

23406

November 8, 2021

RE: UBS Financial Services Inc. (UBS FSI) Account

Dear Client:

We are writing to inform you about a recent data incident that may have affected your personal information when a former UBS FSI employee left the firm.

As you are aware, your former Financial Advisor team left UBS FSI and joined another firm. Based on analysis of the information that the team had access to at the time they left UBS FSI, it appears that some of your personal information may have been in their possession when they left UBS FSI.

Our analysis indicates that the personal information disclosed in the incident may have included your first and last name, UBS FSI account number, UBS FSI account holdings, and social security number.

It is always a good practice to be vigilant and closely review and monitor your financial accounts, statements, credit reports from the three major credit reporting agencies, and other financial information for any evidence of unusual activity, fraudulent charges, or signs of identity theft. We strongly suggest that you review the enclosed Factsheet as it includes additional actions that you may wish to take to further safeguard your personal information, including recommendations from the Federal Trade Commission, and it provides details regarding placing a fraud alert or free security freeze on your credit file. We urge you to carefully review this document and consider taking the actions contained therein.

We are offering you two years of credit monitoring with Experian. Please contact me at the email address listed below my signature if you wish to sign up for this service.

For more information on identity theft, fraud alerts, security freezes and obtaining your credit reports you can visit the following websites:

- Massachusetts Attorney General at: <https://www.mass.gov/reporting-data-breaches>
- Federal Trade Commission at: www.ftc.gov/bcp/edu/microsites/idtheft/

If you have any questions or concerns, please contact me directly.

You may also contact the UBS Data Protection Office at DPO-US@UBS.com.

We deeply regret this incident and can assure you that we take the protection of your personal information very seriously. We apologize for any inconvenience this may have caused.

Sincerely,



Jeff Meshberg
Wealth Management Americas Divisional Information Security Officer
(201) 352-7941
sh-wma-privacyoffice@ubs.com

Enclosures



UBS ID Theft
Protection Fact Shee



Cybersecurity
Checklist.pdf