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The Commonwealth of Massachusetts Department of the State Treasurer Alcoholic Beverages Control Commission Boston, Massachusetts 02114

Deborah B. Goldberg Treasurer and Receiver General Kim S. Gainsboro, Esq.

UNO RESTAURANTS, LLC D/B/A UNO CHICAGO GRILL

Master File:

75 MIDDLESEX TURNPIKE BURLINGTON, MA 01803 LICENSE#: 016000020

HEARD: 02/23/2016

AMENDED **DECISION**

The Alcoholic Beverages Control Commission ("Commission") held an Informational Hearing on Tuesday, February 23, 2016 regarding Uno Restaurants, LLC d/b/a Uno Chicago Grill's (the "Applicant" or "Uno") petitions for transfer of stock and for new officers/ directors/ managers. The petitions for transfer of stock and for new officers/ directors/ managers are identical for each of Uno's Massachusetts licensed locations. The Informational Hearing was conducted on the master file, which is the Burlington location.

Uno, which holds alcoholic beverages licenses issued pursuant to M.G.L. c. 138, §12, has four officers/directors and is owned in its entirety by Uno Restaurant Holdings Corporation ("URHC"), which has a corporate structure made up almost entirely (99.7%) by six (6) equity fund entities. Additionally, six other companies and entities have a total combined ownership interest in the other .3% of URHC.

Uno requested that the Commission grant an exemption to the Personal Information form and CORI form disclosure requirements for the equity fund entities and others that own URHC.

The Commission seeks the following additional information from the Applicant, which the Commission previously requested but has not yet received:

- 1. Updated PIF and CORI forms for the seven (7) named individuals with ownership interests in Uno's upper tiers of ownership, namely:
 - a. Christopher Shackleton;
 - b. Adam Greg;
 - c. Robert B. Webster;
 - d. Paul L. Mellinger;
 - e. Timothy T. Janzen;
 - f. Ryan L. Langdor; and
 - g. Thomas R. Reej.

- 2. Disclosure of the owners of the following companies, which may include CORI and PIF forms for discernable individual owners:
 - a. Pension Financial Services, Inc.;
 - b. J.P. Morgan Clearing Corp.;
 - c. Hare & Co.;
 - d. Six SIIS Aq.;
 - e. Cliba S.A.; and
 - f. Union Bancore Privee.

Therefore, after the hearing and deliberations, the Commission voted on April 7, 2016 to issue a "Return No Action" on each of the Applicant's application files.

ALCOHOLIC BEVERAGES CONTROL COMMISSION

Elizabeth Lashway, Commissioner <u>Zlywy ayaway</u>
I, the undersigned, hereby certify that I have reviewed the hearing record and concur with the above decision.
Kathleen McNally, Commissioner
Dated: April 29, 2016

You have the right to appeal this decision to the Superior Courts under the provisions of Chapter 30A of the Massachusetts General Laws within thirty (30) days of receipt of this decision.

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cc: Local Licensing Board
Frederick G. Mahony, Chief Investigator
Brad Doyle, Investigator
William A. Kelley, Esq.
Administration
File