

150A/8 **LABOR RELATIONS COMMN, OBSTRUCT** c150A §8
on [DATE OF OFFENSE:] did wilfully resist, prevent, impede or interfere with a member of the State Labor Relations Commission or one of its agents or agencies in the performance of duties pursuant to G.L. c.150A, in violation of G.L. c.150A, §8. (PENALTY: imprisonment not more than 1 year; or not more than \$5000; or both.)

150E/15/A **LABOR RELATIONS COMMN, FALSE STATEMENT TO** c150E §15
on [DATE OF OFFENSE:] did knowingly file a statement or report under G.L. c.150E, §14, which report was false in a material representation, in violation of G.L. c.150E, §15. (PENALTY: not more than \$5000.)

150E/15/B **LABOR RELATIONS COMMN, OBSTRUCT** c150E §15
on [DATE OF OFFENSE:] did wilfully assault, physically resist, prevent, impede or interfere with a mediator, fact-finder, or arbitrator, or a member of the State Labor Relations Commission, or an agent or employee of such Commission, in the performance of duties pursuant to G.L. c.150E, in violation of G.L. c.150E, §15. (PENALTY: imprisonment not more than 1 year; or not more than \$5000; or both.)

151/1B **OVERTIME, EMPLOYER FAIL PAY** c151 §1B (Effective 7/25/62-11/4/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer or an officer or agent of a corporation, did pay or agree to pay to [NUMBER OF EMPLOYEES NOT PAID OVERTIME:] employees less than the overtime rate of compensation required by G.L. c.151, §1A, in violation of G.L. c.151, §1B. (PENALTY for "each week in which such employee is paid less than such overtime rate of compensation and each employee so paid": imprisonment not less than 10 days, not more than 90 days; or not less than \$50, not more than \$200; or both.)

151/1B **OVERTIME, EMPLOYER FAIL PAY** c151 §1B (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did pay or agree to pay to [NUMBER OF EMPLOYEES NOT PAID OVERTIME:] employees less than the overtime rate of compensation required by G.L. c.151, §1A, in violation of G.L. c.151, §1B. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 6 months; or not more than \$10,000. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 6 months.)

151/1B/B **OVERTIME, EMPLOYER FAIL PAY, SUBSQ. OFF.** c151 §1B (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did pay or agree to pay to [NUMBER OF EMPLOYEES NOT PAID OVERTIME:] employees less than the overtime rate of compensation required by G.L. c.151, §1A, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §1B. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for up to 3 years.)

151/1B/C **OVERTIME, EMPLOYER WILFULLY FAIL PAY** c151 §1B (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did willfully pay or agree to pay to [NUMBER OF EMPLOYEES NOT PAID OVERTIME:] employees less than the overtime rate of compensation required by G.L. c.151, §1A, in violation of G.L. c.151, §1B. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/1B/D **OVERTIME, EMPLOYER WILFULLY FAIL PAY, SUBSQ. OFF.** c151 §1B (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did willfully pay or agree to pay to [NUMBER OF EMPLOYEES NOT PAID OVERTIME:] employees less than the overtime rate of compensation required by G.L. c.151, §1A, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §1B. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 2 years; or not more than \$50,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/A **MINIMUM WAGE, INTIMIDATE EMPLOYEE RE** c151 §19 (Effective 12/18/73-11/4/98)
on [DATE OF OFFENSE:]: (1) did solicit, demand, request or accept from [NUMBER OF EMPLOYEES INTIMIDATED:] employee(s) a return of some portion of his, her or their wages, which would result in such employee(s) retaining less than the rate of wages required by G.L. c.151; or (2) did threaten, coerce or intimidate such employee(s) who had wages due under G.L. c.151 for the purpose of causing such employee(s) to accept as payment in full a lesser sum than the full amount of the wages so due, in violation of G.L. c.151, §19. (PENALTY for each employee so solicited or threatened: imprisonment not less than 10 days, not more than 90 days; or not less than \$50, not more than \$1000; or both.)

151/19/A **MINIMUM WAGE, INTIMIDATE EMPLOYEE RE** c151 §19 (Effective 11/5/98)
on [DATE OF OFFENSE:], as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof: (1) did solicit, demand, request or accept from [NUMBER OF EMPLOYEES INTIMIDATED:] employee(s) a return of some portion of his, her or their wages, which would result in such employee(s) retaining less than the rate of wages required by G.L. c.151; or (2) did threaten, coerce or intimidate such employee(s) who had wages due under G.L. c.151 for the purpose of causing such employee(s) to accept as payment in full a lesser sum than the full amount of the wages so due, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 6 months; or not more than \$10,000. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 6 months.)

151/19/B **MINIMUM WAGE ORDER, EMPLOYER FAIL OBEY** c151 §19 (Effective 12/18/73-11/4/98)
on [DATE OF OFFENSE:] did fail to comply with an order of the Commissioner of Labor and Industries that he or she cease and desist an arrangement or organization in his or her business which such Commissioner had found to have been established for the purpose of evading G.L. c.151, whereby a person who would otherwise be his or her employee did not have the status of such an employee, more than 30 days after such order had been served upon him or her, in violation of G.L. c.151, §19. (PENALTY: imprisonment not less than 10 days, not more than 90 days; or not less than \$100; or both.)

151/19/B **MINIMUM WAGE ORDER, EMPLOYER FAIL OBEY** c151 §19 (Effective 11/5/98)
on [DATE OF OFFENSE:], as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof, did fail to comply

with an order of the Commissioner of Labor and Industries that he or she cease and desist an arrangement or organization in his or her business which such Commissioner had found to have been established for the purpose of evading G.L. c.151, whereby a person who would otherwise be his or her employee did not have the status of such an employee, more than 30 days after such order had been served upon him or her, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 6 months; or not more than \$10,000. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 6 months.)

151/19/C **MINIMUM WAGE, EMPLOYER FAIL PAY** c151 §19 (Effective 12/18/73-11/4/98)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an employer or the officer or agent of a corporation, did knowingly pay or agree to pay to **[NO. OF EMPLOYEES NOT PAID MINIMUM WAGE:]** employee(s): (1) less than the rates applicable to such employee(s) under a regulation minimum fair wage established by the Commissioner of Labor and Industries; or (2) less than one dollar and eighty-five cents per hour in an occupation not covered by a minimum wage regulation; or (3) less than one dollar and sixty cents per hour to such employee(s) in agriculture and farming, in violation of G.L. c.151, §19. (PENALTY for each underpaid employee and for each week in any day of which such employee is underpaid: imprisonment not less than 10 days, not more than 90 days; or not less than \$50, not more than \$200; or both.)

151/19/C **MINIMUM WAGE, EMPLOYER FAIL PAY** c151 §19 (Effective 11/5/98)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did knowingly pay or agree to pay to **[NO. OF EMPLOYEES NOT PAID MINIMUM WAGE:]** employee(s): (1) less than the rates applicable to such employee(s) under a regulation minimum fair wage established by the Commissioner of Labor and Industries; or (2) less than one dollar and eighty-five cents per hour in an occupation not covered by a minimum wage regulation; or (3) less than one dollar and sixty cents per hour to such employee(s) in agriculture and farming, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 6 months; or not more than \$10,000. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 6 months.)

151/19/D **MINIMUM WAGE RECORDS VIOL, EMPLOYER** c151 §19 (Effective 12/18/73-11/4/98)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an employer or the officer or agent of a corporation: (1) did fail to keep the records required under G.L. c.151; or (2) did fail to furnish such records to the Commissioner of Labor and Industries, or an authorized representative of such Commissioner, upon request; or (3) did falsify such records; or (4) did fail to comply with a requirement of such Commissioner under the last sentence of G.L. c.151, §16; or (5) did hinder or delay such Commissioner or some authorized representative of the Commissioner in the performance of his or her duties; or (6) did refuse to admit, or did lock out, such Commissioner, or such authorized representative from a place of employment, other than a place of employment of persons engaged in domestic service in the home of the employer, which he or she was authorized to inspect, in violation of G.L. c.151, §19. (PENALTY: not less than \$25, not more than \$100; each day of failure to keep or furnish such records is a separate offense.)

151/19/D **MINIMUM WAGE RECORDS VIOL, EMPLOYER** c151 §19 (Effective 11/5/98)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer: (1) did fail to keep the records required under G.L. c.151; or (2) did fail to furnish such records to the Commissioner of Labor and Industries, or an authorized representative of such Commissioner, upon request; or (3) did falsify such records; or (4) did fail to comply with a requirement of such Commissioner under the last sentence of G.L. c.151, §16; or (5) did hinder or delay such Commissioner or some authorized representative of the Commissioner in the performance of his or her duties; or (6) did refuse to admit, or did lock out, such Commissioner, or such authorized representative from a place of employment, other than a place of employment of persons engaged in domestic service in the home of the employer, which he or she was authorized to inspect, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 6 months; or not more than \$10,000. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 6 months.)

151/19/E **MINIMUM WAGE COMPLAINT, RETALIATE FOR** c151 §19 (Effective 12/18/73-11/4/98)
on **[DATE OF OFFENSE:]**, being an employer or an employer's agent, or the officer or agent of a corporation, did discharge or in some other manner discriminate against an employee because such employee: (1) had complained of a violation of the provisions of G.L. c.151, §19, or (2) had testified or was about to testify in an investigation or proceeding under or related to G.L. c.151, or (3) because such employer believed that such employee or individual might complain of a violation of the provisions of G.L. c.151, in violation of G.L. c.151, §19. (PENALTY: not less than \$50, not more than \$200.)

151/19/E **MINIMUM WAGE COMPLAINT, RETALIATE FOR** c151 §19 (Effective 11/5/98)
on **[DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did discharge or in some other manner discriminate against an employee because such employee: (1) had complained of a violation of the provisions of G.L. c.151, §19; or (2) had testified or was about to testify in an investigation or proceeding under or related to G.L. c.151; or (3) because such employer believed that such employee or individual might complain of a violation of the provisions of G.L. c.151, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 6 months; or not more than \$10,000. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 6 months.)

151/19/F **MINIMUM WAGE, INTIMIDATE EMPLOYEE RE, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
on **[DATE OF OFFENSE:]**, as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof: (1) did solicit, demand, request or accept from **[NUMBER OF EMPLOYEES INTIMIDATED:]** employee(s) a return of some portion of his, her or their wages, which would result in such employee(s) retaining less than the rate of wages required by G.L. c.151; or (2) did threaten, coerce or intimidate such employee(s) who had wages due under G.L. c.151 for the purpose of causing such employee(s) to accept as payment in full a lesser sum than the full amount of the wages so due, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for up to 3 years.)

151/19/G **MINIMUM WAGE, WILFULLY INTIMIDATE EMPLOYEE RE** c151 §19 (Effective 11/5/98)
on **[DATE OF OFFENSE:]**, as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof: (1) did willfully solicit, demand, request or accept from **[NUMBER OF EMPLOYEES INTIMIDATED:]** employee(s) a return of some portion of his, her or their wages, which would result in such employee(s) retaining less than the rate of wages required by G.L. c.151; or (2) did willfully threaten, coerce or intimidate such employee(s) who had wages due under G.L. c.151 for the purpose of causing such employee(s) to accept as payment in full a lesser sum than the full amount of the wages so due, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than

\$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/H **MINIMUM WAGE, WILFULLY INTIMIDATE EMPLOYEE RE, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
on [DATE OF OFFENSE:], as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof: (1) did willfully solicit, demand, request or accept from [NUMBER OF EMPLOYEES INTIMIDATED:] employee(s) a return of some portion of his, her or their wages, which would result in such employee(s) retaining less than the rate of wages required by G.L. c.151; or (2) did willfully threaten, coerce or intimidate such employee(s) who had wages due under G.L. c.151 for the purpose of causing such employee(s) to accept as payment in full a lesser sum than the full amount of the wages so due, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 2 years; or not more than \$50,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/J **MINIMUM WAGE ORDER, EMPLOYER FAIL OBEY, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
on [DATE OF OFFENSE:], as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof, did fail to comply with an order of the Commissioner of Labor and Industries that he or she cease and desist an arrangement or organization in his or her business which such Commissioner had found to have been established for the purpose of evading G.L. c.151, whereby a person who would otherwise be his or her employee did not have the status of such an employee, more than 30 days after such order had been served upon him or her, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for up to 3 years.)

151/19/K **MINIMUM WAGE ORDER, EMPLOYER WILFULLY FAIL OBEY** c151 §19 (Effective 11/5/98)
on [DATE OF OFFENSE:], as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof, did willfully fail to comply with an order of the Commissioner of Labor and Industries that he or she cease and desist an arrangement or organization in his or her business which such Commissioner had found to have been established for the purpose of evading G.L. c.151, whereby a person who would otherwise be his or her employee did not have the status of such an employee, more than 30 days after such order had been served upon him or her, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/L **MINIMUM WAGE ORDER, EMPLOYER WILFULLY FAIL OBEY, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
on [DATE OF OFFENSE:], as an employer, contractor or subcontractor, or an officer, agent, superintendent, foreman or employee thereof, did willfully fail to comply with an order of the Commissioner of Labor and Industries that he or she cease and desist an arrangement or organization in his or her business which such Commissioner had found to have been established for the purpose of evading G.L. c.151, whereby a person who would otherwise be his or her employee did not have the status of such an employee, more than 30 days after such order had been served upon him or her, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 2 years; or not more than \$50,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/M **MINIMUM WAGE, EMPLOYER FAIL PAY, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did knowingly pay or agree to pay to [NO. OF EMPLOYEES NOT PAID MINIMUM WAGE:] employee(s): (1) less than the rates applicable to such employee(s) under a regulation minimum fair wage established by the Commissioner of Labor and Industries; or (2) less than one dollar and eighty-five cents per hour in an occupation not covered by a minimum wage regulation; or (3) less than one dollar and sixty cents per hour to such employee(s) in agriculture and farming, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for up to 3 years.)

151/19/N **MINIMUM WAGE, EMPLOYER WILFULLY FAIL PAY** c151 §19 (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did willfully pay or agree to pay to [NO. OF EMPLOYEES NOT PAID MINIMUM WAGE:] employee(s): (1) less than the rates applicable to such employee(s) under a regulation minimum fair wage established by the Commissioner of Labor and Industries; or (2) less than one dollar and eighty-five cents per hour in an occupation not covered by a minimum wage regulation; or (3) less than one dollar and sixty cents per hour to such employee(s) in agriculture and farming, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/P **MINIMUM WAGE, EMPLOYER WILFULLY FAIL PAY, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did willfully pay or agree to pay to [NO. OF EMPLOYEES NOT PAID MINIMUM WAGE:] employee(s): (1) less than the rates applicable to such employee(s) under a regulation minimum fair wage established by the Commissioner of Labor and Industries; or (2) less than one dollar and eighty-five cents per hour in an occupation not covered by a minimum wage regulation; or (3) less than one dollar and sixty cents per hour to such employee(s) in agriculture and farming, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 2 years; or not more than \$50,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/Q **MINIMUM WAGE RECORDS VIOL, EMPLOYER, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer: (1) did fail to keep the records required under G.L. c.151; or (2) did fail to furnish such records to the Commissioner of Labor and Industries, or an authorized representative of such Commissioner, upon request; or (3) did falsify such records; or (4) did fail to comply with a requirement of such Commissioner under the last sentence of G.L. c.151, §16; or (5) did hinder or delay such Commissioner or some

authorized representative of the Commissioner in the performance of his or her duties; or (6) did refuse to admit, or did lock out, such Commissioner, or such authorized representative from a place of employment, other than a place of employment of persons engaged in domestic service in the home of the employer, which he or she was authorized to inspect, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for up to 3 years.)

151/19/R **MINIMUM WAGE RECORDS VIOL, EMPLOYER WILFUL** c151 §19 (Effective 11/5/98)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer: (1) did willfully fail to keep the records required under G.L. c.151; or (2) did willfully fail to furnish such records to the Commissioner of Labor and Industries, or an authorized representative of such Commissioner, upon request; or (3) did willfully falsify such records; or (4) did willfully fail to comply with a requirement of such Commissioner under the last sentence of G.L. c.151, §16; or (5) did willfully hinder or delay such Commissioner or some authorized representative of the Commissioner in the performance of his or her duties; or (6) did willfully refuse to admit, or did willfully lock out, such Commissioner, or such authorized representative from a place of employment, other than a place of employment of persons engaged in domestic service in the home of the employer, which he or she was authorized to inspect, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/S **MINIMUM WAGE RECORDS VIOL, EMPLOYER WILFUL, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer: (1) did willfully fail to keep the records required under G.L. c.151; or (2) did willfully fail to furnish such records to the Commissioner of Labor and Industries, or an authorized representative of such Commissioner, upon request; or (3) did willfully falsify such records; or (4) did willfully fail to comply with a requirement of such Commissioner under the last sentence of G.L. c.151, §16; or (5) did willfully hinder or delay such Commissioner or some authorized representative of the Commissioner in the performance of his or her duties; or (6) did willfully refuse to admit, or did willfully lock out, such Commissioner, or such authorized representative from a place of employment, other than a place of employment of persons engaged in domestic service in the home of the employer, which he or she was authorized to inspect, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 2 years; or not more than \$50,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/T **MINIMUM WAGE COMPLAINT, RETALIATE FOR, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
on **[DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did discharge or in some other manner discriminate against an employee because such employee: (1) had complained of a violation of the provisions of G.L. c.151, §19, or (2) had testified or was about to testify in an investigation or proceeding under or related to G.L. c.151, or (3) because such employer believed that such employee or individual might complain of a violation of the provisions of G.L. c.151, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for up to 3 years.)

151/19/U **MINIMUM WAGE COMPLAINT, WILFULLY RETALIATE FOR** c151 §19 (Effective 11/5/98)
on **[DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did willfully discharge or in some other manner discriminate against an employee because such employee: (1) had complained of a violation of the provisions of G.L. c.151, §19; or (2) had testified or was about to testify in an investigation or proceeding under or related to G.L. c.151; or (3) because such employer believed that such employee or individual might complain of a violation of the provisions of G.L. c.151, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 1 year; or not more than \$25,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151/19/V **MINIMUM WAGE COMPLAINT, WILFULLY RETALIATE FOR, SUBSQ. OFF.** c151 §19 (Effective 11/5/98)
on **[DATE OF OFFENSE:]**, being an employer, or an officer, agent, superintendent, foreman or employee of a corporate employer, did willfully discharge or in some other manner discriminate against an employee because such employee: (1) had complained of a violation of the provisions of G.L. c.151, §19; or (2) had testified or was about to testify in an investigation or proceeding under or related to G.L. c.151; or (3) because such employer believed that such employee or individual might complain of a violation of the provisions of G.L. c.151, the defendant having previously been convicted of such an offense, in violation of G.L. c.151, §19. (PENALTY from G.L. c.149 §27C[a][2]: imprisonment not more than 2 years; or not more than \$50,000; or both. §27[a][3]: If defendant was contractor or subcontractor, CLERK-MAGISTRATE MUST REPORT CONVICTION TO ATTORNEY GENERAL, who shall notify public agencies that defendant is debarred from public works for 5 years.)

151A/37 **UNEMPLOYMENT COMP REPRESENTATION, IMPROP** c151A §37
on **[DATE OF OFFENSE:]**: (1) did exact or receive remuneration or gratuity for services rendered on behalf of a benefit claimant under G.L. c.151A other than as authorized by G.L. c.151A, §37; or (2) did solicit the business of appearing on behalf of a benefit claimant or employer; or (3) did make it a business to solicit employment for another in connection with the making of a claim for benefits or for the purpose of opposing a claim or claims under G.L. c.151A, in violation of G.L. c.151A, §37. (PENALTY: imprisonment not more than 6 months; or not more than \$100; or both.)

151A/46 **UNEMPLOYMENT COMP INFORMATION, DISCLOSE** c151A §46
on **[DATE OF OFFENSE:]** did disclose information secured pursuant to G.L. c.151A contrary to G.L. c.151A, §46 and without the authority of Director of the Division of Workforce Development or pursuant to such Director's rules and regulations, or as otherwise required or authorized by law, in violation of G.L. c.151A, §46. (PENALTY: imprisonment not more than 6 months; or not more than \$100; or both.)

151A/47/A **UNEMPLOYMENT COMP ADMIN, OBSTRUCT** c151A §47 (Effective 11/2/90)
on **[DATE OF OFFENSE:]** willfully: (1) did corrupt or by force or threat of force endeavor to intimidate or impede an officer or employee of this Commonwealth acting in an official capacity under G.L. c.151A; or (2) in some other way did corrupt by force or threat of force, obstruct or impede, or endeavor to obstruct or impede, the due administration of G.L. c.151A, in violation of G.L. c.151A, §47. (PENALTY IF COMMITTED ONLY BY THREAT OF FORCE: imprisonment not more than 1 year; or not more than \$3000; or both. PENALTY OTHERWISE: imprisonment not more than 3 years; or not more than \$5000; or both.)

151A/47/B **UNEMPLOYMENT COMP VIOLATION c151A §47** (Effective 11/2/90)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.151A, or of an order, rule or regulation of the Director of the Division of Workforce Development made under the authority of G.L. c.151A, in violation of G.L. c.151A, §47. (PENALTY: not more than \$100.)

151A/47/C **UNEMPLOYMENT COMP VIOLATION, SUBSQ. OFF. c151A §47** (Effective 11/2/90)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.151A, or of an order, rule or regulation of the Director of the Division of Workforce Development made under the authority of G.L. c.151A, the defendant having been previously convicted of such an offense within the two years immediately preceding, in violation of G.L. c.151A, §47. (PENALTY: imprisonment not more than 2 years; or not less than \$200, not more than \$500; or both.)

151A/47/D **UNEMPLOYMENT COMP, EMPLOYER FAIL PAY c151A §47** (Effective 11/2/90)
on **[DATE OF OFFENSE:]**, being an employing unit, as defined in G.L. c.151A, §1, or an officer or agent of an employing unit, knowingly: (1) did fail or refuse to pay some benefit or contribution, as such terms are defined in §1, payment in lieu of contribution or interest charge; or (2) did fail or refuse to furnish some report or information duly required by the Director of the Division of Workforce Development under some provision of G.L. c.151A; or (3) did make or require some deduction from wages to pay a portion of the contributions, payments in lieu of contributions or interest charges required from employers under a provision of G.L. c.151A; or (4) did attempt by threats or coercion of some kind to induce an individual to waive one or more rights under a provision of G.L. c.151A; or (5) did attempt by threat or coercion to prevent an individual from testifying at a hearing conducted under a provision of G.L. c.151A, §47, in violation of G.L. c.151A, §47. (PENALTY: imprisonment not more than 1 year; or not less than \$2,500, not more than \$10,000; or both.)

151A/47/E **UNEMPLOYMENT COMP, FALSE IDENTITY FOR c151A §47** (Effective 4/27/92)
on **[DATE OF OFFENSE:]** did provide the Division of Unemployment Assistance of the Department of Workforce Development with a false identification or did misrepresent his or her identity in connection with a claim or attempt to make a claim for benefits under G.L. c.151A for himself or herself or any other individual, in violation of G.L. c.151A, §47. (PENALTY: imprisonment not more than 6 months; or not less than \$100, not more than \$1000; or both.)

151A/47/F **UNEMPLOYMENT COMP, FALSE STATEMENT FOR c151A §47** (Effective 4/27/92)
on **[DATE OF OFFENSE:]**: (1) did knowingly make a false or misleading statement, representation or submission or did knowingly assist, abet, solicit or conspire in the making of a false or misleading statement, representation or submission in order to maintain, obtain, or increase benefits under G.L. c.151A for himself or herself or for some other individual; or (2) did knowingly conceal or fail to disclose a material fact in order to maintain, obtain or increase benefits under G.L. c.151A for himself or herself or for some other individual, in violation of G.L. c.151A, §47. (PENALTY: state prison not more than 5 years; or jail not less than 6 months, not more than 2½ years; or not less than \$1000, not more than \$10,000 fine; or both such imprisonment and fine.)

151A/47A **UNEMPLOYMENT COMP PAYMENT, BAD CHECK FOR c151A §47A** (Effective 11/2/90)
on **[DATE OF OFFENSE:]**, with intent to defraud, did make, draw, utter or deliver a check, draft or order for the payment of some amount required under G.L. c.151A upon a bank or other depository, with knowledge that the maker or drawer had not sufficient funds or credit at such bank or other depository for the payment of such instrument, in violation of G.L. c.151A, §47A. (PENALTY: imprisonment not more than 2 years; or not less than \$500, not more than \$5000; or both.)

151B/4A **RESTRICTIVE COVENANT, CONVEY PROPERTY W/ c151B §4A** (Effective 10/16/69)
on **[DATE OF OFFENSE:]** did convey real property by an instrument which contained a provision which he or she knew was void under the provisions of G.L. c.184, §23B, in violation of G.L. c.151B, §4A. (PENALTY: imprisonment not more than 1 year; or not more than \$500.)

151B/7/A **MCAD NOTICE, FAIL POST c151B §7**
on **[DATE OF OFFENSE:]**, being an employer, employment agency, real estate agency, rental office, labor union, institutional creditor, proprietor of a business or of a place of public accommodation, or other person, corporation, or group subject to G.L. c.151B, did refuse to post in a conspicuous place or places on his or her premises a notice prepared by the Massachusetts Commission Against Discrimination, setting forth excerpts of G.L. c.151B and such other relevant information which the Commission had deemed necessary to explain G.L. c.151B, in violation of G.L. c.151B, §7. (PENALTY: not less than \$10, not more than \$100.)

151B/7/B **MCAD NOTICE, FAIL POST, SUBSQ. OFF. c151B §7** (Effective 10/8/74)
on **[DATE OF OFFENSE:]**, being an employer, employment agency, real estate agency, rental office, labor union, institutional creditor, proprietor of a business or of a place of public accommodation, or other person, corporation, or group subject to G.L. c.151B, did refuse to post in a conspicuous place or places on his or her premises a notice prepared by the Massachusetts Commission Against Discrimination, setting forth excerpts of G.L. c.151B and such other relevant information which the Commission had deemed necessary to explain G.L. c.151B, the defendant having previously been convicted of such an offense more than 60 days prior to this offense, in violation of G.L. c.151B, §7. (PENALTY: not less than \$100.)

151B/8/A **MCAD ORDER, FAIL COMPLY WITH c151B §8**
on **[DATE OF OFFENSE:]**, being a person, employer, labor organization or employment agency, did wilfully violate a final order of the Massachusetts Commission Against Discrimination, in violation of G.L. c.151B, §8. (PENALTY: imprisonment not more than 1 year; or not more than \$500.)

151B/8/B **MCAD, OBSTRUCT c151B §8**
on **[DATE OF OFFENSE:]**, being a person, employer, labor organization or employment agency, did wilfully resist, prevent, impede or interfere with the Massachusetts Commission Against Discrimination or one or more of its members or representatives in the performance of duty under G.L. c.151B, §8, in violation of G.L. c.151B, §8. (PENALTY: imprisonment not more than 1 year; or not more than \$500.)

151D/2/A **HEALTH/WELFARE FUND, FAIL REGISTER c151D §2** (Effective 1/1/74)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a trustee or plan administrator of a trust, fund, pension plan, combination plan or profit-sharing retirement plan, which provided benefits for participants within this Commonwealth, did neglect to register such trust, fund or plan with the Health, Welfare and Retirement Trust Fund Board in accordance with the provisions of G.L. c.151D, §2 within 90 days after such trust or plan became subject to the provisions of G.L. c.151D, in violation of G.L. c.151D, §2. (PENALTY for each day such neglect continues: forfeiture of \$5.)

151D/2/B **HEALTH/WELFARE FUND, UNAPPROVED c151D §2** (Effective 1/1/74)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a trustee or plan administrator of a trust, fund, pension plan, combination plan or profit-sharing retirement plan, which provided benefits for participants within this Commonwealth, did operate such trust,

fund or plan after it had been disapproved by the Health, Welfare and Retirement Trust Fund Board, in violation of G.L. c. 151D, §2. (PENALTY: jail or house of correction not more than 2 years; or not more than \$500 for each day of such violation; or both.)

151D/6 **HEALTH/WELFARE FUND EMPLOYEE VIOLATION** c151D §6 (Effective 1/1/74)
on **[DATE OF OFFENSE:]**: (1) did embezzle or misappropriate trust funds, as defined in G.L. c.151D, §1, or funds, securities or other property entrusted to his or her care or custody; or (2) did falsify or destroy records of such trust with intent to defraud; or (3) did willfully and knowingly file a false statement with or relative to such trust; or (4) did wilfully and knowingly violate G.L. c. 151D, §3(c), in violation of G.L. c.151D, §6. (PENALTY: jail or house of correction not more than 5 years; or not more than \$10,000; or both.)

151D/11 **HEALTH/WELFARE FUND FAIL PAY BENEFIT** c151D §6 (Effective 1/1/74)
on **[DATE OF OFFENSE:]**, being a person or employee, or the president, secretary, or treasurer, or an officer exercising corresponding functions, of a corporation which was an employer, who was party to an agreement to pay or provide the contributions or benefits covered by G.L. c. 151D or who was so required to pay contributions or benefits under the terms of G.L. c. 149, §26 and §27, did refuse or fail or neglect to pay such contributions or payments within 30 days after such payments were required to be made, in violation of G.L. c. 151D, §11. (PENALTY "in addition to any other penalty or punishment otherwise prescribed by law": jail or house of correction not more than 1 year; or not more than \$500; or both.)

152/6 **WORKERS COMP REPORT, FAIL FILE, 3RD OFF.** c152 §6 (Effective 12/24/91)
on **[DATE OF OFFENSE:]**, for the third or subsequent time in a year: (1) being an employer, did fail within seven calendar days, not including Sundays and legal holidays, of receipt of notice of an injury alleged to have arisen out of and in the course of employment which incapacitated an employee from earning full wages for a period of 5 or more calendar days, to furnish notice of such injury to the Division of Administration of the Department of Industrial Accidents, the employee and the insurer, in such form as such Division had prescribed; or (2) being an employer, insurer or medical services provider, did fail to provide additional reports with respect to such injury and of the condition of such employee, as required by such Division; or (3) being an employer, did fail to provide proof of insurance coverage under G.L. c. 152 when required to do so by the Commissioner of such Department; or (4) did fail to pay a fine within 30 days of receipt of a bill from such Department, in violation of G.L. c. 152, §6. (PENALTY: \$100.)

152/14/A **WORKERS COMP FRAUD** c152 §14
on **[DATE OF OFFENSE:]**: (1) did knowingly make a false or misleading statement, representation or submission or did knowingly assist, abet, solicit or conspire in the making of a false or misleading statement, representation or submission, or did knowingly conceal or fail to disclose knowledge of the occurrence of some event affecting the payment, coverage or other benefit for the purpose of obtaining or denying some payment, coverage, or other benefit under G.L. c. 152; or (2) did knowingly misclassify employees or engage in deceptive employee leasing practices for the purpose of avoiding full payment of insurance premiums, in violation of G.L. c. 152, §14. (PENALTY: state prison not more than 5 years; or jail not less than 6 months, not more than 2½ years; or not less than \$1000, not more than \$10,000 fine; or both such fine and imprisonment; and mandatory restitution.)

152/14/B **WORKERS COMP CLAIMS, ENCOURAGE** c152 §14 (Effective 1/24/86)
on **[DATE OF OFFENSE:]**, being a law firm, health care establishment or agent thereof, did employ or contract persons or firms to personally coerce or encourage individuals to file compensation claims under G.L. c. 152, in violation of G.L. c. 152, §14. (PENALTY: state prison not more than 5 years; or jail not less than 6 months, not more than 2½ years; or not less than \$1000, not more than \$10,000 fine; or both such fine and imprisonment; and mandatory restitution.)

152/25C **WORKERS COMP, EMPLOYER FAIL HAVE** c152 §25C (Effective 4/17/88)
on **[DATE OF OFFENSE:]**, being an employer, as defined in G.L. c.152, §1, did fail to provide for workers compensation insurance or self-insurance as required by G.L. c.152, in violation of G.L. c.152, §25C. (PENALTY: imprisonment not more than 1 year; or not more than \$1500; or both.)

152/48 **WORKERS COMP LUMP SUM RELEASE, IMPROP** c152 §48 (Effective 1/24/86)
on **[DATE OF OFFENSE:]**, being an insurer, employer or attorney, did as part of a lump sum agreement under G.L. c. 152, §28 attempt to obtain a general or specific release from an employee that purported to bar employment with some employer, or the receipt by the employee of some pay or benefits due him or her by an employer, or the bringing of a future workers' compensation claim, or the bringing of a claim of wrongful discharge or breach of contract, in violation of G.L. c.152, §48. (PENALTY: \$10,000.)

152/52C **WORKERS COMP RATING ORGANIZATION VIOL** c152 §52C
on **[DATE OF OFFENSE:]**, being licensed as a rating organization pursuant to G.L. c. 152, §52C, wilfully: (1) did fail promptly to notify the Commissioner of the Department of Industrial Accidents of a change in its constitution, articles of agreement or association, certificate of incorporation, by-laws, rules or regulations, list of members and subscribers, or the name and address of the resident of this Commonwealth designated by it for the service of process; or (2) did fail to permit an insurer not a member to be a subscriber to its rating services; or (3) did fail to furnish its rating services without discrimination to its members and subscribers; or (4) did adopt a rule the effect of which would be to prohibit or regulate the payment of dividends, savings or unabsorbed premium deposits allowed or returned by insurers to their policyholders, members or subscribers; or (5) did fail to obey an order of such Commissioner issued under §52C, in violation of G.L. c. 152, §52C. (PENALTY from §52E: not more than \$500.)

152/52D **WORKERS COMP RATING ORGANIZATION VIOL** c152 §52D (Effective 10/14/62)
on **[DATE OF OFFENSE:]**, being licensed as a rating organization pursuant to G.L. c. 152, §52C or an insurer which makes its own rates, wilfully: (1) did fail within a reasonable time after receiving written request therefor and upon payment of such reasonable charge as it had specified, to furnish to an insured affected by a rate made by it, or to the authorized representative of such insured, all pertinent information as to such rate; or (2) did fail to provide within this Commonwealth reasonable means whereby any person aggrieved by the application of its rating system might be heard on written request to review the manner in which such rating system had been applied in connection with the insurance afforded him or her; or (3) did fail to obey an order of the Commissioner of the Department of Industrial Accidents issued under §52D, in violation of G.L. c.152, §52D. (PENALTY from §52E: not more than \$500.)

152/60D/A **WORKERS COMP POLICY, FL DEPOSIT VALUE OF** c152 §60D
on **[DATE OF OFFENSE:]**, being a domestic insurance company that had been required by written order of the Commissioner of Insurance to deposit with the state treasurer the present value of all or some part of its outstanding claims incurred under its contracts or policies providing for the payment of benefits under G.L. c. 152, did fail to comply with such order, in violation of G.L. c. 152, §60D. (PENALTY: forfeiture of \$100 per day of default, to be paid to the state treasurer "and held and expended by him in like manner as a deposit" under §57.)

152/60D/B **WORKERS COMP POLICY, ISSUE IMPROP** c152 §60D
on **[DATE OF OFFENSE:]**, being a domestic insurance company that had been required by written order of the Commissioner of Insurance to deposit with

the state treasurer the present value of all or some part of its outstanding claims incurred under its contracts or policies providing for the payment of benefits under G.L. c. 152, or an officer or agent thereof, did issue a policy of workmen's compensation insurance while in default of compliance with such order, in violation of G.L. c. 152, §60D. (PENALTY: imprisonment not more than 3 months; or not less than \$100, not more than \$1000; or both.)

155/48 CORPORATE STATEMENT, FALSE c155 §48

on [DATE OF OFFENSE:] did knowingly make, execute, file or publish a report or statement required by law to be made, executed, filed or published by a corporation in this Commonwealth, or did cause the same to be done, which report or statement was false in a material representation, in violation of G.L. c. 155, §48. (PENALTY: imprisonment not more than 3 years; or not more than \$5000; or both.)

155/49 CORPORATE STATEMENT, FALSE c155 §49

on [DATE OF OFFENSE:] did knowingly make, execute or publish a report or statement required by the law of another state or country to be made, executed, or published by a corporation, or did cause the same to be done, within this Commonwealth, which report or statement was false in a material representation, in violation of G.L. c. 155, §49. (PENALTY: imprisonment not more than 3 years; or not more than \$5000; or both.)

156/50 CORPORATE REPORT, FAIL FILE c156 §50

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a corporation that had failed to file its report of condition within the time required by law, did omit to file such report within 30 days after having been given notice of such default by the Secretary of State, by mail, postage prepaid, in violation of G.L. c. 156, §50. (PENALTY: forfeiture of not less than \$5, not more than \$10, daily for first 15 days after the expiration of 30 days, and not less than \$10, not more than \$200, daily for each day thereafter during which such default continues "or any other sum, not greater than the maximum penalty or forfeiture, which the court may deem just and equitable".)

156B/68 CORPORATE STATEMENT, FALSE c156B §68 (Effective 10/1/65)

on [DATE OF OFFENSE:] did knowingly make, execute, file or publish a report or statement required by law to be made, executed, filed or published by a corporation in this Commonwealth, or did cause the same to be done, which report or statement was false in a material representation, in violation of G.L. c. 156B, §68. (PENALTY: imprisonment not more than 3 years; or not more than \$5000; or both.)

156B/69 CORPORATE STATEMENT, FALSE c156B §69 (Effective 10/1/65)

on [DATE OF OFFENSE:] did knowingly make, execute or publish a report or statement required by the law of another state or country to be made, executed, or published by a corporation, or did cause the same to be done, within this Commonwealth, which report or statement was false in a material representation, in violation of G.L. c. 156B, §69. (PENALTY: imprisonment not more than 3 years; or not more than \$5000; or both.)

156B/112 CORPORATE REPORT, FAIL FILE c156B §112 (Effective 10/1/65)

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a corporation that had failed to file its report of condition within the time required by G.L. c. 156B, §109, did omit to file such report within 30 days after having been given notice of such default by the Secretary of State, by mail postage prepaid, in violation of G.L. c. 156B, §112. (PENALTY: forfeiture of not less than \$5, not more than \$10, daily for first 15 days after the expiration of 30 days, and not less than \$10, not more than \$200, daily for each day thereafter during which such default continues "or any other sum, not greater than the maximum penalty or forfeiture, which the court may deem just and equitable".)

156D/1511 FOREIGN CORPORATION REPORT, FALSE c156D §15.11(d) or (e) (Effective 7/1/04)

on [DATE OF OFFENSE:]: (1) did knowingly make, execute, deliver or publish one or more reports or statements required by law to be made, executed, filed or published by a foreign corporation in this Commonwealth, or did cause the same to be done, which reports or statements were false in some material representation; or (2) did knowingly make, execute, deliver or publish one or more reports or statements required by the law of another state or country to be made, executed, filed or published by a foreign corporation, or did cause the same to be done, within this Commonwealth, which reports or statements were false in some material representation, in violation of G.L. c. 156D, §15.11(d) or (e). (PENALTY: imprisonment not more than 3 years; or fine not more than \$5,000; or both.)

158/16 UTILITY FAIL PAY INTEREST ON DEPOSIT c158 §16

on [DATE OF OFFENSE:], being a corporation which had a franchise in and the use of the public streets of a town for the supply and distribution of gas, water, electric light or power, or for the maintenance of communication by wire or otherwise: (1) did hold for a longer period than 6 months money which was collected in advance from one or more of its customers to guarantee it against loss of charges or tolls, and did fail or neglect to pay annually upon such guaranty fund interest, at such rate as had been fixed by the Department of Public Utilities, to the depositors thereof; or (2) did fail or neglect to include a true statement of all money, and of the value of any collateral, so held, in its annual return required by G.L. c. 158, §37, in violation of G.L. c. 158, §16. (PENALTY: not less than \$100, not more than \$500.)

159/1 COMMON CARRIER DISCRIMINATION c159 §1

on [DATE OF OFFENSE:], being a common carrier of merchandise or other property: (1) did wilfully neglect or refuse to receive, transport and forward property offered for such purposes by another such carrier as promptly, faithfully and impartially, at as low rates of charge, and in a manner and on terms and conditions as favorable to the carrier offering such property, as such carrier did on the same day and at the same place receive, forward and transport, in the ordinary course of business, property of a like description offered by persons other than such carriers; or (2) did wilfully discriminate against some particular person or subject such person to an undue or unreasonable prejudice or disadvantage, in violation of G.L. c. 159, §1. (PENALTY from §2: not less than \$50, not more than \$500, payable to "the person offering the property for transportation.")

159/4/A RAILROAD REQUIRE EMPLOYEE INDEMNITY c159 §4

on [DATE OF OFFENSE:], being a corporation engaged in carrying passengers or in transporting freight for hire, or a person acting in its behalf, did require or receive from a person who was employed or about to be employed by it a bond or other security to indemnify such corporation against loss or damage to other persons or to property resulting from the act or neglect of such person, other than a bond to account for money or other property of such corporation, in violation of G.L. c. 159, §4. (PENALTY: not more than \$50.)

159/4/B RAILROAD REQUIRE EMPLOYEE INDEMNITY, SUBSQ. OFF. c159 §4

on [DATE OF OFFENSE:], being a corporation engaged in carrying passengers or in transporting freight for hire, or a person acting in its behalf, did require or receive from a person who was employed or about to be employed by it a bond or other security to indemnify such corporation against loss or damage to other persons or to property resulting from the act or neglect of such person, other than a bond to account for money or other property of such corporation, the defendant having previously been convicted of such an offense, in violation of G.L. c. 159, §4. (PENALTY: not more than \$100.)

159/7 **COMMON CARRIER W/O LOCAL AGENT, FOREIGN** c159 §7
on [DATE OF OFFENSE:], did act for more than 30 days as the general agent of an association of persons who were not inhabitants of this Commonwealth which did an express business therein without G.L. c.159, §5 and §6 having been complied with, in violation of G.L. c.159, §7. (PENALTY: forfeiture of not more than \$500.)

159/8 **COMMON CARRIER, IMPROPER COMPETITION W/** c159 §8
on [DATE OF OFFENSE:], with intent to defraud or injure in his or her business a person licensed by a town as a carrier of goods for hire: (1) did take from the order box of such carrier, or efface or destroy, an order to or direction for such carrier to call for and receive goods to be transported by such carrier; or (2) did appropriate such an order or direction; or (3) did make use of the information derived therefrom for the purpose of executing the same, or for the purpose of transporting goods or receiving the hire therefor, in violation of G.L. c.159, §8. (PENALTY: not more than \$25.)

159/28 **RAILROAD/CARRIER FAIL REPORT FATALITY** c159 §28
on [DATE OF OFFENSE:], being a railroad corporation, railway company or carrier of passengers for hire by motor vehicle under the jurisdiction of the Department of Public Utilities: (1) did fail to give immediate notice of an accident on its railroad or railway or on or connected with a motor vehicle belonging to it, resulting in a loss of life, to the medical examiner of the county who resided nearest to the place of accident, and also within 24 hours to give notice to such Department of such accident; or (2) did fail to give notice to such Department of some other accident of a kind of which such Department required notice to be given, in violation of G.L. c.159, §28. (PENALTY: forfeiture of not more than \$100.)

159/31 **RAILROAD/CARRIER RECORDKEEPING VIOL** c159 §31
on [DATE OF OFFENSE:], being a railroad corporation or railway company: (1) did refuse to submit its books to the examination of the Department of Public Utilities; or (2) did unreasonably neglect to keep its accounts in the method prescribed by such Department, in violation of G.L. c.159, §31. (PENALTY: forfeiture of not more than \$5000.)

159/33 **COMMON CARRIER FAIL FILE ANNUAL REPORT** c159 §33
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a person doing an express business upon either a railroad or railway in this Commonwealth: (1) did neglect to transmit to the Department of Public Utilities an annual return on oath of his or her doings, setting forth copies of all contracts made during the year with other persons doing a transportation or express business upon any railroad or railway in this Commonwealth, and giving complete information in reply to the questions presented in the form for such return prescribed by such Department; or (2) did neglect to amend a defective or erroneous return within 15 days after a request to do so, in violation of G.L. c.159, §33. (PENALTY for each day during which neglect continues: forfeiture of \$25.)

159/44 **COMMON CARRIER INFO, IMPROP DISCLOSE** c159 §44
on [DATE OF OFFENSE:], being an employee or agent of the Department of Public Utilities, did divulge a fact or information coming to his or her knowledge during the course of an examination or audit under G.L. c.159, other than as directed by such Department, or by a court or judge, or as otherwise authorized by law, in violation of G.L. c.159, §44. (PENALTY: not more than \$1000.)

159/87 **RAILROAD RELIEF SOCIETY RECORDS VIOL** c159 §87
on [DATE OF OFFENSE:], having charge or custody of the books and papers of a corporation organized under G.L. c.159, §86 and G.L. c.180 for the purpose of receiving, managing and applying property and funds for the improvement and benefit of the employees of a railroad, railway or steamboat corporation, and for their relief and the relief of their families in case of sickness, injury, inability to labor, or other cases of need: (1) did neglect annually, or more frequently as required by the Department of Public Utilities, to render to such Department such statements of its membership and financial transactions and such other information relative thereto as such Department required; or (2) did fail to permit such Department to verify such a statement by an examination of the books and papers of the corporation, in violation of G.L. c.159, §87. (PENALTY: not more than \$500.)

159/101/A **MBTA FARE, ATTEMPT TO EVADE** c159 §101 (Effective through 12/29/94)
on [DATE OF OFFENSE:] did fraudulently attempt to evade the payment of a toll or fare lawfully established by the Massachusetts Bay Transportation Authority, and in such attempt did [DESCRIPTION OF OVERT ACT:], in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

159/101/A **MBTA FARE, ATTEMPT TO EVADE** c159 §101 (Effective 12/30/94-1/2/07)
on [DATE OF OFFENSE:] did fraudulently attempt to evade the payment of a toll or fare lawfully established by the Massachusetts Bay Transportation Authority, and in such attempt did [DESCRIPTION OF OVERT ACT:], in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$50, not more than \$500.)

159/101/B **MBTA FARE, EVADE** c159 §101 (Effective thru 12/29/94)
on [DATE OF OFFENSE:] did fraudulently evade the payment of a toll or fare lawfully established by the Massachusetts Bay Transportation Authority, either by giving a false answer to the collector of the toll or fare, or by traveling beyond the point to which he or she had paid the same, or by leaving the train, car, motor bus or trackless trolley vehicle without having paid the toll or fare established for the distance traveled, or otherwise, in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

159/101/B **MBTA FARE, EVADE** c159 §101 (Effective 12/30/94-1/2/07)
on [DATE OF OFFENSE:] did fraudulently evade the payment of a toll or fare lawfully established by the Massachusetts Bay Transportation Authority, either by giving a false answer to the collector of the toll or fare, or by traveling beyond the point to which he or she had paid the same, or by leaving the train, car, motor bus or trackless trolley vehicle without having paid the toll or fare established for the distance traveled, or otherwise, in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$50, not more than \$500.)

159/101/C **RAILROAD FARE, ATTEMPT TO EVADE** c159 §101 (Effective thru 12/29/94)
on [DATE OF OFFENSE:] did fraudulently attempt to evade the payment of a toll or fare lawfully established by a railroad corporation or railway company, and in such attempt did [DESCRIPTION OF OVERT ACT:], in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

159/101/C **RAILROAD FARE, ATTEMPT TO EVADE** c159 §101 (Effective 12/30/94)
on [DATE OF OFFENSE:] did fraudulently attempt to evade the payment of a toll or fare lawfully established by a railroad corporation or railway company, and in such attempt did [DESCRIPTION OF OVERT ACT:], in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$50, not more than \$500.)

159/101/D **RAILROAD FARE, EVADE** c159 §101 (Effective thru 12/29/94)
on **[DATE OF OFFENSE:]** did fraudulently evade the payment of a toll or fare lawfully established by a railroad corporation or railway company, either by giving a false answer to the collector of the toll or fare, or by traveling beyond the point to which he or she had paid the same, or by leaving the train, car, motor bus or trackless trolley vehicle without having paid the toll or fare established for the distance traveled, or otherwise, in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

159/101/D **RAILROAD FARE, EVADE** c159 §101 (Effective 12/30/94)
on **[DATE OF OFFENSE:]** did fraudulently evade the payment of a toll or fare lawfully established by a railroad corporation or railway company, either by giving a false answer to the collector of the toll or fare, or by traveling beyond the point to which he or she had paid the same, or by leaving the train, car, motor bus or trackless trolley vehicle without having paid the toll or fare established for the distance traveled, or otherwise, in violation of G.L. c.159, §101. (PENALTY: forfeiture of not less than \$50, not more than \$500.)

159/103/A **RAILROAD CAR/TRACK/SIGNAL, VANDALIZE** c159 §103
on **[DATE OF OFFENSE:]** did unlawfully and intentionally: (1) injure, molest or destroy a signal of a railroad corporation or railway line, or a line, wire, post or other structure or mechanism used in connection with such signal, or in some way interfere with the proper working of such signal; or (2) injure, molest, meddle or tamper with or destroy a track, car, motor bus or trackless trolley vehicle or a part, appliance or appurtenance thereof or the mechanism or apparatus used in the operation thereof, belonging to a railroad corporation or railway company, in violation of G.L. c.159, §103. (PENALTY: imprisonment not more than 2 years; or not more than \$500; or both.)

159/103/B **RAILROAD CAR, OPERATE WITHOUT AUTHORITY** c159 §103
on **[DATE OF OFFENSE:]** did without right operate a car, motor bus or trackless trolley vehicle, or a mechanism or appliance thereof, belonging to a railroad corporation or railway company, in violation of G.L. c.159, §103. (PENALTY: imprisonment not more than 2 years; or not more than \$500; or both.)

159/104/A **BUS DRIVER, ASSAULT** c159 §104 (Effective 4/21/68)
on **[DATE OF OFFENSE:]** did assault or interfere with a conductor, engineer, brakeman, motorman or operator, while in the performance of his or her duty on or near a motor bus, trackless trolley vehicle or school bus, in violation of G.L. c.159, §104. (PENALTY: imprisonment not more than 1 year; or not more than \$500; or both.)

159/104/B **BUS, THROW MISSILE AT** c159 §104 (Effective 4/21/68)
on **[DATE OF OFFENSE:]** did wilfully throw or shoot a missile at a motor bus, trackless trolley vehicle or school bus, or at a person on such motor bus, trackless trolley vehicle or school bus, in violation of G.L. c.159, §104. (PENALTY: imprisonment not more than 1 year; or not more than \$500; or both.)

159/104/C **RAILROAD CAR, THROW MISSILE AT** c159 §104 (Effective 4/21/68)
on **[DATE OF OFFENSE:]** did wilfully throw or shoot a missile at a locomotive engine, or railroad or railway car or train, or at a person on such engine, car or train, in violation of G.L. c.159, §104. (PENALTY: imprisonment not more than 1 year; or not more than \$500; or both.)

159/104/D **RAILROAD CONDUCTOR, ASSAULT** c159 §104 (Effective 4/21/68)
on **[DATE OF OFFENSE:]** did assault or interfere with a conductor, engineer, brakeman, motorman or operator, while in the performance of his or her duty on or near a locomotive engine, or railroad car or train, in violation of G.L. c.159, §104. (PENALTY: imprisonment not more than 1 year; or not more than \$500; or both.)

159A/10/A **BUS COMPANY RECORDKEEPING VIOLATION** c159A §10 (Effective 10/8/45)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being engaged in the operation of motor vehicles for the carriage of passengers for hire under a license and certificate issued pursuant to G.L. c.159A: (1) not being required to file a return by any other provision of law, did neglect, on or before such date as the Department of Public Utilities had fixed, to make to such Department, in a form prescribed by it, an annual return for the year ending on December thirty-first next preceding; or (2) did neglect to amend such return within 15 days of the date of a notice to do so; or (3) did neglect on request to furnish information lawfully required by such Department or its duly authorized employees relative to the condition, management and operation of such person as a common carrier, in violation of G.L. c.159A, §10. (PENALTY for each day that such neglect continues: forfeiture of \$5.)

159A/10/B **BUS COMPANY UNREASONABLE RECORDKEEPING VIOLATION** c159A §10 (Effective 10/8/45)
on **[DATE OF OFFENSE:]**, being engaged in the operation of motor vehicles for the carriage of passengers for hire under a license and certificate issued pursuant to G.L. c.159A: (1) not being required to file a return by any other provision of law, did unreasonably refuse or neglect, on or before such date as the Department of Public Utilities had fixed, to make to such Department, in a form prescribed by it, an annual return for the year ending on December thirty-first next preceding; or (2) did unreasonably refuse or neglect to amend such return within 15 days of the date of a notice to do so, in violation of G.L. c.159A, §10. (PENALTY: forfeiture of not more than \$500.)

159A/15/A **BUS COMPANY VIOLATION** c159A §15 (Effective 5/27/64)
on **[DATE OF OFFENSE:]**, being engaged in the operation of motor vehicles for the carriage of passengers for hire under a license and certificate issued pursuant to G.L. c.159A, did **[DESCRIPTION OF OFFENSE:]**, contrary to **[STATUTE OR RULE VIOLATED:]**, and in violation of G.L. c.159A, §15. (PENALTY from G.L. c.159A, §15: not more than \$100.)

159A/15/B **BUS COMPANY VIOLATION, SUBSQ. OFF.** c159A §15 (Effective 5/27/64)
on **[DATE OF OFFENSE:]**, being engaged in the operation of motor vehicles for the carriage of passengers for hire under a license and certificate issued pursuant to G.L. c.159A, did **[DESCRIPTION OF OFFENSE:]**, the defendant having previously been convicted of such an offense, contrary to **[STATUTE OR RULE VIOLATED:]**, and in violation of G.L. c.159A, §15. (PENALTY from G.L. c.159A, §15: not more than \$200.)

159A/16/A **BUS FARE, ATTEMPT TO EVADE** c159A §16 (Effective 9/1/31-6/30/03)
on **[DATE OF OFFENSE:]** did fraudulently attempt to evade the payment of a fare lawfully established by a common carrier duly licensed under the provisions of G.L. c.159A, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.159A, §16. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

159A/16/A **BUS FARE, ATTEMPT TO EVADE** c159A §16 (Effective 7/1/03)
THIS OFFENSE MUST BE DISPOSED OF AS A CIVIL INFRACTION.

on **[DATE OF OFFENSE:]** did fraudulently attempt to evade the payment of a fare lawfully established by a common carrier duly licensed under the provisions of G.L. c.159A, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.159A, §16. (PENALTY: forfeiture of not less than \$5, not more than \$20. "The court shall treat a violation of this section as a civil infraction. A person complained of for such civil infraction shall be adjudicated responsible upon such finding by the court and shall neither be sentenced to a term of incarceration nor be entitled to appointed counsel pursuant to chapter 211D. An adjudication of responsibility under this section may include an order of restitution. An adjudication of responsibility under this section shall not be used in the calculation of second and subsequent offenses under any chapter, nor as the basis for the revocation of parole or of a probation surrender.")

159A/16/B **BUS FARE, EVADE** c159A §16 (Effective 9/1/31-6/30/03)
on **[DATE OF OFFENSE:]** did fraudulently evade the payment of a fare lawfully established by a common carrier duly licensed under the provisions of G.L. c.159A, in violation of G.L. c.159A, §16. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

159A/16/B **BUS FARE, EVADE** c159A §16 (Effective 7/1/03)
THIS OFFENSE MUST BE DISPOSED OF AS A CIVIL INFRACTION.
on **[DATE OF OFFENSE:]** did fraudulently evade the payment of a fare lawfully established by a common carrier duly licensed under the provisions of G.L. c.159A, in violation of G.L. c.159A, §16. (PENALTY: forfeiture of not less than \$5, not more than \$20. "The court shall treat a violation of this section as a civil infraction. A person complained of for such civil infraction shall be adjudicated responsible upon such finding by the court and shall neither be sentenced to a term of incarceration nor be entitled to appointed counsel pursuant to chapter 211D. An adjudication of responsibility under this section may include an order of restitution. An adjudication of responsibility under this section shall not be used in the calculation of second and subsequent offenses under any chapter, nor as the basis for the revocation of parole or of a probation surrender.")

159A/31 **BUS DRIVER, INTERFERE WITH** c159A §31 (Effective 1/2/80)
on **[DATE OF OFFENSE:]**: (1) wilfully and with intent to endanger the safety of some person on board or some person who he or she believed would board the same, or with a reckless disregard for the safety of human life, did damage, disable, destroy, tamper with, or place or caused to be placed an explosive or other destructive substance in, upon, or in proximity to, a motor vehicle which was being used for the carriage of passengers for hire or for the transporting of school children; or (2) with intent to endanger the safety of a person on board or a person who he or she believed would board the same, or with a reckless disregard for the safety of human life, did wilfully disable or incapacitate a driver or person employed in connection with the operation of such motor vehicle, in violation of G.L. c.159A, §31. (NO FINAL DISTRICT COURT JURISDICTION IN ADULT SESSION.)

159B/6B **TOWING COMPANY REPORTING VIOLATION** c159B §6B (Effective 10/24/89)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being engaged in the towing away of motor vehicles under G.L. c.159B, §6B or §6C, did fail, on or before March 31 of a year, to transmit to the Department of Public Utilities a financial statement reflecting the net profits for the preceding year from such towing operation, in violation of G.L. c.159B, §6B. (PENALTY for each day after March 31 that such return is not filed: forfeiture of \$25.)

159B/10 **COMMON CARRIER INTERSTATE PLATE, MISUSE** c159B §10
on **[DATE OF OFFENSE:]**: (1) did use an interstate distinguishing plate or vehicle identification-device issued to an interstate carrier by motor vehicle pursuant to G.L. c.159B, §10 on a vehicle other than the one for which it was issued; or (2) did knowingly permit such plate or device issued to him or her to be so used, in violation of G.L. c.159B, §10. (PENALTY: \$100.)

159B/14A/A **COMMON CARRIER FAIL STOP FOR OFFICER** c159B §14A (Effective 8/31/83)
on **[DATE OF OFFENSE:]**, being a motor carrier, personally or by an agent, or being a driver for a motor carrier, when requested by a duly authorized state police officer who was in uniform or displayed the proper insignia of his or her office: (1) did fail to stop and submit to such officer all transportation documents which were in his or her possession; or (2) did fail to submit the cargo and other contents of his or her motor vehicle to such reasonable examination as was necessary to inform such officer or investigator or examiner or registry of motor vehicles personnel with police powers of the nature and the weight thereof, in violation of G.L. c.159B, §14A. (PENALTY: not less than \$250, not more than \$500.)

159B/14A/B **COMMON CARRIER FAIL STOP FOR OFFICER, SUBSQ. OFF.** c159B §14A (Effective 8/31/83)
on **[DATE OF OFFENSE:]**, being a motor carrier, personally or by an agent, or being a driver for a motor carrier, when requested by a duly authorized state police officer who was in uniform or displayed the proper insignia of his or her office: (1) did fail to stop and submit to such officer all transportation documents which were in his or her possession; or (2) did fail to submit the cargo and other contents of his or her motor vehicle to such reasonable examination as was necessary to inform such officer or investigator or examiner or registry of motor vehicles personnel with police powers of the nature and the weight thereof, the defendant having previously been convicted of such an offense, in violation of G.L. c.159B, §14A. (PENALTY: not less than \$500, not more than \$1000.)

159B/14B **COMMERCIAL MV FAIL STOP FOR POLICE** c159B §14B (Effective 10/18/52)
on **[DATE OF OFFENSE:]**, while operating or in charge of a commercial motor vehicle: (1) when requested by an investigator or examiner of the Commercial Motor Vehicle Division, did refuse to give his or her name and address and the name and address of the owner of such commercial motor vehicle, or did give a false name or address; or (2) did refuse or neglect to stop when signalled by such investigator or examiner who was in uniform or displayed his or her badge conspicuously on the outside of his or her outer coat or garment; or (3) did fail or refuse on demand of such investigator or examiner to produce his or her license to operate such vehicle, or certificate of registration, or to permit such investigator or examiner to take the license or certificate in hand for the purpose of examination; or (4) did refuse on demand of such investigator or examiner to sign his or her name in the presence of such investigator or examiner, in violation of G.L. c.159B, §14B. (PENALTY: not less than \$25, not more than \$100.)

159B/17 **COMMON CARRIER RECORDKEEPING VIOLATION** c159B §17 (Effective 3/12/52)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]** did fail or refuse to submit accounts, records or memoranda in its possession or control, in so far as such accounts, records or memoranda related to the transportation of property by common carrier or contract carrier and charges therefor, to the inspection of the Department of Public Utilities or its authorized representatives, in violation of G.L. c.159B, §17. (PENALTY for each day of failure: \$200.)

159B/21/A **COMMON CARRIER VIOLATION** c159B §21
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, contrary to **[SECTION OF G.L. C. 159B, OR RULE VIOLATED:]**, and in violation of G.L. c.159B, §21. (PENALTY from G.L. c.159B, §21: not more than \$100.)

159B/21/B **COMMON CARRIER VIOLATION, SUBSQ. OFF.** c159B §21

on [DATE OF OFFENSE:] did [DESCRIPTION OF OFFENSE:], the defendant having previously been convicted of such offense, contrary to [SECTION OF G.L. C.159B, OR RULE VIOLATED:], and in violation of G.L. c.159B, §21. (PENALTY from G.L. c.159B, §21: not more than \$200.)

160/48 **RAILROAD DIRECTOR VIOLATION** c160 §48

on [DATE OF OFFENSE:], being a director, treasurer or other officer or agent of a railroad corporation: (1) did knowingly vote to authorize the issue of, or knowingly sign, certify or issue stock or bonds contrary to G.L. c.160, §47 or §48; or (2) did knowingly vote to authorize the application, or knowingly apply the proceeds, of such stock or bonds contrary to a provision of §47 or §48; or (3) did knowingly vote to assume or incur, or knowingly assume or incur, in the name or behalf of such corporation a debt or liability other than for the legitimate purposes of the corporation, in violation of G.L. c.160, §48. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

160/74/A **RAILROAD IMPROP ACQUIRE MASS RR** c160 §74

on [DATE OF OFFENSE:], being a railroad corporation owning, leasing or operating a railroad wholly or partly in this Commonwealth, or a corporation acting in its interest: (1) did acquire or attempt to acquire shares of the capital stock of a domestic railroad company not lawfully leased, owned or operated by it prior to May 1, 1907, other than under specific authority provided by law; or (2) did permit or suffer such corporation or one or more of its officers or agents to exercise some control over the corporate acts of such domestic company, other than as provided by law, in violation of G.L. c.160, §74. (PENALTY: \$10,000.)

160/74/B **RAILROAD IMPROP ACQUIRE MASS RR, AID** c160 §74

on [DATE OF OFFENSE:] did procure, aid or abet a railroad corporation owning, leasing or operating a railroad wholly or partly in this Commonwealth: (1) to acquire or attempt to acquire shares of the capital stock of a domestic railroad company not lawfully leased, owned or operated by it prior to May 1, 1907, other than under specific authority provided by law; or (2) to exercise some control over the corporate acts of such domestic company, other than as provided by law, in violation of G.L. c.160, §74. (PENALTY: imprisonment not less than 6 months, not more than 1 year; or \$1000; or both.)

160/93 **RAILROAD FAIL MAINTAIN FENCE** c160 §93

(Effective 3/2/72)

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a railroad corporation, did unreasonably neglect: (1) to erect and maintain suitable fences, with convenient bars, gates or openings therein, upon both sides of the entire length of its railroad, except at the crossings of a public way or in places where the convenient use of the railroad would be thereby obstructed, and except at places where, and so long as, it was specially exempted from so doing by the Department of Public Utilities; or (2) to construct and maintain sufficient barriers, where necessary and practicable so to do, to prevent the entrance of cattle upon the railroad, in violation of G.L. c.160, §93. (PENALTY for every month during which neglect continues: forfeiture of not more than \$200.)

160/93A **RAILROAD FAIL MAINTAIN FENCE** c160 §93A

(Effective 3/2/72)

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a railroad corporation, did unreasonably neglect to erect a fence along its tracks within the limits and in the manner prescribed by the Department of Public Utilities, in violation of G.L. c.160, §93A. (PENALTY for every month during which neglect continues: forfeiture of not more than \$200.)

160/110 **RAILROAD DENY ACCESS TO LANDOWNER** c160 §110

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a railroad corporation, did more than ninety days after the date of an order of the Department of Public Utilities directing that a crossing be made and maintained at the expense of such corporation to permit a person access to land owned by him or her and from which he or she had been cut off by the laying out of a railroad or the widening thereof, neglect to comply with such order, in violation of G.L. c.160, §110. (PENALTY for each day during which neglect continues after 90 days: forfeiture of \$5.)

160/126 **RAILROAD DRAWBRIDGE VIOLATION** c160 §126

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:] did [DESCRIPTION OF OFFENSE:], and thereby: (1) being a railroad corporation, did neglect to comply with a provision of G.L. c.160, §123 or §125; or (2) being an engineer or draw tender, did violate a provision of G.L. c.160, §123 or §125 or a regulation established in conformity therewith for such drawbridge by the corporation by which he or she was employed, in violation of G.L. c.160, §126. (PENALTY for corporate defendant for each day such neglect continues: forfeiture of \$100; PENALTY for individual defendant: forfeiture of \$100 for each offense, payable to the informer.)

160/127/A **RAILROAD BRIDGE, VANDALIZE** c160 §127

on [DATE OF OFFENSE:] did wilfully injure or deface a railroad drawbridge, or a wharf or pier appurtenant thereto, or a railroad bridge, wharf or pier, in violation of G.L. c.160, §127. (PENALTY: forfeiture of not less than \$3, not more than \$50.)

160/127/B **RAILROAD DRAWBRIDGE VIOLATION** c160 §127

on [DATE OF OFFENSE:] did [DESCRIPTION OF OFFENSE:], and thereby did violate a provision of G.L. c.160, §120-§126, in violation of G.L. c.160, §127. (PENALTY: forfeiture of not less than \$3, not more than \$50.)

160/127/C **RAILROAD DRAWBRIDGE, OBSTRUCT** c160 §127

on [DATE OF OFFENSE:]: (1) without the consent of the draw tender, did open or wilfully obstruct, or wilfully make fast or moor a scow, raft or other vessel in such manner as to obstruct passage to or through, the draw of a drawbridge of a railroad corporation; or (2) did wilfully hinder a draw tender in the performance of his or her duties, in violation of G.L. c.160, §127. (PENALTY: forfeiture of not less than \$50, not more than \$100.)

160/130 **RAILROAD FAIL POST STATION SIGN** c160 §130

on [DATE OF OFFENSE:], being a railroad corporation, did fail to indicate to its passengers the name of a way station by placing at or near the station a proper and conspicuous sign or signs, in violation of G.L. c.160, §130. (PENALTY: forfeiture of \$50.)

160/132 **RAILROAD SWITCHES, UNAPPROVED** c160 §132

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a railroad corporation, did have laid in a railroad track used by passenger or mixed trains [NUMBER OF SWITCHES:] switches that were not safety switches of a type approved in writing by the Department of Public Utilities, in violation of G.L. c.160, §132. (PENALTY for each noncomplying switch: \$200; plus \$5 "for each day such switch is maintained".)

160/133 **RAILROAD SWITCHES, UNBLOCKED** c160 §133

on [DATE OF OFFENSE:], being a railroad corporation, did fail to keep the frogs, switches and guard rails, other than guard rails on bridges, which were in or connected with the railroad tracks operated or used by a railroad corporation so blocked by a method approved by the Department of Public Utilities

as to prevent employees from being caught therein, in violation of G.L. c.160, §133. (PENALTY: not less than \$10, not more than \$100.)

160/133A **RAILROAD SWITCH STAND, UNLIT** c160 §133A (Effective 12/28/55)
on [DATE OF OFFENSE:], being a railroad corporation, did unreasonably neglect to equip with proper lights or other approved or commonly used devices, one or more switch stands in a yard owned and maintained by such railroad corporation in which trains or switching engines were generally operated during the hours of darkness, not being excepted by law or by the Department of Public Utilities at such location, in violation of G.L. c.160, §133A. (PENALTY: \$5.)

160/135 **RAILROAD FAIL STOP AT GRADE CROSSING** c160 §135
on [DATE OF OFFENSE:]: (1) being the engineer of a railroad train that was required by G.L. c.160, §135 to stop within 500 feet of a crossing at which two railroads crossed each other at the same level, and not to resume his or her course until signalled so to do, did fail to do so; or (2) being a railroad corporation, had such an offense committed on such railroad, in violation of G.L. c.160, §135. (PENALTY for engineer: forfeiture of \$100; penalty for railroad corporation: forfeiture of \$300.)

160/146 **RAILROAD SIGN, VANDALIZE** c160 §146
on [DATE OF OFFENSE:] did unlawfully remove, throw down, injure or deface a sign erected pursuant to G.L. c.160, §146. (PENALTY: not more than \$10, payable to the government unit maintaining the sign.)

160/148 **RAILROAD FL OBEY SIGN/GATES/LIGHTS ORDER** c160 §148
on [DATE OF OFFENSE:], being a railroad corporation, did unreasonably neglect to comply with an order or decision made under G.L. c.160, §141 or §147, in violation of G.L. c.160, §148. (PENALTY: forfeiture of not more than \$1000.)

160/151 **RAILROAD OBSTRUCT PUBLIC WAY** c160 §151 (Effective 11/17/71)
on [DATE OF OFFENSE:], being a railroad corporation, or receiver or assignee thereof: (1) did wilfully or negligently obstruct or unnecessarily or unreasonably use or occupy a public way; or (2) did wilfully obstruct, use or occupy a public way with cars or engines for more than five minutes at one time; or (3) having thus used or occupied a public way with cars or engines, did again use or occupy it with the cars or engines of a freight train, without having allowed a sufficient time, not less than 3 minutes, for the passage across the railroad of such travelers as were ready and waiting to cross when the former occupation ceased, in violation of G.L. c.160, §151. (PENALTY: forfeiture of not less than \$200, not more than \$500.)

160/154 **RAILROAD BRAKES/BRAKEMAN VIOLATION** c160 §154
on [DATE OF OFFENSE:], being a railroad corporation: (1) did fail to cause a sufficient brake to be attached to a car used upon its railroad for the transportation of passengers or freight, other than a four-wheel car used only for freight; or (2) did fail to cause at least one brakeman for every two cars in a passenger train to be stationed thereon; or (3) did fail to cause at least one brakeman for the last car of every freight train to be stationed thereon, in violation of G.L. c.160, §154. (PENALTY: forfeiture of not more than \$100.)

160/163 **RAILROAD TOOLS VIOLATION** c160 §163
on [DATE OF OFFENSE:], being a railroad corporation, did fail to equip one or more of the trains and cars owned or operated by it, with such tools and devices as the Department of Public Utilities had ordered in writing for use in case of accident and for safety purposes, in such place and so marked as to be easily visible to all passengers, in violation of G.L. c.160, §163. (PENALTY: forfeiture of \$500.)

160/165 **RAILROAD CAR, IMPROPERLY HEAT** c160 §165
on [DATE OF OFFENSE:], being a corporation, did heat a railroad passenger, mail or baggage car by a stove or furnace kept in the car or suspended therefrom, not having been permitted in writing to do so by the Department of Public Utilities, in violation of G.L. c.160, §165. (PENALTY: forfeiture of not more than \$500.)

160/166 **RAILROAD CAR, IMPROPERLY LIGHT** c160 §166
on [DATE OF OFFENSE:], being a corporation, did light a passenger car on a railroad by naphtha, or by an illuminating oil or fluid made in part of naphtha or which would ignite at a temperature of less than 300 degrees Fahrenheit, in violation of G.L. c.160, §166. (PENALTY: forfeiture of not more than \$500.)

160/168 **RAILROAD LOCOMOTIVE WITH UNTESTED BOILER** c160 §168
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], after having been given notice thereof by the Department of Public Utilities, did use a locomotive in this Commonwealth the boiler of which had not been tested in accordance with G.L. c.160, §168. (PENALTY: \$20 for every day use continued after notice.)

160/169 **RAILROAD BRAKE MUFFLER VIOLATION** c160 §169
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a railroad corporation, did use a vacuum brake on [NUMBER OF LOCOMOTIVES WITHOUT MUFFLERS:] locomotives without providing and using therewith a muffler or other appliance, approved in writing by the Department of Public Utilities, for deadening the noise incident to the operation of such brake, not being excepted by law, in violation of G.L. c.160, §169. (PENALTY from §171: forfeiture for each locomotive in violation: not less than \$100, not more than \$300; plus \$5 per day of violation.)

160/170 **RAILROAD STEAM MUFFLER VIOLATION** c160 §170
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a railroad corporation, did use a pop or other safety valve on [NUMBER OF LOCOMOTIVES WITHOUT MUFFLERS:] locomotives without providing and using therewith a suitable and sufficient appliance for deadening the sound made by steam escaping therefrom, and, if it materially retarded the escape of steam or increases the pressure upon the boiler, an additional safety valve without such appliance, set at a higher point than the other but below the point at which explosion was likely to occur, in violation of G.L. c.160, §170. (PENALTY from §171: forfeiture for each locomotive in violation: not less than \$100, not more than \$300; plus \$5 per day of violation.)

160/172 **RAILROAD FAIL PROVIDE ACCOMMODATIONS** c160 §172
on [DATE OF OFFENSE:], being a railroad corporation, did wilfully neglect to furnish reasonable accommodations for the convenience and safety of passengers, in violation of G.L. c.160, §172. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

160/173 **RAILROAD MAKE WOMAN/CHILD RIDE SMOKE CAR** c160 §173
on [DATE OF OFFENSE:], being a railroad corporation, or an officer or employee thereof, did require a woman or child to ride in a smoking car, in violation of G.L. c.160, §173. (PENALTY: not less than \$10, not more than \$50.)

160/175 **RAILROAD FAIL PROVIDE DRINKING WATER** c160 §175
on **[DATE OF OFFENSE:]**, being a railroad corporation, did make a trip with a railroad car used for transporting passengers that was not provided with water and drinking cups for its distribution in accordance with the requirements of G.L. c.160, §174, and in violation of G.L. c.160, §175. (PENALTY for each trip made by a car not so provided: not less than \$25.)

160/177/A **RAILROAD EMPLOYEE FAIL WEAR UNIFORM** c160 §177
on **[DATE OF OFFENSE:]**, being a railroad corporation, did employ an employee whose duties related immediately to the transportation of passengers or their baggage and who neglected while on duty to wear a uniform hat or cap and distinguishing badge, in violation of G.L. c.160, §177. (PENALTY: forfeiture of \$25.)

160/177/B **RAILROAD FAIL PROVIDE UNIFORMS** c160 §177
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a railroad corporation, did neglect to provide a uniform hat or cap and distinguishing badge to each of its employees whose duties related immediately to the transportation of passengers or their baggage, in violation of G.L. c.160, §177. (PENALTY: forfeiture of \$100 for each week of such neglect.)

160/178 **RAILROAD FAIL TEST FOR COLOR BLINDNESS** c160 §178
on **[DATE OF OFFENSE:]**, being a railroad corporation, did employ a person or keep a person in its employ in a position requiring the employee to distinguish form or color signals, who had not been examined for color blindness or other defective sight by a competent person employed by the corporation or who had not received a certificate that he or she was not disqualified for such position by color blindness or other defective sight, in violation of G.L. c.160, §178. (PENALTY: forfeiture of \$100.)

160/181 **RAILROAD EMPLOY INEXPERIENCED PERSONNEL** c160 §181
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]** did knowingly engage, promote, require, persuade, prevail upon, or cause a person: (1) to act as a locomotive engineer who had not been employed for two years as a locomotive fireman or as an engineer's helper, or was employed as a locomotive engineer before June 10, 1911; or (2) to act as a conductor on a railroad train who had not been employed as a brakeman for two years, or was employed as a conductor on a railroad train before June 10, 1911, in violation of G.L. c.160, §181. (PENALTY from §183 for each day of violation: imprisonment not more than 1 year; or not more than \$500; or both.)

160/184 **RAILROAD FAIL ALLOW REST DAYS** c160 §184
on **[DATE OF OFFENSE:]** did fail to allow a person employed as a signalman, towerman, leverman, agent, train dispatcher, telegrapher or telephone operator in a railroad signal tower or railroad station, or other person employed by a railroad in the operating of trains by the use of the telegraph, telephone or signal and interlocking switching machines, 2 days of 24 hours each in every month for rest with regular compensation, there being then no extraordinary emergency caused by accident, fire, flood, or danger to life or property, in violation of G.L. c.160, §184. (PENALTY: not less than \$100.)

160/188 **RAILROAD CHARGE IMPROP ON-BOARD FARE** c160 §188
on **[DATE OF OFFENSE:]**, being a railroad corporation, did demand or receive for a single ticket bought or fare paid on a train or elsewhere than at its ticket offices more than 10 cents in excess of the tariff rates charged at its ticket offices, without the conductor or other person receiving it having given to the passenger a printed certificate which entitled him or her to receive the excess so paid at any station of the corporation in exchange for such certificate, in violation of G.L. c.160, §188. (PENALTY: not less than \$10, not more than \$50.)

160/194 **RAILROAD BAGGAGE CHECK VIOLATION** c160 §194
on **[DATE OF OFFENSE:]**, being a railroad corporation, did fail, upon request, to give checks to passengers for their baggage when delivered for transportation, and to re-deliver the baggage to the passengers upon the surrender of such checks, in violation of G.L. c.160, §194. (PENALTY: forfeiture of \$10.)

160/198A **RAILROAD TICKET, IMPROP SELL DISCOUNT** c160 §198A
on **[DATE OF OFFENSE:]** did sell or offer for sale a railroad ticket or portion of such a ticket entitling the holder or a specified person or persons to passage wholly within this Commonwealth on a railroad passenger train or trains, such ticket or portion of a ticket having been put out by the railroad corporation issuing the same at a price less than the rate of a full one way fare for such passage under the tariff provisions then in force, not being a person authorized so to do by the railroad corporation issuing the same, or a bona fide passenger in actual transit, in violation of G.L. c.160, §198A. (PENALTY: imprisonment not more than 1 month; or not more than \$100; or both.)

160/198B **RAILROAD TICKET, SELL/MISUSE DISCOUNT** c160 §198B
on **[DATE OF OFFENSE:]**: (1) did engage in the business of purchasing, selling or reselling railroad tickets, railroad ticket coupons or other evidences of a right to ride on a railroad, or parts of such tickets, coupons or other evidences; or (2) did purchase, sell, re-sell, barter, offer for sale or barter, or advertise for sale or barter, the whole or some part of a railroad ticket, railroad ticket coupon or other evidence of a right to ride on a railroad; or (3) did in some manner aid in so doing; or (4) did obtain for himself or herself or another, or avail himself or herself of, some means of transportation on a railroad, at a rate or rates other than or different from those prescribed in the schedule of rates filed and published by the corporation operating such railroad or in violation of the conditions attached to any reduced rate ticket, in violation of G.L. c.160, §198B. (PENALTY: imprisonment not more than 1 month; or not more than \$100; or both.)

160/199 **RAILROAD GIVE PASS TO STATE OFFICIAL** c160 §199
on **[DATE OF OFFENSE:]**: (1) being governor, lieutenant governor, member of the council, member or member-elect of the general court, justice of the supreme judicial court, the superior court, a probate court or a district court, or a county commissioner, did request for himself or herself or another, accept or use a free pass upon a railroad, or a ticket entitling him or her to transportation upon a railroad, for which he or she had paid a less price than is demanded of the public generally; or (2) being an officer, agent or employee of a railroad corporation, did issue, deliver, or offer to some person hereinbefore mentioned or to or for some other person at the request, solicitation or procurement of such person, a free pass or a ticket entitling him or her to transportation at a less rate of fare than is demanded of the public generally, in violation of G.L. c.160, §199. (PENALTY: not less than \$100, not more than \$1000.)

160/204 **RAILROAD REFUSE GIVE FREIGHT RECEIPT** c160 §204
on **[DATE OF OFFENSE:]**, being a railroad corporation, did refuse, upon request, to give without additional charge a receipt describing articles, packages or commodities not extra hazardous delivered to it for transportation, in violation of G.L. c.160, §204. (PENALTY: forfeiture of \$50, payable to the person who was refused such receipt.)

160/214 **RAILROAD REFUSE ACCEPT MILK AS FREIGHT** c160 §214
on **[DATE OF OFFENSE:]**, being a railroad corporation, did refuse or neglect to receive, forward or deliver **[NUMBER OF MILK CANS REFUSED:]** cans of milk over its railroad or some portion thereof at the tariff rate therefor, as provided in G.L. c.160, §213, in violation of G.L. c.160, §214. (PENALTY: forfeiture of \$5 for each milk can, payable "to the person tendering the same.")

160/218 **RAILROAD TRACK, WALK/RIDE ON** c160 §218 (Effective 2/17/88-3/17/99)
on **[DATE OF OFFENSE:]** knowingly and without right was present, or did stand, or walk, or ride a bicycle, snow vehicle, recreational or other vehicle on the right-of-way, bridge, or other property of, or used or controlled by a railroad corporation, other than at a highway or other authorized grade crossing, in violation of G.L. c.160, §218. (PENALTY: \$100.)

160/218 **RAILROAD TRACK, WALK/RIDE ON** c160 §218 (Effective 3/18/99)
on **[DATE OF OFFENSE:]** knowingly and without right was present, or did stand, or walk, or ride a bicycle, snow vehicle, recreational or other vehicle on the right-of-way, bridge, or other property of, or used or controlled by a railroad corporation, other than at a highway or other authorized grade crossing, a right-of-way formally abandoned pursuant to state or federal law and no longer owned by such a corporation, or a right-of-way owned by such a corporation but which had been converted or leased specifically for use as a bicycle or walking path in accordance with state or federal laws, in violation of G.L. c.160, §218. (PENALTY: \$100 fine "or shall be required to perform a total of 50 hours of community service which may include service in the operation lifesaver program, so-called.")

160/219 **RAILROAD STATION, LOITER IN** c160 §219
on **[DATE OF OFFENSE:]** without right did loiter or remain within a station house of a railroad corporation, or of the Boston Terminal Corporation, or upon the platform or grounds adjacent to such station, after being requested to leave the same by a police officer or by a railroad police officer, in violation of G.L. c.160, §219. (PENALTY: forfeiture of not less than \$2, not more than \$20.)

160/220 **RAILROAD FARE, EVADE** c160 §220 (Effective 2/17/88)
on **[DATE OF OFFENSE:]** without right did ride or attempt to ride upon a locomotive engine, tender, freight car, caboose, other conveyance or passenger train upon a railroad or upon the trains of the Massachusetts Bay Transportation Authority commuter rail other than as a fare paying passenger, or employee, in violation of G.L. c.160, §220. (PENALTY: imprisonment not more than 6 months; or not more than \$500.)

160/222 **RAILROAD TRACK, RIDE/DRIVE ANIMAL ON** c160 §222
on **[DATE OF OFFENSE:]**, without the consent of a railroad corporation, or its agent, did ride, drive, or lead a horse or other beast on the railroad open for use of such corporation, other than in the proper use of a public or other way, or of a traveled place at a crossing of such railroad therewith upon the same level, in violation of G.L. c.160, §222. (PENALTY: forfeiture of not more than \$100.)

160/223 **RAILROAD TRACK, PERMIT ANIMAL ON** c160 §223
on **[DATE OF OFFENSE:]** did through his or her fault or negligence permit a horse or other beast to go at large within the limits of a railroad opened for use, in violation of G.L. c.160, §223. (PENALTY: forfeiture of not more than \$20.)

160/224 **RAILROAD TRACK PRIVATE GATES, FAIL CLOSE** c160 §224
on **[DATE OF OFFENSE:]**, having entered upon or crossed a railroad at a private way closed by gates or bars, did neglect to close them securely, in violation of G.L. c.160, §224. (PENALTY: forfeiture of not less than \$2, not more than \$10.)

160/225 **RAILROAD, MALICIOUS INJURY TO** c160 §225 (Effective 9/20/73)
on **[DATE OF OFFENSE:]**: (1) did maliciously injure a railroad, or something pertaining thereto, or some materials or implements for the construction or use thereof; or (2) did aid or abet in such trespass, in violation of G.L. c.160, §225. (PENALTY: jail not more than 1 year; or not more than \$5000; or both; and restitution in treble the amount of damages sustained.)

160/226 **RAILROAD, OBSTRUCT/ENDANGER** c160 §226
on **[DATE OF OFFENSE:]** wilfully: (1) did obstruct, or aid or abet in obstructing, or did or cause to be done something with the intent to obstruct, the passing of an engine or car upon a railroad; or (2) did endanger, or aid or abet in endangering, or do or cause to be done something with the intent to endanger, the safety of persons conveyed in or upon the same, in violation of G.L. c.160, §226. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

160/227 **RAILROAD, MALICIOUSLY STOP** c160 §227
on **[DATE OF OFFENSE:]** did wilfully and maliciously stop a train on a railroad or cause it to be stopped for the purpose of entering, leaving or wantonly delaying the same, in violation of G.L. c.160, §227. (PENALTY: imprisonment not more than 1 month; or not more than \$100.)

160/228 **RAILROAD TOOLS, TAMPER WITH** c160 §228
on **[DATE OF OFFENSE:]** did unlawfully use, remove or tamper with tools or appliances carried on the cars of a railroad corporation as required by G.L. c.160, §163, in violation of G.L. c.160, §228. (PENALTY: imprisonment not more than 3 months; or not more than \$100; or both.)

160/231 **RAILROAD, GROSS NEGLIGENCE IN MANAGING** c160 §231
on **[DATE OF OFFENSE:]**, having the management or control of a railroad train while being used for the common carriage of persons, was guilty of gross negligence in or in relation to the management or control thereof, in violation of G.L. c.160, §231. (PENALTY: imprisonment not more than 3 years; or not more than \$5000.)

160/241A **RAILROAD FAIL REMOVE WRECKAGE DEBRIS** c160 §241A (Effective 7/16/70)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a railroad corporation, did fail to remove all wreckage and debris caused by a derailment on a right-of-way or location upon which it operated its railroad, within 30 days after such derailment, in violation of G.L. c.160, §241A. (PENALTY per day of violation: \$50.)

160/242/A **RAILROAD FAIL FILE ANNUAL REPORT** c160 §242
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a railroad corporation: (1) did neglect to transmit to the Department of Public Utilities an annual return for the year ending on December 31 preceding, within such time prescribed by G.L. c.159, §32 and in such form as required by G.L. c.160, §242; or (2) did neglect to amend such return within 15 days when required by such Department to do so pursuant to G.L. c.159, §32, in violation of G.L. c.160, §242. (PENALTY for each day during which neglect continues: forfeiture of \$50.)

160/242/B **RAILROAD REFUSE FILE ANNUAL REPORT** c160 §242
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a railroad corporation: (1) did unreasonably refuse or neglect to transmit to the Department of Public Utilities an annual return for the year ending on December 31 preceding, within such time prescribed by G.L. c.159, §32 and in such form as required by G.L. c.160, §242; or (2) did unreasonably refuse or neglect to amend such return within 15 days when required by such Department to do so pursuant to G.L. c.159, §32, in violation of G.L. c.160, §242. (PENALTY: forfeiture of not more than \$5000.)

160/243 **RAILROAD FAIL FILE QUARTERLY REPORT** c160 §243
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a railroad corporation, did neglect within 50 days after the expiration of some quarter of the year, to transmit to the Department of Public Utilities a quarterly statement of its business and financial condition, in such form and with such detail as such Department had required, in violation of G.L. c.160, §243. (PENALTY for each day during which neglect continues: forfeiture of \$50.)

161/31 **STREETCAR DIRECTOR VIOLATION** c161 §31
on **[DATE OF OFFENSE:]**, being a director, treasurer or other officer or agent of a street railway company: (1) did knowingly vote to authorize the issue of, or did knowingly sign, certify or issue, stock or bonds contrary to a provision of G.L. c.161, §28 or §29; or (2) did knowingly vote to authorize the application, or knowingly apply the proceeds, of such stock or bonds contrary to some provision of such sections; or (3) did knowingly vote to assume or incur, or did knowingly assume or incur, in the name or behalf of such company, debt or liability other than for legitimate purposes of the company, in violation of G.L. c.161, §31. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

161/84 **STREETCAR VIOL OF MUNICIPAL ORDINANCE** c161 §84
on **[DATE OF OFFENSE:]** was a street railway company whose servant or agent wilfully or negligently violated a regulation of the board of alderman or the selectman of the city or town of **[NAME OF MUNICIPALITY:]** as to the rate of speed, or the manner and extent of use of tracks, or the number and routes of cars which run over such tracks, within such city or town, and which had been approved by the Department of Public Utilities, in violation of G.L. c.161, §84. (PENALTY: forfeiture of not more than \$500.)

161/91 **STREETCAR BRIDGE, UNGUARDED** c161 §91
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a street railway company, did, after 60 days after service on it of an order of the Department of Public Utilities relative to erecting and maintaining guards or railings upon a bridge, or draw of a bridge, crossed by its tracks, did fail to comply therewith, in violation of G.L. c.161, §91. (PENALTY for each month of such failure after 60 days: forfeiture of \$200.)

161/92 **STREETCAR FAIL STOP AT RR CROSSING** c161 §92
on **[DATE OF OFFENSE:]**: (1) being the motorman of a car upon a street railway and approaching the point of intersection where such railway crossed at the same level a steam railroad where locomotive engines were in daily use, did fail to stop his or her car within 100 feet of such crossing, not having been excepted by the Department of Public Utilities; or (2) was the street railway company employing such motorman, in violation of G.L. c.161, §92. (PENALTY for motorman: forfeiture of \$10; penalty for street railway company: forfeiture of \$20.)

161/94/A **STREETCAR, OBSTRUCT** c161 §94
on **[DATE OF OFFENSE:]**: (1) did wilfully obstruct a company or the Metropolitan Transit Authority in the legal use of a railway track, or delay the passing of its cars thereon; or (2) did wilfully obstruct a company or the Metropolitan Transit Authority in the legal use of a public way by a trackless trolley vehicle, or delay the passing of its trackless trolley vehicles thereon; or (3) did aid or abet in such obstruction or delay, in violation of G.L. c.161, §94. (PENALTY: imprisonment not more than 3 months; or not more than \$500.)

161/94/B **STREETCAR, OBSTRUCT TO ENDANGER** c161 §94
on **[DATE OF OFFENSE:]**, in such a manner as to endanger the life or safety of persons conveyed in or upon a railway car or trackless trolley vehicle: (1) did wilfully obstruct a company or the Metropolitan Transit Authority in the legal use of a railway track, or delay the passing of its cars thereon; or (2) did wilfully obstruct a company or the Metropolitan Transit Authority in the legal use of a public way by a trackless trolley vehicle, or delay the passing of its trackless trolley vehicles thereon; or (3) did aid or abet in such obstruction or delay, in violation of G.L. c.161, §94. (NO FINAL DISTRICT COURT JURISDICTION IN ADULT SESSION.)

161/94A **RAILROAD/RAILWAY STATION, LITTER IN** c161 §94A (Effective 8/18/68)
on **[DATE OF OFFENSE:]** did place or throw filth, rubbish or some other substance within a station, waiting room, or terminal of a public transportation facility, or upon the platform, stairs, grounds or other premises of a public transportation facility, after having been forbidden to do so either by notice posted thereon, or by the person who had the lawful control of such premises, or by a railroad, railway, or railway express officer or by a police officer, in violation of G.L. c.161, §94A. (PENALTY: not more than \$25.)

161/95 **RAILROAD/RAILWAY STATION, LOITER IN** c161 §95 (Effective 1/20/68)
on **[DATE OF OFFENSE:]** without right did enter, remain in or loiter within a station, waiting room, or terminal of a public transportation facility, or upon the platform, stairs, grounds or other premises of a public transportation facility, after having been forbidden so to do either by notice posted thereon, or by the person who had the lawful control of such premises, or by a railroad, railway or railway express officer or by a police officer, in violation of G.L. c.161, §95. (PENALTY: not more than \$100.)

161/96 **STREETCAR OBSTRUCT PUBLIC WAY** c161 §96
on **[DATE OF OFFENSE:]**, being a street railway company or the agent or servant of a street railway company, did wilfully or negligently obstruct a public way or bridge, or hinder the passing of vehicles over the same, or wilfully detain the cars of another company having the lawful right to pass thereon, in violation of G.L. c.161, §96. (PENALTY for company: not more than \$500; penalty for agent or servant: imprisonment not more than 3 months; or not more than \$10.)

161/97 **STREETCAR PERMIT CHILD PEDDLER** c161 §97
on **[DATE OF OFFENSE:]**, being a street railway company or its agent or servant did allow a child under ten years to enter upon or into one of its cars for the purpose of selling newspapers or other articles therein or offering them for sale, in violation of G.L. c.161, §97. (PENALTY: forfeiture of \$50.)

161/99 **STREETCAR EQUIPMENT VIOLATION** c161 §99
on **[DATE OF OFFENSE:]**, being a street railway company or one of its officers or employees, did operate in this Commonwealth a street railway car that was not equipped with an emergency lifting jack and such other emergency tools as had been approved by the Department of Public Utilities, in violation

of G.L. c.161, §99. (PENALTY: not less than \$50, not more than \$100.)

161/100 STREETCAR, UNHEATED c161 §100

on [DATE OF OFFENSE:], being a street railway company, did run [NUMBER OF UNHEATED TRIPS:] trip(s) using a car for the transportation of passengers that was not heated at such times, by such means, and to such extent, as the Department of Public Utilities had determined, there being then no accident to the heating process or apparatus or other unavoidable cause, in violation of G.L. c.161, §100. (PENALTY: forfeiture of \$25 for each unheated trip.)

161/101 STREETCAR PLATFORM, UNENCLOSED c161 §101

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a street railway company operating a street car for the transportation of passengers in December, January, February and March, which, while in motion, required the constant care or service of an employee upon one of its platforms, did fail have such platform enclosed in such manner as to protect the motormen, conductors or other employees operating such car from exposure to wind and weather in such manner as the Department of Public Utilities had approved, in violation of G.L. c.161, §101. (PENALTY from §102 for each day of violation: not more than \$100.)

161/103 STREETCAR LABOR VIOLATION c161 §103

on [DATE OF OFFENSE:], being a street railway or elevated railway company, did through one of its officers or agents, require more than 9 hours' work for a day's labor, or require work so arranged that it was not performed within 11 consecutive hours, from a conductor, guard, driver, motorman, brakeman, dispatcher and gateman employed by or on behalf of such company, in violation of G.L. c.161, §103. (PENALTY: forfeiture of not less than \$100, not more than \$500.)

161/104 STREETCAR FAIL PROVIDE ACCOMMODATIONS c161 §104

on [DATE OF OFFENSE:], being a street railway company, did wilfully neglect to furnish reasonable accommodations for the conveyance of passengers, in violation of G.L. c.161, §104. (PENALTY: forfeiture of not less than \$5, not more than \$20.)

161/105 STREETCAR FAIL PROVIDE ACCOMMODATIONS c161 §105

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a street railway company, did, after one week after receiving written notice of an order of the Department of Public Utilities for additional accommodations as authorized by G.L. c.161, §105, fail to comply therewith, in violation of G.L. c.161, §105. (PENALTY for each day of such failure after 1 week: forfeiture of \$100.)

161/107 STREETCAR PASS TO PUBLIC OFFICIAL c161 §107

on [DATE OF OFFENSE:], being a street railway company, did give free tickets or passes to a state, county, city or town official, or to a person in the employ of the Commonwealth or of a county, city or town, other than policemen, firemen and letter carriers in uniform, in violation of G.L. c.161, §107. (PENALTY: forfeiture of not less than \$100, not more than \$500.)

161/108 STREETCAR STUDENT PASS VIOLATION c161 §108

on [DATE OF OFFENSE:], being a street or elevated railway company, did charge a rate of fare for the transportation of pupils of the public day schools or public evening schools, of vocational schools subject to G.L. c.74, or of private schools, between a given point from or to which it was necessary for them to ride in traveling to or from the schoolhouses in which they attended school and their homes, that was in excess of one-half the regular fare charged by such company for the transportation of other passengers between such points, in violation of G.L. c.161, §108. (PENALTY: forfeiture of \$25.)

161/113 STREETCAR TRANSFER TICKET, MISUSE c161 §113

on [DATE OF OFFENSE:]: (1) did use a street railway transfer ticket in violation of a condition printed on such ticket; or (2) did use or attempt to use a transfer ticket not issued to him or her; or (3) did for value dispose of or attempt to dispose of to some other person a transfer ticket issued to him or her; or (4) did for value deliver or attempt to deliver a transfer ticket not issued to him or her to some person, in violation of G.L. c.161, §113. (PENALTY: imprisonment not more than 1 month; or not more than \$50.)

161/113A MBTA PASS, STOLEN/COUNTERFEIT c161 §113A

(Effective 10/20/76)

on [DATE OF OFFENSE:] did fraudulently attempt to, or did, enter upon or leave a vehicle or station of the Massachusetts Bay Transportation Authority by using a stolen employee or prepaid pass or a counterfeit employee or prepaid pass of such authority, in violation of G.L. c.161, §113A. (PENALTY: imprisonment not more than 1 year; or not less than \$100, not more than \$1000; or both.)

161/138 STREETCAR COMPANY FAIL FILE ANNUAL REPT c161 §138

beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a street railway company: (1) did fail to transmit to the Department of Public Utilities an annual return for the year ending on December 31 preceding, within such time prescribed by G.L. c.159, §32 and in such form as required by G.L. c.161, §138; or (2) did fail to amend such return when required by such Department to do so, in violation of G.L. c.161, §138. (PENALTY for each day during which such failure continues: forfeiture of \$25.)

161A/5 MBTA, POSSESS LIQUOR TO CONSUME ON c161A §5

(Effective 8/21/81)

on [DATE OF OFFENSE:] did, on a facility or conveyance under the supervision or control of the Massachusetts Bay Transportation Authority, have in his or her possession an alcoholic beverage with the intent to consume such beverage on such facility or conveyance, not being excepted by G.L. c.161A, §5(o), in violation of G.L. c.161A, §5. (PENALTY: imprisonment not more than 30 days; or not more than \$100; or both; and alcoholic beverage shall be forfeited to MBTA.)

162/18 RAILROAD TRACK, WALK/STAND ON ELECTRIC c162 §18

on [DATE OF OFFENSE:] did without right knowingly stand or walk on an electric railroad track not within the limits of a highway, in violation of G.L. c.162, §18. (PENALTY: forfeiture of not less than \$5, not more than \$50.)

164/17 GAS/ELECTRIC COMPANY DIRECTOR VIOLATION c164 §17

on [DATE OF OFFENSE:], being a director, treasurer or other officer or agent of a gas or electric company: (1) did knowingly vote to authorize the issue of, or did knowingly sign, certify or issue, stock or bonds contrary to a provision of G.L. c.164, §14 or §16; or (2) did knowingly vote to authorize the application, or knowingly apply the proceeds, of such stock or bonds contrary to some provision of such sections; or (3) did knowingly vote to assume or incur, or did knowingly assume or incur, in the name or behalf of such company, debt or liability other than for legitimate purposes of the corporation, in violation of G.L. c.164, §17. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

164/17A **GAS/ELECTRIC COMPANY, IMPROP LOAN BY** c164 §17A (Effective 9/1/66)
on **[DATE OF OFFENSE:]**, being a director, treasurer or other officer or agent of a gas or electric company, did loan its funds to, guarantee or endorse the indebtedness of, or invest its funds in the stock, bonds, certificates of participation or other securities of, a corporation, association or trust, other than in accordance with the rules and regulations of the Department of Public Utilities or with the written approval of such Department, in violation of G.L. c.164, §17A. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

164/39 **TOWN CLERK FAIL REPORT POWER PLANT VOTE** c164 §39
on **[DATE OF OFFENSE:]**, being the city or town clerk of the city or town of **[NAME OF MUNICIPALITY:]**: (1) after such city or town had voted under G.L. c.164, §35 or §36 on whether to construct, purchase or lease, and maintain one or more plants for the manufacture or distribution of gas or electricity or for the operation of a community antenna television system, did fail forthwith to transmit to the Department of Public Utilities a certified copy of so much of the records of the city council or of the town as relates to the result of the vote, as required by G.L. c.164, §37; or (2) after such city or town had authorized the acquisition of such a plant and subsequently voted to establish, purchase, reconstruct, extend or enlarge a plant, or to issue bonds, notes or certificates of indebtedness on account thereof, or to regulate the management or conduct thereof, or to adopt an ordinance or by-law relative thereto, did fail within 10 days after such vote, to transmit to such Department a certified copy thereof, as required by G.L. c.164, §38, in violation of G.L. c.164, §39. (PENALTY: forfeiture of not more than \$25.)

164/56A **MUNICIPAL LIGHT CO CONFLICT OF INTEREST** c164 §56A (Effective 12/7/60)
on **[DATE OF OFFENSE:]**, being a member of a municipal light commission or manager thereof, directly or indirectly: (1) did make a contract with the city or municipal lighting plant; or (2) did receive some commission, discount, bonus, gift, contribution or reward from or any share in the profits of some person making or performing such contract, without immediately upon learning of the existence of such contract, or that such contract was proposed, having notified in writing the municipal light commission or city of the nature of his or her interest in such contract and having abstained from doing any official act on behalf of the commission or plant in reference thereto, in violation of G.L. c.164, §56A. (PENALTY: imprisonment not more than 1 year; or not less than \$50, not more than \$1000; or both.)

164/56C **MUNICIPAL LIGHT CO CONTRACT, FAIL FILE** c164 §56C (Effective 10/18/91)
on **[DATE OF OFFENSE:]**, being a municipal light commissioner or manager who had made or executed a contract on behalf of a municipal lighting plant, where the amount involved was \$5000 or more, did wilfully fail to furnish such contract or a copy thereof to the city or town auditor within one week after its execution; or (2) being a municipal light commissioner or manager who had made or executed such a contract, did wilfully fail to file an allowance under or an addition to such contract, or a copy thereof, with the city or town auditor, together with a sworn statement that the same were correct and in accordance with the contract; or (3) being a city or town auditor, did wilfully fail to keep such contract or copy on file, in the manner specified by G.L. c.164, §56C, in violation of G.L. c.164, §56C. (PENALTY: not less than \$10, not more than \$100.)

164/59 **MUNICIPAL LIGHT CO FAIL FILE RATE CHANGE** c164 §59 (Effective 9/18/53)
on **[DATE OF OFFENSE:]**, being a manager of municipal lighting, did fail to send a notice thereof to the Department of Public Utilities within 60 days after such city or town had fixed or changed a price, in violation of G.L. c.164, §59. (PENALTY: forfeiture of not more than \$25.)

164/75F **GAS COMPANY FAIL RESTORE PROPERTY** c164 §75F (Effective 6/27/52)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a natural gas pipe line company which had laid pipe or other underground equipment or structures, or had repaired or altered the same, on land on which it did not have a fee or a binding agreement with the landowner, did fail within 60 days after laying such pipes, equipment or structures, or making such repairs or alterations, to restore the ground surface to a condition reasonably consistent with its condition before construction, repair or alteration, in violation of G.L. c.164, §75F. (PENALTY for each day of noncompliance: not more than \$100.)

164/113 **GAS COMPANY USE UNTESTED METER** c164 §113
on **[DATE OF OFFENSE:]**, being a gas company, did provide **[NUMBER OF UNTESTED METERS:]** meter(s) for measuring gas supplied to a customer: (1) which had never before been used and had not been duly sealed and stamped; or (2) which had been opened after being sealed and stamped and had not been again tested, sealed and stamped, in violation of G.L. c.164, §113. (PENALTY for each such meter in use: \$5.)

164/115A **GAS COMPANY FAIL REPLACE METER** c164 §115A (Effective 10/2/52)
on **[DATE OF OFFENSE:]**, being a gas company or municipal light plant, did fail to remove from consumer premises **[NUMBER OF UNREPLACED METERS:]** meter(s) for measuring gas to a consumer not later than seven years from the date of installation or replacement, and to replace each with such a meter which had been newly tested, sealed and stamped in accordance with law, such violation not being due in the opinion of the Department of Public Utilities to unavoidable cause, accident or lack of materials, in violation of G.L. c.164, §115A. (PENALTY for each such meter not replaced: forfeiture of \$5.)

164/119 **GAS/ELECTRIC COMPANY CHARGE FOR METER** c164 §119
on **[DATE OF OFFENSE:]**, being a corporation, other than a municipality, furnishing gas or electricity for domestic use, did make a charge for the use of a meter or for other similar purpose covering some portion of 12 consecutive months in connection with the supply of gas or electricity for domestic use only, in addition to the charge for the gas or electricity delivered, such consumer during such time having used electricity to the value of \$9 or gas to the value of \$7, not being excepted by law, in violation of G.L. c.164, §119. (PENALTY: not more than \$100.)

164/124D **GAS/ELECTRIC CUTOFF NOTICE, VANDALIZE** c164 §124D (Effective 8/28/74)
on **[DATE OF OFFENSE:]** did remove, interfere, or tamper with a notice, or the provision thereof, from a gas or electric company concerning a proposed shut off of gas or electric service, in violation of G.L. c.164, §124D. (PENALTY: not more than \$25.)

164/126/A **GAS METER, VANDALIZE** c164 §126 (Effective 8/16/77)
on **[DATE OF OFFENSE:]** did wilfully or fraudulently injure, disconnect, remove or otherwise interfere with, or suffer to be injured, disconnected, removed or otherwise interfered with, a meter, pipes or fittings belonging to a gas company or to another person, in violation of G.L. c.164, §126. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

164/126/B **GAS, FRAUDULENT USE OF** c164 §126 (Effective 8/16/77)
on **[DATE OF OFFENSE:]**: (1) did wilfully or fraudulently prevent a gas meter from duly registering the quantity of gas supplied through the same, or in some way hinder or interfere with its proper action or just registration; or (2) did fraudulently burn or waste the gas of such company or of some other person; or (3) did attach a pipe or appliance to a main or pipe belonging to a gas company, or, without the written consent of such company, use or cause to be used gas supplied by such company, such gas not having passed through a meter set by the company; or (5) did use or cause to be used gas charged to another

person without the written consent of such person, in violation of G.L. c.164, §126. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

164/126A **GAS/ELECTRIC CO. EMPLOYEE, IMPERSONATE** c164 §126A (Effective 1/9/97)
on **[DATE OF OFFENSE:]** did falsely assume, pretend to be, or hold himself or herself out as, an officer or servant of a gas or electric company for the purpose of gaining access to a premises, in violation of G.L. c.164, §126A. (PENALTY: house of correction not more than 2 years; or not more than \$1000; or both.)

164/127 **ELECTRICITY, FRAUDULENT USE OF** c164 §127 (Effective 8/16/77)
on **[DATE OF OFFENSE:]**, unlawfully and with intent to avoid payment by himself or herself or another person for a prospective or previously rendered service, the charge or compensation for which was measured by a meter or other mechanical device: (1) did injure or destroy, or suffer to be injured or destroyed, a meter, pipe, conduit, wire, line, pole, lamp or other apparatus belonging to an electric company; or (2) did prevent an electric meter from duly registering the quantity of electricity supplied, or in some way interfere with its proper action or just registration; or (3) without the consent of such corporation or person, did unlawfully and intentionally divert or suffer to be diverted electrical current from a wire of such corporation or person; or (4) did otherwise unlawfully and intentionally use or cause to be used, without the consent of such corporation or person, electricity manufactured or distributed by such corporation or charged to such person, in violation of G.L. c.164, §127. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

164/128 **UTILITY FAIL PAY INTEREST ON DEPOSIT** c164 §128 (Effective 10/1/73)
on **[DATE OF OFFENSE:]**, being a corporation which had a franchise in and the use of the public streets of a town for the supply and distribution of gas, water, electric light or power: (1) did hold for a longer period than 6 months money which was collected in advance from one or more of its customers to guarantee it against loss of charges or tolls, and did fail, neglect or refuse to pay annually upon such guaranty fund interest, at such rate as had been fixed by the Department of Public Utilities, to the depositors thereof; or (2) did fail or neglect to include a true statement of all money, and of the value of any collateral, so held, in its annual return required by G.L. c.156B, §109, in violation of G.L. c.164, §128. (PENALTY: not less than \$100, not more than \$500.)

165/1C **GROUND BUILDING WITH PLASTIC PIPE, FAIL** c165 §1C (Effective 1/26/77)
on **[DATE OF OFFENSE:]**, being the owner of a building or dwelling house who had been given notice that a water company would install plastic pipe connections in such building or dwelling house that might affect the required grounding of such building or dwelling house, did fail within 30 days of such notice to cause such building or dwelling house to be properly grounded in accordance with safety standards, in violation of G.L. c.165, §1C. (PENALTY: not less than \$50, not more than \$100.)

165/11/A **WATER METER, VANDALIZE** c165 §11
on **[DATE OF OFFENSE:]** did unlawfully and intentionally injure, or suffer to be injured, a water meter belonging to a city, town, district or company engaged in supplying water, in violation of G.L. c.165, §11. (PENALTY: imprisonment not more than 1 year; or not more than \$100; or both.)

165/11/B **WATER, FRAUDULENT USE OF** c165 §11
on **[DATE OF OFFENSE:]** did unlawfully and intentionally: (1) prevent a water meter belonging to a city, town, district or company engaged in supplying water from duly registering the quantity of water supplied through it; or (2) hinder or interfere with its proper action or just registration; or (3) attach a pipe to a water main or pipe belonging to a city, town, district or water company or otherwise use or cause to be used the water supplied by a city, town, district or company without the consent of the same and without it passing through a meter set by such city, town, district or company, in violation of G.L. c.165, §11. (PENALTY: imprisonment not more than 1 year; or not more than \$100; or both.)

165/11B **WATER CUTOFF TO SERIOUSLY ILL PERSON** c165 §11B (Effective 6/21/57)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a water company, did intentionally shut off the water service to a domicile occupied by a person who was seriously ill, having received written notice from the municipal health authorities or a registered physician verifying the fact of such illness, in violation of G.L. c.165, §11B. (PENALTY for each day that violation continues: not more than \$25.)

165/11E/A **WATER COMPANY DISCONTINUE WATER IMPROP** c165 §11E (Effective 8/28/74)
on **[DATE OF OFFENSE:]**, being a water company: (1) did wilfully shut off the flow of water to a residential building in which the occupant thereof was not the customer of record of such company, without having first complied with the notice provisions of G.L. c.165, §11E and provided notice to each affected dwelling unit in a manner prescribed by regulation of the Department of Public Utilities; or (2) did wilfully shut off the flow of water to such building during the fifteen days after the date on which such service otherwise would have been terminated and while a final determination of the amount due was pending before such Department; or (3) did wilfully fail to restore such service as soon as practicable after such amount had been received after service had been shut off, in violation of G.L. c.165, §11E. (PENALTY: not more than \$50.)

165/11E/B **WATER COMPANY SHUTOFF NOTICE, VANDALIZE** c165 §11E (Effective 8/28/74)
on **[DATE OF OFFENSE:]** did remove, interfere or tamper with a notice posted by a water company pursuant to G.L. c.165, §11E, in violation of G.L. c.165, §11E. (PENALTY: not more than \$25.)

165/24 **AQUEDUCT, MALICIOUSLY VANDALIZE** c165 §24
on **[DATE OF OFFENSE:]** did maliciously injure an aqueduct or one of its appurtenances, in violation of G.L. c.165, §24. (PENALTY: forfeiture of not more than \$100.)

166/6 **TELEPHONE COMPANY DIRECTOR VIOLATION** c166 §6
on **[DATE OF OFFENSE:]**, being a director, treasurer or other officer or agent of a telegraph or telephone company: (1) did knowingly vote to authorize the issue of, or did knowingly sign, certify or issue, stock or bonds contrary to a provision of G.L. c.166, §4; or (2) did knowingly vote to authorize the application, or knowingly apply the proceeds, of such stock or bonds contrary to a provision of §4; or (3) did knowingly assume or incur in the name or behalf of such company, debt or liability other than for the legitimate purposes of the corporation, in violation of G.L. c.166, §6. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

166/12 **TELEPHONE COMPANY FAIL FILE ANNUAL REPT** c166 §12
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a telephone or telegraph company, as defined in G.L. c.166, §11, doing business in this Commonwealth, did neglect on or before March 31, or such subsequent date as the Department of Public Utilities had fixed, to file with such Department a report of its doings for the year ending December 31 preceding in such form as prescribed by such Department, in violation of G.L. c.166, §12. (PENALTY for first 15 days of such neglect: forfeiture of \$5 per day; for second 15 of such neglect: forfeiture of \$10 per day;

for each day thereafter: forfeiture of not more than \$15 per day; and, if refusal or neglect was unreasonable, forfeiture of an additional \$500 per offense.)

166/15C **TELEPHONE PARTY LINE, MISUSE** c166 §15C (Effective 6/1/55)
on **[DATE OF OFFENSE:]**: (1) did wilfully refuse to yield or surrender the use of a party telephone line to another person for the purpose of permitting such other person to report a fire or to summon police, medical or other aid in case of emergency; or (2) did ask for or request the use of such party line on pretext that such an emergency existed, knowing that no such emergency in fact did exist, in violation of G.L. c.166, §15C. (PENALTY: not less than \$50, not more than \$500.)

166/16 **TELEGRAPH COMPANY REFUSE DESPATCH** c166 §16
on **[DATE OF OFFENSE:]**, being a telegraph company, did wilfully neglect or refuse: (1) to receive a despatch from and for another telegraph company or association, or from and for some person; or (2) upon payment of the usual charges for transmitting despatches according to the regulations of the company, to transmit such a despatch faithfully and impartially, in violation of G.L. c.166, §16. (PENALTY from §18: forfeiture of not more than \$100, payable "to the company or person who sends or desires to send the despatch.")

166/17 **TELEGRAPH COMPANY REFUSE DESPATCH** c166 §17
on **[DATE OF OFFENSE:]**, being a telegraph company, did wilfully neglect or refuse to receive, compute and transmit a despatch received at its offices from another telegraph company or by mail, at the same rates of charge as for despatches received for transmission from individuals on the same day and at the same place, in violation of G.L. c.166, §17. (PENALTY from §18: forfeiture of not more than \$100, payable "to the company or person who sends or desires to send the despatch.")

166/20 **TELEGRAPH COMPANY OMIT TIME** c166 §20
on **[DATE OF OFFENSE:]**, being a person engaged in the business of transmitting communications by telegraph in this Commonwealth and charging tolls therefor, did fail without additional charge to cause to appear plainly upon the addressee's copy of **[NUMBER OF NONCOMPLYING TELEGRAMS:]** telegrams originating at and destined for a point within this Commonwealth, the hour and minute of the day on which it was filed for transmission and the hour and minute of the day of its receipt at its destination, in violation of G.L. c.166, §20. (PENALTY for each telegram: not more than \$100.)

166/21A **ELECTRIC LINES, OPERATE EQUIPMENT NEAR** c166 §21A (Effective 11/29/69)
on **[DATE OF OFFENSE:]**, without having previously guarded against contact with overhead high voltage lines in the manner prescribed by G.L. c.166, §21A-§21G, did require or permit an employee: (1) to operate a crane, power shovel or other such types of construction equipment within six feet of such lines; or (2) to enter upon land, a building or other premises to engage in construction work or to erect, install, operate or store in or upon such premises machinery or construction equipment, where it was intended to perform such work or operate such equipment within six feet of such lines, in violation of G.L. c.166, §21A. (PENALTY from §21G: imprisonment not more than 1 year; or not less than \$100, not more than \$1000; or both.)

166/21B **ELECTRIC LINES, OPERATE EQUIPMENT NEAR** c166 §21B (Effective 11/29/69)
on **[DATE OF OFFENSE:]**, without having previously effectively guarded against contact with overhead high voltage lines in the manner prescribed by G.L. c.166, §21B: (1) did operate or erect tools, machinery or equipment, or some part thereof capable of vertical, lateral, or swinging motion; or (2) did handle or store supplies, materials or apparatus; or (3) did move a house or other building, or a part thereof, under, over, by or near overhead high voltage lines, when at some time during such operation or manipulation it was intended or necessary to bring such equipment, tools, materials, buildings or some part thereof within six feet of such lines, in violation of G.L. c.166, §21B. (PENALTY from §21G: imprisonment not more than 1 year; or not less than \$100, not more than \$1000; or both.)

166/21C **ELECTRIC LINES WARNING, FAIL POST** c166 §21C (Effective 11/29/69)
on **[DATE OF OFFENSE:]**, being the owner, agent or employer responsible for the operation of equipment, did fail to post and maintain in plain view of the operator on one or more cranes, derricks, power shovels, drilling rigs, hay loaders, hay stackers, pile drivers, or similar apparatus, some part of which was capable of vertical, lateral or swinging motion, an approved weather-resistant warning sign legible at 12 feet reading "WARNING-Unlawful to operate this equipment within SIX FEET of high voltage lines", in such manner as prescribed in G.L. c.166, §21D, in violation of G.L. c.166, §21C. (PENALTY from §21G: imprisonment not more than 1 year; or not less than \$100, not more than \$1000; or both.)

166/21E **ELECTRIC LINES, FAIL NOTIFY OF WORK NEAR** c166 §21E (Effective 11/29/69)
on **[DATE OF OFFENSE:]**, being responsible for work to be performed within 6 feet of overhead high voltage lines: (1) did fail promptly to notify the utility or other company owning or operating such lines; or (2) did perform such work without having first negotiated satisfactory arrangements between the owner or operator of such lines and such contractor, in violation of G.L. c.166, §21E. (PENALTY from §21G: imprisonment not more than 1 year; or not less than \$100, not more than \$1000; or both.)

166/22C/A **POLE/WIRES IN VIOL BY-LAW, FAIL REMOVE** c166 §22C (Effective 11/29/69)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]** did fail to remove immediately a pole or overhead wires or associated overhead structures that were in violation of an ordinance or by-law adopted pursuant to G.L. c.166, §22C. (PENALTY for each consecutive 15-day period during which failure continues: not less than \$1000, not more than \$5000.)

166/22C/B **POLE/WIRES IN VIOL BY-LAW, INSTALL** c166 §22C (Effective 11/29/69)
on **[DATE OF OFFENSE:]** did install or construct a pole or overhead wires or associated overhead structures, other than by way of replacement or upgrading of existing facilities, in violation of an ordinance or by-law adopted pursuant to G.L. c.166, §22C. (PENALTY: not less than \$1000, not more than \$5000.)

166/22D **POLE/WIRES IN VIOL BY-LAW, FAIL REMOVE** c166 §22D (Effective 11/29/69)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a utility, did fail to remove a pole or overhead wires or associated overhead structures located upon, along or across a public way or ways within such municipality as required by an ordinance or by-law adopted pursuant to G.L. c.166, §22D. (PENALTY for each consecutive 15-day period during which failure continues: not less than \$1000, not more than \$5000.)

166/24 **LINE, VANDALIZE MUNICIPAL** c166 §24
on **[DATE OF OFFENSE:]** did unlawfully injure or destroy a wire, pole, structure or fixture of a telegraph or telephone line, or a line for the transmission of electricity for light, heat or power, erected pursuant to G.L. c.166, §24, in violation of G.L. c.166, §24. (PENALTY: imprisonment not more than 2 years; or not more than \$500; or both.)

166/34 **ELECTRIC POLE, FAIL INSULATE** c166 §34

on **[DATE OF OFFENSE:]**, being an owner of poles or other structures used for the transmission of electricity, did leave **[NUMBER OF NONCONFORMING POLES:]** such poles or structures uninsulated, ungrounded or unmarked contrary to the requirements of G.L. c.166, §34 for an unreasonable time after a request by an inspector or the Commissioner of Public Utilities, that the same be properly so insulated, grounded or marked, in violation of G.L. c.166, §34. (PENALTY for each such pole or structure: not less than \$10, not more than \$100.)

166/35 **TELEPHONE APPARATUS, IMPROPERLY AFFIX** c166 §35 (Effective 10/3/51)
on **[DATE OF OFFENSE:]**, without first having obtained the consent of the owner or lawful agent of the owner of such property: (1) did in some manner affix or cause to be affixed to the property of another a pole, structure, fixture, wire or other apparatus for telephonic, telegraphic, television or other electrical communication; or (2) did enter upon the property of another for the purpose of affixing such apparatus, in violation of G.L. c.166, §35. (PENALTY: not more than \$100.)

166/36 **TELEPHONE POLE, FAIL MARK** c166 §36 (Effective 10/3/51)
on **[DATE OF OFFENSE:]**, being a corporation or person maintaining or operating telephone, telegraph, television or other electric wires, did fail, at every place where such wires were affixed by any pole, structure or fixture to the property of another, to mark such pole, structure or fixture in a clear, durable and legible manner with the name or initials of the corporation or person maintaining or operating such wires, in violation of G.L. c.166, §36. (PENALTY: not more than \$100.)

166/38/A **UTILITY CO. PROPERTY, VANDALIZE AT NIGHT** c166 §38
on **[DATE OF OFFENSE:]** did unlawfully and intentionally injure, molest or destroy a line, wire, pole, pier or abutment, materials or other property of a street railway company, or of an electric railroad company, or of a city or town engaged in the manufacture and sale of electricity for light, heat or power, or of a company, owner or association described in G.L. c.166, §21 or §43, or of a company, owner or association for the construction and operation of a street railway or an electric railroad, between the hours of 4 p.m. and 7 a.m., in violation of G.L. c.166, §38. (PENALTY: imprisonment not more than 4 years; or not more than \$1000; or both.)

166/38/B **UTILITY CO. PROPERTY, VANDALIZE IN DAY** c166 §38
on **[DATE OF OFFENSE:]** did unlawfully and intentionally injure, molest or destroy a line, wire, pole, pier or abutment, materials or other property of an electric railroad company, or of a company, owner or association for the construction and operation of a street railway or an electric railroad, in violation of G.L. c.166, §38. (PENALTY: imprisonment not more than 2 years; or not more than \$500; or both.)

166/40/A **UTILITY WIRES, CUT AT NIGHT** c166 §40
on **[DATE OF OFFENSE:]** did wilfully cut, disconnect, remove or otherwise interrupt the use of the wires of a street railway company, or of an electric railroad company, or of a company described in G.L. c.166, §21 or §43, without having first given notice as provided in G.L. c.166, §39, between the hours of 4 p.m. and 7 a.m., in violation of G.L. c.166, §40. (PENALTY from §38: imprisonment not more than 4 years; or not more than \$1000; or both.)

166/40/B **UTILITY WIRES, CUT IN DAY** c166 §40
on **[DATE OF OFFENSE:]** did wilfully cut, disconnect, remove or otherwise interrupt the use of the wires of a street railway company, or of an electric railroad company, or of a company described in G.L. c.166, §21 or §43, without having first given notice as provided in G.L. c.166, §39, in violation of G.L. c.166, §40. (PENALTY from §38: imprisonment not more than 2 years; or not more than \$500; or both.)

166/42A/A **CABLE TV SERVICE BY FRAUD, OBTAIN** c166 §42A (Effective 4/1/86-2/19/96)
on **[DATE OF OFFENSE:]**, with intent to defraud, did obtain or aid or abet another in obtaining telecommunications service from a community antenna television system licensed under G.L. c.166A by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, in violation of G.L. c.166, §42A. (PENALTY: imprisonment not more than 3 years; or not more than \$3000; or both.)

166/42A/A **CABLE TV SERVICE BY FRAUD -\$5000, OBTAIN** c166 §42A (Effective 2/20/96)
on **[DATE OF OFFENSE:]**, with intent to defraud, did obtain or aid or abet another in obtaining telecommunications service valued less than \$5000 from a community antenna television system licensed under G.L. c.166A by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, in violation of G.L. c.166, §42A. (PENALTY: house of correction not more than 2½ years; or not more than \$3000; or both.)

166/42A/B **CABLE TV SERVICE BY FRAUD, ATT OBTAIN** c166 §42A (Effective 4/1/86-2/19/96)
on **[DATE OF OFFENSE:]**, with intent to defraud, did attempt to obtain telecommunications service from a community antenna television system licensed under G.L. c.166A by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.166, §42A. (PENALTY: imprisonment not more than 3 years; or not more than \$3000; or both.)

166/42A/B **CABLE TV SERVICE BY FRAUD -\$5000, ATT** c166 §42A (Effective 2/20/96)
on **[DATE OF OFFENSE:]**, with intent to defraud, did attempt to obtain telecommunications service valued less than \$5000 from a community antenna television system licensed under G.L. c.166A by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.166, §42A. (PENALTY: house of correction not more than 2½ years; or not more than \$3000; or both.)

166/42A/C **TELEPHONE SERVICE BY FRAUD, OBTAIN** c166 §42A (Effective 4/1/86-2/19/96)
on **[DATE OF OFFENSE:]**, with intent to defraud, did obtain or aid or abet another in obtaining telecommunications service by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, in violation of G.L. c.166, §42A. (PENALTY: imprisonment not more than 3 years; or not more than \$3000; or both.)

166/42A/C **TELEPHONE SERVICE BY FRAUD -\$5000, OBTAIN** c166 §42A (Effective 2/20/96)
on **[DATE OF OFFENSE:]**, with intent to defraud, did obtain or aid or abet another in obtaining telecommunications service valued less than \$5000 by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, in violation of G.L. c.166, §42A. (PENALTY: house of correction not more than 2½ years; or not more than \$3000; or both.)

166/42A/D **TELEPHONE SERVICE BY FRAUD, ATT OBTAIN** c166 §42A (Effective 4/1/86-2/19/96)

on **[DATE OF OFFENSE:]**, with intent to defraud, did attempt to obtain telecommunications service by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.166, §42A. (PENALTY: imprisonment not more than 3 years; or not more than \$3000; or both.)

166/42A/D **TELEPHONE SERVICE BY FRAUD -\$5000, ATT** c166 §42A (Effective 2/20/96)

on **[DATE OF OFFENSE:]**, with intent to defraud, did attempt to obtain telecommunications service valued less than \$5000 by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.166, §42A. (PENALTY: house of correction not more than 2½ years; or not more than \$3000; or both.)

166/42A/E **CABLE TV SERVICE BY FRAUD +\$5000, OBTAIN** c166 §42A (Effective 2/20/96)

on **[DATE OF OFFENSE:]**, with intent to defraud, did obtain or aid or abet another in obtaining telecommunications service of a value equal to or greater than \$5000 from a community antenna television system licensed under G.L. c.166A by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, in violation of G.L. c.166, §42A. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

166/42A/F **CABLE TV SERVICE BY FRAUD +\$5000, ATT** c166 §42A (Effective 2/20/96)

on **[DATE OF OFFENSE:]**, with intent to defraud, did attempt to obtain telecommunications service of a value equal to or greater than \$5000 from a community antenna television system licensed under G.L. c.166A by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.166, §42A. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

166/42A/G **TELEPHONE SERVICE BY FRAUD +\$5000, OBTAIN** c166 §42A (Effective 2/20/96)

on **[DATE OF OFFENSE:]**, with intent to defraud, did obtain or aid or abet another in obtaining telecommunications service of a value equal to or greater than \$5000 by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, in violation of G.L. c.166, §42A. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

166/42A/H **TELEPHONE SERVICE BY FRAUD +\$5000, ATT OBTAIN** c166 §42A (Effective 2/20/96)

on **[DATE OF OFFENSE:]**, with intent to defraud, did attempt to obtain telecommunications service of a value equal to or greater than \$5000 by a false representation, false statement, or stratagem, by unauthorized charging to the account of another, by installing or tampering with facilities or equipment, or by some other means, and in such attempt did **[DESCRIPTION OF OVERT ACT:]**, in violation of G.L. c.166, §42A. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

166/42B/A **CABLE TV DEVICE, UNLAWFUL** c166 §42B (Effective 4/1/86-2/19/96)

on **[DATE OF OFFENSE:]**: (1) did make an instrument, apparatus, equipment or device which was designed, adapted or used to fraudulently obtain telecommunication service from a community antenna television system licensed pursuant to G.L. c.166A in the manner prohibited by G.L. c.166, §42A or which was used to conceal or to assist another to conceal from a lawful authority the existence or place of origin or of destination of such a telecommunication; or (2) did possess such an instrument, apparatus, equipment or device with the intent to use or employ it in violation of G.L. c.166, §42A or §42B; or (3) did sell, give, transport, or otherwise transfer to another, or offer to advertisers for sale, such an instrument, apparatus, equipment or device, or plans or instructions for making or assembling the same, with the intent to use or employ the same, or to allow the same to be used or employed, for such purpose; or (4) knowing or having reason to believe that it was intended to be used, or that such plans or instructions were intended to be used, for making or assembling such apparatus, equipment or device; or (5) did publish plans or instructions for making, assembling or using such apparatus, equipment or device, intending that such be used or employed contrary to G.L. c.166, §42A or §42B, in violation of G.L. c.166, §42B. (PENALTY: imprisonment not more than 4 years; or not more than \$15,000; or both; and such instrument, apparatus, device, plans, instructions or publication shall be destroyed as contraband by the sheriff.)

166/42B/A **CABLE TV DEVICE, UNLAWFUL** c166 §42B (Effective 2/20/96)

on **[DATE OF OFFENSE:]**: (1) did make an instrument, apparatus, equipment or device which was designed, adapted or used to fraudulently obtain telecommunication service from a community antenna television system licensed pursuant to G.L. c.166A in the manner prohibited by G.L. c.166, §42A or which was used to conceal or to assist another to conceal from a lawful authority the existence or place of origin or of destination of such a telecommunication; or (2) did possess such an instrument, apparatus, equipment or device with the intent to use or employ it in violation of G.L. c.166, §42A or §42B; or (3) did sell, give, transport, or otherwise transfer to another, or offer to advertisers for sale, such an instrument, apparatus, equipment or device, or plans or instructions for making or assembling the same, with the intent to use or employ the same, or to allow the same to be used or employed, for such purpose; or (4) knowing or having reason to believe that it was intended to be used, or that such plans or instructions were intended to be used, for making or assembling such apparatus, equipment or device; or (5) did publish plans or instructions for making, assembling or using such apparatus, equipment or device, intending that such be used or employed contrary to G.L. c.166, §42A or §42B, in violation of G.L. c.166, §42B. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

166/42B/B **TELEPHONE DEVICE, UNLAWFUL** c166 §42B (Effective 4/1/86-2/19/96)

on **[DATE OF OFFENSE:]**: (1) did make an instrument, apparatus, equipment or device which was designed, adapted or used to fraudulently obtain telecommunication service in the manner prohibited by G.L. c.166, §42A or which was used to conceal or to assist another to conceal from a lawful authority the existence or place of origin or of destination of a telecommunication; or (2) did possess such an instrument, apparatus, equipment or device with the intent to use or employ it in violation of G.L. c.166, §42A or §42B; or (3) did sell, give, transport, or otherwise transfer to another, or offer to advertisers for sale, such an instrument, apparatus, equipment or device, or plans or instructions for making or assembling the same, with the intent to use or employ the same, or to allow the same to be used or employed, for such purpose; or (4) knowing or having reason to believe that it was intended to be used, or that such plans or instructions were intended to be used, for making or assembling such apparatus, equipment or device; or (5) did publish plans or instructions for making, assembling or using such apparatus, equipment or device, intending that such be used or employed contrary to G.L. c.166, §42A or §42B, in violation of G.L. c.166, §42B. (PENALTY: imprisonment not more than 4 years; or not more than \$15,000; or both; and such instrument, apparatus, device, plans, instructions or publication shall be destroyed as contraband by the sheriff.)

166/42B/B **TELEPHONE DEVICE, UNLAWFUL** c166 §42B (Effective 2/20/96)

on **[DATE OF OFFENSE:]**: (1) did make an instrument, apparatus, equipment or device which was designed, adapted or used to fraudulently obtain telecommunication service in the manner prohibited by G.L. c.166, §42A or which was used to conceal or to assist another to conceal from a lawful authority the existence or place of origin or of destination of a telecommunication; or (2) did possess such an instrument, apparatus, equipment or device with the

intent to use or employ it in violation of G.L. c.166, §42A or §42B; or (3) did sell, give, transport, or otherwise transfer to another, or offer to advertisers for sale, such an instrument, apparatus, equipment or device, or plans or instructions for making or assembling the same, with the intent to use or employ the same, or to allow the same to be used or employed, for such purpose; or (4) knowing or having reason to believe that it was intended to be used, or that such plans or instructions were intended to be used, for making or assembling such apparatus, equipment or device; or (5) did publish plans or instructions for making, assembling or using such apparatus, equipment or device, intending that such be used or employed contrary to G.L. c.166, §42A or §42B, in violation of G.L. c.166, §42B. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

166A/18 CABLE TV VIOLATION c166A §18 (Effective 11/16/71)
on **[DATE OF OFFENSE:]** did wilfully **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.166A or of a rule, regulation, or order adopted thereunder, in violation of G.L. c.166A, §18. (PENALTY: jail or house of correction not more than 6 months; or not less than \$100, not more than \$1000; or both.)

167/2D BANK, UNFAIR/DECEPTIVE ACT BY c167 §2D (Effective 7/6/90)
on **[DATE OF OFFENSE:]**, being a bank, as defined in G.L. c.167, §2A, did engage in an unfair or deceptive act or practice, to wit: **[DESCRIPTION OF OFFENSE:]**, in violation of G.L. c.167, §2D. (PENALTY: not more than \$1000.)

167/4/A BANK INVESTIGATION, FAIL TESTIFY IN c167 §4 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**, having been required by the Commissioner of Banks, or one of his or her examiners or other assistants as such Commissioner had designated, to appear and testify relative to the affairs, transactions and condition of a bank, did without justifiable cause refuse to so appear and testify, in violation of G.L. c.167, §4. (PENALTY: imprisonment not more than 1 year; or not more than \$1000.)

167/4/B BANK INVESTIGATION, OBSTRUCT c167 §4 (Effective 6/16/82)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, and thereby did without justifiable cause obstruct the Commissioner of Banks, or one of his or her examiners or other assistants as such Commissioner had designated, making an examination of the affairs, transactions and condition of a bank, in the performance of his or her duty, in violation of G.L. c.167, §4. (PENALTY: imprisonment not more than 1 year; or not more than \$1000.)

167/8 BANK FAIL MAKE REPORT c167 §8 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**, being an officer of a bank, did fail within 15 days after notice by the Commissioner of Banks: (1) to make a return, statement or report required by law or by such Commissioner; or (2) to amend such return, statement or report after being lawfully required by such Commissioner to do so, in violation of G.L. c.167, §8. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

167/10 BANK EMPLOYEE MISCONDUCT, FAIL REPORT c167 §10 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**, being the president or treasurer of a bank, or in their absence the officer performing the duties of the president or treasurer, did wilfully fail to report in writing to the Commissioner of Banks and to the District Attorney of the district in which such bank did business, within a reasonable time after discovery thereof, a violation of G.L. c.266, §52-§53A, in violation of G.L. c.167, §10. (PENALTY from §11: not less than \$200, not more than \$1000.)

167/11 BANK SHORTAGE, FAIL REPORT c167 §11 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**, being the president or treasurer of a bank, or in their absence the officer performing the duties of the president or treasurer, did wilfully fail to report in writing to the Commissioner of Banks a shortage of \$5000 or more in such bank within 48 hours of discovery thereof, in violation of G.L. c.167, §11. (PENALTY: not less than \$200, not more than \$1000.)

167/12/A BANK OFFICER FUNCTION AFTER REMOVAL c167 §12 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**, being an officer, director or trustee of a bank who had been removed from such office by written order of the Commissioner of Banks, did thereafter participate in some manner in the management of a bank in this Commonwealth, in violation of G.L. c.167, §12. (PENALTY: state prison not more than 5 years; or not more than \$5000; or both.)

167/12/B BANK OFFICER FUNCTION WHILE SUSPENDED c167 §12 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**, being an officer, director or trustee of a bank who had been suspended from such office by written order of the Commissioner of Banks, did during the term of such suspension, participate in some manner in the management of a bank in this Commonwealth, in violation of G.L. c.167, §12. (PENALTY: jail for 1 year; or not more than \$1000; or both.)

167/46 BANK FAIL CASH PENSION CHECK c167 §46 (Effective 10/29/83)
on **[DATE OF OFFENSE:]**, being a bank, as defined in G.L. c.167, §46, doing business in this Commonwealth: (1) did fail to honor and cash one or more checks presented by a pensioner or retiree registered therewith pursuant to G.L. c.167, §46 and the regulations of the Commissioner of Banks promulgated thereunder; or (2) did fail to permit a pensioner or retiree to register therewith pursuant to G.L. c.167, §46 and the regulations of the Commissioner of Banks promulgated thereunder; or (3) did violate a regulation of the Commissioner of Banks promulgated thereunder, in violation of G.L. c.167, §46. (PENALTY: not more than \$500.)

167A/6/A BANK HOLDING COMPANY RECORD, FALSE c167A §6 (Effective 9/21/57)
on **[DATE OF OFFENSE:]**, being an officer, director, trustee, employee or agent of a bank holding company or affiliate thereof, with intent to deceive an examiner lawfully appointed to examine into its condition or into any of its affairs: (1) did make a false entry in a book, record or statement of such company or affiliate; or (2) did wilfully omit to make a true entry of some material pertaining to the business of such company or affiliate in a book, report, record or statement of such company or affiliate, made, written or kept by him or her or under his or her direction, in violation of G.L. c.167A, §6. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

167A/6/B BANK HOLDING COMPANY REPORT, FALSE c167A §6 (Effective 9/21/57)
on **[DATE OF OFFENSE:]** did swear or affirm that a report required by the Commissioner of Banks pursuant to G.L. c.167A was true, knowing that it was false, in violation of G.L. c.167A, §6. (PENALTY: imprisonment not more than 1 year; or not more than \$5000; or both.)

167A/6/C BANK HOLDING COMPANY VIOLATION c167A §6 (Effective 9/21/57)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a company, as defined in G.L. c.167A, §6, did knowingly **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.167A or a regulation or order of the Commissioner of Banks promulgated thereunder, in violation of G.L. c.167A, §6. (PENALTY for each day of violation: not more than \$100.)

167A/6/D BANK HOLDING COMPANY VIOLATION, AID c167A §6 (Effective 9/21/57)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, and thereby did willfully participate in the violation of a provision of G.L. c.167A or a regulation or order of the Commissioner of Banks promulgated thereunder, in violation of G.L. c.167A, §6. (PENALTY: not more than \$5000.)

167B/21/A ELECTRONIC FUND TRANSFER VIOL c167B §21(a) (Effective 12/31/81)
on **[DATE OF OFFENSE:]** did knowingly and willfully **[DESCRIPTION OF OFFENSE:]**, contrary to G.L. c.167B, § **[SECTION OF G.L. c.167B VIOLATED:]**, and in violation of G.L. c.167B, §21(a). (PENALTY: imprisonment not more than 1 year; or not more than \$5000; or both.)

167B/21/B ELECTRONIC FUND TRANSFER VIOL UNDER \$100 c167B §21(b) (Effective 12/31/81)
on **[DATE OF OFFENSE:]**, with intent to defraud: (1) did make or cause to be made a false statement as to a material fact in writing, knowing it to be false and with intent that it be relied on, respecting his or her identity or financial conditions, or the identify or financial condition of some other person, for the purpose of procuring the issuance of an access device; or (2) did take such access device from the person, possession, custody or control of another without the access device holder's consent by conduct which would constitute larceny, or did, with knowledge that it had been so taken, receive such access device with intent to use it or to sell it, or to transfer it to a person other than the issuer or access device holder; or (3) having received an access device that he or she knew to have been lost, mislaid, or delivered under a mistake as to the identity or address of the access device holder, did retain possession with intent to use it or to sell it or to transfer it to a person other than the issuer or the access device holder; or (4) being a person other than the issuer or his authorized agent, did sell an access device, or buy such access device from a person other than the issuer or the issuer's authorized agent; or (5) being a person other than the access device holder or a person authorized by him or her, did sign an access device for which such signature was a prerequisite to use thereof; or (6) for the purpose of obtaining something of value, did use an access device obtained or retained in violation of clauses (2) to (5) above, or an access device which he or she knew was forged, expired, or revoked, where the value of money, goods or services so obtained was not in excess of \$100; or (7) did obtain something of value by representing without the consent of the access device holder that he or she was such holder or by representing that he or she was the holder of an access device when such access device had not in fact been issued, where the value of money, goods or services so obtained was not in excess of \$100; or (8) being a person authorized by an issuer to furnish something of value upon presentation of an access device by the access device holder, or an agent or employee of such person, did furnish something of value upon presentation of an access device which he or she knew was obtained or retained in violation of clauses (2) to (5) above, or an access device which he or she knew was forged, expired or revoked, where the value of goods or services so obtained was not in excess of \$100; or (9) being a person who was authorized by an issuer to furnish something of value upon presentation of an access device by the access device holder, or an agent or employee of such person, did fail to furnish such thing of value which he or she represented in writing to the issuer that he or she had furnished, where the difference between the value of all things of value actually furnished and the value represented to the issuer to have been furnished was not in excess of \$100; or (10) did receive something of value obtained in violation of clauses (6) to (9) above; or (11) having knowledge of the computer aspects of an electronic funds transfer system and access to the machinery involved in its operation, did obtain something of value not in excess of \$100 by unauthorized use of such system, in violation of G.L. c.167B, §21(b). (PENALTY: jail or house of correction not more than 1 year; or not more than \$1000; or both.)

167B/21/C ELECTRONIC FUND TRANSFER VIOL OVER \$100 c167B §21(c) (Effective 12/31/81)
on **[DATE OF OFFENSE:]**, with intent to defraud: (1) did obtain control over an access device as security for debt; or (2) did receive an access device which he or she knew was taken or retained under circumstances which constitute theft or a violation of clause (1) or (4) of G.L. c.167B, §21(b), or of clause (1) of G.L. c.167B, §21(c); or (3) did falsely make, falsely emboss, or utter a purported access device; or (4) did obtain something of value by use of an access device obtained or retained in violation of clauses (2) and (5), inclusive, of G.L. c.167B, §21(b), or by use of an access device which he or she knew was forged, expired or revoked, where the value of the money, goods or services so obtained was in excess of \$100; or (5) did obtain something of value by representing without the consent of the access device holder that he or she was such holder or by representing that he or she was the holder of an access device when such access device had not in fact been issued, where the value of money, goods or services so obtained was in excess of \$100; or (6) being a person authorized by an issuer to furnish something of value upon presentation of an access device, did so upon presentation of an access device which he or she knew was obtained or retained in violation of clauses (2) to (5) of G.L. c.167B, §21(b), or an access device which he or she knew was forged, expired or revoked, where the value of goods or services so obtained was in excess of \$100; or (7) being a person who was authorized by an issuer to furnish something of value upon presentation of an access device by the access device holder, or an agent or employee of such person, did fail to furnish such thing of value which he or she represented in writing to the issuer that he or she had furnished, where the difference between the value of all things of value actually furnished and the value represented to the issuer to have been furnished exceeded \$100; or (8) did receive something of value in excess of \$100 obtained in violation of clause (6) or (7) of G.L. c.167B, §21(b); or (9) did possess one or more incomplete access devices, intending to complete them without the consent of the issuer; or (10) did, with knowledge of its character, possess machinery, plates or some other contrivance designed to reproduce instruments purporting to be the access devices of an issuer who had not consented to the preparation of such access devices; or (11) having knowledge of the computer aspects of an electronic funds transfer system and access to the machinery involved in its operation, did obtain something of value in excess of \$100 by unauthorized use of such system, in violation of G.L. c.167B, §21(c). (NO FINAL JURISDICTION IN DISTRICT COURT ADULTS SESSION.)

167E/13 SAVINGS BANK LOAN/DISCOUNT ON OWN STOCK c167E §13 (Effective 7/23/87)
on **[DATE OF OFFENSE:]**, being an officer or employee of a bank, as defined in G.L. c.167E, §1, did knowingly make a loan or discount on the security of the shares of its own capital stock or on the security of the shares of capital stock of a company, fifty per cent or more of the assets of which consisted of capital stock of such corporation, such security not being necessary to prevent loss upon a debt previously contracted in good faith, in violation of G.L. c.167E, §13. (PENALTY: imprisonment not more than 1 year; or not more than \$5000; or both.)

167F/4 MONEY ORDER, UNREGISTERED PERSON ISSUE c167F §4 (Effective 6/16/82)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, not being a bank, a national banking association or savings and loan association authorized to do business in this Commonwealth, or an agent of a trust company or national bank, did engage in the business of selling, issuing or registering checks or money orders, without having filed on or before January fifteenth of such year the sworn statement and the surety bond, cash or securities, required by G.L. c.167F, §4. (PENALTY for each day violation continues: not more than \$100.)

167J/4/A BANK, OFFICER, TRUSTEE, DIRECTOR, AGENT OR EMPLOYEE VIOLATE LAW c167J § 4 (Effective 4/7/15)
on **[DATE OF OFFENSE:]**, being an officer, trustee, director, agent or employee of any bank, as defined in G.L. c.167E, §1, did knowingly and willfully perform an action forbidden to him or her by G.L. c.167, including G.L. c.167A-167J, G.L. c.168, G.L. c.170, G.L. c.172 or other such laws applicable to such an officer or such a bank, in violation of G.L. c167J § 4. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

167J/4/B BANK, OFFICER, TRUSTEE, DIRECTOR, AGENT OR EMPLOYEE AID&ABET VIOL OF LAW c167J § 4 (Effective 4/7/15)
on **[DATE OF OFFENSE:]**, being an officer, trustee, director, agent or employee of any bank, as defined in G.L. c.167E, §1, did knowingly and willfully aid or abet an act forbidden to such a bank or any other officer, trustee, director, agent or employee by G.L. c.167, including G.L. c.167A-167J, G.L. c.168, G.L.

c.170, G.L. c.172 or other such laws applicable to such an officer or such a bank, in violation of G.L. c167J § 4. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

167J/4/C **BANK, OFFICER, TRUSTEE, DIRECTOR, AGENT OR EMPLOYEE FAIL TO ACT** c167J § 4 (Effective 4/7/15)
on [DATE OF OFFENSE:], being an officer, trustee, director, agent or employee of any bank, as defined in G.L. c.167E, §1, did knowingly and willfully fail to do any act required of him or her or required of the bank, when the responsibility has been imposed on him or her by the by-laws or regulations of the bank or the responsibility for the non-performance of which is placed upon him or her by law, by G.L. c.167, including G.L. c.167A-167J, G.L. c.168, G.L. c.170, G.L. c.172 or other such laws applicable to such an officer or such a bank, in violation of G.L. c167J § 4. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both. *If no other penalty against the individual is specifically provided as a result of the individual's capacity.*)

167J/5 **OFFICER, TRUSTEE, DIRECTOR, AGENT OR EMPLOYEE IMPROPER COMPENSATION** c167J §5 (Effective 4/7/15)
on [DATE OF OFFENSE:], being an officer, director, trustee, employee or attorney of a corporation, was the beneficiary of or received, directly or indirectly, any fee, commission, gift or other consideration for or in connection with any business of such corporation, in violation of G.L. c167J § 5, and such compensation was not interest on a deposit made by him or her, payment of the usual salary or fee, a reasonable fee for services rendered to such corporation, borrowed from such corporation in accordance with law, or shared commissions, profits or other benefits derived by any firm, association or corporation, in which the person is interested, arising out of any transaction with said corporation if such transaction is made in the regular course of business upon terms as favorable to the corporation as those offered to other persons. (PENALTY: from §6, imprisonment not more than 1 year; or not more than \$5000; or both.)

167J/10/A **BANK OFFICER, TRUSTEE, DIRECTOR, IMPROPER BORROWING** c167J §10 (Effective 4/7/15)
on [DATE OF OFFENSE:], being an officer, director or trustee of a bank, did borrow from or become otherwise indebted to the bank of which he or she is an officer, trustee or director, except as allowed by G.L. c167 § 2l(6), in violation of G.L. c167J § 10. (PENALTY: from §6, imprisonment not more than 1 year; or not more than \$5000; or both.)

167J/10/B **BANK IMPROPER LOAN TO OFFICER, TRUSTEE, OR DIRECTOR** c167J §10 (Effective 4/7/15)
on [DATE OF OFFENSE:], being a bank, as defined in G.L. c167J § 1, did make a loan or extend credit in any other manner to any of its officers, directors or trustees, except as allowed by G.L. c167 § 2l(6), in violation of G.L. c167J § 10. (PENALTY: from §6, imprisonment not more than 1 year; or not more than \$5000; or both.)

167J/14/A **BANK; ACQUIRE CONTROL OF W/OUT NOTICE TO COMMISSIONER** c167J §14 (Effective 4/7/15)
on [DATE OF OFFENSE:] did, directly or indirectly or through or in concert with one or more other persons, acquire control of any stock bank through a purchase, assignment, transfer, pledge or other disposition of voting stock of such bank without providing the Commissioner with 60 days written notice, containing all of the information required in G.L. c167J § 14, of the proposed acquisition, in violation of G.L. c167J § 14. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

167J/14/B **BANK; ACQUIRE CONTROL OF BEFORE EXPIR OF COMMISSIONER'S RESPONSE PERIOD** c167J §14 (Effective 4/7/15)
on [DATE OF OFFENSE:] did, directly or indirectly or through or in concert with one or more other persons, acquire control of any stock bank through a purchase, assignment, transfer, pledge or other disposition of voting stock of such bank after providing written notice to the Commissioner but before the expiration of the 60 day time period for the Commissioner to disapprove of the acquisition or after receiving notice of a 30 day extension to that time period or further extension due to failure to include material required by G.L. c167J § 14 in his or her written notice to the Commissioner and without receiving written notice that the Commissioner did not intend to disapprove of the acquisition, in violation of G.L. c167J § 14. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

167J/15/A **CORPORATION FAIL TO REPORT TRANSFER OF STOCK 10% OR GREATER** c167J §15 (Effective 4/7/15)
on [DATE OF OFFENSE:] being a registrar, transfer agent or other officer or agent of any such stock corporation having charge of its stockholders' records or ledger, did fail to report to the commissioner the transfer of stock which made the transferee the owner of record of 10% or more of the outstanding stock with voting power within 10 days of recording such transfer of stock, in violation of G.L. c167J § 15. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

167J/15/B **AGENT OR BROKER FAIL TO COMPLY W/ COMMISSIONER REQUEST FOR CLIENT NAMES** c167J §15 (Effective 4/7/15)
on [DATE OF OFFENSE:], being an agent or broker holding 10 % or more of stock in a corporation for the benefit of 1 or more persons, did fail to comply with a written request from the Commissioner to report the names of the individuals for whom they are holding the stock, in violation of G.L. c167J § 15. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

168/19 **SAVINGS BANK INSIDER LOAN** c168 §19 (Effective 3/1/94)
on [DATE OF OFFENSE:]: (1) being a savings bank incorporated pursuant to G.L. c.168, did make a loan or extend credit to one or more of its officers, directors or trustees; or (2) being such an officer, director or trustee, did borrow from or otherwise become indebted to such corporation or was surety for loans by it to others, or was an obligor for money borrowed of the corporation, not being excepted by law, in violation of G.L. c.168, §19. (PENALTY from §23A: imprisonment not more than 1 year; or not more than \$5000; or both.)

168/20 **SAVINGS BANK FL REPORT INSIDER LOAN** c168 §20 (Effective 3/1/94)
on [DATE OF OFFENSE:], being a savings bank incorporated pursuant to G.L. c.168, did fail to make an annual report to the Commissioner of Banks of any loan or extension of credit to an officer, trustee or corporator of the corporation, or to a fraternal organization, voluntary association, partnership or corporation, the majority interest of which was owned or controlled by an officer, trustee or corporator of such corporation, in violation of G.L. c.168, §20. (PENALTY from §23A: imprisonment not more than 1 year; or not more than \$5000; or both.)

168/22 **SAVINGS BANK OFFICER/EMPLOYEE VIOLATION** c168 §22 (Effective 10/17/87)
on [DATE OF OFFENSE:], being an officer, director, trustee or employee of a bank, did knowingly and willfully [DESCRIPTION OF OFFENSE:], an act forbidden to him or to such bank, or required of him or of such bank, by G.L. c.167, 167A-167G, 168 or 183, in violation of G.L. c.168, §22. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

168/23 **SAVINGS BANK CHARGE UNDISCLOSED LOAN FEE** c168 §23 (Effective 6/16/82-2/29/94)
on [DATE OF OFFENSE:]: (1) being a savings bank corporation, did negotiate, take or receive a fee, brokerage, commission, gift or other consideration, for or on account of a loan made by or on behalf of such corporation, that did not appear on the face of the note or contract by which such loan purported

to be or was made; or (2) being a trustee, officer or employee of such corporation, did ask for, take or receive a fee, brokerage, commission, gift or other consideration for or on account of a loan made or acquired by or on behalf of such corporation, not being excepted by law, in violation of G.L. c.168, §23. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

168/23 **SAVINGS BANK OFFICER/EMPLOYEE ACCEPT FEE** (Effective 3/1/94)
on **[DATE OF OFFENSE:]**, being an officer, director, trustee, employee or attorney of a savings bank incorporated pursuant to G.L. c.168, did knowingly become a beneficiary of, or did receive a fee, commission, gift or other consideration for or in connection with some business of such corporation, in violation of G.L. c.168, §23. (PENALTY from §23A: imprisonment not more than 1 year; or not more than \$5000; or both.)

168/24 **SAVINGS BANK PAY UNAUTHORIZED INTEREST** c168 §24 (Effective 12/17/83)
on **[DATE OF OFFENSE:]**, being an officer, agent, clerk or servant of a savings bank, did pay or authorize the payment of interest in violation of the provisions of G.L. c.168, §29, in violation of G.L. c.168, §24. (PENALTY: imprisonment not more than 6 months; or not more than \$1000.)

169/16 **FOREIGN MONEY DEPOSITS VIOLATION** c169 §16 (Effective 2/27/92)
on **[DATE OF OFFENSE:]**, being subject to G.L. c.169 with respect to the business of receiving deposits of money for the purpose of transmitting the same or equivalents thereof to foreign countries, did **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.169 or a rule or regulation made thereunder by the Commissioner of Banks, in violation of G.L. c.169, §16. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

169A/13 **CHECK CASHING VIOLATION** c169A §13 (Effective 7/1/94)
on **[DATE OF OFFENSE:]**, being licensed to engage in cashing checks, drafts or money orders for a consideration in excess of one dollar per item, did wilfully **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.169A or a rule or regulation adopted thereunder, in violation of G.L. c.169A, §13. (PENALTY: imprisonment not more than 1 year; or not more than \$500; or both.)

170/16 **COOPERATIVE BANK OFFICER/EMPLOYEE VIOL** c170 §16 (Effective 10/17/87)
on **[DATE OF OFFENSE:]**, being an officer, director, agent or employee of a co-operative bank incorporated as such in this Commonwealth, did knowingly and wilfully **[DESCRIPTION OF OFFENSE:]**, an act which was by G.L. c.167, 167A-167G, 170 or 183 forbidden to him or her or to such bank, or required of him or her, or required of such bank and the performance of which was imposed on him or her by the by-laws or regulations of the bank or by law or the responsibility for the non-performance of which was placed upon him or her by G.L. c.170, §13, in violation of G.L. c.170, §16. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

170/17 **COOPERATIVE BANK OFFICER/EMPLOYEE TAKE FEE** c170 §17 (Effective 3/1/94)
on **[DATE OF OFFENSE:]**, being an officer, director, trustee, employee or attorney of a co-operative bank incorporated pursuant to G.L. c.170, did knowingly become a beneficiary of, or did receive a fee, commission, gift or other consideration for or in connection with some business of such corporation, in violation of G.L. c.170, §17. (PENALTY from §19A: imprisonment not more than 1 year; or not more than \$5000; or both.)

170/19/A **COOPERATIVE BANK FL REPORT INSIDER LOAN** c170 §19 (Effective 3/1/94)
on **[DATE OF OFFENSE:]**, being a cooperative bank incorporated pursuant to G.L. c.170, did fail to make an annual report to the Commissioner of Banks of any loan or extension of credit: (1) to an officer, director or principal shareholder thereof; or (2) to an officer, director or principal shareholder of a bank holding company, as defined in G.L. c.167A, of which such corporation was a subsidiary; or (3) to an officer, director, trustee or principal shareholder of some other subsidiary of such bank holding company; or (4) to a company which was controlled by such officer, director, trustee or principal shareholder of such corporation, bank holding company or other subsidiary or in which such person was a principal shareholder, in violation of G.L. c.170, §19. (PENALTY from §19A: imprisonment not more than 1 year; or not more than \$5000; or both.)

170/19/B **COOPERATIVE BANK INSIDER LOAN** c170 §19 (Effective 3/1/94)
on **[DATE OF OFFENSE:]**: (1) being a cooperative bank incorporated pursuant to G.L. c.170, did make a loan or extend credit to one or more of its officers or directors; or (2) being such an officer or director, did borrow from or otherwise become indebted to such corporation; or (3) being such an officer, did become the owner of real estate upon which a mortgage was held by such corporation, not being excepted by law, in violation of G.L. c.170, §19. (PENALTY from §19A: imprisonment not more than 1 year; or not more than \$5000; or both.)

171/7 **CREDIT UNION, UNAUTHORIZED** c171 §7 (Effective 9/20/90)
on **[DATE OF OFFENSE:]**, not being a corporation incorporated under G.L. c.171 or corresponding provisions of earlier laws or the Federal Credit Union Act, and not being incorporated as a credit union under the laws of some other state and authorized to do business in this Commonwealth: (1) did receive payments on shares or deposits from its members and loan such payments on shares and deposits in the manner of a credit union; or (2) did transact business under a name or title containing the words "credit union", in violation of G.L. c.171, §7. (PENALTY: not more than \$1000.)

171/23 **CREDIT UNION OFFICER/EMPLOYEE VIOLATION** c171 §23 (Effective 9/20/90)
on **[DATE OF OFFENSE:]**, being an officer, director, agent or employee of a credit union, did knowingly and wilfully **[DESCRIPTION OF OFFENSE:]**, an act which was by G.L. c.167 or 171 forbidden to him or her or to such credit union, or required of him or her, or required of such credit union and the performance of which was imposed on him or her by the by-laws or regulations of the credit union or by provision of law or the responsibility for the non-performance of which was placed upon him or her by G.L. c.171, §22, in violation of G.L. c.171, §23. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

171/80B **CREDIT UNION CONVERSION DISCLOSURE VIOLATION** c171 §80B (Effective 4/7/09)
on **[DATE OF OFFENSE:]** did wilfully violate a provision of G.L. c.171, §80B concerning the required disclosures to members of a credit union concerning the potential conversion of such credit union into a credit union chartered under the laws of the United States, knowing that such disclosure was false or misleading in a material respect, in violation of G.L. c.171, §80B. (PENALTY: not more than 3 years imprisonment; or not more than \$5000 fine; or both.)

172/3 **TRUST COMPANY, UNAUTHORIZED** c172 §3 (Effective 6/16/82)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, not being a trust company, did use the words "trust company" as part of his, her or its name, or in a representation describing his, her or its business, powers, services or functions, in violation of G.L. c.172, §3. (PENALTY for each day violation continues: \$100.)

172/3 **TRUST COMPANY, UNAUTHORIZED** c172 §3 (Effective 4/7/15)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, not being a trust company, did use the words "trust

company", even if the words were separated by one or more other words, as part of his, her or its name, or in a representation describing his, her or its business, powers, services or functions, in violation of G.L. c.172, §3. (PENALTY for each day violation continues: \$100.)

172/18 **TRUST COMPANY IMPROPER LOAN TO OFFICER** c172 §18 (Effective 11/7/90)
on **[DATE OF OFFENSE:]**: (1) being an officer, as defined in G.L. c.172, §18, or director of a trust company, did borrow from or otherwise become indebted to a trust company of which he or she was an officer or director other than in a manner permitted by §18; or (2) being a trust company, did make a loan or extend credit in some other manner to one or more of such officers or directors other than in a manner permitted by §18; or (3) being a trust company, did give a preferential rate of interest or other preferential terms on a loan or extension of credit to one or more such officers or directors; or (4) being a trust company, did fail to make an annual report to the Commissioner of Banks of one or more loans or extensions of credit to such one or more such officers, directors or majority shareholders of such trust company; or (5) being a trust company, did fail to make an annual report to such Commissioner of one or more loans or extensions of credit to a fraternal organization, voluntary association, partnership or corporation, the majority interest of which was owned or controlled by an officer, director or majority shareholder of such trust company, in violation of G.L. c.172, §18. (PENALTY from §23: imprisonment not more than 1 year; or not more than \$5000; or both.)

172/20 **TRUST COMPANY OFFICER/EMPLOYEE TAKE FEE** c172 §20 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**, being an officer, director, employee or attorney of a trust company, was a beneficiary of or did receive a fee, commission, gift or other consideration for or in connection with the business of such corporation, in violation of G.L. c.172, §20. (PENALTY from §23: imprisonment not more than 1 year; or not more than \$5000; or both.)

172/21 **TRUST COMPANY OFFICER/EMPLOYEE VIOLATION** c172 §21 (Effective 10/14/87)
on **[DATE OF OFFENSE:]**, being an officer, director, agent or employee of a bank, did knowingly and wilfully **[DESCRIPTION OF OFFENSE:]**, an act which was by G.L. c.167, 167A-167G, 172 or 183 forbidden to him or her or to such bank, or required of him or her, or required of such bank and the performance of which was imposed on him or her by the by-laws or regulations of the bank or by law or the responsibility for the non-performance of which was placed upon him or her by G.L. c.172, §17, in violation of G.L. c.172, §21. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

172/26 **TRUST COMPANY STOCK TRANSFER, FAIL REPT** c172 §26 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**: (1) being the registrar, transfer agent or other officer or agent of a trust company having charge of its stockholders' records or ledger, within 10 days after recording thereon a transfer of stock of such corporation which made the transferee the owner of record of ten per cent or more of the outstanding stock with voting power, did fail to report such transfer to the Commissioner of Banks; or (2) being an agent or broker holding ten per cent or more of such stock for the benefit of one or more persons, did fail to report the names of such persons to the Commissioner of Banks upon written request of such Commissioner, in violation of G.L. c.172, §26. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

172/26A **TRUST COMPANY ACQUISITION, IMPROPER** c172 §26A (Effective 7/26/85)
on **[DATE OF OFFENSE:]**, acting directly or indirectly or through or in concert with one or more other persons, did acquire control of a trust company through a purchase, assignment, transfer, pledge or other disposition of voting stock of such bank, the Commissioner of Banks not having been given 60 days prior written notice of such proposed acquisition, or after the Commissioner had within such 60 days disapproved the proposed acquisition, or during an additional period prior to such acquisition that had been ordered by such Commissioner pursuant to G.L. c.172, §26A, in violation of G.L. c.172, §26A. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

172/31 **TRUST COMPANY FAIL MAINTAIN RESERVES** c172 §31 (Effective 6/16/82)
on **[DATE OF OFFENSE:]**: (1) being a trust company, did fail at all times to maintain, as a reserve, an amount equal to fifteen per cent of the total of its demand and time deposits withdrawable within 30 days, computed on a weekly average basis, or in such other percentage as had been determined by the Commissioner of Banks, in such composition as specified in G.L. c.172, §31; or (2) being the treasurer, or other officer or employee charged with the functions or duties usually performed by the treasurer, did fail to report in writing to such Commissioner, once in each week, the amount and composition of the reserve for each business day of the preceding week, in violation of G.L. c.172, §31. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

172A/3 **BANKING CO VIOLATION** c172A §3
on **[DATE OF OFFENSE:]**: (1) being a corporation which had changed its corporate name pursuant to G.L. c.172A, §3, did thereafter carry on business other than under G.L. c.172A; or (2) was a director of such a corporation without being a stockholder of record holding unpledged shares of stock therein, in his or her own right, of an aggregate value of not less than \$1000; or (3) being the officer or agent of such a corporation having charge of its stockholders' records or ledger did fail, within ten days after recording thereon any transfer of stock of the corporation which made the transferee the owner of record of 10% or more of its outstanding stock with voting power, to report such transfer to the Commissioner of Banks, in violation of G.L. c.172A, §3. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

172A/7B **BANKING CO LOAN SECURED BY OWN STOCK** c172A §7B
on **[DATE OF OFFENSE:]**, being the treasurer or other officer or employee of a banking corporation subject to G.L. c.172A, knowingly: (1) did make a loan in whole or in part on the security of shares of its own capital stock; or (2) did acquire or hold such shares, such acquisition not being necessary to prevent loss upon a debt previously contracted in good faith; or (3) having so acquired such shares, did fail within 6 months after their acquisition to dispose of them at public or private sale, in violation of G.L. c.172A, §7B. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

172A/8A **BANKING CO LOAN TO OFFICER** c172A §8A
on **[DATE OF OFFENSE:]**: (1) being an executive officer of a corporation authorized to do the business of a banking company under G.L. c.172A, §8A, did borrow from or otherwise become indebted to such corporation; or (2) being such a corporation, did lend or extend credit in some other manner to one or more of its own executive officers, in violation of G.L. c.172A, §8A. (PENALTY: imprisonment not more than 90 days; or not more than \$1000; or both.)

172A/10 **BANKING CO FAIL REPORT RESERVES** c172A §10
on **[DATE OF OFFENSE:]**, being the treasurer, or other officer or employee thereof charged with the duties and functions usually performed by the treasurer, of a banking corporation subject to G.L. c.172A, did neglect or fail to report in writing to the Commissioner of Banks once in each week a statement of its reserve for each business day of the preceding week, in violation of G.L. c.172A, §10. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

174A/17 **INSURANCE RATING VIOLATION** c174A §17
on **[DATE OF OFFENSE:]** did wilfully **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.174A, in violation of G.L. c.174A, §17. (PENALTY:

not more than \$500.)

175/4 INSURANCE EXAMINATION, OBSTRUCT c175 §4

on **[DATE OF OFFENSE:]** without justifiable cause: (1) did neglect upon due summons to appear and testify before the Commissioner of Insurance or his or her authorized representative during an examination or investigation pursuant to G.L. c.175, §4; or (2) did obstruct such Commissioner or representative in making such an examination or investigation, in violation of G.L. c.175, §4. (PENALTY: imprisonment not more than 1 year; or not more than \$1000.)

175/18 INSURANCE CO IMPROPER ADVERTISEMENT c175 §18

(Effective 6/10/64)

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer or agent thereof, did issue or circulate advertisements in this Commonwealth: (1) that were not headed or entitled only by its corporate name, not being excepted by G.L. c.175, §18, §102A, §110C, §111A, §117A, §117B, or G.L. c.152, §56; or (2) that published its assets but failed in the same connection and with equal conspicuousness to publish its liabilities, both computed on the basis allowed for its annual statements; or (3) that purported to show its capital but failed to exhibit only the amount of such capital as had been actually paid in cash, in violation of G.L. c.175, §18. (PENALTY: not less than \$50, not more than \$500.)

175/20 REINSURANCE VIOLATION c175 §20

(Effective 2/9/94)

on **[DATE OF OFFENSE:]**, being an insurance company or an officer or agent thereof, did **[DESCRIPTION OF OFFENSE:]**, and thereby did effect or act in the negotiation of reinsurance contrary to a provision of G.L. c.175, §20, in violation of G.L. c.175, §20. (PENALTY: \$500.)

175/21 INSURANCE CO FAIL REINSURE LARGE RISK c175 §21

(Effective 2/9/94)

beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an insurance company other than a life company, did insure in a single risk an amount larger than one tenth of its surplus to policyholders, without having reinsured, as provided in G.L. c.175, §20, the excess over such limit to take effect simultaneously with the original contract, in violation of G.L. c.175, §21. (PENALTY for each day that violation continues: \$5000.)

175/22B INSURANCE CO POLICY WITH C.175 WAIVER c175 §22B

on **[DATE OF OFFENSE:]**, being an insurance company or an officer, agent or employee thereof, or an insurance broker, did make, issue or deliver a policy of insurance or an annuity or pure endowment contract, or did make or procure the making of, solicit or accept an oral or written agreement, containing a waiver or a provision for a waiver by an applicant for, or the insured under or holder of, such a policy or contract, of one or more provisions of G.L. c.175, §22B, other than as expressly authorized thereby, in violation of G.L. c.175, §22B. (PENALTY: forfeiture of not less than \$100, not more than \$500.)

175/23 INSURANCE CO ISSUE POLICY, INSOLVENT c175 §23

on **[DATE OF OFFENSE:]**, being a life company whose actual funds, exclusive of its capital, were not of a net cash value equal to its liabilities, including the net value of its policies, computed by the rules of valuation established by G.L. c.175, §9 and §11, or an officer or agent thereof, did issue one or more new policies of life or endowment insurance or annuity or pure endowment contracts before its funds had become equal to its liabilities and it had obtained from the Commissioner of Insurance a certificate to that effect with authority to resume business, in violation of G.L. c.175, §23. (PENALTY: forfeiture of not more than \$1000.)

175/26 INSURANCE CO FAIL FILE ANNUAL REPORT c175 §26

beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an insurance company, did neglect to make and file with the Commissioner of Insurance its annual statement on or before March 1, or sixty days from such date as had been authorized by such Commissioner, showing its financial condition on December 31 of the previous year or such other date as the Commissioner had authorized for such year, and its business of that year, in the form prescribed by such Commissioner pursuant to G.L. c.175, §25, in violation of G.L. c.175, §26. (PENALTY for each day during which such neglect continues: forfeiture of \$100.)

175/45 INSURANCE CO, IMPROP FOREIGN BUSINESS BY c175 §45

on **[DATE OF OFFENSE:]**: (1) being a domestic insurance company, did establish an agency or appoint an agent or other person to solicit, negotiate, continue or renew contracts of insurance on lives, property or interests in, or annuity or pure endowment contracts with residents of, a state, district or territory where such company had not been lawfully authorized to transact business; or (2) being such a company or an officer or agent thereof, did pay or allow or offer to pay or allow compensation or something of value to such a person for soliciting, negotiating, continuing or renewing such contracts, in violation of G.L. c.175, §45. (PENALTY: not less than \$300.)

175/60 INSURANCE CO OFFICER FAIL POST BOND c175 §60

(Effective 1/16/87)

on **[DATE OF OFFENSE:]**, being the secretary, treasurer, an assistant secretary, or an assistant treasurer of a domestic stock insurance company, did enter upon or perform one or more of the duties of such office without having previously executed a bond or being covered by a blanket bond in compliance with G.L. c.175, §60, in violation of G.L. c.175, §60. (PENALTY: not less than \$100, not more than \$500.)

175/73/A INSURANCE CO ADVANCE PAYMNT VIOL, MUTUAL c175 §73

on **[DATE OF OFFENSE:]**, being an officer or director of a mutual fire company, did fail to hold in trust, and did use or permit to be used for some purpose, one or more premiums paid by subscribers in whole or in part, pending the issuance of the certificate required by G.L. c.175, §32, in violation of G.L. c.175, §73. (PENALTY: imprisonment not less than 1 month, not more than 2½ years; or not less than \$100, not more than \$1000; or both.)

175/73/B INSURANCE CO VIOLATION, MUTUAL c175 §73

on **[DATE OF OFFENSE:]**, being a mutual fire company, or an officer, director, agent or other representative thereof, did **[DESCRIPTION OF OFFENSE:]**, in violation of G.L. c.175, §73. (PENALTY: imprisonment not more than 1 year; or not less than \$50, not more than \$500; or both.)

175/85 INSURANCE CO GUARANTY ASSESSMENT, MUTUAL c175 §85

on **[DATE OF OFFENSE:]**, being a director or other officer of a mutual fire company, did officially or privately give a guaranty to a policyholder thereof against an assessment to which he or she would otherwise be liable, in violation of G.L. c.175, §85. (PENALTY: not more than \$100.)

175/101F INSURANCE CO FAIL COMPLY W/REFEREE, FIRE c175 §101F

on **[DATE OF OFFENSE:]**, being a company, or an officer, agent, adjuster or representative thereof having authority to represent the company in respect to a reference proceeding, did wilfully **[DESCRIPTION OF OFFENSE:]**, and thereby did wilfully refuse to comply with one or more provisions of G.L. c.175, §100, §100A or §100D, in violation of G.L. c.175, §101F. (PENALTY: not less than \$100, not more than \$500.)

175/122 INSURANCE CO, RACIAL DISCRIM BY LIFE c175 §122

on **[DATE OF OFFENSE:]**, being a life company or an officer or agent thereof: (1) did make some distinction or discrimination between white persons and colored persons wholly or partly of African descent as to the premiums or rates charged for policies upon the lives of such persons; or (2) did demand or require greater premiums from such colored persons than were at that time required by such company from white persons of the same age, sex, general condition of health and prospect of longevity; or (3) did make or require some rebate, diminution or discount upon the amount to be paid on such policy in case of the death of such colored person insured; or (4) did insert in the policy some condition, or make some stipulation, whereby such person insured did bind himself or herself or his or her heirs, executors, administrators and assigns to accept some amount less than the full value or amount of such policy in case of a claim accruing thereon, by reason of the death of such person insured, other than such as were imposed upon white persons in similar cases; or (5) having refused the application of such a colored person for insurance upon such person's life, did fail to furnish such person, on his or her request therefor, with the certificate of a regular examining physician of such company who made the examination, stating that such refusal was not because such applicant was a person of color, but solely upon such grounds of the general health and prospect of longevity of such person as would be applicable to white persons of the same age and sex, in violation of G.L. c.175, §122. (PENALTY: not more than \$100.)

175/123 **INSURANCE VIOLATION, LIFE c175 §123** (Effective 4/15/90)
on **[DATE OF OFFENSE:]**: (1) being a life insurance company, did issue a policy of life or endowment insurance in this Commonwealth other than upon a written application therefor signed or assented to in writing by the person to be insured, not being excepted by law; or (2) being a life insurance company, did accept or take action on a written request to change the designation of beneficiary under a policy of life or endowment insurance without the signature of the person requesting the change having been witnessed by a disinterested person; or (3) being a life insurance company that had received and accepted a change of designation of beneficiary, did fail to provide written notice of the change to the insured at the owner's last known address; or (4) did solicit or effect, or attempt to effect, a contract of insurance contrary to the provisions of G.L. c.175, §123, in violation of G.L. c.175, §123. (PENALTY: not more than \$100.)

175/127/A **INSURANCE CLAIM, FALSE LIFE c175 §127**
on **[DATE OF OFFENSE:]** did wilfully make a false statement of some material fact or thing in a sworn statement as to the death or disability of a policyholder in a company transacting life or endowment insurance under G.L. c.175, for the purpose of procuring payment of a benefit named in the policy, and thereby was guilty of perjury, in violation of G.L. c.175, §127. (NO DISTRICT COURT FINAL JURISDICTION IN ADULT SESSION.)

175/127/B **INSURANCE, FALSE STATEMENT RE LIFE c175 §127**
on **[DATE OF OFFENSE:]** knowingly or wilfully: (1) did make a false or fraudulent statement or representation in or relative to an application for life or endowment insurance; or (2) did make such a statement for the purpose of obtaining a fee, commission or money, or benefit in a company transacting such business under G.L. c.175, in violation of G.L. c.175, §127. (PENALTY: imprisonment not less than 1 month, not more than 1 year; or not less than \$100, not more than \$500; or both.)

175/156A **INSURANCE CO OPERATE IMPROP, FOREIGN c175 §156A**
on **[DATE OF OFFENSE:]**: (1) being a foreign insurance company, other than a life company, whose capital stock or guaranty or deposit capital was reduced below the amounts required by G.L. c.175, §151, §152 or §155, or was impaired on the basis fixed by G.L. c.175, §10-§12, or whose net cash assets, computed on such basis, or whose contingent assets, required by G.L. c.175, §151 or §152, became less than the amounts required as aforesaid; or (2) being a foreign insurance company whose license had been revoked or suspended as provided in G.L. c.175, §156A; or (3) being an officer or agent of any such company, did issue one or more policies or make one or more contracts of insurance in this Commonwealth before such capital stock, guaranty or deposit capital or assets had been restored to the amounts required as aforesaid, or such license had been restored by the Commissioner of Insurance, in violation of G.L. c.175, §156A. (PENALTY: not less than \$100, not more than \$1000.)

175/157 **INSURANCE CO RESIDENT AGENT VIOL, FOREIGN c175 §157**
on **[DATE OF OFFENSE:]**: (1) being a foreign insurance company admitted to do business in this Commonwealth, did make one or more contracts of insurance upon lives, property or interests therein, or annuity or pure endowment contracts on lives therein, or contracts of suretyship with or in favor of residents thereof, other than by a lawfully constituted and licensed resident agent therein; or (2) being such a company or an officer or agent thereof, did issue or deliver, or cause or permit to be issued or delivered, in this Commonwealth an instrument evidencing such a contract, or evidencing insurance or coverage thereunder, that was not countersigned by such a resident agent of the company in this Commonwealth, not being excepted by law; or (3) did sell or finance the purchase or sale of a motor vehicle or trailer registered or to be registered in this Commonwealth, or did lend money upon such a motor vehicle or trailer as security, or as an agent of such a seller or lender, did deliver, or cause or permit to be delivered to the purchaser or borrower a policy of insurance or bond issued or executed by such a foreign company insuring or covering him or her or his or her interest in such motor vehicle or trailer against a risk or hazard connected with such motor vehicle or trailer, or an instrument evidencing such insurance or coverage, that was not countersigned by a lawfully constituted and licensed resident agent of such company in this Commonwealth, in violation of G.L. c.175, §157. (PENALTY: not less than \$50, not more than \$500.)

175/160 **INSURANCE CO, AGENT FOR UNLIC FOREIGN c175 §160**
on **[DATE OF OFFENSE:]**, for a person other than himself or herself, did act or aid in some manner in the negotiation, continuation, or renewal of a policy of insurance or an annuity or pure endowment contract with a foreign company not lawfully admitted to issue such policies or contracts in this Commonwealth, not being excepted by law, in violation of G.L. c.175, §160. (PENALTY: not less than \$100, not more than \$500, to be divided equally between the Commonwealth and the complainant.)

175/160A **INSURANCE CO, ADVERTISE UNLIC FOREIGN c175 §160A**
on **[DATE OF OFFENSE:]**: (1) did print or publish, or cause to be printed or published, in a newspaper, magazine, pamphlet or other periodical an advertisement for or on behalf of a foreign company or fraternal benefit society not licensed to transact business in this Commonwealth, wherein such company or society solicited, or which was designed or intended to solicit or induce, residents of this Commonwealth to take out policies of insurance, annuity or pure endowment contracts or benefit certificates issued or made by such company or society, or to act in some manner in the solicitation of applications for, or to negotiate or act or aid in the negotiation of, such policies, contracts or certificates, or to collect premiums thereon; or (2) did transmit or publish such an advertisement for or on behalf of such a company or society from a radio broadcasting station located in this Commonwealth, in violation of G.L. c.175, §160A. (PENALTY: not less than \$50, not more than \$500.)

175/162I **INSURANCE SALE, UNLICENSED c175 §162I** (effective 1/1/03)
on **[DATE OF OFFENSE:]** did sell, solicit or negotiate insurance in this Commonwealth for a class or classes of insurance for which he or she was not licensed for that line of authority in accordance with G.L. c.175, §§162H-162X, in violation of G.L. c.175, §162I. (PENALTY from §194: not more than \$500 fine.)

175/162S **INSURANCE AGENT, UNAUTHORIZED** c175 §162S(a) (effective 1/1/03)
on **[DATE OF OFFENSE:]**, being an insurance producer, did act as an agent of an insurer, as such terms are defined in G.L. c.175, §162H, without having become an appointed agent of such insurer, in violation of G.L. c. 175, §162S(a). (PENALTY from §194: not more than \$500 fine.)

175/163 **INSURANCE AGENT, UNLICENSED** c175 §163 (effective thru 12/31/02)
on **[DATE OF OFFENSE:]**, not being a duly licensed insurance broker or an officer of a domestic company acting under G.L. c.175, §165, did act as an insurance agent, as defined in G.L. c.175, §162, without such license or during a suspension of his or her license, in violation of G.L. c.175, §163. (PENALTY: not less than \$20, not more than \$500.)

175/166 **INSURANCE BROKER, UNLICENSED** c175 §166 (effective thru 12/31/02)
on **[DATE OF OFFENSE:]**, not being a duly licensed insurance agent of the company in which a policy of insurance or an annuity or pure endowment contract was effected or an officer of a domestic company acting under G.L. c.175, §165, did act as an insurance broker, as defined in G.L. c.175, §162, without such license or during a suspension of his or her license, in violation of G.L. c.175, §166. (PENALTY: not less than \$20, not more than \$500.)

175/168 **INSURANCE SPECIAL BROKER VIOLATION** c175 §168
on **[DATE OF OFFENSE:]**, being licensed as a special insurance broker under G.L. c.175, §168: (1) having negotiated, continued or renewed one or more contracts of insurance in an unauthorized foreign company, did neglect to make and file the affidavit and statements required by G.L. c.175, §168; or (2) did wilfully make a false such affidavit or statement; or (3) or did negotiate, continue or renew one or more contracts of insurance after the revocation or during the suspension of his or her license, in violation of G.L. c.175, §168. (PENALTY: imprisonment not more than 1 year; or not less than \$100, not more than \$500; or both; and "shall forfeit his license if not previously revoked".)

175/170 **INSURANCE AGENT/BROKER, FRAUD BY** c175 §170
on **[DATE OF OFFENSE:]**, being an insurance agent or broker, did knowingly procure by fraudulent representations payment or the obligation for the payment of a premium on a policy of insurance or an annuity or pure endowment contract, in violation of G.L. c.175, §170. (PENALTY: imprisonment not more than 1 year; or not less than \$100, not more than \$1000.)

175/172/A **INSURANCE FIRE LOSS ADJUSTER, UNLICENSED** c175 §172 (Effective 7/31/80-12/4/02)
on **[DATE OF OFFENSE:]** did act as an adjuster of fire losses, as defined in G.L. c.175, §162, without having been licensed to do so by the Commissioner of Insurance or during a suspension of his or her license, in violation of G.L. c.175, §172. (PENALTY: imprisonment not more than 6 months; or not more than \$200.)

175/172/A **PUBLIC INSURANCE ADJUSTER, UNLICENSED** c175 §172 (Effective 12/5/02)
on **[DATE OF OFFENSE:]** did act in the Commonwealth as a public insurance adjuster, as defined in G.L. c.175, §162, without having been licensed to do so by the Commissioner of Insurance, or during a suspension of his or her license, in violation of G.L. c.175, §172. (PENALTY: imprisonment not more than 6 months; or not more than \$10,000 fine.)

175/172/B **INSURANCE FIRE LOSS ADJUSTMENT, IMPROPER** c175 §172 (Effective 8/2/90-12/4/02)
on **[DATE OF OFFENSE:]**, being licensed as an adjuster of fire losses pursuant to G.L. c.175, §172, did make a contract for the adjustment or appraisal of a fire loss without such contract being in writing in a form approved by the Commissioner of Insurance, in violation of G.L. c.175, §172. (PENALTY: imprisonment not more than 6 months; or not more than \$200.)

175/172/B **PUBLIC INSURANCE ADJUSTER VIOLATION** c175 §172 (Effective 12/5/02)
on **[DATE OF OFFENSE:]**, being licensed as a public insurance adjuster pursuant to G.L. c.175, §172, did **[DESCRIPTION OF VIOLATION:]**, in violation of G.L. c.175, §172. (PENALTY: imprisonment not more than 6 months; or not more than \$10,000 fine.)

175/173 **INSURANCE PARTNERSHIP BREAKUP VIOLATION** c175 §173
on **[DATE OF OFFENSE:]**, being licensed as a specified partner of a partnership to which a license described in G.L. c.175, §163, §166, §167, §168 or §172 had been issued: (1) did fail to give notice forthwith to the Commissioner of Insurance of the termination of such partnership; or (2) did act under such license after such partnership was terminated, in violation of G.L. c.175, §173. (PENALTY: not less than \$20, not more than \$500.)

175/174/A **INSURANCE CORP IMPROP INSURE STOCKHOLDER** c175 §174
on **[DATE OF OFFENSE:]**: (1) being a corporation licensed under G.L. c.175, §174, or an officer, director, agent or employee thereof, did issue, place or negotiate, or negotiate the continuance or renewal of, or offer to issue, place or negotiate, or offer to negotiate the continuance or renewal of, a policy of insurance insuring or in favor of a stockholder in such corporation, other than an officer or director thereof specified in its license, the premiums for which exceeded two per cent of the total premiums written by such corporation; or (2) being a stockholder of such a corporation, did place or procure through, or accept from, such corporation or an officer, director, agent or employee thereof, a policy of insurance, or a continuance or renewal thereof, insuring or in favor of such stockholder, other than an officer or director thereof specified in its license, the premiums for which exceeded two per cent of the total premiums written by such corporation, in violation of G.L. c.175, §174. (PENALTY for corporate violation: not less than \$200, not more than \$1000; penalty for individual violation: imprisonment not more than 30 days; or not less than \$100, not more than \$1000; or both.)

175/174/B **INSURANCE CORP INQUIRY, OBSTRUCT** c175 §174 (Effective thru 12/31/02)
on **[DATE OF OFFENSE:]**, being an officer, director, agent or employee of a corporation to which a license described in G.L. c.175, §163, §166, §167, §168 or §172 had been issued: (1) did fail or refuse to furnish the Commissioner of Insurance within 10 days after written request therefor, in such form as he or she had required, such information as such Commissioner deemed necessary in respect to such corporation, its officer, directors or affairs; or (2) did refuse to submit to such examination of its books and affairs as such Commissioner deemed necessary; or (3) did obstruct such Commissioner or his or her deputies or examiners in the making of such examination, in violation of G.L. c.175, §174. (PENALTY from G.L. c.175, §4: imprisonment not more than 1 year; or not more than \$1000.)

175/174/B **INSURANCE CORP INQUIRY, OBSTRUCT** c175 §174 (Effective 1/1/03)
on **[DATE OF OFFENSE:]**, being an officer, director, agent or employee of a corporation to which a license described in G.L. c.175, §162M, §162N, §168 or §172 had been issued: (1) did fail or refuse to furnish the Commissioner of Insurance within 10 days after written request therefor, in such form as he or she had required, such information as such Commissioner deemed necessary in respect to such corporation, its officer, directors or affairs; or (2) did refuse to submit to such examination of its books and affairs as such Commissioner deemed necessary; or (3) did obstruct such Commissioner or his or her deputies or examiners in the making of such examination, in violation of G.L. c.175, §174. (PENALTY from G.L. c.175, §4: imprisonment not more than

1 year; or not more than \$1000.)

175/174/C INSURANCE CORP STOCK TO INSURED, TRANSFER c175 §174

on **[DATE OF OFFENSE:]**: (1) being a corporation licensed under G.L. c.175, §174, or an officer, director, agent or employee thereof, did issue, sell or give, or assent to, or record the transfer of, or offer to issue, sell, give or transfer, one or more shares of its capital stock to a person other than an officer or director of such corporation, when there was in effect a policy of insurance issued, placed or negotiated, or the continuance or renewal whereof was negotiated, by or on behalf of such corporation insuring such person or in his or her favor; or (2) being a stockholder of such corporation, did sell, give or transfer, or offer to sell, give or transfer, one or more shares of such capital stock to such a person; or (3) not being an officer or director of such corporation, did accept or hold one or more shares of such capital stock when there was in effect such an insurance policy insuring him or her or in his or her favor, in violation of G.L. c.175, §174. (PENALTY for corporate violation: not less than \$200, not more than \$1000; penalty for individual violation: imprisonment not more than 30 days; or not less than \$100, not more than \$1000; or both.)

175/174/D INSURANCE CORP VIOLATION c175 §174

on **[DATE OF OFFENSE:]**: (1) being the clerk or corresponding officer of a corporation to which a license described in G.L. c.175, §163, §166, §167, §168 or §172 had been issued, did fail to file with the Commissioner of Insurance within 30 days after the adoption thereof, duly certified copies of one or more amendments to the articles of organization; or (2) being such clerk or corresponding officer, did fail at once to notify such Commissioner in writing of the dissolution of such corporation; or (3) being specified in the license of such corporation as an officer or director, did act under such license after the dissolution of such corporation, in violation of G.L. c.175, §174. (PENALTY: not less than \$20, not more than \$500.)

175/174B INSURANCE LICENSE, FAIL RETURN REVOKED c175 §174B

(Effective thru 12/31/02)

on **[DATE OF OFFENSE:]**, being a person licensed under G.L. c.175, §163, §166, §167, §168 or §172, or a partnership licensed under G.L. c.175, §173, or a corporation licensed under G.L. c.175, §174, upon the revocation of such person's or corporation's license, or upon the revocation of such partnership's license as to all the members of the firm, and upon demand: (1) did neglect or refuse forthwith to surrender such license or the renewal certificate thereof to the Commissioner of Insurance; or (2) did neglect or refuse forthwith to make an affidavit in such form as such Commissioner required, stating that such license or renewal certificate had been lost, stolen or destroyed; or (3) did knowingly and wilfully make a false affidavit stating that such license or renewal certificate had been lost, stolen or destroyed, in violation of G.L. c.175, §174B. (PENALTY: not less than \$100, not more than \$500.)

175/174B INSURANCE LICENSE, FAIL RETURN REVOKED c175 §174B

(Effective 1/1/03)

on **[DATE OF OFFENSE:]**, being a person licensed under G.L. c.175, §162M, §162N, §168 or §172, or a partnership licensed under G.L. c.175, §173, or a corporation licensed under G.L. c.175, §174, upon the revocation of such person's or corporation's license, or upon the revocation of such partnership's license as to all the members of the firm, and upon demand: (1) did neglect or refuse forthwith to surrender such license or the renewal certificate thereof to the Commissioner of Insurance; or (2) did neglect or refuse forthwith to make an affidavit in such form as such Commissioner required, stating that such license or renewal certificate had been lost, stolen or destroyed; or (3) did knowingly and wilfully make a false affidavit stating that such license or renewal certificate had been lost, stolen or destroyed, in violation of G.L. c.175, §174B. (PENALTY: not less than \$100, not more than \$500.)

175/175 INSURANCE AGENT/BROKER, UNLICENSED c175 §175

on **[DATE OF OFFENSE:]**: (1) not being duly licensed as an insurance agent or broker or as an adjuster of fire losses, did represent or hold himself or herself out to the public as being such an agent, broker or adjuster, or as being engaged in the insurance business, by means of advertisements, cards, circulars, letterheads, signs or other methods; or (2) being duly licensed as such agent, broker or adjuster, did so advertise or carry on such business in some other name than that stated in such license, in violation of G.L. c.175, §175. (PENALTY: not less than \$10, not nor more than \$100.)

175/177 INSURANCE AGENT/BROKER, COMPENSATE UNLIC c175 §177

(Effective thru 12/31/02)

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer, agent or employee thereof, or a duly licensed insurance broker, did pay, allow, or offer or agree to pay or allow, compensation or something of value to a person, other than an officer of a domestic insurance company acting under G.L. c.175, §165, for acting in this Commonwealth as an insurance agent or as an insurance broker, both as defined in G.L. c.175, §162, who was not then duly licensed as an insurance agent of the company for which he or she assumed to act or as an insurance broker, in violation of G.L. c.175, §177. (PENALTY: not less than \$20, not more than \$200.)

175/177 INSURANCE PRODUCER, COMPENSATE UNLIC c175 §177

(Effective 1/1/03)

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer, agent or employee thereof, or a duly licensed insurance producer, did pay, allow, or offer or agree to pay or allow, compensation or something of value to a person, other than an officer of a domestic insurance company acting under G.L. c.175, §165, for acting in this Commonwealth as an insurance producer, as defined in G.L. c.175, §162H, who was not then duly licensed as an insurance producer, in violation of G.L. c.175, §177. (PENALTY: not less than \$20, not more than \$200.)

175/177B INSURANCE ADVISER, UNLICENSED c175 §177B

on **[DATE OF OFFENSE:]** did act as an insurance adviser, as defined in G.L. c.175, §177A, without being licensed as such by the Commissioner of Insurance, or during a suspension of such license, in violation of G.L. c.175, §177B. (PENALTY: imprisonment not more than 6 months; or not less than \$50, not more than \$500; or both.)

175/177D INSURANCE ADVISER FAIL GIVE STATEMENT c175 §177D

on **[DATE OF OFFENSE:]**, being an insurance adviser who furnished advice or counsel as such adviser, made a recommendation or gave information other than under the terms of a previously executed written contract conforming to G.L. c.175, §177C and in full force and effect, did fail thereupon to give to the recipient thereof a statement in writing, signed by him or her, in a form currently approved by the Commissioner of Insurance, specifying the advice, counsel, recommendation or information given, and a receipt, in a form currently approved by such Commissioner, for the fee paid to him or her, or a statement, in a form currently approved by such Commissioner, of the fee to be received by him or her therefor, in violation of G.L. c.175, §177D. (PENALTY: not less than \$50, not more than \$500.)

175/177O REINSURANCE INTERMEDIARY, UNLICENSED c175 §177O

(Effective 2/9/94)

on **[DATE OF OFFENSE:]** did act as a reinsurance intermediary, as defined in G.L. c.175, §177N, without a license, in violation of G.L. c.175, §177O. (PENALTY: imprisonment not more than 6 months; or not less than \$1000; or both.)

175/181 INSURANCE CO/AGENT, MISREPRESENTATION BY c175 §181

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer or agent thereof, or an insurance broker or insurance adviser: (1) did make, issue, circulate or use, or cause or permit to be made, issued, circulated or used, a written or oral statement misrepresenting the terms of a policy of insurance

or an annuity or pure endowment contract issued or to be issued by a company, or the benefits or privileges promised thereunder; or (2) did make to a person insured under a policy of insurance or holding an annuity or pure endowment contract a written or oral misrepresentation or misleading representation in respect to the terms, benefits or privileges of a policy of insurance or an annuity or pure endowment contract, or a written or oral incomplete or misleading comparison of such a policy or contract or of the terms, benefits or privileges thereof with some other such policy or contract or the terms, benefits or privileges thereof, in order to induce, or which tended to induce, such person to lapse, forfeit or surrender the policy issued to him or her or the contract held by him or her, or to alter or convert it into, or to exchange it for, some other such policy or contract, in violation of G.L. c.175, §181. (PENALTY: imprisonment not more than 6 months; or not more than \$1000.)

175/187B/A INSURANCE PREMIUM, FAIL REFUND c175 §187B

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer, agent or employee thereof, having actual knowledge that the insured under a policy of insurance had paid the premium thereon to the company, or to its agent who issued the policy, or to the duly licensed insurance broker who negotiated it or its continuance or renewal: (1) did cancel or offer or attempt to cancel such policy, which provided for cancellation by the company upon giving written notice and paying or tendering to the insured a return premium, without paying or tendering to him or her with such notice the full return premium thereunder according to its terms without any deductions; or (2) did refuse to pay or tender to the insured the full return premium according to its terms without any deductions upon demand after cancellation by the insured of such policy, which provided for cancellation by the insured and for the payment to him or her of a return premium; or (3) did refuse to pay or tender to the insured the full return premium according to the terms of the policy without any deductions, upon demand after the full return premium had been ascertained, in the case of such a policy which provided for cancellation by the company upon written notice without paying or tendering the return premium until it had been ascertained, or upon demand after cancellation in the case of such a policy which provided for cancellation by the company upon giving written notice and for the payment of the return premium upon demand after cancellation, in violation of G.L. c.175, §187B. (PENALTY: not less than \$50, not more than \$200.)

175/187B/B INSURANCE PREMIUM, FAIL REFUND, SUBSQ. OFF. c175 §187B

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer, agent or employee thereof, having actual knowledge that the insured under a policy of insurance had paid the premium thereon to the company, or to its agent who issued the policy, or to the duly licensed insurance broker who negotiated it or its continuance or renewal: (1) did cancel or offer or attempt to cancel such policy, which provided for cancellation by the company upon giving written notice and paying or tendering to the insured a return premium, without paying or tendering to him or her with such notice the full return premium thereunder according to its terms without any deductions; or (2) did refuse to pay or tender to the insured the full return premium according to its terms without any deductions upon demand after cancellation by the insured of such policy, which provided for cancellation by the insured and for the payment to him or her of a return premium; or (3) did refuse to pay or tender to the insured the full return premium according to the terms of the policy without any deductions, upon demand after the full return premium had been ascertained, in the case of such a policy which provided for cancellation by the company upon written notice without paying or tendering the return premium until it had been ascertained, or upon demand after cancellation in the case of such a policy which provided for cancellation by the company upon giving written notice and for the payment of the return premium upon demand after cancellation, the defendant having previously been convicted of such an offense, in violation of G.L. c.175, §187B. (PENALTY: imprisonment not more than 6 months; or not less than \$100, not more than \$500.)

175/187C INSURANCE CANCELLATION AFFIDAVIT, FALSE c175 §187C

on **[DATE OF OFFENSE:]** did knowingly and wilfully make a false affidavit under G.L. c.175, §187C, in violation of G.L. c.175, §187C. (PENALTY: imprisonment not more than 1 year; or not less than \$100, not more than \$1000.)

175/188 INSURANCE CO PROXY, IMPROP USE MUTUAL c175 §188

on **[DATE OF OFFENSE:]**, being a paid officer of a domestic mutual company, did ask for, receive or procure to be obtained or use a proxy to vote contrary to a provision of G.L. c.175, §76 or §94, in violation of G.L. c.175, §188. (PENALTY: not less than \$100, not more than \$300.)

175/189 INSURANCE POLICY IN VIOLATION C.175 c175 §189

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer or agent thereof, did make, issue or deliver a policy of insurance or an annuity or pure endowment contract, contrary to a provision of G.L. c.175, to wit: **[PROVISION OF G.L. C.175 VIOLATED:]**, in violation of G.L. c.175, §189. (PENALTY: not less than \$50, not more than \$500.)

175/191 INSURANCE CO FAIL FILE REQUESTED INFO c175 §191

on **[DATE OF OFFENSE:]**, being an insurance company, or an officer or agent thereof, did fail to submit for inspection by the Commissioner of Insurance copies of a policy form used by the company, or a form of some rider, endorsement or application used in connection therewith, or some circular or other advertising matter issued by it in this Commonwealth, within 30 days after receipt of a written request therefor, in violation of G.L. c.175, §191. (PENALTY: not less than \$100, not more than \$500.)

175/194 INSURANCE VIOLATION c175 §194

on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF VIOLATION:]**, contrary to a provision of G.L. c.175, in violation of G.L. c.175, §194. (PENALTY: not more than \$500.)

175A/18 INSURANCE RATING VIOLATION c175A §18

on **[DATE OF OFFENSE:]** did wilfully **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.175A, in violation of G.L. c.175A, §18. (PENALTY: not more than \$500.)

175E/12 MOTOR VEH INSURANCE RATING VIOL c175E §12

on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.175E, in violation of G.L. c.175E, §12. (PENALTY: imprisonment not more than 1 year; or not more than \$10,000; or both.) (Effective 8/4/76)

175F/18 MEDICAL MALPRACTICE INSURANCE TRUST VIOL c175F §18

on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.175F, in violation of G.L. c.175F, §18. (PENALTY: not more than \$500.) (Effective 12/23/77)

175H/2 HEALTH CARE CLAIM, FALSE c175H §2

on **[DATE OF OFFENSE:]**: (1) did knowingly and wilfully make or cause to be made a false statement or representation of a material fact in an application for a payment of a health care benefit; or (2) did knowingly and wilfully present or cause to be presented an application for a health care benefit containing a false statement or representation of a material fact; or (3) did knowingly and wilfully make or cause to be made a false statement or representation of a (Effective 2/25/89)

material fact for use in determining rights to a health care benefit; or (4) having knowledge of the occurrence of an event affecting his or her initial or continued right to a health care benefit, did conceal or fail to disclose such an event with an intent to fraudulently secure such benefit either in a greater amount than was due or when no such benefit was due; or (5) having knowledge of the occurrence of an event affecting the health care benefit of some other individual in whose behalf he or she had made or presented an application for such benefit, or in whose behalf he or she was receiving a health care benefit, did conceal or fail to disclose such event with an intent to fraudulently secure such benefit either in a greater amount than was due or when no such benefit was due, in violation of G.L. c.175H, §2. (PENALTY: state prison not more than 5 years; or jail or house of correction not more than 2½ years; or not more than \$10,000 fine; or both such imprisonment and fine; [§ 7:] and mandatory restitution, including reasonable attorneys fees and costs, including costs of investigation.)

175H/3 **HEALTH CARE KICKBACK** c175H §3 (Effective 2/25/89)
on [DATE OF OFFENSE:]: (1) did solicit or receive remuneration in cash or in kind in return for purchasing, leasing, ordering or arranging for or recommending purchasing, leasing, or ordering of some good, facility, service, or item for which payment was or might have been made in whole or in part by a health care insurer; or (2) did offer or pay remuneration to induce a person to purchase, lease, order or arrange for or recommend purchasing, leasing or ordering of some good, facility, service, or item for which payment was or might have been made in whole or in part by a health care insurer, in violation of G.L. c.175H, §3. (PENALTY: state prison not more than 5 years; or jail or house of correction not more than 2½ years; or not more than \$10,000 fine; or both such imprisonment and fine; [§ 7:] and mandatory restitution, including reasonable attorneys fees and costs, including costs of investigation.)

175I/22 **INSURANCE INFO, GET ON FALSE PRETENSES** c175I §22 (Effective 7/1/92)
on [DATE OF OFFENSE:] did knowingly and willfully obtain information about an individual from an insurance institution, insurance representative or insurance-support organization under false pretenses, in violation of G.L. c.175I, §22. (PENALTY: imprisonment not more than 1 year; or not more than \$10,000; or both.)

176/11 **FRATERNAL BENEFIT SOC RECORDS, ALTER** c176 §11 (Effective 1/1/59)
on [DATE OF OFFENSE:], with fraudulent intent, did alter, deface, mutilate, destroy or conceal one or more records of a fraternal benefit society made by or in the custody of the secretary thereof, in violation of G.L. c.176, §11. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

176/41 **FRATERNAL BENEFIT SOC FAIL FILE ANN REPT** c176 §41 (Effective 1/1/59)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a fraternal benefit society, did neglect to file with the Commissioner of Insurance the annual statement of its financial condition, transactions and affairs for the preceding calendar year in the form provided by G.L. c.176, §41, on or before March 1 of the following year, such deadline not having been extended by such Commissioner for cause shown, in violation of G.L. c.176, §41. (PENALTY for each day violation continues: forfeiture of \$100.)

176/47 **FRATERNAL BENEFIT SOC INSURANCE VIOL** c176 §47 (Effective 1/1/59)
on [DATE OF OFFENSE:]: (1) did cause or permit to be made, issued or circulated a misrepresentation or false or misleading statement concerning the terms, benefits or advantages of any fraternal insurance contract issued or to be issued in this Commonwealth, or the financial condition of a fraternal benefit society, or a false or misleading estimate or statement concerning the dividends or shares of surplus paid or to be paid by such society on an insurance contract, or an incomplete, as defined in G.L. c.176, §47, comparison of an insurance contract of one such society with an insurance contract of another society or insurer for the purpose of inducing the lapse, forfeiture or surrender of an insurance contract; or (2) did knowingly receive compensation or commission by or in consequence of such violation, in violation of G.L. c.176, §47. (PENALTY: imprisonment not less than 30 days, not more than 1 year; or not less than \$100, not more than \$500 fine; or both.)

176/52/A **FRATERNAL BENEFIT SOC VIOLATION** c176 §52 (Effective 1/1/59)
on [DATE OF OFFENSE:] did [DESCRIPTION OF OFFENSE:], and thereby was guilty of a willful violation of, or neglect or refusal to comply with, a provision of G.L. c.176, in violation of G.L. c.176, §52. (PENALTY: not more than \$200.)

176/52/B **FRATERNAL BENEFIT SOC, FALSE APPLIC TO** c176 §52 (Effective 1/1/59)
on [DATE OF OFFENSE:] did willfully make a false or fraudulent statement in or relating to an application for membership or for the purpose of obtaining money from or a benefit in a fraternal benefit society, in violation of G.L. c.176, §52. (PENALTY: imprisonment not less than 30 days, not more than 1 year; or not less than \$100, not more than \$500; or both.)

176/52/C **FRATERNAL BENEFIT SOC, UNLICENSED** c176 §52 (Effective 1/1/59)
on [DATE OF OFFENSE:] did solicit membership for, or in some manner assist in procuring membership in, a fraternal benefit society not licensed to do business in this Commonwealth, in violation of G.L. c.176, §52. (PENALTY: not less than \$50, not more than \$200.)

176/55/A **FRATERNAL BENEFIT SOC, AID UNLICENSED** c176 §55 (Effective 1/1/59)
on [DATE OF OFFENSE:]: (1) did solicit membership in a fraternal benefit society not duly authorized to transact business in this Commonwealth; or (2) for a person other than himself or herself, or as an agent, solicitor, organizer, officer or other representative of such a society or of a local or subordinate lodge or branch thereof, did act or aid in some manner in the issue, delivery, negotiation, continuance or renewal of a contract of insurance or benefit certificate in such society; or (3) as such agent, solicitor, organizer, officer or other representative, did act or aid in some manner in the transaction of some business on behalf of such society or of a local or subordinate lodge or branch thereof, in violation of G.L. c.176, §55. (PENALTY: not less than \$50, not more than \$500.)

176/55/B **FRATERNAL BENEFIT SOC, REPRESENT UNLIC** c176 §55 (Effective 1/1/59)
on [DATE OF OFFENSE:], by means of cards, circulars, letterheads, advertisements, signs or other methods, did represent or hold himself or herself out to the public as being an agent, solicitor, organizer, officer or other representative of a fraternal benefit society not duly authorized to transact business in this Commonwealth, or of a local or subordinate lodge or branch thereof, in violation of G.L. c.176, §55. (PENALTY: not less than \$20, not more than \$100.)

176A/18 **HOSPITAL SVCE CORP FAIL FILE ANNL REPORT** c176A §18 (Effective 1/1/59)
beginning on [FIRST DATE OF OFFENSE:] and continuing through [LAST DATE OF OFFENSE:], being a corporation subject to G.L. c.176A, did neglect to make and file in the office of the Commissioner of Insurance its annual statement on or before the first day of March, showing its condition as of the thirty-first day of December next preceding, in such form and containing such information as had been prescribed by such Commissioner, in violation of G.L. c.176A, §18. (PENALTY for each day that neglect continues: forfeiture of \$100.)

176A/28 **HOSPITAL SVCE CORP VIOLATION, NON-PROFIT** c176A §28 (Effective 1/1/51)

on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.176A and in violation of G.L. c.176A, §28. (PENALTY: not more than \$500.)

176B/8 **MEDICAL SERVICE CORP FAIL FILE ANNL REPT** c176B §8
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a medical service corporation, did neglect to file in the office of the Commissioner of Insurance, on or before the first day of March, a statement verified by at least two of the principal officers of such corporation, showing its condition as of the thirty-first day of December next preceding, in such form and containing such matters as such Commissioner had prescribed, in violation of G.L. c.176B, §8. (PENALTY for each day such neglect continues: forfeiture of \$100.)

176C/9 **MEDICAL SERVICE CORP FAIL FILE ANNL REPT** c176C §9
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a medical service corporation, did neglect to file in the office of the Commissioner of Insurance, on or before the first day of March, a statement verified by at least two of the principal officers of such corporation, showing its condition as of the thirty-first day of December next preceding, in such form and containing such matters as such Commissioner had prescribed, in violation of G.L. c.176C, §9. (PENALTY for each day such neglect continues: forfeiture of \$100.)

176C/15 **MEDICAL SERVICE CORP FL FILE EXCISE REPT** c176C §15
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a corporation subject to G.L. c.176C, did neglect on or before the first day of March to make a return to the Commissioner of Revenue, signed and sworn to by a majority of its board of directors, of the total amount of subscription dues paid by subscribing members during the preceding calendar year, and to pay to such Commissioner an excise of one per cent upon the amount of such dues, in violation of G.L. c.176C, §15. (PENALTY for each day such neglect continues: forfeiture of \$50.)

176D/7 **INSURANCE UNFAIR/DECEPTIVE ACT** c176D §7 (Effective 9/2/72)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, and thereby did engage in an unfair or deceptive act or practice in the business of insurance, in violation of G.L. c.176D, §7. (PENALTY: not more than \$1000.)

176E/8 **DENTAL SERVICE CORP FAIL FILE ANN REPORT** c176E §8 (Effective 10/21/62)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being a dental service corporation, did neglect to file in the office of the Commissioner of Insurance, on or before the first day of March, a statement verified by at least two of the principal officers of such corporation, showing its condition as of the thirty-first day of December next preceding, in such form and containing such matters as such Commissioner had prescribed, in violation of G.L. c.176E, §8. (PENALTY for each day such neglect continues: forfeiture of \$100.)

176F/8 **OPTOMETRIC SERVICE CORP FAIL FILE REPORT** c176F §8 (Effective 10/21/62)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being an optometric service corporation, did neglect to file in the office of the Commissioner of Insurance, on or before the first day of March, a statement verified by at least two of the principal officers of such corporation, showing its condition as of the thirty-first day of December next preceding, in such form and containing such matters as such Commissioner had prescribed, in violation of G.L. c.176F, §8. (PENALTY for each day such neglect continues: forfeiture of \$100.)

176P/32A **FRATERNAL BENEFIT SOC RECORDS, ALTER** c176P §32A (Effective 1/1/2001)
on **[DATE OF OFFENSE:]**, with fraudulent intent, did alter, deface, mutilate, destroy or conceal one or more records of a fraternal benefit society made by or in the custody of the secretary thereof, in violation of G.L. c.176P, §32A. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

176P/36 **LIMITED SOCIETY, OBSTRUCT EXAMINATION OF** c176P §36(a) (Effective 1/1/2001)
on **[DATE OF OFFENSE:]**: (1) having been duly summoned, did without justifiable cause neglect to appear and testify before the Commissioner of Insurance or his or her authorized representative who was making an examination of the affairs of a domestic society; or (2) did obstruct the Commissioner or his or her authorized representative in making such an examination, in violation of G.L. c.176P, §36(a). (PENALTY: imprisonment not more than 1 year; or not more than \$1000.)

176P/46 **FRATERNAL BENEFIT SOC FAIL FILE FINANCIAL REPORT** c176P §46(g) (Effective 1/1/2001)
on **[DATE OF OFFENSE:]**, being a fraternal benefit society subject to G.L. c.176P, §46: (1) did fail to file with the Commissioner of Insurance a financial statement as of December 31 of the calendar year **[CALENDAR YEAR FOR WHICH REPORT NOT FILED]**, on or before March 1 of the following calendar year, in such form and detail as the Commissioner had prescribed, and certified to by its president and secretary and treasurer, showing its assets and liabilities, the names of banks used as depositories, and its total membership; or (2) did fail to file with the Commissioner a financial statement, in such form and detail and of such date as the Commissioner had prescribed, signed and sworn to by its president and secretary and treasurer, within 30 days after a written request therefor by the Commissioner; as required by G.L. c.176P, §46(f), and in violation of G.L. c.176P, §46(g). (PENALTY from §50: not more than \$200.)

176P/48/A **FRATERNAL BENEFIT SOC, FALSE APPLIC TO** c176P §48 (Effective 1/1/2001)
on **[DATE OF OFFENSE:]** did knowingly or willfully make a false or fraudulent statement or representation in or with reference to an application for membership, or in order to obtain money from or benefit in a fraternal benefit society, in violation of G.L. c.176P, §48. (PENALTY: house of correction not less than 30 days, not more than 1 year; or not less than \$100, not more than \$500; or both.)

176P/48/B **FRATERNAL BENEFIT SOC, FALSE STATEMENT RE** c176P §48 (Effective 1/1/2001)
on **[DATE OF OFFENSE:]** did willfully make a false statement in a verified report or declaration on oath required or authorized by G.L. c.176P, in violation of G.L. c.176P, §48. (PENALTY: imprisonment not more than 2½ years; or fine not more than \$5000; or both.)

176P/49/A **FRATERNAL BENEFIT SOC, AID UNLICENSED** c176P §49(a) (Effective 1/1/2001)
on **[DATE OF OFFENSE:]**: (1) did solicit membership in a fraternal benefit society not duly authorized to transact business in this Commonwealth; or (2) for a person other than himself or herself, or as an agent, solicitor, organizer, officer or other representative of such a society or of a local or subordinate lodge or branch thereof, did act or aid in some manner in the issue, delivery, negotiation, continuance or renewal of a contract of insurance or benefit certificate in such society; or (3) as such agent, solicitor, organizer, officer or other representative, did act or aid in some manner in the transaction of some business on behalf of such society or of a local or subordinate lodge or branch thereof, in violation of G.L. c.176P, §49(a). (PENALTY: fine not less than \$50, not more than \$500.)

176P/49/B **FRATERNAL BENEFIT SOC, REPRESENT UNLIC** c176P §49(b) (Effective 1/1/2001)

on **[DATE OF OFFENSE:]**, by means of cards, circulars, letterheads, advertisements, signs or other methods, did represent or hold himself or herself out to the public as being an agent, solicitor, organizer, officer or other representative of a fraternal benefit society not duly authorized to transact business in this Commonwealth, or of a local or subordinate lodge or branch thereof, in violation of G.L. c.176P, §49(b). (PENALTY: not less than \$20, not more than \$500.)

176P/50 **FRATERNAL BENEFIT SOC VIOLATION** c176P §50 (Effective 1/1/2001)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, in violation of G.L. c.176P, § **[SECTION OF c.176P VIOLATED]**. (PENALTY from §50: not more than \$200.)

179/35 **LANDOWNER FL RUN LINES ON GENERAL FIELD** c179 §35
on **[DATE OF OFFENSE:]**, being a proprietor of land lying unfenced in a general field, as described in G.L. c.179, §18, who had been requested not more than one every two years, on 7 days' notice, by the owner of the adjoining land to run lines with such owner between their lots and make and keep up the boundaries between them by sufficient bound stones at their joint expense, did fail to do so, in violation of G.L. c.179, §35. (PENALTY: forfeiture of \$2, payable to the adjoining owner.)

180/13 **MEDICAL SERVICE CORP CONFER DEGREE** c180 §13
on **[DATE OF OFFENSE:]** did confer a degree, or sign, issue, or authorize the signing or issuing of a diploma or certificate purporting to confer some degree of medicine or surgery from a corporation organized under G.L. c.180 for medical purposes, without having been specially authorized to do so by the General Court, in violation of G.L. c.180, §13. (PENALTY: not less than \$500, not more than \$1000.)

180/22 **MEDICAL MILK CORP MEMBER RECEIVE FUNDS** c180 §22
on **[DATE OF OFFENSE:]**, being a member of a medical milk corporation formed under G.L. c.180, §20-§25, did receive therefrom, or from a dairyman producing milk under agreement with such corporation, a salary, emolument or compensation of some kind for services rendered as a member of such corporation, or for services rendered under G.L. c.180, §20-§25, in violation of G.L. c.180, §22. (PENALTY: \$100; "and shall be removed from his office as a member of said corporation and thereafter be disqualified from becoming a member of any such corporation".)

180/25 **MILK, SELL NONCONFORMING CERTIFIED** c180 §25
on **[DATE OF OFFENSE:]** did sell or exchange, or offer or expose for sale or exchange as and for certified milk, milk not conforming to the regulations prescribed by and bearing the certification of a corporation organized under G.L. c.180, §20-§25, in violation of G.L. c.180, §25. (PENALTY: not more than \$100.)

181/13 **FOREIGN CORPORATION REPORT, FALSE** c181 §13 (Effective 1/1/74-6/30/04)
on **[DATE OF OFFENSE:]** did knowingly make, execute, file or publish a report or statement required by law to be made, executed, filed or published by a foreign corporation in this Commonwealth, or did cause the same to be done, which report or statement was false in some material representation, in violation of G.L. c.181, §13. (PENALTY: imprisonment not more than 3 years; or not more than \$5000; or both.)

181/14 **FOREIGN CORPORATION REPORT, FALSE** c181 §14 (Effective 1/1/74-6/30/04)
on **[DATE OF OFFENSE:]** did knowingly make, execute, file or publish a report or statement required by the law of another state or country to be made, executed, or published by a foreign corporation, or did cause the same to be done, within this Commonwealth, which report or statement was false in some material representation, in violation of G.L. c.181, §14. (PENALTY: imprisonment not more than 3 years; or not more than \$5000; or both.)

182/2 **ASSOCIATION/TRUST FAIL FILE WITH MUNIC** c182 §2
on **[DATE OF OFFENSE:]**, being a trustee of an association or trust, as defined in G.L. c.182, §1, did fail to file a copy of the written instrument or declaration of trust creating it with the secretary and with the clerk of every city or town where such association or trust had a usual place of business, in violation of G.L. c.182, §2. (PENALTY: imprisonment not more than 3 months; or not more than \$500.)

182/3 **UTILITY ASSOC/TRUST FAIL FILE WITH DPU** c182 §3
on **[DATE OF OFFENSE:]**, being a trustee of an association or trust which owned or controlled a majority of the capital stock of a railroad, street railway, electric railroad, elevated railway, gas, electric or water company, or corporation engaged in the common carriage of passengers by motor vehicle, did fail to file with the Department of Public Utilities a copy: (1) of the written instrument or declaration of trust creating it; or (2) of any amendment to such instrument or declaration within 30 days of such amendment, in violation of G.L. c.182, §3. (PENALTY: imprisonment for 3 months; or not more than \$500.)

182/4 **UTILITY ASSOC/TRUST FAIL FILE ANN REPORT** c182 §4
on **[DATE OF OFFENSE:]**, being a trustee of an association or trust which owned or controlled a majority of the capital stock of a railroad, street railway, electric railroad, elevated railway, gas, electric or water company, or corporation engaged in the common carriage of passengers by motor vehicle, did fail on or before April first to file with the Secretary of State and with the Department of Public Utilities a statement showing the number of shares of such company or corporation owned or controlled by them and the stockholders of record on the books of such company or corporation in whose names such shares were held, in violation of G.L. c.182, §4. (PENALTY: imprisonment for 3 months; or not more than \$500.)

182/9 **UTILITY FAIL OPEN BOOKS TO DPU** c182 §9
on **[DATE OF OFFENSE:]**, being a railroad, street railway, electric railroad, elevated railway, gas, electric or water company, or corporation engaged in the common carriage of passengers by motor vehicle, or a partnership or corporation or the trustees of an express trust or voluntary association described in G.L. c.182, §7-§8, did refuse or neglect to submit its or their books, accounts, contracts, records and memoranda to the investigation and examination of the Department of Public Utilities, or to furnish such reports and information as it had directed and required, in violation of G.L. c.182, §9. (PENALTY: not more than \$5000.)

183/55 **MORTGAGE AFFIDAVIT, ATTORNEY'S FALSE** c183 §55 (Effective 12/1/87)
on **[DATE OF OFFENSE:]** did cause an affidavit to be recorded in the Registry of Deeds pursuant to G.L. c.183, §55, knowing the information and statements contained therein to be false, in violation of G.L. c.183, §55. (PENALTY: not more than \$5000.)

184/8A **REAL ESTATE OWNER, FAIL FURNISH NAME OF** c184 §8A (Effective 11/2/65)
on **[DATE OF OFFENSE:]**, in **[NAME OF MUNICIPALITY:]**, a city or town which had accepted G.L. c.184, §8A, being the grantee of record in a transfer of real estate, did fail within 5 days of written request by the assessors to provide such assessors with the name and address of the owner of such real estate, in violation of G.L. c.184, §8A. (PENALTY: not more than \$50.)

185/118 **REGISTERED LAND, CONVEY ENCUMBERED** c185 §118
on [DATE OF OFFENSE:], with intent to defraud, did sell and convey registered land, knowing that an undischarged attachment or any other encumbrance existed thereon not noted by memorandum on the duplicate certificate of title, without informing the grantee of such attachment or other encumbrance before the consideration was paid, in violation of G.L. c.185, §118. (PENALTY: state prison not more than 3 years; or jail not more than 1 year.)

186/14/A **LANDLORD ATTEMPT REGAIN PREMISES BY FORCE** c186 §14 (Effective 12/18/73)
on [DATE OF OFFENSE:], being a lessor or landlord of a building or part thereof occupied for dwelling purposes, did attempt to regain possession of such premises by force without benefit of judicial process, and in such attempt did [DESCRIPTION OF OVERT ACT:], in violation of G.L. c.186, §14. (PENALTY: imprisonment not more than 6 months; or not less than \$25, not more than \$300; and civil liability "for actual and consequential damages or three month's rent, whichever is greater, and the costs of the action, including a reasonable attorney's fee").

186/14/B **LANDLORD FAIL PROVIDE UTILITIES** c186 §14 (Effective 12/18/73)
on [DATE OF OFFENSE:], being a lessor or landlord of a building or part thereof occupied for dwelling purposes, and being required by law or by the express or implied terms of a contract or lease or tenancy at will to furnish [TYPE OF UTILITY NOT PROVIDED:] to an occupant of such building or part thereof, did willfully or intentionally fail to furnish such utility or service at a time when it was necessary to the proper or customary use of such building or part, in violation of G.L. c.186, §14. (PENALTY: imprisonment not more than 6 months; or not less than \$25, not more than \$300.)

186/14/C **LANDLORD INTERFERE WITH QUIET ENJOYMENT** c186 §14 (Effective 12/18/73)
on [DATE OF OFFENSE:], being a lessor or landlord of a building or part thereof occupied for dwelling purposes, did directly or indirectly interfere with the quiet enjoyment of such residential premises by the occupant, in violation of G.L. c.186, §14. (PENALTY: imprisonment not more than 6 months; or not less than \$25, not more than \$300; and civil liability "for actual and consequential damages or three month's rent, whichever is greater, and the costs of the action, including a reasonable attorney's fee").

186/14/D **LANDLORD INTERFERE WITH UTILITY PROVIDER** c186 §14 (Effective 12/18/73)
on [DATE OF OFFENSE:], being a lessor or landlord of a building or part thereof occupied for dwelling purposes, did interfere with the furnishing by another of a utility or service, to wit: [TYPE OF UTILITY:], to an occupant of such building or part thereof, in violation of G.L. c.186, §14. (PENALTY: imprisonment not more than 6 months; or not less than \$25, not more than \$300.)

186/14/E **LANDLORD TRANSFER UTILITIES TO TENANT** c186 §14 (Effective 12/18/73)
on [DATE OF OFFENSE:], being a lessor or landlord of a building or part thereof occupied for dwelling purposes, did transfer the responsibility for payment for some utility or service, to wit: [TYPE OF UTILITY TRANSFERRED TO TENANT:], to the occupant without his or her knowledge or consent, in violation of G.L. c.186, §14. (PENALTY: imprisonment not more than 6 months; or not less than \$25, not more than \$300.)

186/15D **LANDLORD FAIL GIVE LESSEE COPY OF LEASE** c186 §15D (Effective 11/12/71)
on [DATE OF OFFENSE:], being a lessor who had agreed orally to execute a lease and had obtained the signature of the lessee, did fail within 30 days thereafter to deliver a copy of such lease to the lessee, duly signed and executed by such lessor, in violation of G.L. c.186, §15D. (PENALTY: not more than \$300.)

186/21 **LANDLORD FAIL DISCLOSE FIRE INSURER** c186 §21 (Effective 4/5/90)
on [DATE OF OFFENSE:], being the landlord or lessor of residential or commercial property, did fail within 15 days of a written request from a tenant or lawful occupant, or of a code or other law enforcement official, or of an official of the municipality in which such property was situated, to disclose in writing the name of the company insuring such property against loss or damage by fire and the amount of insurance provided by each such company and the name of any person who would receive payment for a loss covered by such insurance, in violation of G.L. c.186, §21. (PENALTY: not more than \$500.)

193/3 **ESTATE PROPERTY, EXPORT TAXABLE** c193 §3
on [DATE OF OFFENSE:], knowing that an application had been filed by the Commissioner of Revenue under G.L. c.193, §3 for the appointment of an administrator or special administrator for the estate of a deceased person, did remove from this Commonwealth, other than under an order or license of a court thereof, property belonging to such estate pending action by the Probate Court on such application and prior to the appointment of an executor or the granting of administration under some other provision of law by a court of this Commonwealth, in violation of G.L. c.193, §3. (PENALTY: imprisonment not more than 1 year; or not more than \$10,000; or both.)

194/37/A **GAMING ACT-CONDUCTING GAMING DEVICES** c. 194 §37 (Effective 05/21/12)
on [DATE OF OFFENSE:] did conduct or operate, or permitted to be conducted or operated, any game or gaming device in violation of c194. (PENALTY: state prison not more than 5 years; or house of corrections not more than 2 ½ years, or fine not to exceed \$25,000.00; or both.)

194/37/B **GAMING ACT- EMPLOYING INDIVIDUAL IN VIOLATION OF** c. 194 §37 (Effective 05/21/12)
on [DATE OF OFFENSE:] did employ, or continued to employ, an individual in a position, the duties of which require a license or registration under c194, who is not so licensed or registered. (PENALTY: house of correction not more than 6 months; or fine not more than \$10,000; or both; or, in the case of a person other than a natural person, fine not to exceed \$100,000.)

194/37/C **GAMING ACT-EMPLOYED IN VIOLATION OF THE GAMING ACT** c. 194 §3 (Effective 05/21/12)
on [DATE OF OFFENSE:] did work or was employed in a position, the duties of which require licensing or registration under c194, without the required license or registration. (PENALTY: house of correction for not more than 6 months; or a fine not more than \$10,000; or both.)

194/37/D **GAMING ACT- GAMING DEVICES WITHOUT PERMISSION OF COMMISSION** c. 194 §37 (Effective 05/21/12)
on [DATE OF OFFENSE:], being a gaming licensee, did (i) place a game or gaming device into play or displayed a game or gaming device in a gaming establishment; or (ii) received, directly or indirectly, any compensation or reward or any percentage or share of the revenue for keeping, running or carrying on a game, or did own the real property upon, or the location within which any game occurred without the permission of the commission. (PENALTY: house of correction for not more than 2½ years; or fine not more than \$25,000; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$100,000.)

194/37/E **GAMING ACT-OPERATION AFTER EXPIRATION OF LICENSE** c. 194 §37 (Effective 05/21/12)

on [DATE OF OFFENSE:] did conduct or operate any game device after gaming license had expired and prior to renewal. (PENALTY: house of correction for not more than 1½ years; or fine not more than \$25,000; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$100,000.)

194/37/F GAMING ACT- FAILURE TO EXCLUDE c. 194 §37 (Effective 05/21/12)
on [DATE OF OFFENSE:] did knowingly fail to exclude from their gaming establishment a person placed by the commission on the list of excluded persons. (PENALTY: house of correction for not more than 1 years; or fine not more than \$5,000; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$100,000.)

194/37/G GAMING ACT-FAILURE TO PAY FEE OR TAX c. 194 §37 (Effective 05/21/12)
on [DATE OF OFFENSE:] (i) did willfully fail to report, pay or truthfully account for and pay over a license fee or tax imposed by c194 or regulations promulgated under this chapter (ii) did or attempted to evade or defeat a license fee or tax or payment of a license fee (PENALTY: house of correction for not more than 2 ½ years; or fine not more than \$100,000; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$5,000,000.)

194/38 GAMING ACT INTERFERENCE WITH INVESTIGATION c. 194 §43 (Effective 05/21/12)
on [DATE OF OFFENSE:] did willfully resist, prevent, impede, interfere with or made a false, fictitious or fraudulent statement or representation to the bureau, commission, or division or to the agents or employees of the bureau, commission, or division in the lawful performance of their duties under c. 194 (PENALTY: state prison for not more than 5 years; house of correction for not more than 2 ½ years; or fine not more than \$25,000; or both.)

194/39/A GAMING ACT-CHEATING AND SWINDLING LESS THAN \$1,000 c. 194 §39 (Effective 01/31/14)
on [DATE OF OFFENSE:] (i) did knowingly, for himself or for a representative, win or attempted to win money or property or reduced or attempted to reduce a losing wager in a gaming establishment by trick or sleight of hand performance or by fraud or fraudulent cards, dice, or other gaming device (ii.) did knowingly use a cheating and swindling game in a gaming establishment for no value or for money, property or wager of less than \$1,000. (PENALTY: house of correction for not more than 2 ½ years; or fine not more than \$10,000.00; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$100,000.00.)

194/39/B GAMING ACT CHEATING AND SWINDLING MORE THAN \$1,000 LESS THAN \$10,000 c. 194 §39 (Effective 01/31/14)
on [DATE OF OFFENSE:] (i) did knowingly, for himself or for a representative, win or attempt to win money or property or reduced or attempted to reduce a losing wager in a gaming establishment by trick or sleight of hand performance or by fraud or fraudulent cards, dice, or other gaming device (ii.) did knowingly use a cheating and swindling game in a gaming establishment for money, property or wager of not less than \$1,000 but less than \$10,000 (PENALTY: state prison for not more than 3 years; house of correction for not more than 2 ½ years; or fine not more than \$100,000; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$1,000,000.)

194/39/C GAMING ACT- CHEATING AND SWINDLING MORE THAN \$10,000 LESS THAN \$75,000 c. 194 §39 (Effective 01/31/14)
on [DATE OF OFFENSE:] (i) did knowingly, for himself or for a representative, win or attempt to win money or property or reduced or attempted to reduce a losing wager in a gaming establishment by trick or sleight of hand performance or by fraud or fraudulent cards, dice, or other gaming device (ii.) did knowingly use a cheating and swindling game in a gaming establishment for money, property or wager of more than \$10,000 but less than \$75,000. (PENALTY: state prison for not more than 5 years; house of correction for not more than 2 ½ years; or fine not more than \$500,000; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$5,000,000.)

194/39/D GAMING ACT- CHEATING AND SWINDLING MORE THAN \$75,000 c. 194 §39 (Effective 01/31/14)
on [DATE OF OFFENSE:] (i) did knowingly, for himself or for a representative, win or attempt to win money or property or reduced or attempted to reduce a losing wager in a gaming establishment by trick or sleight of hand performance or by fraud or fraudulent cards, dice, or other gaming device (ii.) did knowingly use a cheating and swindling game in a gaming establishment for money, property or wager of more than \$75,000. (PENALTY: state prison for not more than 10 years; house of correction for not more than 2 ½ years; or fine not more than \$1,000,000; or both; or, in the case of a person other than a natural person, by a fine not to exceed \$10,000,000.)

194/40 GAMING ACT-POSSESSION OF CHEATING AND SWINDLING DEVICE c. 194 §40 (Effective 05/21/12)
on [DATE OF OFFENSE:] did possess a cheating and swindling device or game with the intent to defraud, cheat or steal. (PENALTY: house of correction for not more than 2½ years; or fine not more than \$10,000; or both; or, in the case of a person other than a natural person, by a fine not more than \$100,000.)

194/41 GAMING ACT- DISTRIBUTE GAMING DEVICE c. 194 §41 (Effective 01/31/14)
on [DATE OF OFFENSE:] did manufacture, distribute, sell, or service a gaming device in violation of c 194 for the purpose of defrauding, cheating, or stealing from a person playing, operating or conducting a game in a gaming establishment. (PENALTY: house of correction for not more than 2½ years; or state prison for not more than 5 years; or fine not more than \$25,000; or both; or, in the case of a person other than a natural person, by a fine not more than \$150,000.)

194/43/A GAMING ACT-WAGER UNDER 21 YEARS OF AGE c. 194 §43 (Effective 02/21/12)
on [DATE OF OFFENSE:] did, or had an agent, play, place wagers at, or collect winning from a game in a gaming establishment despite being under 21 years old. (PENALTY: fine not more than \$1,000.)

194/43/B GAMING ACT-ALLOWING WAGER OF ONE UNDER 21 YEARS OF AGE c. 194 §43 (Effective 02/21/12)
on [DATE OF OFFENSE:] ,acting in their capacity as gaming licensee or employee of a gaming licensee, did knowingly allow a person under the age of 21, or their agent, play, place wager at, or collect winnings from a game in a gaming establishment. (PENALTY: house of correction for not more than 1 year; or fine not more than \$10,000; or both; or, in the case of a person other than a natural person, by a fine not more than \$500,000.)

194/43/C GAMING ACT-ALLOWING WAGER OF ONE UNDER 21 YEARS OF AGE-SUBSEQUENT c. 194 §43 (Effective 02/21/12)
on [DATE OF OFFENSE:] ,acting in their capacity as gaming licensee or employee of a gaming licensee, did knowingly allow a person under the age of 21, whether personally or through their agent, play, place wager at, or collect winnings from a game in a gaming establishment as a second subsequent offense. (PENALTY: house of correction for not more than 2 years; or fine not more than \$50,000; or both; or, in the case of a person other than a natural person, by a fine not more than \$1,000,000.)

194/43/D GAMING ACT-PLACING WAGER ON BEHALF OF ONE UNDER 21 YEARS OF AGE c. 194 §4 (Effective 02/21/12)

on [DATE OF OFFENSE:] did knowingly play, place wager at, or collect winning from a game in a gaming establishment on behalf of a person under 21 years of age. (PENALTY: house of correction for not more than 6 months; or fine not more than \$1,000.00; or both.)

207/7 **MARRIAGE, PERFORM UNDERAGE** c207 §7 (Effective 8/6/71)
on [DATE OF OFFENSE:], being a magistrate or minister, did solemnize a marriage when he or she had reasonable cause to believe that a party to such intended marriage was under eighteen and that the provisions of G.L. c.207, §24 and §25 had not been satisfied, in violation of G.L. c.207, §7. (PENALTY from §51: imprisonment not more than 1 year; or not more than \$500; or both.)

207/26 **MARRIAGE NOTICE, UNAUTHORIZED** c207 §26
on [DATE OF OFFENSE:], without the consent of both parties to an intended marriage, did give notice of their intention of marriage required by law, in violation of G.L. c.207, §26. (PENALTY from §51: imprisonment not more than 1 year; or not more than \$500; or both.)

207/28A **MARRIAGE MEDICAL CERTIFICATE VIOLATION** c207 §28A (Effective 5/23/50)
on [DATE OF OFFENSE:], being subject to the laws of this Commonwealth, did [DESCRIPTION OF OFFENSE:], in violation of G.L. c.207, §28A. (PENALTY: not less than \$10, not more than \$100.)

207/48 **MARRIAGE WITHOUT AUTHORITY, PERFORM** c207 §48
on [DATE OF OFFENSE:], not being duly authorized by the laws of this Commonwealth to do so, did undertake to join persons in marriage herein, in violation of G.L. c.207, §48. (PENALTY: imprisonment not more than 1 year; or not more than \$500; or both.)

207/49 **MARRIAGE WITHOUT LICENSE, PERFORM** c207 §49
on [DATE OF OFFENSE:], being duly authorized to solemnize marriages in this Commonwealth, did join in marriage persons who had not complied with the laws relative to procuring certificates of notice of intention of marriage, in violation of G.L. c.207, §49. (PENALTY: not more than \$500.)

207/50 **MARRIAGE OF NON-RESIDENT, PERFORM IMPROP** c207 §50 (Effective thru 7/30/08)
on [DATE OF OFFENSE:]: (1) did issue a certificate of notice of intention of marriage, knowing that the parties were prohibited by G.L. c.207, §11 from intermarrying; or (2) being a person authorized to solemnize marriage, did solemnize a marriage knowing that the parties were so prohibited, in violation of G.L. c.207, §50. (PENALTY: imprisonment not more than 1 year; or not more than \$500; or both.)

207/52/A **MARRIAGE LICENSE VIOLATION** c207 §52
on [DATE OF OFFENSE:] did [DESCRIPTION OF OFFENSE:], contrary to a provision of G.L. c.207, §20, in violation of G.L. c.207, §52. (PENALTY: not more than \$100.)

207/52/B **MARRIAGE LICENSE, FALSE STATEMENT IN** c207 §52
on [DATE OF OFFENSE:] did falsely swear or affirm in making a statement required under G.L. c.207, §20, in violation of G.L. c.207, §52. (PENALTY: not more than \$100.)

207/53 **MARRIAGE LICENSE TO UNDER-AGE PERSON** c207 §53
on [DATE OF OFFENSE:], being a clerk or registrar, did issue a certificate of intention of marriage contrary to G.L. c.207, §33, in violation of G.L. c.207, §53. (PENALTY: forfeiture of not more than \$100.)

207/54 **MARRIAGE LICENSE, ALTER** c207 §54
on [DATE OF OFFENSE:] did make an illegal alteration or erasure on a certificate of intention of marriage, in violation of G.L. c.207, §54. (PENALTY: not more than \$100.)

207/56 **MARRIAGE RECORD/RETURN, FAIL MAKE** c207 §56
on [DATE OF OFFENSE:] did neglect to make the record or return required by G.L. c.207, §40, in violation of G.L. c.207, §56, in violation of G.L. c.207, §56. (PENALTY: forfeiture of not less than \$20, not more than \$100.)

207/57 **MARRIAGE LICENSE OVER 60 DAYS** c207 §57
on [DATE OF OFFENSE:]: (1) did perform a ceremony of marriage upon a certificate more than sixty days after the filing of the notice of intention of marriage as set forth in such certificate; (2) or having taken out such certificate and not having used it, did fail to return it within sixty days after such filing to the office that had issued it, in violation of G.L. c.207, §57. (PENALTY: not more than \$10.)

207/58 **MARRIAGE, ADVERTISE TO PERFORM** c207 §58 (Effective 12/4/85)
on [DATE OF OFFENSE:] did advertise to perform or to procure the performance of a marriage ceremony, by a means not authorized by G.L. c.207, §58, in violation of G.L. c.207, §58. (PENALTY: not less than \$10, not more than \$100.)

208/34C **ABUSE PREVENTION ORDER, VIOL PROBATE CT** c208 §34C (Effective 1/3/91)
on [DATE OF OFFENSE:] did fail to comply with a court order of the Probate and Family Court to vacate the marital home under the provisions of G.L. c.208, §34B, or prohibiting him or her from imposing any restraint on the personal liberty of another person under G.L. c.208, §18, or G.L. c.209, §18 or §32, or G.L. c.209A, §3, §4 or §5, or G.L. c.209C, §15 or §20, or an order for custody pursuant to an abuse prevention action, in violation of G.L. c.208, §34C. (PENALTY: imprisonment not more than 2½ years; or not more than \$5000; or both.)

208/41 **DIVORCE CASE, FRAUD IN** c208 §41
on [DATE OF OFFENSE:], in an action for divorce or in a proceeding connected therewith: (1) did falsely personate another; or (2) did wilfully and fraudulently procure a person to do so; or (3) did fraudulently procure false testimony to be given; or (4) did make a false or fraudulent return of service of process, in violation of G.L. c.208, §41. (PENALTY: imprisonment not more than 2 years; or not more than \$1000.)

208/42 **DIVORCE, PROCURE FRAUDULENT** c208 §42
on [DATE OF OFFENSE:] did knowingly procure, obtain or assist another to procure or obtain a false, counterfeit or fraudulent divorce or judgment of divorce, or a divorce or judgment of divorce from a court of another state for or in favor of a person who at the time of making application therefor was a resident of this Commonwealth, such court not having jurisdiction to grant such decree, in violation of G.L. c.208, §42. (PENALTY: imprisonment not more than 6 months; or not more than \$200.)

208/43 **DIVORCES, ADVERTISE PROCURING** c208 §43 (Effective 10/21/98)
on **[DATE OF OFFENSE:]** did write, print, publish, or solicit another to write, print or publish, a notice, circular or advertisement soliciting employment in the business of procuring divorces or offering inducements for the purpose of procuring such employment, in violation of G.L. c.208, §43. (PENALTY: imprisonment not more than 6 months; or not more than \$200.)

208/44 **DIVORCE, ISSUE UNLAWFUL CERTIFICATE OF** c208 §44 (Effective 10/21/98)
on **[DATE OF OFFENSE:]** did give, sign or issue a writing purporting to grant a divorce to persons who were husband and wife according to the laws of this Commonwealth, or purporting to be a certificate that a divorce had been granted to such persons, other than in compliance with an order of a court of competent jurisdiction, in violation of G.L. c.208, §44. (PENALTY: imprisonment not more than 3 years; or not more than \$1000; or both.)

209A/3C **FIREARM SURRENDER ORDER, VIOLATE** c209A §3B or 3C (Effective 10/21/98)
on **[DATE OF OFFENSE:]** did fail to comply with a court order requiring the immediate suspension and surrender of his or her license to carry firearms and firearms identification card and the surrender of all firearms, rifles, shotguns, machine guns or ammunition which he or she then controlled, owned or possessed, in violation of G.L. c.209A, §3B or § 3C. (PENALTY: house of correction not more than 2½ years; or not more than \$5000; or both.)

209A/7 **ABUSE PREVENTION ORDER, VIOLATE** c209A §7 (Effective 1/3/91-6/30/94)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from abuse, to vacate the household, multiple family dwelling or workplace, or to have no contact with the plaintiff or the plaintiff's minor child(ren), issued under the provisions of G.L. c.208, §18, §34B-§34C, G.L. c.209, §32, G.L. c.209A, §3-§5, or G.L. c.209C, §15 and §20, in violation of c.209A, §7. (PENALTY: house of correction not more than 2½ years; or not more than \$5000; or both; court may order attendance at batterer treatment program; court may order payment of damages to victim.)

209A/7 **ABUSE PREVENTION ORDER, VIOLATE** c209A §7 (Effective 7/1/94-11/6/96)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from abuse, to vacate the household, multiple family dwelling or workplace, to have no contact with the plaintiff or the plaintiff's minor child(ren), or to surrender any license to carry firearms and/or firearms identification cards which the defendant held, or to surrender all firearms, rifles, shotguns, machine guns and ammunition which the defendant then possessed, issued under the provisions of G.L. c.208, §18, §34B-§34C, G.L. c.209, §32, G.L. c.209A, §3-§5 or G.L. c.209C, §15 and §20, in violation of c.209A, §7 and/or §3B. (PENALTY: house of correction not more than 2½ years; or not more than \$5000; or both; court may order attendance at batterer treatment program; court may order payment of damages to victim.)

209A/7 **ABUSE PREVENTION ORDER, VIOLATE** c209A §7 (Effective 11/7/96-6/30/03)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from abuse, to vacate the household, multiple family dwelling or workplace, to have no contact with the plaintiff or the plaintiff's minor child(ren), or to surrender any license to carry firearms and/or firearms identification cards which the defendant held, or to surrender all firearms, rifles, shotguns, machine guns and ammunition which the defendant then controlled, owned or possessed, issued under the provisions of G.L. c.208, §18, §34B-§34C, G.L. c.209, §32, G.L. c.209A, §3-§5 or G.L. c.209C, §15 and §20, or a protection order issued by another jurisdiction, as defined in G.L. c.209A, §1, in violation of G.L. c.209A, §7 and/or §3B. (PENALTY: house of correction not more than 2½ years; or not more than \$5000; or both; court may order attendance at batterer treatment program; court may order payment of damages to victim.)

209A/7 **ABUSE PREVENTION ORDER, VIOLATE** c209A §7 (Effective 7/1/03)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from abuse, to vacate the household, multiple family dwelling or workplace, to have no contact with the plaintiff or the plaintiff's minor child(ren), or to surrender any license to carry firearms and/or firearms identification cards which the defendant held, or to surrender all firearms, rifles, shotguns, machine guns and ammunition which the defendant then controlled, owned or possessed, issued under the provisions of G.L. c.208, §18, §34B-§34C, G.L. c.209, §32, G.L. c.209A, §3-§5 or G.L. c.209C, §15 and §20, or a protection order issued by another jurisdiction, as defined in G.L. c.209A, §1, in violation of G.L. c.209A, §7 and/or §3B. (PENALTY: house of correction not more than 2½ years; or not more than \$5000; or both; court shall order completion of certified batterer's intervention program (§10: plus \$350 assessment in addition to cost of program) or make written findings why it should not be ordered; court may order payment of damages to victim. "In addition to, but not in lieu of, the foregoing penalties and any other sentence, fee or assessment, . . . the court shall order persons convicted of a crime under this statute to pay a fine of \$25 that shall be transmitted to the treasurer for deposit into the General Fund.")

209A/7/B **ABUSE PREVENTION ORDER VIOL, RETALIATORY** c209A §7 (Effective 2/10/95-11/6/96)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from abuse, to vacate the household, multiple family dwelling or workplace, to have no contact with the plaintiff or the plaintiff's minor child(ren), or to surrender any license to carry firearms and/or firearms identification cards which the defendant held, or to surrender all firearms, rifles, shotguns, machine guns and ammunition which the defendant then possessed, issued under the provisions of G.L. c.208, §18, §34B-§34C, G.L. c.209, §32, G.L. c.209A, §3-§5 or G.L. c.209C, §15 and §20, such violation being in retaliation for the defendant being reported by the plaintiff in such action to the Department of Revenue for failure to pay child support payments or for the establishment of paternity, in violation of c.209A, §7 and/or §3B. (PENALTY: house of correction not less than 60 days, not more than 2½ years; and not less than \$1000, not more than \$5000; no suspended sentence; no probation or parole until 60 days served; court may order attendance at batterer treatment program; court may order payment of damages to victim.)

209A/7/B **ABUSE PREVENTION ORDER VIOL, RETALIATORY** c209A §7 (Effective 11/7/96-6/30/03)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from abuse, to vacate the household, multiple family dwelling or workplace, to have no contact with the plaintiff or the plaintiff's minor child(ren), or to surrender any license to carry firearms and/or firearms identification cards which the defendant held, or to surrender all firearms, rifles, shotguns, machine guns and ammunition which the defendant then controlled, owned or possessed, issued under the provisions of G.L. c.208, §18, §34B-§34C, G.L. c.209, §32, G.L. c.209A, §3-§5 or G.L. c.209C, §15 and §20, or a protection order issued by another jurisdiction, as defined in G.L. c.209A, §1, such violation being in retaliation for the defendant being reported by the plaintiff in such action to the Department of Revenue for failure to pay child support payments or for the establishment of paternity, in violation of G.L. c.209A, §7 and/or §3B. (PENALTY: house of correction not less than 60 days, not more than 2½ years; and not less than \$1000, not more than \$5000; no suspended sentence; no probation or parole until 60 days served; court may order attendance at batterer treatment program; court may order payment of damages to victim.)

209A/7/B **ABUSE PREVENTION ORDER VIOL, RETALIATORY** c209A §7 (Effective 7/1/03)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from abuse, to vacate the household, multiple family dwelling or workplace, to have no contact with the plaintiff or the plaintiff's minor child(ren), or to surrender any license to carry firearms and/or firearms identification cards which the defendant held, or to surrender all firearms, rifles, shotguns, machine guns and ammunition which the defendant then controlled, owned or possessed, issued under the provisions of G.L. c.208, §18, §34B-§34C, G.L. c.209, §32, G.L. c.209A, §3-§5 or G.L. c.209C, §15 and §20, or a protection order issued by another jurisdiction, as defined in G.L. c.209A, §1, such violation being in retaliation for the defendant being reported by the plaintiff in such action to the

Department of Revenue for failure to pay child support payments or for the establishment of paternity, in violation of G.L. c.209A, §7 and/or §3B. (PENALTY: house of correction not less than 60 days, not more than 2½ years; and not less than \$1000, not more than \$5000; no suspended sentence; no probation or parole until 60 days served; court shall order completion of certified batterer's intervention program (§10: plus \$350 assessment in addition to cost of program) or make written findings why it should not be ordered; court may order payment of damages to victim. "In addition to, but not in lieu of, the forgoing penalties and any other sentence, fee or assessment, . . . the court shall order persons convicted of a crime under this statute to pay a fine of \$25 that shall be transmitted to the treasurer for deposit into the General Fund.")

210/11A/A **ADOPTION, ADVERTISE/OFFER UNLICENSED** c210 §11A (Effective 12/27/85-7/7/08)
on [DATE OF OFFENSE:], not being a duly authorized agent or employee of the Department of Social Services or a child care or placement agency licensed under the provisions of G.L. c.28A: (1) did cause to be published in this Commonwealth an advertisement or notice of children offered or wanted for adoption; or (2) did in some way offer to place, locate or dispose of children offered or wanted for adoption; or (3) did hold himself, herself or itself out in some way as being able to place, locate or dispose of children for adoption, in violation of G.L. c.210, §11A. (PENALTY: not less than \$100, not more than \$1000.)

210/11A/A **ADOPTION, ADVERTISE/OFFER UNLICENSED** c210 §11A (Effective 7/8/08)
on [DATE OF OFFENSE:], not being a duly authorized agent or employee of the Department of Children and Families or a child care or placement agency licensed under the provisions of G.L. c.28A: (1) did cause to be published in this Commonwealth an advertisement or notice of children offered or wanted for adoption; or (2) did in some way offer to place, locate or dispose of children offered or wanted for adoption; or (3) did hold himself, herself or itself out in some way as being able to place, locate or dispose of children for adoption, in violation of G.L. c.210, §11A. (PENALTY: not less than \$100, not more than \$1000.)

210/11A/B **ADOPTION, PROFIT FROM PLACING CHILD FOR** c210 §11A (Effective 12/27/85-7/7/08)
on [DATE OF OFFENSE:], not being a duly authorized agent or employee of the Department of Social Services or a child care or placement agency licensed under the provisions of G.L. c.28A, did accept payment in the form of money or other consideration in return for placing a child for adoption, in violation of G.L. c.210, §11A. (PENALTY: state prison not more than 5 years; or jail or house of correction not more than 2½ years; or not less than \$5000, not more than \$30,000 fine; or both such imprisonment and fine.)

210/11A/B **ADOPTION, PROFIT FROM PLACING CHILD FOR** c210 §11A (Effective 7/8/08)
on [DATE OF OFFENSE:], not being a duly authorized agent or employee of the Department of Children and Families or a child care or placement agency licensed under the provisions of G.L. c.28A, did accept payment in the form of money or other consideration in return for placing a child for adoption, in violation of G.L. c.210, §11A. (PENALTY: state prison not more than 5 years; or jail or house of correction not more than 2½ years; or not less than \$5000, not more than \$30,000 fine; or both such imprisonment and fine.)

218/47 **DISTRICT COURT CLERK FAIL PAY ACCOUNTS** c218 §47
on [DATE OF OFFENSE:], being the clerk of a district court other than the Municipal Court of the City of Boston: (1) did fail, on or before the tenth day of a month, to account for and pay over to the state treasurer all money received by him or her through the end of the month last preceding the day of payment from civil business, and to city and town treasurers all fines and forfeitures so received by him or her payable to such cities or towns, and render to such treasurers a detailed account on oath of the same; or (2) did fail at the end of a criminal case or inquest to pay the fees of witnesses for the Commonwealth and the fees and expenses of officers at inquests who were entitled thereto, in violation of G.L. c.218, §47. (PENALTY: not more than \$100.)

218/56 **BOSTON MUNIC CT CLERK FAIL PAY ACCOUNTS** c218 §56
on [DATE OF OFFENSE:], being the clerk for civil business or the clerk for criminal business of the Boston Municipal Court, did fail on or before the tenth day of a month, to account for and pay over to the collector of the City of Boston or to the state treasurer, as the case may be, the balance due and payable at the end of the preceding month of all money received by them payable by law to the City of Boston or to the Commonwealth, and to render to such officers a detail account thereof under oath, in violation of G.L. c.218, §56. (PENALTY: not more than \$100.)

220/8 **COLLECTION, PURCHASE MATTER FOR** c220 §8
on [DATE OF OFFENSE:], being an attorney, justice of the peace, sheriff, deputy sheriff or constable, did buy or was interested in buying, or did lend or advance or agree to lend or advance money or other goods, or did give or promise some valuable consideration to a person, as an inducement to place, or in consideration of having placed, in the hands of some person, a bond, note, book debt or right of action for collection, with intent to make for himself or herself some gain from the fees arising from such collection by legal proceedings, in violation of G.L. c.220, §8. (PENALTY: not less than \$20, not more than \$500.)

221/26 **PHYSICIAN CONVICTION, CT CLERK FAIL REPT** c221 §26 (Effective thru 11/7/96)
on [DATE OF OFFENSE:], being the clerk of a court in which a physician registered in this Commonwealth was convicted of a felony or of a crime in connection with the practice of medicine, or in which an unregistered practitioner was convicted of holding himself or herself out as a practitioner of medicine, or of practicing medicine, did fail within one week thereafter to report such conviction to the Board of Registration in Medicine, together with a copy of the court proceedings in the case, in violation of G.L. c.221, §26. (PENALTY: not less than \$1, not more than \$10.)

221/41/A **LAW, REMOVED ATTORNEY PRACTICE** c221 §41
on [DATE OF OFFENSE:], having been removed from the practice of law by the Supreme Judicial Court or Superior Court: (1) did continue thereafter to practice law; or (2) did receive a fee for his or her services as an attorney at law rendered after such removal; or (3) did hold himself or herself out, or represent or advertise himself or herself, as an attorney or counsellor at law, in violation of G.L. c.221, §41. (PENALTY: imprisonment not more than 6 months; or not more than \$100.)

221/41/B **LAW, REMOVED ATTORNEY PRACTICE, SUBSQ. OFF.** c221 §41
on [DATE OF OFFENSE:], having been removed from the practice of law by the Supreme Judicial Court or Superior Court: (1) did continue thereafter to practice law; or (2) did receive a fee for his or her services as an attorney at law rendered after such removal; or (3) did hold himself or herself out, or represent or advertise himself or herself, as an attorney or counsellor at law, the defendant having previously been convicted of such an offense, in violation of G.L. c.221, §41. (PENALTY: imprisonment not more than 1 year; or not more than \$500.)

221/41/C **LAW, UNAUTHORIZED PRACTICE OF** c221 §41
on [DATE OF OFFENSE:], not having been lawfully admitted to practice as an attorney at law: (1) did represent himself or herself to be an attorney or counsellor at law, or to be lawfully qualified to practice in the courts of this Commonwealth; or (2) did hold himself or herself out, or represent or advertise himself or herself, as having authority or power in behalf of persons who have claims for damages to person or property to procure settlements of such

claims; or (3) did solicit or procure from such a person or his or her representative, either for himself or herself or another, the management or control of such a claim, or authority to adjust or bring suit to recover for such; or (4) did solicit for himself or herself or another from a person accused of crime or his or her representative the right to defend the accused person, in violation of G.L. c.221, §41. (PENALTY: imprisonment not more than 6 months; or not more than \$100.)

221/41/D LAW, UNAUTHORIZED PRACTICE OF, SUBSQ. OFF c221 §41

on **[DATE OF OFFENSE:]**, not having been lawfully admitted to practice as an attorney at law: (1) did represent himself or herself to be an attorney or counsellor at law, or to be lawfully qualified to practice in the courts of this Commonwealth; or (2) did hold himself or herself out, or represent or advertise himself or herself, as having authority or power in behalf of persons who have claims for damages to person or property to procure settlements of such claims; or (3) did solicit or procure from such a person or his or her representative, either for himself or herself or another, the management or control of such a claim, or authority to adjust or bring suit to recover for such; or (4) did solicit for himself or herself or another from a person accused of crime or his or her representative the right to defend the accused person, the defendant having previously been convicted of such an offense, in violation of G.L. c.221, §41. (PENALTY: imprisonment not more than 1 year; or not more than \$500.)

221/44B HOSPITAL FAIL POST STATUTE c221 §44B

on **[DATE OF OFFENSE:]**, being the superintendent or other person in immediate charge of a hospital, infirmary or institution referred to in G.L. c.221, §44A, did fail to cause to be posted and kept posted in a conspicuous place therein printed copies of G.L. c.221, §44A, in violation of G.L. c.221, §44B. (PENALTY: not more than \$500.)

221/45 SHERIFF/CONSTABLE ENCOURAGE SUIT c221 §45

on **[DATE OF OFFENSE:]**, being a sheriff, deputy sheriff or constable: (1) did appear in court as attorney for a party to a suit; or (2) did draw, make or fill up a writ, declaration, plea or process for such a party; or (3) with intent to procure himself or herself to be employed in the collection of a demand, or in some manner to make gain or profit therefrom, did advise, counsel or encourage a person to commence a suit or process, in violation of G.L. c.221, §45. (PENALTY: forfeiture of \$50.)

221/46/A LAW, ASSIST CORPORATION TO PRACTICE c221 §46

on **[DATE OF OFFENSE:]**, being a corporation or association: (1) did practice or appear as an attorney for a person other than itself in a court in this Commonwealth or before a judicial body; or (2) did hold itself out to the public or advertise as being entitled to practice law; or (3) did draw agreements or other legal documents not relating to its lawful business; or (4) did draw wills or give legal advice in matters not relating to its lawful business; or (5) did practice law; or (6) or did hold itself out in some manner as being entitled to do any of the foregoing acts, by or through a person orally or by advertisement, letter or circular, in violation of G.L. c.221, §46. (PENALTY: not more than \$1000.)

221/46/B LAW, CORPORATION PRACTICE c221 §46

on **[DATE OF OFFENSE:]**: (1) being a corporation or association other than a professional corporation organized to practice law under G.L. c.156A: (a) did practice or appear as an attorney for a person other than itself in a court in this Commonwealth or before a judicial body; or (b) did hold itself out to the public or advertise as being entitled to practice law; or (c) did draw agreements or other legal documents not relating to its lawful business; or (d) did draw wills or give legal advice in matters not relating to its lawful business; or (e) did practice law; or (f) did hold itself out in some manner as being entitled to do any of the foregoing acts, by or through a person orally or by advertisement, letter or circular; or (2) being an officer, agent or employee of such a corporation or association, did on its behalf engage in, or assist such corporation or association to do, such a prohibited act, in violation of G.L. c.221, §46. (PENALTY for corporate defendant: not more than \$1000; for individual officer, agent or employee: not more than \$500.)

221/46C DEBT POOLING PLAN BY NON-ATTORNEY c221 §46C

(Effective 11/22/55)

on **[DATE OF OFFENSE:]**, not being a member of the bar of this Commonwealth, did furnish or offer to furnish advice or services for and in behalf of a debtor in connection with a debt pooling plan, whereby such debtor would deposit funds for the purposes of making pro rata payments or other distributions to his or her creditors, in violation of G.L. c.221, §46C. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

222/9 NOTARY/JP WITH EXPIRED COMMISSION c222 §9

on **[DATE OF OFFENSE:]** did act as a justice of the peace or notary public after the expiration of his or her commission, and after receiving notice of such expiration, in violation of G.L. c.222, §9. (PENALTY: not less than \$100, not more than \$500.)

222/10 NOTARY RECORDS, DESTROY c222 §10

on **[DATE OF OFFENSE:]** did knowingly destroy, deface or conceal the records or official papers of a notary public, in violation of G.L. c.222, §10. (PENALTY: forfeiture of not more than \$1000.)

224/18 CONTEMPT IN SUPPLEMENTARY PROCESS c224 §18

on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF CONTEMPT:]**, and thereby did commit criminal contempt of this court in a supplementary process proceeding under G.L. c.224, in violation of G.L. c.224, §18. (PENALTY: jail not more than 30 days; or not more than \$20.)

233/5/A WITNESS FAIL TO APPEAR IN CIVIL CASE c233 §5

on **[DATE OF OFFENSE:]**, having been duly summoned and required to attend pursuant to G.L. c.233, §1-§3 or G.L. c.218, §37 as a witness before a court, justice of the peace, master in chancery, master or auditor appointed by a court, or the county commissioners, in a civil or other non-criminal case, did without sufficient excuse fail to attend, and did thereby commit a contempt of this court, in violation of G.L. c.233, §5. (PENALTY: not more than \$20.)

233/5/B WITNESS FAIL TO APPEAR IN CRIMINAL CASE c233 §5

on **[DATE OF OFFENSE:]**, having been duly summoned and required to attend pursuant to G.L. c.233, §1-§3 or G.L. c.218, §37 as a witness in a criminal prosecution, did without sufficient excuse fail to attend, and did thereby commit a contempt of this court, in violation of G.L. c.233, §5. (PENALTY: imprisonment not more than 1 month; or not more than \$200; or both.)

233/13 WITNESS FAIL TO ATTEND IN ADJOIN STATE c233 §13

on **[DATE OF OFFENSE:]**, being a resident of this Commonwealth who had been served a summons issued by a justice of the peace pursuant to G.L. c.233, §13 ordering him or her to appear and testify in a criminal prosecution in the state of Maine or in a state adjoining this Commonwealth, and having been paid or tendered double the fees allowed by law for travel and attendance of witnesses in the Supreme Judicial Court and double traveling expenses for the whole distance out and home by the ordinary traveled route, did neglect without good cause to attend as a witness at the court named in such summons, in violation of G.L. c.233, §13. (PENALTY: forfeiture of not more than \$300.)

233/13A **WITNESS FAIL TO TESTIFY IN OTHER STATE** c233 §13A
on **[DATE OF OFFENSE:]**, having been directed in a summons issued pursuant to G.L. c.233, §13A to attend and testify in a court or before a grand jury of another state, and having been paid or tendered by a properly authorized person the sum of ten cents a mile for each mile by the ordinary traveled route to and from such place, and five dollars for each day that he or she was required to travel and attend as a witness, did fail without good cause to attend and testify at the time and place directed in such summons, and did thereby did commit a contempt of this court, in violation of G.L. c.233, §13A. (PENALTY from §5 in a criminal case: imprisonment not more than 1 month; or not more than \$200; or both; in any other case: not more than \$20.)

233/20H **WITNESS FAIL TO TESTIFY, IMMUNIZED** c233 §20H (Effective 9/4/70)
on **[DATE OF OFFENSE:]**, having been granted immunity pursuant to G.L. c.233, §20E or §20F by a justice of the Supreme Judicial Court or the Superior Court, did thereafter refuse to testify or produce evidence in this court after having being so ordered by such justice, and thereby did commit a contempt of this court, in violation of G.L. c.233, §20H. (PENALTY: house of correction not more than 1 year, or until he or she complies with the order of the court, whichever occurs first.)

234/36 **JUROR FAIL TO ATTEND** c234 §36
on **[DATE OF OFFENSE:]**, having been duly drawn and summoned as a juror in this court, did without sufficient cause neglect to attend, in violation of G.L. c.234, §36. (PENALTY: not more than \$40.)

234/37 **JURY LIST, IMPROPERLY ADD NAME TO** c234 §37
on **[DATE OF OFFENSE:]**, being a registrar of voters, a selectman or an election commissioner, did put or cause to be put upon the jury list the name of a person for a reason other than his or her judgment in good faith of the qualifications and fitness of such person for such jury service, in violation of G.L. c.234, §37. (PENALTY: jail or house of correction not more than 1 year; or not more than \$500.)

234/38 **JURY LIST, SOLICIT ADDING NAME TO** c234 §38
on **[DATE OF OFFENSE:]** did solicit or request a registrar of voters, a selectman or an election commissioner to put his or her or some other name upon a jury list, in violation of G.L. c.234, §38. (PENALTY: imprisonment not more than 1 year; or not more than \$500.)

234/39 **JURORS, FAIL DRAW/SUMMON** c234 §39
on **[DATE OF OFFENSE:]**, by reason of his or her neglect of a duty imposed by G.L. c.234, to wit: **[DESCRIPTION OF NEGLECTED DUTY:]**, did cause jurors not to be duly drawn and summoned to attend this court, in violation of G.L. c.234, §39. (PENALTY: not more than \$20.)

234/41 **JURORS, FRAUD IN DRAWING** c234 §41
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF JURY FRAUD:]**, and thereby did commit fraud in the drawing of jurors, in violation of G.L. c.234, §41. (PENALTY: not more than \$500.)

234A/32 **JUROR QUESTIONNAIRE, FALSE STATEMENT IN** c234A §32 (Effective 10/9/82)
on **[DATE OF OFFENSE:]** did in a confidential juror questionnaire provided for in G.L. c.234A, §22 wilfully misrepresent a material fact, for the purpose of either avoiding or securing service as a grand or trial juror, in violation of G.L. c.234A, §32. (PENALTY: not more than \$2000.)

234A/42 **JUROR FAIL TO ATTEND** c234A §42 (Effective 10/9/82)
on **[DATE OF OFFENSE:]**, having been summoned to serve as a grand or trial juror: (1) did fail to appear for juror service; or (2) did fail to perform some condition of his or her juror service, in violation of G.L. c.234A, §42. (PENALTY: not more than \$2000.)

234A/61/A **JUROR, EMPLOYER FAIL TO PAY** c234A §61 (Effective 10/9/82)
on **[DATE OF OFFENSE:]**, being the regular employer of a grand or trial juror, did wilfully fail to compensate such juror as required by G.L. c.234A, §48, not having been excused from such duty or compensation pursuant to G.L. c.234A, §49, in violation of G.L. c.234A, §60 and §61. (PENALTY: not more than \$5000.)

234A/61/B **JUROR, EMPLOYER HARASS** c234A §61 (Effective 10/9/82)
on **[DATE OF OFFENSE:]**: (1) did wilfully deprive of employment or some incident or benefit thereof, or did harass, threaten, coerce, or impose compulsory work assignments on one of his or her employees because such employee had received a juror summons, responded thereto, performed some obligation or election of juror service as a grand or trial juror or exercised a right under G.L. c.234A; or (2) did wilfully impose compulsory work assignments or did some other intentional act which would substantially interfere with the availability, effectiveness, attentiveness, or peace of mind of such employee during the performance of his or her juror service, in violation of G.L. c.234A, §61. (PENALTY: not more than \$5000.)

234A/71 **JUROR PROCESSING, FRAUD IN** c234A §71 (Effective 10/9/82)
on **[DATE OF OFFENSE:]** did commit fraud in the processing or selection of jurors or prospective jurors by causing a name to be inserted into or deleted from a list wrongfully, and thereby did commit jury tampering, in violation of G.L. c.234A, §71. (PENALTY: imprisonment not more than 2 years; or not more than \$10,000; or both.)

241/33 **LAND PARTITION PROCEEDS, IMPROP RECEIVE** c241 §33
on **[DATE OF OFFENSE:]** did receive proceeds of a sale of land partitioned under G.L. c.241 after having sold or mortgaged his or her interest therein, or with knowledge that it had been attached or liened, without disclosing such fact to the Probate and Family Court or to the commissioners appointed thereby, in violation of G.L. c.241, §33. (PENALTY: imprisonment not more than 1 year; or not more than \$1000.)

246/20 **TRUSTEE PROCESS, EVADE** c246 §20
on **[DATE OF OFFENSE:]**, with intent to avoid attachment by trustee process: (1) being a defendant in a civil action, did deposit moneys in an account designated as a payroll account; or (2) being the president, treasurer or other officer or agent of a corporation, did cause such deposits to be made, in violation of G.L. c.246, §20. (PENALTY: house of correction not more than 3 months; or not less than \$100, not more than \$1000; or both.)

246/30 **TRUSTEE PROCESS, ATTACH EXEMPT WAGES BY** c246 §30
on **[DATE OF OFFENSE:]** did wilfully cause, or aid and abet in causing, wages for personal services exempt from attachment to be attached by trustee process in order to unlawfully hinder or delay their payment to the person to whom they belong, in violation of G.L. c.246, §30. (PENALTY: not more than \$50, payable to "the person injured thereby.")

252/14C **DRAINAGE STRUCTURE, OBSTRUCT/INJURE** c252 §14C (Effective 8/31/61)
on **[DATE OF OFFENSE:]** did obstruct or injure a ditch, tide gate, dike or other structure constructed or used for a purpose authorized by G.L. c.252, §1-
§14B, in violation of G.L. c.252, §14C. (PENALTY: not less than \$10.)

255/12C **CONSUMER PROMISSORY NOTE, IMPROPER** c255 §12C (Effective 8/31/61)
on **[DATE OF OFFENSE:]**, as part of a contract for sale of consumer goods on credit entered into in this Commonwealth between a retail seller and a retail
buyer, did obtain a promissory note that did not have printed on the face thereof the words "consumer note," in violation of G.L. c.255, §12C. (PENALTY:
not less than \$100, not more than \$500.)

255/13K **VOCATIONAL SCHOOL CONTRACT, IMPROPER** c255 §13K (Effective 6/22/82)
on **[DATE OF OFFENSE:]** did fail to include the refund notice required by G.L. c.255, §13K in a written contract between a trade, business, vocational,
technical, correspondence, professional or proprietary school or a dance studio, and a person who was to receive physical, mental or emotional benefit
therefrom, not being excepted by law, in violation of G.L. c.255, §13K. (PENALTY: imprisonment not more than 6 months; or not more than \$1000; or both.)

255B/3 **MOTOR VEH FINANCE CO FAIL FILE REPORT** c255B §3 (Effective 8/2/75-9/11/96)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being licensed as a sales finance company, as defined
in G.L. c.255B, §3, did fail or neglect to make an annual report as required by a rule or regulation of the Commissioner of Banks, or did fail to amend such
report within 15 days after notice from the Commissioner, due to wilful neglect and without justifiable cause, in violation of G.L. c.255B, §3. (PENALTY per
day of violation: \$5.)

255B/3 **MOTOR VEH FINANCE CO FAIL FILE REPORT** c255B §3 (Effective 9/12/96)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being licensed as a sales finance company, as defined
in G.L. c.255B, §3, did fail or neglect to make an annual report as required by a rule or regulation of the Commissioner of Banks, or did fail to amend such
report within 15 days after notice from the Commissioner, due to wilful neglect and without justifiable cause, in violation of G.L. c.255B, §3. (PENALTY per
day of violation: \$50.)

255B/4 **MOTOR VEH FINANCE CO INQUIRY, OBSTRUCT** c255B §4 (Effective 1/21/59)
on **[DATE OF OFFENSE:]** did, without justifiable cause, fail or refuse to appear and testify or to produce books and papers when so required by the
Commissioner of Banks, or did obstruct the Commissioner or his or her representatives in the performance of their duties, relative to an examination of a
sales finance company licensed under the provisions of G.L. c.255B, in violation of G.L. c.255B, §4. (PENALTY: imprisonment not more than 6 months;
or not more than \$500; or both.)

255B/21 **MOTOR VEH INSTALLMENT SALES VIOLATION** c255B §21 (Effective 1/21/59)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE:]**, contrary to a provision of G.L. c.255B or a rule or regulation of the Commissioner of Banks
made thereunder, in violation of G.L. c.255B, §21. (PENALTY: imprisonment not more than 6 months; or not more than \$500; or both.)

255C/6 **INSURANCE FINANCE AGENCY FAIL FILE REPT** c255C §6 (Effective 9/12/96)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being licensed as a premium finance agency, as defined
in G.L. c.255C, §1, did fail or neglect to make an annual report as required by a rule or regulation of the Commissioner of Banks, or did fail to amend such
report within 15 days after notice from the Commissioner, due to wilful neglect and without justifiable cause, in violation of G.L. c.255C, §6. (PENALTY per
day of violation: \$50.)

255C/9 **INSURANCE FINANCE AGENCY VIOLATION** c255C §9 (Effective 10/8/64)
on **[DATE OF OFFENSE:]** did **[DESCRIPTION OF OFFENSE]**, contrary to a provision of G.L. c.255C, in violation of G.L. c.255C, §9. (PENALTY:
imprisonment not more than 6 months; or not more than \$500; or both.)

255C/10 **INSURANCE FINANCE AGENCY FAIL FILE REPT** c255C §10 (Effective 10/8/64-9/11/96)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being licensed as a premium finance agency, as defined
in G.L. c.255C, §1, did fail or neglect to make an annual report as required by a rule or regulation of the Commissioner of Banks, or did fail to amend such
report within 15 days after notice from the Commissioner, due to wilful neglect and without justifiable cause, in violation of G.L. c.255C, §10. (PENALTY
per day of violation: \$5.)

255D/3 **INSTALLMENT SALES CO FAIL FILE REPORT** c255D §3 (Effective 11/1/76-9/11/96)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being licensed as a sales finance company, as defined
in G.L. c.255D, §1, did fail or neglect to make an annual report as required by a rule or regulation of the Commissioner of Banks, or did fail to amend such
report within 15 days after notice from the Commissioner, due to wilful neglect and without justifiable cause, in violation of G.L. c.255D, §3. (PENALTY per
day of violation: \$5.)

255D/3 **INSTALLMENT SALES CO FAIL FILE REPORT** c255D §3 (Effective 9/12/96)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, being licensed as a sales finance company, as defined
in G.L. c.255D, §1, did fail or neglect to make an annual report as required by a rule or regulation of the Commissioner of Banks, or did fail to amend such
report within 15 days after notice from the Commissioner, due to wilful neglect and without justifiable cause, in violation of G.L. c.255D, §3. (PENALTY per
day of violation: \$50.)

255D/4 **INSTALLMENT SALES CO INQUIRY, OBSTRUCT** c255D §4 (Effective 11/1/76)
on **[DATE OF OFFENSE:]** did, without justifiable cause, fail or refuse to appear and testify or to produce books and papers when so required by the
Commissioner of Banks, or did obstruct the Commissioner or his or her representatives in the performance of their duties, relative to an examination of a
sales finance company licensed under the provisions of G.L. c.255D, in violation of G.L. c.255D, §4. (PENALTY: imprisonment not more than 6 months;
or not more than \$500; or both.)

255D/30 **INSTALLMENT SALES VIOLATION** c255D §30 (Effective 11/1/76)
did **[DESCRIBE OFFENSE]**, contrary to a provision of G.L. c.255D and in violation of G.L. c.255D, §30. (PENALTY: imprisonment not more than 6 months;
or not more than \$500; or both.)

255F/2 **MORTGAGE LOAN ORIGINATOR, UNLICENSED** c255F §2 (Effective 7/1/08)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**: (1) being a natural person, did act as a mortgage loan originator, as defined in G.L. c. 255, § 1, without having first obtained a mortgage loan originator license from the Commissioner of Banks; or (2) being an entity, did knowingly employ or retain a mortgage loan originator who was not licensed under G.L. c. 255F, in violation of G.L. c. 255F, § 2. (PENALTY from § 10 for each day violation occurs or continues: state prison not more than 5 years; or house of correction not more than 2½ years; or fine not more than \$2,000; or both such fine and imprisonment; "in addition to any other law applicable to a licensee or other person" for such violation.)

255F/10 **MORTGAGE LOAN ORIGINATOR REGULATION, VIOLATE** c255F §10 (Effective 7/1/08)
beginning on **[FIRST DATE OF OFFENSE:]** and continuing through **[LAST DATE OF OFFENSE:]**, did **[DESCRIPTION OF OFFENSE:]**, in violation of **[RULE/REGULATION VIOLATED:]**, a rule or regulation promulgated under G.L. c. 255F, § 2. (PENALTY from § 10 for each day violation occurs or continues: state prison not more than 5 years; or house of correction not more than 2½ years; or fine not more than \$2,000; or both such fine and imprisonment; "in addition to any other law applicable to a licensee or other person" for such violation.)

258A/7A **VICTIM COMPEN CLAIM, FALSE STATEMENT IN** c258A §7A (Effective 3/22/86-12/31/94)
on **[DATE OF OFFENSE:]**, in connection with a proceeding under G.L. c.258A: (1) did submit a fraudulent application or claim for an award; or (2) did intentionally make or cause to be made a false statement or representation of material fact; or (3) did intentionally conceal or fail to disclose information affecting the amount of or the initial or continued right to such an award when reasonably requested to provide such information by the office of the Attorney General, in violation of G.L. c.258A, §7A. (PENALTY: house of correction not more than 6 months; or not more than \$500; or both; and "shall further forfeit any benefit received and shall reimburse the commonwealth for payments received or paid".)

258B/3 **WITNESS, EMPLOYER PENALIZE/THREATEN** c258B §3(l) (Effective 8/14/95)
on **[DATE OF OFFENSE:]**, being the employer, or an agent of the employer, of **[NAME OF WITNESS:]**, a victim or witness who had been subpoenaed to attend **[NAME OF COURT TO WHICH SUBPOENAED:]** for the purpose of giving testimony as a witness in a criminal proceeding, and who had notified his or her employer of such subpoena prior to such attendance, did discharge or discipline, or did continue to threaten to discharge or discipline, such employee, and thereby did commit a contempt of such court, in violation of G.L. c. 258B, §3(l). (PENALTY from G.L. c. 268, §14A and c. 279, §5: according to common usage and practice.)

258C/12 **VICTIM COMPEN CLAIM, FALSE STATEMENT IN** c258C §12 (Effective 4/14/94)
on **[DATE OF OFFENSE:]**, in connection with a proceeding under G.L. c.258C: (1) did submit a fraudulent application or claim for an award; or (2) did intentionally make or cause to be made a false statement or representation of a material fact in relation to a claim pending before the Division of Victim Compensation and Assistance within the Department of the Attorney General; or (3) did intentionally conceal or fail to disclose information affecting the amount of or the initial or continued right to such an award, in violation of G.L. c.258C, §12. (PENALTY: house of correction not more than 6 months; or not more than \$1000; or both.)

258E/9 **HARASSMENT PREVENTION ORDER, VIOLATE** c258E §9 (Effective 5/10/10)
on **[DATE OF OFFENSE:]** did fail to comply with a court order to refrain from harassing the plaintiff or have no contact with the plaintiff, issued under the provisions of G.L. c.258E, §§ 3, 5 or 6, or a protection order issued by another jurisdiction, as defined in G.L. c.258E, §1, in violation of G.L. c.258E, §9. (PENALTY: house of correction not more than 2½ years; or not more than \$5000 fine; or both; plus an additional fine of \$25; and the court "may order the defendant to pay the plaintiff for all damages including, but not limited to, loss of earnings, out-of-pocket losses for injuries sustained or property damaged, cost of replacement locks, medical expenses, cost for obtaining an unlisted telephone number and reasonable attorney's fees"; and may order as a condition of probation completion of an appropriate treatment program and a \$350 assessment, in addition to the cost of the treatment program.)

262/19 **PROCESS SERVER, FALSE CERTIF OF FEES BY** c262 §19
on **[DATE OF OFFENSE:]** did make a false certificate of fees or allowances for the service of process under G.L. c.262, §10 or §19, in violation of G.L. c.262, §19. (PENALTY: forfeiture of \$30.)

262/50 **WITNESS FEE, OFFICER ACCEPT IMPROPER** c262 §50
on **[DATE OF OFFENSE:]**, being an officer in attendance on a court, or a sheriff, deputy sheriff, jailer, constable, city marshal or other police officer who received a salary or an allowance by the day or hour from the Commonwealth or from a county, city or town, did receive extra compensation or a witness fee, other than as permitted by G.L. c.262, §50: (1) for official services performed by him or her in a criminal case; or (2) for aid rendered to another officer; or (3) for testifying as a witness in a criminal case during the time for which he or she received such salary or allowance; or (4) for services or as a witness at an autopsy or inquest; or (5) in proceedings for commitment of mentally ill persons, in violation of G.L. c.262, §50. (PENALTY: not more than \$100.)

262/58 **WITNESS FEE, OFFICIAL ACCEPT IMPROPER** c262 §58
on **[DATE OF OFFENSE:]** did receive a witness fee or allowance for increased necessary expenses in violation of a provision of G.L. c.262, §55, §56 or §57, in violation of G.L. c.262, §58. (PENALTY: not more than \$100.)

262/62 **WITNESS FEE, FALSE CLAIM OF** c262 §62
on **[DATE OF OFFENSE:]**, with intent to defraud, did sign or procure to be signed a certificate of attendance or travel as a witness before a court, or reference founded upon a rule of court, in a case in which the witness did not so attend, or for a greater number of days than he or she actually attended, or for a greater number of miles than he or she actually travelled, upon which certificate the attendance or travel so claimed was allowed as part of the expenses of prosecution, in violation of G.L. c.262, §62. (PENALTY: forfeiture of \$30.)

263/1 **ARREST, REFUSE DISCLOSE REASON FOR** c263 §1
on **[DATE OF OFFENSE:]**, being an officer: (1) did refuse to answer a question relative to the reason why a person had been arrested by virtue of process or taken into custody by an officer; or (2) did answer such question untruthfully; or (3) did neglect upon request to exhibit to the person arrested, or to another person acting in his or her behalf, the precept by virtue of which such arrest was made, in violation of G.L. c.263, §1. (PENALTY: imprisonment not more than 1 year; or not more than \$1000.)

263/2 **ARREST ON FALSE/NONEXISTENT PROCESS** c263 §2
on **[DATE OF OFFENSE:]**, being an officer, did arrest or take into or detain in custody a person, pretending to have a process while in fact having none, or pretending to have a different process from that which he or she had, in violation of G.L. c.253, §2. (PENALTY: imprisonment not more than 1 year; or not more than \$1000.)

263A/13/A **WITNESS PROTECTION, DISCLOSURE OF INFO** c263A § 13(b) (Effective 3/30/06)
on [DATE OF OFFENSE:] did knowingly without the express written authorization of the prosecuting officer, disclose information received from the prosecuting officer or generated in connection with witness protection services and which posed a risk of harm to a program participant of disclosure of any person's participation in such program or jeopardized the objectives of the program, in violation of G.L. c.263A, § 13(b). (PENALTY: house of correction not more than 2½ years; or not more than \$5,000 fine; or both.)

264/2 **TREASON** c264 §2
on [DATE OF OFFENSE:] did commit treason against the Commonwealth, in violation of G.L. c.264, §2. (NO FINAL DISTRICT COURT JURISDICTION IN ADULT SESSION.)

264/5/A **FLAG, MISUSE U.S./MASSACHUSETTS** c264 §5 (Effective 6/8/71)
on [DATE OF OFFENSE:]: (1) did publicly burn or otherwise mutilate, trample upon, deface or treat contemptuously the flag of the United States or of Massachusetts; or (2) did display such flag or a representation thereof upon which were words, figures, advertisements or designs; or (3) did cause or permit such flag to be used in a parade as a receptacle for depositing or collecting money or some other article or thing; or (4) did expose to public view, manufacture, sell, expose for sale, give away or have in possession for sale or to give away or for use for some purpose, an article or substance that was an article of merchandise or a receptacle of merchandise or articles and upon which was attached, engraved or printed in some manner, a representation of the United States flag; or (5) did use a representation of the arms or the great seal of this Commonwealth for an advertising or commercial purpose, in violation of G.L. c.264, §5. (PENALTY: imprisonment not more than 1 year; or not less than \$100; or both.)

264/5/B **SEAL, ADVERTISING USING COMMONWEALTH'S** c264 §5 (Effective 6/8/71)
on [DATE OF OFFENSE:] did use a representation of the arms or the great seal of this Commonwealth for an advertising or commercial purpose, in violation of G.L. c.264, §5. (PENALTY: imprisonment not more than 1 year; or not less than \$100; or both.)

264/7 **FLAG, MISUSE FOREIGN** c264 §7
on [DATE OF OFFENSE:]: (1) did publicly mutilate, trample upon, deface or treat contemptuously the flag or emblem of a foreign country at peace with the United States; or (2) did display such flag or emblem or some representation thereof upon which were words, figures, advertisements or designs, in violation of G.L. c.264, §7. (PENALTY: not less than \$5, not more than \$50.)

264/8 **FLAG ON PUBLIC BUILDING, FOREIGN** c264 §8
on [DATE OF OFFENSE:] did display the flag or emblem of a foreign country upon the outside of: (1) a state, county, city or town building, there being in effect no proclamation by the governor authorizing such flag to be flown in honor of a citizen of such country who was a guest of the United States or of this Commonwealth; or (2) a public schoolhouse, in violation of G.L. c.264, §8. (PENALTY: not more than \$20.)

264/9 **NATIONAL ANTHEM, IMPROPER VERSION OF** c264 §9
on [DATE OF OFFENSE:] did play, sing or render the "Star Spangled Banner": (1) in a public place, theatre, motion picture hall, restaurant or cafe, or at a public entertainment, other than as a whole and separate composition or number, without embellishment or addition in the way of national or other melodies; or (2) or some part thereof, as dance music, as an exit march or as a part of a medley of any kind, in violation of G.L. c.264, §9. (PENALTY: not more than \$100.)

264/10A **MILITARY UNIFORM, FUNDRAISING IN** c264 §10A
on [DATE OF OFFENSE:] did wear the uniform, or some distinctive part thereof, of the United States army, navy, marine corps, revenue cutter service, or coast guard, or of the national guard, or of some organization enumerated in G.L. c.266, §70, or did wear a hat, cap or other apparel similar to or resembling the hat, cap or other distinctive part of such a uniform, while engaged, for personal profit, in soliciting alms, in selling merchandise or taking orders for the same, in seeking or receiving contributions in support of some cause, enterprise or undertaking or in soliciting or receiving subscriptions to a book, paper or magazine, not being excepted by law, in violation of G.L. c.264, §10A. (PENALTY: imprisonment not more than 3 months; or not more than \$100.)

264/11 **RIOT, INCITE** c264 §11
on [DATE OF OFFENSE:] did by speech or by exhibition, distribution or promulgation of a written or printed document, paper or pictorial representation advocate, advise, counsel or incite assault upon a public official, or the killing of some person, or the unlawful destruction of real or personal property, or the overthrow by force or violence or other unlawful means of the government of this Commonwealth or of the United States, in violation of G.L. c.264, §11. (PENALTY: state prison not more than 3 years; or jail not more than 2½ years; or not more than \$1000; and (§20:) ineligible for public office or employment, nor employment as a teacher in any public or private educational institution.)

264/19 **SUBVERSIVE ORGANIZATION, MEMBERSHIP IN** c264 §19 (Effective 9/7/54)
on [DATE OF OFFENSE:] did become or remain a member of an organization, knowing it to be a subversive organization, as defined in G.L. c.264, §16, in violation of G.L. c.264, §19. (PENALTY: state prison not more than 3 years; or jail not more than 2½ years; or not more than \$1000; and (§20:) ineligible for public office or employment, nor employment as a teacher in any public or private educational institution)

264/21 **SUBVERSIVE ORGANIZATION RECORDS, DESTROY** c264 §21 (Effective 9/7/54)
on [DATE OF OFFENSE:] did destroy or conceal a book, record, file, membership list or funds belonging to an organization which he or she knew to be a subversive organization, as defined in G.L. c.264, §16, in violation of G.L. c.264, §21. (PENALTY: state prison not more than 3 years; or jail not more than 2½ years; or not more than \$1000.)

264/22 **SUBVERSIVE ORGANIZATION USE HALL, ALLOW** c264 §22 (Effective 2/17/52)
on [DATE OF OFFENSE:], being in charge of an auditorium, hall or other building, did knowingly permit it to be used by the Communist Party or by an organization which had been adjudicated a subversive organization under the provisions of G.L. c.264, §18, in violation of G.L. c.264, §22. (PENALTY: imprisonment not more than 1 year; or not more than \$1000; or both.)

264/23 **SUBVERSIVE ORGANIZATION, CONTRIBUTE TO** c264 §23 (Effective 9/7/54)
on [DATE OF OFFENSE:] did contribute money or other property having a value in money to an organization which he or she knew to be a subversive organization, as defined in G.L. c.264, §16, in violation of G.L. c.264, §23. (PENALTY: state prison not more than 3 years; or jail not more than 2½ years; or not more than \$1000.)