

APPEAL DECISION

Appeal Decision:	Denied	Issue:	Dental Services – Orthodontic Services
Decision Date:	11/22/2024	Hearing Date:	11/18/2024
MassHealth's Rep.:	Dr. Katherine Moynihan, DentaQuest	Appellant's Rep.:	██████
Hearing Location:	Springfield MassHealth Enrollment Center	Aid Pending:	No

Authority

This hearing was conducted pursuant to Massachusetts General Laws Chapters 119E and 30A, and the rules and regulations promulgated thereunder.

Jurisdiction

Through a notice dated 09/09/2024, MassHealth informed the appellant that it denied her request for prior authorization of comprehensive orthodontic treatment (130 CMR 420.431(C); Exhibit 1). A timely appeal was filed on the appellant's behalf on 10/09/2024¹ (130 CMR 610.015(B); Exhibit 2). Denial of a request for prior approval is a valid basis for appeal (130 CMR 610.032).

Action Taken by MassHealth

MassHealth denied the appellant's request for prior authorization of comprehensive orthodontic treatment.

Issue

¹ The appellant is a minor child who was represented in these proceedings by her mother.

Did MassHealth correctly deny the appellant's prior authorization request for comprehensive orthodontic treatment to pursuant to 130 CMR 420.431(C)?

Summary of Evidence

A fair hearing was held before the Board of Hearings on 11/18/2024. The appellant appeared in person with her mother. The MassHealth representative appeared virtually. Exhibits 1-4 were admitted into evidence.

Dr. Moynihan, a licensed orthodontist from DentaQuest, appeared on behalf of MassHealth as the orthodontic consultant. She testified that the appellant's provider requested prior authorization for comprehensive orthodontic treatment. MassHealth only provides coverage for comprehensive orthodontic treatment when there is a severe and handicapping malocclusion. She testified that the orthodontic provider submitted a prior authorization request on behalf of the appellant, who is under 21 years of age. The request was considered after review of the oral photographs and written information submitted by the appellant's orthodontic provider. This information was applied to a standardized Handicapping Labio-Lingual Deviations (HLD) Index that is used to make an objective determination of whether the appellant has a severe and handicapping malocclusion. The representative testified that the HLD Index uses objective measurements taken from the subject's teeth to generate an overall numeric score. A severe and handicapping malocclusion typically reflects a minimum score of 22 or an automatic qualifying condition. MassHealth submitted into evidence: HLD MassHealth Form, the HLD Index (Exhibit 4).

MassHealth testified that according to the prior authorization (PA) request, the appellant's orthodontic provider reported that the appellant had an auto-qualifying situation; specifically, that she has an "impinging overbite," and therefore the treating orthodontist asserted that the appellant qualifies for payment by MassHealth of her comprehensive orthodontia. The appellant's provider also submitted her calculations of an HLD Index score, totaling 18 points. He indicated on the HLD Index form that there was no "medical necessity" documentation included with the request.

The DentaQuest orthodontist testified that upon submission to MassHealth, DentaQuest received the PA packet, including the treating orthodontist's HLD Index score and photographs and X-rays of the appellant's teeth. DentaQuest reviewed the documentation and determined that the appellant's HLD Index score did not meet the necessary criteria for MassHealth payment for her comprehensive orthodontic services. DentaQuest also determined that there was no impinging overbite, as defined on the HLD Index worksheet. Further, there were no other automatic qualifying conditions or documentation of medical necessity and no HLD Index score of 22 or more. As a result, DentaQuest denied the request on 09/09/2024.

Dr. Moynihan testified that in preparation for the fair hearing she reviewed the appellant's materials that were provided to MassHealth with the prior authorization request from her orthodontist.

According to the photographs and X-rays, Dr. Moynihan testified that the appellant does not have an “impinging overbite,” or any other automatic qualifying condition and she does not have an HLD Index score of 22 or more. Dr. Moynihan referenced the X-ray and photos to show that the appellant’s bottom front teeth do not come into contact with the tissue behind the front top teeth, as required to meet this automatic qualifying category. She also testified that there is no evidence of indentations, sores, or ulcerations on the tissue behind the front top teeth. Further, she found that there was nothing in the submission to show that the appellant meets MassHealth’s criteria for the payment of her comprehensive orthodontia. As a result, she upheld MassHealth’s denial of the request for comprehensive orthodontic services.

The appellant’s mother appeared at the fair hearing with the appellant. The mother testified that she was concerned that the appellant’s gaps in her teeth cause her to trap food, and that the appellant has an overbite. The mother thought it would be best to approve the orthodontia now to prevent the problems from getting worse. The mother also testified that the appellant’s tooth “sticks out” and she “bites her lip.”

Findings of Fact

Based on a preponderance of the evidence, I find the following:

1. The appellant, a MassHealth member, is under 21 years of age. She was represented in these proceedings by her mother (Testimony; Exhibit 2).
2. On 08/30/2024, the appellant’s orthodontic provider, Dr. [REDACTED], requested prior authorization for comprehensive orthodontic treatment on behalf of the appellant (Testimony, Exhibit 4).
3. MassHealth provides coverage for comprehensive orthodontic treatment only when there is a severe and handicapping malocclusion.
4. As one determinant of a severe and handicapping malocclusion, MassHealth employs a system of comparative measurements known as the HLD Index.
5. A HLD Index score of 22 or higher denotes a severe and handicapping malocclusion.
6. The appellant’s orthodontic provider provided an HLD Index score of 18.
7. The appellant’s orthodontic provider alleged that the appellant has an automatic qualifying condition; specifically, an “impinging overbite.”

8. No medical necessity documentation was included with the PA request by the appellant's treating orthodontist.
9. DentaQuest reviewed the treating orthodontist's submission and determined that the appellant's malocclusion did not meet the required 22 points for MassHealth's payment for her comprehensive orthodontic treatment.
10. DentaQuest determined that the appellant did not meet the criteria set out for the automatic qualifying condition of an impinging overbite.
11. DentaQuest, on behalf of MassHealth, denied the appellant's request for comprehensive orthodontic treatment on 09/09/2024.
12. The appellant appeared in person at the fair hearing with her mother.
13. The MassHealth representative, an orthodontist employed by DentaQuest, appeared at the fair hearing virtually.
14. Using measurements taken from the appellant's oral photographs, X-rays, and other submitted materials, the MassHealth orthodontist determined that the appellant did not have a an HLD score of 22 or above or an automatic qualifying condition.
15. There is no evidence that the appellant's bottom front teeth come into contact with the tissue behind the top front teeth.
16. There was no other documentation of medical necessity for the comprehensive orthodontic treatment provided to MassHealth.
17. The appellant does not have an HLD score of 22 or above, no automatic qualifying condition and there is no documentation of medical necessity.

Analysis and Conclusions of Law

Regulation 130 CMR 420.431(C) states, in relevant part, as follows:

The MassHealth agency pays for comprehensive orthodontic treatment only once per member under age 21 per lifetime and only when the member has a severe and handicapping malocclusion. The MassHealth agency determines whether a malocclusion is severe and handicapping based on the clinical standards described in Appendix D of the *Dental Manual*.

When requesting prior authorization for comprehensive orthodontic treatment, the provider submits, among other things, a completed HLD Index recording form which documents the results of applying the clinical standards described in Appendix D of the Dental Manual. For MassHealth to pay for orthodontic treatment, the appellant's malocclusion must be severe and handicapping as indicated by an automatic qualifier on the HLD index or a minimum HLD index score of 22.

In his submission to MassHealth, the appellant's treating orthodontist calculated an overall HLD Index score of 18, which does not meet the minimum points required for MassHealth payment of the orthodonture. The appellant's orthodontic provider noted on the HLD Index score sheet that the appellant has an "impinging overbite," a condition that if verified qualifies the appellant for payment for braces, regardless of an HLD Index score. At the fair hearing, Dr. Moynihan testified that there is no evidence that the appellant has an "impinging overbite" as defined by the HLD Index score sheet.

The HLD Index score sheet defines an "impinging overbite" as "evidence of occlusal contact into the opposing soft tissue." The treating orthodontist provided no further information with his assertion that the appellant meets the criteria of this automatic qualifying condition. Dr. Moynihan referenced the appellant's X-ray showing the profile of the front part of the appellant's head. Dr. Moynihan directed the hearing officer to the front teeth and testified that when the appellant closes her mouth, the bottom front teeth touch the back of the front top teeth, not the tissue behind the top teeth. She also testified that the photographs of the appellant's mouth did not show indentations behind the top front teeth, which is required to meet the criteria for this automatic qualifying condition. As a result, the appellant does not have an "impinging overbite," as defined by the HLD Index score sheet.

The appellant's mother testified that the appellant has some issues that may or may not be connected to her need for orthodonture; however, she failed to show how those conditions meet MassHealth's orthodonture criteria. Dr. Moynihan testified credibly and demonstrated a familiarity with the HLD Index score sheet. She was also available to be questioned by the hearing officer and cross-examined by the appellant's representative. Further, she testified credibly that no other information was provided to show medical necessity. Dr. Moynihan's testimony, as a licensed orthodontist, was given greater weight than the testimony of the appellant's mother, who is not a clinical dental professional. There is nothing in the hearing record to show that the appellant's current situation meets MassHealth criteria for payment of braces. Accordingly, this appeal is denied.

Order for MassHealth

None.

Notification of Your Right to Appeal to Court

If you disagree with this decision, you have the right to appeal to Court in accordance with Chapter 30A of the Massachusetts General Laws. To appeal, you must file a complaint with the Superior Court for the county where you reside, or Suffolk County Superior Court, within 30 days of your receipt of this decision.

Marc Tonaszuck
Hearing Officer
Board of Hearings

cc:
MassHealth Representative: DentaQuest 1, MA