



Wealth
Enhancement
Group

17279

<<Name>>

<<Address 1>>

<<Address 2>>

<<City>><<State>><<Zip>>

<<Country>>

<<Date>>

Notice of Data Breach
Please read this entire letter.

Dear <<Individual Salutation + Informal Full Name>>:

We are writing to follow up on our initial correspondence about a cybersecurity incident we recently experienced. Following our investigation, we have concluded that your specific nonpublic personal information may have been accessed by an unauthorized person as a result of this incident. We recognize the concern this may cause, and we want to inform you of the steps we have taken and provide you with information on steps you can take to further protect your personal information.

WHAT HAPPENED?

As we previously explained, an unauthorized person accessed the email accounts of a limited number of Wealth Enhancement Group employees. We provided you notice of the incident as soon as possible and continued a more rigorous investigation to confirm who may have been affected and what specific nonpublic personal information may have been accessed.

During our preliminary investigation, we learned that on March 22, 2020 an unauthorized person gained access to a limited number of employees' email accounts through a process called "phishing." Phishing occurs when a third-party bad actor is disguised to appear as though it is a known source requesting authorized access to user or email account information, such as a password. Because we have proactively invested in sophisticated cybersecurity technology and training, we were promptly alerted of the threat and took immediate action to secure the impacted email accounts. On June 11, 2020 we concluded our investigation and confirmed that you may have had nonpublic personal information affected by this incident.

WHAT ARE WE DOING?

After becoming aware of this incident, we took prompt action to secure the limited number of employees' individual email accounts to help ensure that the unauthorized person no longer had access. We also took additional steps to contain the situation, including engaging outside information security and technology experts to assist in our review and investigation of the incident. As part of this investigation, our information security and technology experts were engaged to determine what, if any, information may have been able to be accessed.

We also previously provided you with an offer for complimentary credit monitoring and identity theft protection for 24-months (if you desire to obtain such protection) through Experian IdentityWorksSM. Details regarding how you can activate your membership can be found in the initial communication we sent and are also further outlined on Attachment I to this letter.

Advisory services offered through Wealth Enhancement Advisory Services, LLC (WEAS), a registered investment advisor. Certain, but not all, investment advisor representatives (IARs) of WEAS are also registered representatives of and offer securities through LPL Financial, member FINRA/SIPC. Wealth Enhancement Group and WEAS are separate entities from LPL. Wealth Enhancement Group is a registered trademark of Wealth Enhancement Group, LLC.

In addition to our existing security measures, we have reviewed and implemented additional options to enhance our technology and security practices to reduce the risk of a similar issue occurring in the future.

WHAT INFORMATION MAY HAVE BEEN INVOLVED?

The information that the unauthorized person may have been able to access may have included full name, address phone number, email address, social security number, driver's license number or state identification number, or financial account numbers.

WHAT YOU CAN DO.

In addition to using the credit monitoring and identity theft protection we previously offered (described again in Attachment 1 of this letter), we recommend that you remain vigilant for incidents of fraud and identity theft by reviewing your account statements and monitoring free credit reports to detect errors resulting from the security incident. Promptly report any fraudulent activity or any suspected incidents of identity theft to your financial institutions or company with which the account is maintained, as well as applicable authorities, including local law enforcement, your state attorney general and the Federal Trade Commission ("FTC"). Individuals also have the right to obtain a police report in the event one has been created for this incident.

Additionally, to minimize the risk of tax return fraud, the FTC and the IRS both generally recommend that individuals file their income taxes for each year as early as possible.

Please note that we will not email or call you regarding this incident to ask for your personal information. We suggest that you remain cautious when opening emails, clicking on links, responding to requests for entering network credentials, or giving personal information over the phone to anyone claiming to be from Wealth Enhancement Group.

FOR MORE INFORMATION.

We are strongly committed to protecting your personal information, and we apologize for any concern this situation has caused. For further information and assistance, please contact [Advisor Name].

Sincerely,



Jeff Dekko
Chief Executive Officer
Wealth Enhancement Group

ATTACHMENT 1
OTHER IMPORTANT INFORMATION

CREDIT MONITORING & IDENTITY THEFT PROTECTION SERVICES

Because we want you to have peace of mind, we are offering a complimentary 24-month credit monitoring and identity theft protection package through Experian. To activate your membership and start monitoring your personal information please follow the steps below:

- Visit the Experian IdentityWorks website to enroll:
<https://www.experianidworks.com/3bplus>
- Provide your activation code: <<activation code>>
- Ensure that you enroll by: April 17, 2021 (Your code will not work after this date.)

If you have questions about the product, need assistance with identity restoration or would like an alternative to enrolling in Experian IdentityWorks online, please contact Experian's customer care team at 1-877-890-9332 by April 17, 2021. Be prepared to provide engagement number DB19584 as proof of eligibility for the identity restoration services by Experian.

SECURITY FREEZE & FRAUD ALERTS

You can obtain additional information from the FTC and national credit reporting agencies about placing a security freeze on your credit files and fraud alerts. You may want to consider placing a fraud alert on your credit report. An initial fraud alert is free and will stay on your credit file for at least 90 days. The alert informs creditors of possible fraudulent activity within your report and requests that the creditor contact you prior to establishing any accounts in your name.

ADDITIONAL INFORMATION ON CREDIT MONITORING & IDENTITY THEFT

You can obtain information from these sources about preventing identify theft:

Federal Trade Commission

www.ftc.gov/idtheft

1-877-ID-THEFT (1-877-438-4338)

Federal Trade Commission
600 Pennsylvania Avenue, NW
Washington, DC 20580

Take Charge: Fighting Back Against Identity Theft. This is a comprehensive guide from the FTC to help you guard against and deal with identity theft: <https://www.identitytheft.gov/>.

Credit Bureaus

You may obtain a free copy of your credit report from each of the three major credit reporting agencies once every 12 months by visiting www.annualcreditreport.com, calling 1-877-322-8228, or by completing an Annual Credit Report Request Form and mailing it to Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348. You can print a copy of the request form at www.annualcreditreport.com/manualRequestForm.action.

You may also decide to purchase a copy of your credit report by contacting one of the three national credit reporting agencies listed here:

Equifax
1-800-685-1111
[www.equifax.com/CreditReport
Assistance](http://www.equifax.com/CreditReportAssistance)
P.O. Box 740241
Atlanta, GA 30374

Experian
1-888-397-3742
www.experian.com
P.O. Box 2002
Allen, TX 75013

TransUnion
1-800-888-4213
www.transunion.com/fraud
P.O. Box 1000
Chester, PA 19016

Addendum for Rhode Island and North Carolina Residents

For North Carolina Residents

You can obtain information from these sources about preventing identify theft from the FTC or:

North Carolina Attorney General:

Visit the North Carolina Office of the Attorney General at:

www.ncdoj.gov, or call 1-877-566-7226

or write to this address:

Attorney General's Office

9001 Mail Service Center

Raleigh, NC 27699-9001

For Rhode Island Residents

Two (2) individuals in Rhode Island were affected by this incident. You may obtain information about preventing identity theft from:

Rhode Island Attorney General:

Visit the Rhode Island Office of the Attorney General at:

www.riag.ri.gov, or call 1-401-274-4400

or write to this address:

Rhode Island Office of the Attorney General

Consumer Protection Unit

150 South Main Street

Providence, RI 02903