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600 Satellite Blvd.
Suwanee, GA 30024

1 1 7 *****AUTO**MIXED AADC 300

John Doe



123 Anystreet Dr
Anytown, NY 12345



April 27, 2021

Re: Notice of Data Breach

Dear John Doe,

We are writing to notify you about a security incident that we have been made aware of at one of the vendors we utilize for onboarding new clients, LogicGate. Critically, there is no impact whatsoever to NYDIG's systems or to the assets held securely at NYDIG. However, we want to let you know about the incident promptly.

What Information was Involved? The impacted information includes: first and last names, dates of birth, social security or tax identification numbers, bank account numbers, passport and driver's license numbers, income, net worth, residential addresses, phone numbers, email address, and employment status.

What Are We Doing. NYDIG immediately activated its incident response procedures and has been in constant dialogue with the vendor to understand the nature of the breach and the potential impact to clients and counterparties. In addition to working with law enforcement, LogicGate has activated its internal data security response team and engaged outside forensics experts to conduct a review of the unauthorized activities. LogicGate is highly confident that the attacker no longer has access to its systems.

While, to date, we have no evidence of actual or attempted misuse of your information as a result of this incident, we are notifying you so that you may take further steps to better protect your personal information should you feel it is appropriate to do so. We also secured the services of NortonLifeLock, Inc. to provide identity and credit monitoring services at no cost to you for twenty-four (24) months. For more information on these services, please review the enclosed "Steps You Can Take to Protect Your Information."

What Can You Do. We encourage you to remain vigilant against incidents of identity theft and fraud, to review your account statements, and to monitor your credit reports for suspicious activity for the next twelve (12) to twenty-four (24) months. You may review the information contained in the attached "Steps You Can Take to Protect Your Information." You may also enroll in LifeLock Ultimate Plus to receive the identity and credit monitoring services we are making available to you as we are unable to enroll in these services on your behalf.

For More Information. While none of NYDIG's systems were impacted and your assets are safe, we take these matters extremely seriously and always seek to over-communicate. If you'd like to speak with us directly, we are here to help. You can contact NYDIG Client Services at (844) 511-0276 or clientservices@nydig.com for any questions related to the security incident.

If you have additional questions regarding the LifeLock enrollment process, please call our call center at 1-800-899-0180 (toll free), available 24/7.

Sincerely,

A handwritten signature in black ink, appearing to read "Kim Snuck", with a horizontal line extending to the right.

Kimberly Snuck

Client Services Manager, NYDIG

Steps You Can Take to Protect Your Information

Complimentary Identity Protection through NortonLifeLock, Inc.

NYDIG has retained NortonLifeLock, Inc. to provide twenty-four (24) months of complimentary LifeLock Ultimate Plus™ identity theft protection.

To activate your membership online and get protection at no cost to you:

1. In your web browser, go directly to www.LifeLock.com. Click on the yellow "START MEMBERSHIP" button (do not attempt registration from a link presented by a search engine).
2. You will be taken to another page where, below the FOUR protection plan boxes, you may enter the **Promo Code: DCNYDG2104** and click the "APPLY" button.
3. On the next screen, enter your **Member ID: 0123456789** and click the "APPLY" button.
4. Your complimentary offer is presented. Click the red "START YOUR MEMBERSHIP" button.
5. Once enrollment is completed, you will receive a confirmation email (be sure to follow ALL directions in this email).

Alternatively, to activate your membership over the phone, please call: 1-800-899-0180.

You will have until July 31st, 2021 enroll in this service.

Once you have completed the LifeLock enrollment process, the service will be in effect. Your LifeLock Ultimate Plus™ membership includes:

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| ✓ LifeLock Identity Alert™ System† | ✓ Three-Bureau Credit Monitoring ^{1**} |
| ✓ Dark Web Monitoring ^{**} | ✓ Three-Bureau Annual Credit Reports and Credit Scores ^{1**} |
| ✓ LifeLock Privacy Monitor™ | <small>The credit scores provided are VantageScore 3.0 credit scores based on Equifax, Experian and TransUnion respectively. Third parties use many different types of credit scores and are likely to use a different type of credit score to assess your creditworthiness.</small> |
| ✓ USPS Address Change Verification | |
| ✓ Lost Wallet Protection | |
| ✓ Reduced Pre-Approved Credit Card Offers | ✓ File-Sharing Network Searches |
| ✓ Fictitious Identity Monitoring | ✓ Sex Offender Registry Reports |
| ✓ Court Records Scanning | ✓ Priority 24/7 Live Member Support |
| ✓ Data Breach Notifications | ✓ U.S.-Based Identity Restoration Specialists |
| ✓ Credit, Checking and Savings Account Activity Alerts ^{1**} | ✓ Stolen Funds Reimbursement up to \$1 million ^{†††} |
| ✓ Checking and Savings Account Application Alerts ^{1**} | ✓ Personal Expense Compensation up to \$1 million ^{†††} |
| ✓ Bank Account Takeover Alerts ^{1**} | ✓ Coverage for Lawyers and Experts up to \$1 million ^{†††} |
| ✓ Investment Account Activity Alerts ^{1**} | |

¹If your plan includes credit reports, scores, and/or credit monitoring features ("Credit Features"), two requirements must be met to receive said features: (i) your identity must be successfully verified with Equifax; and (ii) Equifax must be able to locate your credit file and it must contain sufficient credit history information. IF EITHER OF THE FOREGOING REQUIREMENTS ARE NOT MET YOU WILL NOT RECEIVE CREDIT FEATURES FROM ANY BUREAU. If your plan also includes Credit Features from Experian and/or TransUnion, the above verification process must also be successfully completed with Experian and/or TransUnion, as applicable. If verification is successfully completed with Equifax, but not with Experian and/or TransUnion, as applicable, you will not receive Credit Features from such bureau(s) until the verification process is successfully completed and until then you will only receive Credit Features from Equifax. Any credit monitoring from Experian and TransUnion will take several days to begin after your successful plan enrollment. No one can prevent all identity theft or cybercrimes. ¹LifeLock does not monitor all transactions at all businesses.

^{**}These features are not enabled upon enrollment. Member must take action to get their protection.

^{†††}Reimbursement and Expense Compensation, each with limits of up to \$1 million for Ultimate Plus. And up to \$1 million for coverage for lawyers and experts if needed. Benefits under the Master Policy are issued and covered by United Specialty Insurance Company (State National Insurance Company, Inc. for NY State members). Policy terms, conditions and exclusions at: LifeLock.com/legal.

Under Massachusetts law, you have the right to file and obtain a copy of a police report. You also have the right to request a security freeze, as described below. You may contact and obtain information from your state attorney general at: *Office of the Massachusetts Attorney General*, One Ashburton Place, Boston, MA 02108, 1-617-727-8400, www.mass.gov/ago/contact-us.html.

Monitor Accounts

We encourage you to remain vigilant against incidents of identity theft and fraud, to review your account statements, and to monitor your credit reports for suspicious activity. Under U.S. law you are entitled to one free credit report annually from each of the three major credit reporting bureaus. To order your free credit report, visit www.annualcreditreport.com or call, toll-free, 1-877-322-8228. You may also contact the three major credit bureaus directly to request a free copy of your credit report.

You have the right to place a “security freeze” on your credit report, which will prohibit a consumer reporting agency from releasing information in your credit report without your express authorization. The security freeze is designed to prevent credit, loans, and services from being approved in your name without your consent. However, you should be aware that using a security freeze to take control over who gets access to the personal and financial information in your credit report may delay, interfere with, or prohibit the timely approval of any subsequent request or application you make regarding a new loan, credit, mortgage, or any other account involving the extension of credit. Pursuant to federal law, you cannot be charged to place or lift a security freeze on your credit report. Should you wish to place a security freeze, please contact the major consumer reporting agencies listed below:

Experian P.O. Box 9554 Allen, TX 75013 1-888-397-3742 www.experian.com/freeze/center.html	TransUnion P.O. Box 160 Woodlyn, PA 19094 1-888-909-8872 www.transunion.com/credit-freeze	Equifax P.O. Box 105788 Atlanta, GA 30348-5788 1-800-685-1111 www.equifax.com/personal/credit-report-services
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In order to request a security freeze, you will need to provide the following information:

1. Your full name (including middle initial as well as Jr., Sr., II, III, etc.);
2. Social Security number;
3. Date of birth;
4. If you have moved in the past five (5) years, provide the addresses where you have lived over the prior five years;
5. Proof of current address, such as a current utility bill or telephone bill;
6. A legible photocopy of a government-issued identification card (state driver’s license or ID card, military identification, etc.);
7. If you are a victim of identity theft, include a copy of either the police report, investigative report, or complaint to a law enforcement agency concerning identity theft.

As an alternative to a security freeze, you have the right to place an initial or extended “fraud alert” on your file at no cost. An initial fraud alert is a 1-year alert that is placed on a consumer’s credit file. Upon seeing a fraud alert display on a consumer’s credit file, a business is required to take steps to verify the consumer’s identity before extending new credit. If you are a victim of identity theft, you are entitled to an extended fraud alert, which is a fraud alert lasting seven years. Should you wish to place a fraud alert, please contact any one of the agencies listed below:

Experian P.O. Box 9554 Allen, TX 75013 1-888-397-3742 www.experian.com/fraud/center.html	TransUnion P.O. Box 2000 Chester, PA 19016 1-800-680-7289 www.transunion.com/fraud-victim-resource/place-fraud-alert	Equifax P.O. Box 105069 Atlanta, GA 30348 1-888-766-0008 www.equifax.com/personal/credit-report-services
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Additional Information

You can further educate yourself regarding identity theft, fraud alerts, security freezes, and the steps you can take to protect yourself by contacting the consumer reporting agencies, the Federal Trade Commission, or your state Attorney General.

The Federal Trade Commission can be reached at: 600 Pennsylvania Avenue NW, Washington, DC 20580, www.identitytheft.gov, 1-877-ID-THEFT (1-877-438-4338); TTY: 1-866-653-4261. The Federal Trade Commission also encourages those who discover that their information has been misused to file a complaint with them. You can obtain further information on how to file such a complaint by way of the contact information listed above. You have the right to file a police report if you ever experience identity theft or fraud. Please note that in order to file a report with law enforcement for identity theft, you will likely need to provide some proof that you have been a victim. Instances of known or suspected identity theft should also be reported to law enforcement and your state Attorney General. This notice has not been delayed by law enforcement.