

23408

PIMCO

[Insert Recipient's Name]
[Insert Address]
[Insert City, State, Zip]

[Date]

Dear [Name]:

We are writing to notify you of an incident that resulted in the inadvertent disclosure of some of your personal information.

What Happened

On October 14, 2021, a PIMCO employee inadvertently sent a file containing certain employees' personal trade pre-clearance information to a recipient who was not authorized to receive this information, although the recipient had an existing relationship with PIMCO. Upon becoming aware of the issue, PIMCO immediately took steps to confirm the recipient permanently deleted the file from their systems.

What Information Was Involved

The personal information contained in the impacted file included your name and brokerage account number. We are not aware of any misuse of or fraudulent activity relating to anyone's personal information as a result of this incident.

What We Are Doing

We are sending you this notice as a precaution and to encourage you to take steps to monitor your personal information.

What You Can Do

As always, we recommend that you remain vigilant for incidents of fraud and identity theft, including by regularly reviewing your account statements and monitoring your free credit reports. For more information on how you can help protect yourself, please review the enclosed *Additional Steps to Help Protect Your Information* provided in Attachment A.

For More Information

PIMCO is here to help you and if you have any further questions or concerns please contact Americas Privacy (AmericasPrivacy@pimco.com). Please know that PIMCO, an indirect affiliate of Allianz SE, takes this matter very seriously and we apologize for any concern and inconvenience this may cause you.

Sincerely,

Isabella Liu
Vice President, Data Privacy Program Manager



ATTACHMENT A

ADDITIONAL STEPS TO HELP PROTECT YOUR INFORMATION

Monitor Your Accounts

We recommend that you closely monitor your banking and credit account statements for suspicious activity in your existing accounts. You should remain vigilant by regularly monitoring your credit reports and account statements for indications of fraud and/or theft, including identity theft.

Obtain Your Free Credit Report

You are entitled to receive a free credit report from each of the three national credit reporting agencies every twelve months whether or not you suspect any unauthorized activity in your accounts. You may obtain a free copy of your credit report online at www.annualcreditreport.com, by calling toll-free 1-877-322-8228, or by mailing an Annual Credit Report Request Form (available at www.annualcreditreport.com) to Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA, 30348-5281.

When you receive your credit reports, review them carefully. Look for accounts or creditor inquiries that you did not initiate or do not recognize. Look for information, such as home address and Social Security number, that is not accurate. If you see anything you do not understand or that is incorrect, notify the appropriate credit reporting agency as soon as possible.

Consider Placing a Security Freeze on Your Credit File

You have the right to put a security freeze, also known as a credit freeze, on your credit file, so that no new credit can be opened in your name without the use of a Personal Identification Number (PIN) that is issued to you when you initiate a freeze. A credit freeze is designed to prevent potential credit grantors from accessing your credit report without your consent. If you place a credit freeze, potential creditors and other third parties will not be able to access your credit report unless you temporarily lift the freeze. Therefore, using a credit freeze may delay your ability to obtain credit. There is no charge to place or lift a credit freeze. Should you wish to place a credit freeze, please contact all three major consumer reporting agencies listed below.

Equifax
P.O. Box 105788
Atlanta, GA 30348-5788
1-800-685-1111
[www.equifax.com/personal/
credit-report-services](http://www.equifax.com/personal/credit-report-services)

Experian
P.O. Box 9554
Allen, TX 75013-9554
1-888-397-3742
[www.experian.com/
freeze/center.html](http://www.experian.com/freeze/center.html)

TransUnion
P.O. Box 2000
Chester, PA 19016-2000
1-888-909-8872
[www.transunion.com/
credit-freeze](http://www.transunion.com/credit-freeze)

You must separately place a credit freeze on your credit file at each credit reporting agency. The following information should be included when requesting a credit freeze:

- 1) Full name, with middle initial and any suffixes;
- 2) Social Security number;
- 3) Date of birth (month, day, and year);
- 4) Current address and previous addresses for the past five (5) years;
- 5) Proof of current address, such as a current utility bill or telephone bill;
- 6) Other personal information as required by the applicable credit reporting agency.

Place a Fraud Alert on Your Credit Files

To further protect you from the possibility of identity theft, each of the national credit reporting agencies provides the ability to place a fraud alert on your credit card files. A fraud alert notifies any creditors that access your credit report that you may be the victim of fraud and encourages them to take additional steps to protect you from fraud. You may place a fraud alert by calling the toll-free numbers below for each or any of the credit reporting agencies and requesting that a fraud alert be placed on your credit file.

Additional information is available at www.consumer.ftc.gov/articles/0275-place-fraud-alert.

Experian

www.experian.com
1-888-397-3742

Equifax

www.equifax.com
1-800-525-6285

TransUnion

www.transunion.com
1-800-680-7289

Additional Information

You can further educate yourself regarding identity theft and the steps you can take to protect yourself, by contacting your state Attorney General or the Federal Trade Commission ("FTC"). Instances of known or suspected identity theft should be reported to law enforcement, your Attorney General, and the FTC.

The Federal Trade Commission

600 Pennsylvania Avenue, NW
Washington, DC 20580
1-877-ID-THEFT (1-877-438-4338)
TTY: 1-866-653-4261
www.ftc.gov/idtheft

For Massachusetts Residents: Under Massachusetts law, you have the right to obtain any police report filed in regard to this incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

