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RE: UBS Financial Services (UBSFS) Account

Dear MA Resident:

UBSFS is the administrator of your employer's/former employer's equity plan[s]. Per the requirements of Massachusetts law, we are writing to notify you that an inadvertent disclosure of your personal information may have occurred on October 2, 2019. A file containing personal information of employees of your company was uploaded by mistake to another UBS Workplace Wealth Solutions corporate client's files. This error was detected on November 26, 2020, and the file was promptly deleted.

Under Massachusetts law, you have the right to obtain any police report filed in regard to this incident, but in this case UBS does not intend on filing a police report as no evidence of criminal activity is known or suspected to be associated with this incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

Massachusetts law also provides that we offer you a two year subscription to a service to help monitor, detect, and alert you about potential privacy threats. To sign up for monitoring service please contact me at [elizabeth.white@ubs.com](mailto:elizabeth.white@ubs.com).

In addition, it is always a good practice to be vigilant and closely review and monitor your financial accounts, statements, credit reports from the three major credit reporting agencies, and other financial information for any evidence of unusual activity, fraudulent charges, or signs of identity theft. We strongly suggest that you review the enclosed Factsheet as it includes additional actions that you may wish to take to further safeguard your personal information, including recommendations from the Federal Trade Commission, and it provides details regarding placing a fraud alert or free security freeze on your credit file. We urge you to carefully review this document and consider taking the actions contained therein.

For more information on identity theft, fraud alerts, security freezes and obtaining your credit reports you can visit the following websites:

- Massachusetts Attorney General at: <https://www.mass.gov/reporting-data-breaches>
- Federal Trade Commission at: [www.ftc.gov/bcp/edu/microsites/idtheft/](http://www.ftc.gov/bcp/edu/microsites/idtheft/)

You may contact the UBS Data Protection Office at [DPO-US@UBS.com](mailto:DPO-US@UBS.com).

You may also contact me directly with any additional questions or concerns.

We deeply regret this incident and can assure you that we take the protection of your personal information very seriously, and we apologize for any inconvenience this may have caused.

Elizabeth A. White  
Director  
Legal Services Manager  
(201) 352-2340

Enclosures



Cybersecurity  
Checklist.pdf



UBS ID Theft  
Protection Fact Sheet