

Dear <<Name 1>> <<Name 2>>:

BCP Securities, LLC ("BCP") is writing to inform you of an incident that could affect the security of some of your personal information. This notice provides information about the incident, our response, and resources available to you to help protect your information from possible misuse, should you feel it necessary to do so.

We take this incident and the security of personal information in our care seriously. Upon learning of this incident, we moved quickly to investigate, respond, and assess the security of relevant systems. As part of our ongoing commitment to the security of information we are also reviewing existing policies and procedures to reduce the likelihood of a similar future incident.

We are also offering you access to complimentary credit monitoring and identity restoration services for 24 months through LifeLock. These services include fraud consultation and identity theft restoration services.

LifeLock Credit Monitoring Enrollment Instructions

A custom enrollment site has been created for bcp and is as follows: http://bcpsecurities.excelsiorenroll.com

Please use the above link to enroll within the next 45 days.

Coverage is limited to the person addressed in the letter and is for individual coverage under the employee coverage section.

Please send an email to <u>customerservice@bcpsecurities.com</u> to let us know after you have completed the enrollment process so we can monitor and make sure your enrollment goes through. Your account will not be effective until you get a "Welcome to Norton LifeLock BCP Securities" email on or about the first of the month following your enrollment completion date.

We encourage you to remain vigilant against incidents of identity theft, to review your account statements, and to monitor your credit reports for suspicious activities. If you see any suspicious charges on your credit card statements, we encourage you to promptly report the suspicious charges to the issuer of your credit card. Under U.S. law, you are entitled to one free credit report annually from each of the three major credit reporting bureaus. To order your free credit report, visit www.annualcreditreport.com or call, toll-free, 1-877-322-8228. You may also contact the three major credit bureaus directly to request a free copy of your credit report.

You have the right to place a "credit freeze" on a credit report, which will prohibit a credit bureau from releasing information in the credit report without the consumer's express authorization. The credit freeze is designed to prevent credit, loans, and services from being approved in your name without your consent. However, you should be aware that using a credit freeze to take control over who obtains access to the personal and financial information in your credit report may delay, interfere with, or prohibit the timely approval of any subsequent request or application you make regarding a new loan, credit, mortgage, or any other account involving the extension of credit. Pursuant to federal law, you cannot be charged to place or lift a credit freeze on your credit report. To request a security freeze, you will need to provide the following information:

- 1. Full name (including middle initial as well as Jr., Sr., II, III, etc.);
- 2. Social Security number;
- 3. Date of birth;
- 4. Addresses for the prior two to five years;
- 5. Proof of current address, such as a current utility bill or telephone bill;
- 6. A legible photocopy of a government-issued identification card (state driver's license or ID card, military identification, etc.); and
- 7. A copy of either the police report, investigative report, or complaint to a law enforcement agency concerning identity theft if you are a victim of identity theft.

Should you wish to place a fraud alert or credit freeze, please contact the three major credit reporting bureaus listed below:

Equifax	Experian	TransUnion
https://www.equifax.com/personal/cred	https://www.experian.com/hel	https://www.transunion.com/credi
it-report-services/	p/	t-help
888-298-0045	1-888-397-3742	833-395-6938
Equifax Fraud Alert, P.O. Box 105069	Experian Fraud Alert, P.O.	TransUnion Fraud Alert, P.O. Box
Atlanta, GA 30348-5069	Box 9554, Allen, TX 75013	2000, Chester, PA 19016
Equifax Credit Freeze, P.O. Box 105788	Experian Credit Freeze, P.O.	TransUnion Credit Freeze, P.O.
Atlanta, GA 30348-5788	Box 9554, Allen, TX 75013	Box 160, Woodlyn, PA 19094

Under Massachusetts law, you have the right to obtain any police report filed in regard to this incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

You may further educate yourself regarding identity theft, fraud alerts, credit freezes, and the steps you can take to protect your personal information by contacting the consumer reporting bureaus, the Federal Trade Commission, or your state Attorney General. The Federal Trade Commission may be reached at: 600 Pennsylvania Avenue NW, Washington, DC 20580; www.identitytheft.gov; 1-877-ID-THEFT (1-877-438-4338); and TTY: 1-866-653-4261. The Federal Trade Commission also encourages those who discover that their information has been misused to file a complaint with them. You can obtain further information on how to file such a complaint by way of the contact information listed above. You have the right to file a police report if you ever experience identity theft or fraud. Please note that in order to file a report with law enforcement for identity theft, you will likely need to provide some proof that you have been a victim. Instances of known or suspected identity theft should also be reported to law enforcement and your state Attorney General. This notice has not been delayed by law enforcement.

We understand that you may have questions about this incident that are not addressed in this letter. If you have additional questions, please call Eric Spichiger at 203-983-7286. You may also write to BCP Securities, LLC at customerservice@bcpsecurities.com.

We sincerely regret any inconvenience or concern this incident may have caused.

Sincerely,

Edwin Garcia

Head of Operations

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BCP Securities, LLC