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(In accordance with the provisions of M.G.L. c. 149, § $6-6F\frac{1}{2}$ and 454 CMR 28.00) ☐ Initial application ☐ Renewal application ☐ Duplicate application issue Date Reviewer____ License number Please complete each section below by printing or typing the information, attaching all required documentation, and signing the application. **Section 1: Applicant information** Company name_____ Fax Phone Email address______ Business location (Street)_____ City/Town____ ______State______Zip_____ Mailing address (if different)_ City/Town____ _____State_____ Zip Federal Identification Number Section 2: Attachments to be submitted with the application: 1. (A) ☐ If applicant is a Sole Proprietorships or Partnership: A copy of the *Business Certificate* as filed in the city or town Clerk's office of the city or town where the applicant is located. (B) ☐ If applicant is a Corporation or LLC: • Organized in Massachusetts in existence for less than one (1) year, provide a copy of the short form Certificate of Legal Existence, issued by the Secretary of the Commonwealth's Office.* • Organized in Massachusetts in existence for more than (1) year, provide a Certificate of Good Standing, issued by the Secretary of the Commonwealth's Office.* • Foreign Corporation (a corporation transacting business in the Commonwealth of Massachusetts and organized under laws of a different state), provide a copy of the Foreign Corporation Certificate and a Certificate of Good Standing issued by the Secretary of the Commonwealth's Office.* *Secretary of the Commonwealth's Office: One Ashburton Place, Boston, MA 02108-1512; Phone: 1-800-392-6090; www.sec.state.ma.us/cor/coridx.htm. Do not send the Certificate of Good Standing issued by the Massachusetts Department of Revenue. (C) ☐ Not applicable. I am an Individual, Public Entity, or other, as noted in Section I above. 2. A list of all names, acronyms, or other identifiers by which the applicant does or has done business, and the address(es)and telephone number(s) of the business.

The type(s) of consulting services listed at 454 CMR 28.07(5)(a) 1 through 4 for which the applicant is providing.

☐ Inspector ☐ Management Planner ☐ Designer ☐ Project Monitor

- If the applicant has employees, evidence that Asbestos Consulting Work to be performed by the applicant is covered 4. under a current workers' compensation policy or self-insurance program must be provided with the application. *Certificate* of Insurance must include the assigned policy number, the WC code 4511 or other indication that any asbestos operations are covered under the policy and list the Department of Labor Standards with the proper address as the certificate holder. If the applicant has no employees, a notarized statement to that effect must be submitted with the application.
- 5. A list of all occupational safety and health-related citations or notices of violation, including notices of noncompliance, notices of responsibility, notices of intent to assess an administrative penalty, orders, consent orders, and court judgments, received by the Responsible Persons (including all corporate officers, partners, and other managing agents) of the applicant in the two years prior to the date of application, and the issuing agency or department and final disposition of such citation or notice.
- 6. A list of the names and addresses of all Responsible Persons and managers of the applicant who have primary responsibility for, and control over. Asbestos Consulting Work of the applicant.

7. A list of all states and federal agencies which have certified, accredited or given other forms of approval to the

- applicant to provide asbestos consulting services, including the name, address, and telephone number of the person, department, or agency giving such approval, and copies of all such written approvals received.
- 8. A statement made under the penalties of perjury by a Responsible Person of the applicant that the applicant will comply with the applicable requirements of 454 CMR 28.00.
- A copy of the standard operating procedures manual to be used by the applicant in the performance of consulting activities. 9. should include:
 - 1. A list of the names and addresses of all responsible persons and managers designated as Asbestos Consultants of the Consulting Firm
 - 2. Legible copies of certificates of training or other training records for all persons listed indicating that each such person has fulfilled the applicable asbestos consulting training required by 454 CMR 28.07.
- 10. An online payment made at mass.gov/dls-online-payment in the amount of \$1500. If the Director denies, revokes, suspends, or refuses to renew a certificate for reasons specified in 454 CMR 28.16, the fee payment is not refundable.

Section 3: Payment of tax obligations and Statement of Compliance ofemployees and contractors, and withholding and remitting of child support (M.G.L. c. 62C, § 49A(a)); unemployment insurance contributions (M.G.L. c. 151A, § 19A); workers' compensation insurance (M.G.L. c. 152, § 25A and 25C(6)); and classification of employees (M.G.L. c. 149, § 148B). I understand that compliance with these laws may be verified by multiple government entities and that false attestation of compliance may be considered just cause for denial of application and other penalties. I further state, that all employees to be engaged in Asbestos Work are certified or will be certified prior to any work being performed by them, pursuant to the requirements of 454 CMR 28.00. I further state, that I have read and understand the Commonwealth of Massachusetts Regulations for The Removal. Containment. or Encapsulation of Asbestos, 454 CMR 28.00, and that all information contained herein, including any supplements attached hereto, is true and correct to the best of my knowledge and belief. Signed under the penalties of perjury. Signature_____ A certificate as a provider of Asbestos Consulting Services is valid for a period of one year. The Director may renew an Asbestos Consulting certificate upon written application for renewal by the certificate holder. Renewal applications should be submitted to the Department of Labor Standards no later than 30 calendar days before the expiration of the current certificate. The submission of a renewal application later than 30 days before the expiration of the current certificate may result in renewal after the expiration of the current certificate. Said application for renewal shall include submission of the items referenced at 454 CMR 28.07(3). The Director may waive the requirement for resubmission of the information specified at 454 CMR 28.07 where there has been no substantive change in the information submitted with a previous application, and the applicantattests to such. Please email your completed application to: lead&asbestosenforcement@mass.gov. (FOR OFFICIAL DLS USE ONLY)

| | Items approved by: | Date. |
|------------------------------------|-----------------------------------|-------|
| Fee received | | |
| Worker's Compensation | | |
| Notarized tax statement | | |
| Art of org/annual report/DBA | | |
| Copies of all violations | | |
| Services approved | | |
| DUA/FSC | Inspector Certificate | |
| | Management Planner Certificate | |
| | Designer Certificate | |
| | Project Monitor Certificate | |
| Application complete – ok to issue | | |