

LICENSURE

INDICATORS with applicability by SERVICE TYPE (**Changes in Highlight**)

● - pertains when included in ISP and/or contract (e.g. L-5 and L-6 applies when Individual Home Supports is 15 hours or more; L33-L47 apply when provider is responsible for health care; L67-L72 apply when provider is responsible for financial support)

★ -when location is owned, rented or leased by the provider

INDICATOR		24 Hour Res.	ABI/ MFP 24 hr	Ind Home supt	Plmt	ABI/ MFP Plmt	Empl oy.	Com Based Day	Respi te
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L1	PERSONAL SAFETY Individuals and guardians are trained in how to report alleged abuse/neglect	X	X	X	X	X	X	X	X
⌘ L2	Allegations of abuse/neglect are reported as mandated by regulation.	X	X	X	X	X	X	X	X
L3	Immediate action is taken to protect the health and safety of individuals when potential abuse/neglect is reported.	X	X	X	X	X	X	X	X
L4	Action is taken when an individual is subject to abuse or neglect.	X	X	X	X	X	X	X	X
L5	There is an approved safety plan in home and work locations.	X	X	●	X	X	★	X	X
⌘ L6	⌘ All individuals are able to evacuate homes in 2.5 minutes with or without assistance and workplaces within a reasonable amount of time.	X	X	●	X	X	★	X	X
L7	Fire drills are conducted as required.	X	X				★	X	
L8	Emergency fact sheets are current and accurate and available on site.	X	X	X	X	X	★	X	X
L9	Individuals are able to utilize equipment and machinery safely.	X	X	X	X	X	★	X	X
L10	The provider implements interventions to reduce risk for individuals whose behaviors may pose a risk to themselves or others.	X	X	X	X	X	X	X	X
⌘ L11	ENVIRONMENTAL SAFETY	X	X	★	X	X	★	X	X

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	☞ All required annual inspections have been conducted.								
☞ L12	☞ Smoke detectors and carbon monoxide detectors, and other essential elements of the fire alarm system required for evacuation are located where required and are operational.	X	X	X	X	X	★	X	X
☞ L13	☞ Location is clean and free of rodent and/or insect infestation.	X	X	★	X	X	★	X	X
L14	Handrails, balusters, stairs, and stairways are in good repair.	X	X	★	X	X	★	X	X
L15	Hot water temperature tests between 110 and 130 degrees	X	X	★	X	X	★	X	X
L16	The location is adapted and accessible to the needs of the individuals	X	X		X	X	★	X	X
L17	There are two means of egress from floor at grade level.	★	★		★	★	★	X	X
L18	All other floors above grade have one means of egress and one escape route on each floor leading to grade.	★	★		★	★	★	X	X
L19	Bedrooms for individuals requiring hands on physical assistance to evacuate or who have mobility impairments are on a floor at grade or with a horizontal exit.	★	★						X
L20	Exit doors are easily operable by hand from inside without the use of keys.	X	X				★	X	X
L21	Electrical equipment is safely maintained.	X	X	★	X	X	★	X	X
L22	All appliances are clean and properly maintained.	X	X	★	X	X	★	X	X
L23	There are no locks on bedroom doors that provide access	★	★						X

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	to an egress.								
L24	Locks on doors not providing egress can be opened by the individuals from the inside and staff carry a key to open in an emergency.	★	★						X
L25	Potentially dangerous substances are stored separately from food and are in containers that are accurately labeled.	X	X	★	X	X	★	X	X
L26	Walkways, driveways and ramps are in good repair and clear of ice and snow.	X	X	★	X	X	★	X	X
L27	If applicable, swimming pools are safe and secure according to policy.	X	X	★	X	X	★	X	X
L28	Flammables are stored appropriately.	X	X	★	X	X	★	X	X
L29	No rubbish or other combustibles are accumulated within the location including near heating equipment and exits.	X	X	★	X	X	★	X	X
L30	The exterior of the home, including every porch, balcony, deck or roof used as a porch or deck has a wall or protective railing, is in good repair.	X	X	X	X	X	★	X	X
L31	COMMUNICATION Staff understand and can communicate with individuals in their primary language and method of communication.	X	X	X	X	X	X	X	X
L32	Individuals receive support to understand verbal and written communication.	X	X	X	X	X	X	X	X
L33	HEALTH Individuals receive an annual physical exam.	X	X	●	X	X			

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L34	Individuals receive an annual dental exam.	X	X	●	X	X			
L35	Individuals receive routine preventive screenings.	X	X	●	X	X			
L36	Recommended tests and appointments with specialists are made and kept.	X	X	●	X	X			
L37	Individuals receive prompt treatment for episodic health care conditions.	X	X	●	X	X	X	X	X
★ L38	★ Physicians' orders and treatment protocols are followed (when agreement for treatment has been reached by the individual/guardian/team).	X	X	●	X	X	X	X	X
L39	Special dietary requirements are followed.	X	X	●	X	X	X	X	X
L40	There is an adequate supply of nutritional foods available at all times.	X	X	●	X	X			X
L41	Individuals are supported to follow a healthy diet.	X	X	●	X	X			X
L42	Individuals are supported to engage in physical activity.	X	X	●	X	X			
L43	The health care record is maintained and updated as required.	X	X	●	X	X			
L44	The location where MAP certified staff is administering medication is registered by DPH.	X	X	●			X	X	X
L45	Medications are stored in a locked container or area in which nothing except such medications are stored.	X	X	●			X	X	X
★ L46	★ All prescription medications are administered according to the written order of a practitioner and are properly documented on a Medication Treatment Chart.	X	X	●	X	X	X	X	X

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L47	Individuals are supported to become self medicating when appropriate.	X	X	●	X	X			
L48	HUMAN RIGHTS The agency has an effective Human Rights Committee.	X	X	X	X	X	X	X	X
L49 Strengthen guidelines	Individuals and guardians have been informed of their human rights and know how to file a grievance or to whom they should talk if they have a concern.	X	X	X	X	X	X	X	X
L50 Revise and strengthen guidelines	Written and oral communication with and about individuals-is respectful.	X	X	X	X	X	X	X	X
L51	Individuals can access and keep their own possessions.	X	X	●	X	X	X	X	X
L52 Revise and strengthen guidelines	Individuals can make and receive phone calls and use other communication technology.	X	X	●	X	X	X	X	X
L53	Individuals can visit with family and friends.	X	X	●	X	X			X
L54 Strengthen guidelines	Individuals have privacy when taking care of personal needs and discussing personal matters.	X	X	●	X	X	X	X	X
L55	Informed consent is obtained from individuals or their guardians when required; Individuals or their guardians know that they have the right to withdraw consent.	X	X	X	X	X	X	X	X
L56	Restrictive practices intended for one individual that	X	X	X	X	X	X	X	X

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	affect all individuals served at a location need to have a written rationale that is reviewed as required and have provisions so as not to unduly restrict the rights of others.								
L57	All behavior plans are in a written plan.	X	X	X	X	X	X	X	X
L58	All behavior plans contain the required components.	X	X	X	X	X	X	X	X
L59	Behavior plans have received all the required reviews.	X	X	X	X	X	X	X	X
L60	Data are consistently maintained and used to determine the efficacy of behavioral interventions.	X	X	X	X	X	X	X	X
L61	Supports and health related protections and supports are included in ISP assessments; and the continued need is outlined.	X	X	X	X	X	X	X	X
L62	Supports and health related protections are reviewed by the required groups.	X	X	X	X	X	X	X	X
L63	Medication treatment plans are in written format with required components.	X	X	X	X	X	X	X	
L64	Medication treatment plans are reviewed by the required groups.	X	X	X	X	X	X	X	
L65	Restraint reports are submitted within required timelines.	X		X	X		X	X	X
L66	All restraints are reviewed by the Human Rights Committee.	X		X	X		X	X	X
L67	There is a written plan in place accompanied by a training plan when the agency has shared or delegated money management responsibility.	X	X	●	X	X	X	X	

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L68	Expenditures of individual's funds are made only for purposes that directly benefit the individual.	X	X	●	X	X	X	X	X
L69	Individual expenditures are documented and tracked.	X	X	●	X	X	X	X	X
L70	Charges for care are calculated appropriately.	X	X	●	X	X			X
L71	Individuals are notified of their appeal rights for their charges for care.	X	X	●	X	X			X
L72	Sub-minimum wages are earned in accordance with Department of Labor (DOL) requirements for compensation.						X	X	
L73	The provider has a current DOL certificate.						X	X	
L74	COMPETENT WORKFORCE The agency screens prospective employees per requirements.	X	X	X	X	X	X	X	X
L75	The agency assures that staff have the necessary qualifications and certifications to do the job.	X	X	X	X	X	X	X	X
L76	The agency has and utilizes a system to track required trainings.	X	X	X	X	X	X	X	X
L77	The agency assures that staff are familiar with and trained to support the unique needs of individuals.	X	X	X	X	X	X	X	X
L78	Staff are trained to safely and consistently implement restrictive interventions.	X	X	X	X	X	X	X	X
L79	Staff are trained in safe and correct administration of restraint.	X		X	X		X	X	X
L80	Support staff are trained to recognize signs and	X	X	X	X	X	X	X	X

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	symptoms of illness.								
L81	Support staff know what to do in a medical emergency.	X	X	X	X	X	X	X	X
⌘ L82	⌘ Medications are administered by licensed professional staff or by MAP certified staff (or by PCAs) for individuals unable to administer their own medications.	X	X	X			X	X	X
L83	Support staff are trained in human rights.	X	X	X	X	X	X	X	X
L84	Staff are trained in the correct utilization of health related protections per regulation.	X	X	X	X	X	X	X	X
L85	The agency provides on-going supervision and staff development.	X	X	X	X	X	X	X	X
L86	GOAL DEVELOPMENT AND IMPLEMENTATION Required assessments concerning individual needs and abilities are completed in preparation for the ISP.	X	X	X	X	X	X	X	
L87	Support strategies necessary to assist an individual to meet their goals and objectives are completed and submitted as part of the ISP.	X	X	X	X	X	X	X	
L88	Services and support strategies identified and agreed upon in the ISP for which the provider has designated responsibility are being implemented.	X	X	X	X	X	X	X	
L89	The provider has a complaint and resolution process that is effectively implemented at the local level.		X			X			
(Human	Individuals are able to have privacy in their own	X	X	●	X	X			

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Rights)	personal space.								
L90 NEW									