



February 11, 2021

Requirement to Provide Certain Information in Public Communications (aka the Stevens Amendment)

How to avoid what has become a common monitoring finding.

Your Moderator:

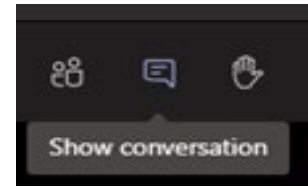


Chantal Watler

Division Chief

Microsoft Teams Meeting Format

- Use the chat box to ask general questions:
- Save grant-specific questions for your FPO
- Mute microphones unless speaking
- Turn camera off to maximize bandwidth
- Microphone mute & turn camera off icons:



Your Presenters:



Keith Hubert

Federal Project Officer



Chris Ransome

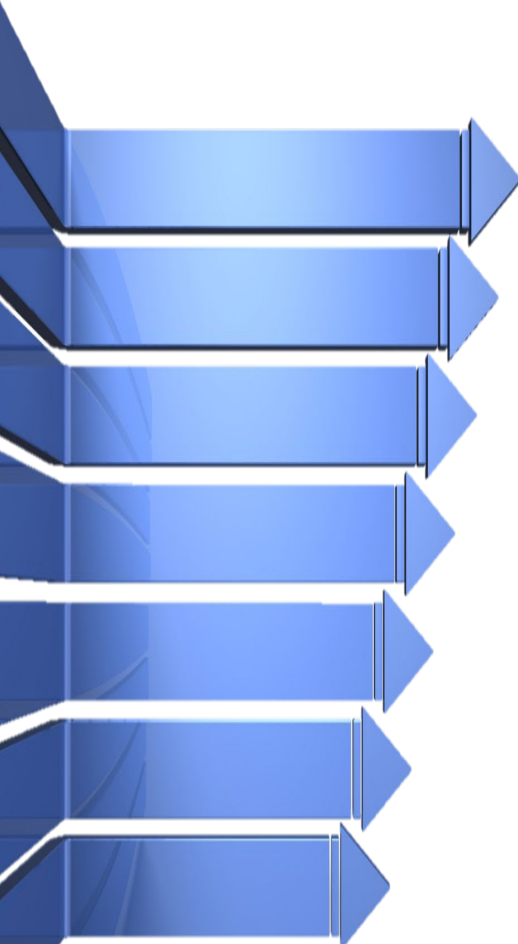
Federal Project Officer



Trevor Capon

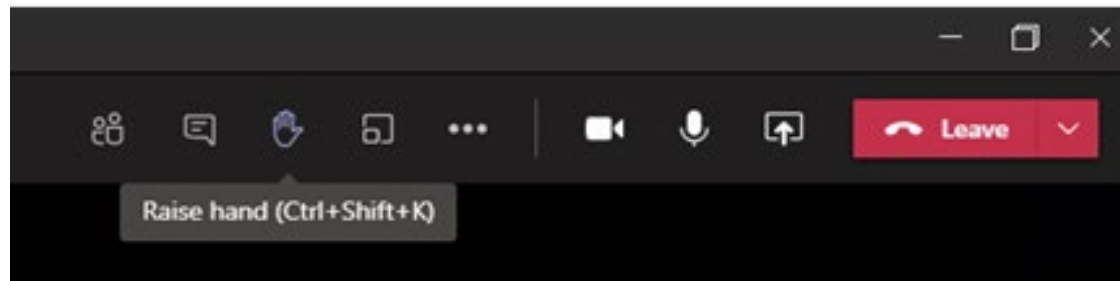
Federal Project Officer

Today's Agenda

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1. Who Must Comply
 2. The Requirement
 3. Compliance Strategies
 4. Compliant Examples
 5. Monitoring Finding Example
 6. Questions and Answers
 7. Knowledge Check & Next Steps

Do you know what the Requirement to Provide Certain Information in Public Communications (aka the Stevens Amendment) is?

Raise your “hand” if the answer is Yes.



Who Must Comply?

- The requirement only applies to grants funded with appropriated (tax) money.
- If your grant must comply, the Grant Award Package will cite the requirement.
- If your grant is not required to comply, the Grant Award Package does not cite the requirement.
 - H1-b funded grants are not required, for example, because they are funded by visa fees.

The Requirement

Requirement to Provide Certain Information in Public Communications

Pursuant to P.L. 116-94, Division A, Title V, Section 505, when issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all non-Federal entities receiving Federal funds shall clearly state:

1. The percentage of the total costs of the program or project which will be financed with Federal money;
2. The dollar amount of Federal funds for the project or program; and
3. The percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

The requirements of this part are separate from those in the 2 CFR part 200 and, when applicable, both must be complied with.

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Chat Box Questions

Enter questions in the “Chat” Box



Compliance Strategies

Statements

- Include a full funding PowerPoint slide in presentations
- Provide full funding in a footnote/endnote or other appropriate section (Background, Overview, Etc.)

Press Releases

- Include a full funding tag line

Requests for Proposals (RFPs) and bid solicitations

- Make full funding information part of the background information or other appropriate section

Other Documents

- Provide full funding information in an appropriate section

Mass Media

- Incorporate a full funding statement into TV/radio spots
- Provide full funding information in an appropriate section of an internet page
 - When necessary, it is allowable for print material to direct the public to a website for full funding information.

Compliant Examples

The total cost of the ABC program is \$1,250,000. \$1,000,000 (80%) is funded through a U.S. Department of Labor. The other \$250,000 (20%) is funded through non-Federal resources.

The total cost of the ABC YouthBuild program is \$1,250,000. \$1,000,000 (80%) is funded through a U.S. Department of Labor – Employment and Training Administration grant. The other \$250,000 (20%) is funded through State and Local resources.

Compliant Examples

The total cost of the XYZ program is \$3,000,000. \$3,000,000 (100%) is funded through a U.S. Department of Labor – Employment and Training Administration grant.

Compliant Examples

Senior Community Service Emplo x +

vadars.org/olderadults.htm#gsc.tab=0

assistance to program participants.

The Senior Community Service Employment Program is funded by 90% Federal funds through the United States Department of Labor. The remaining 10% of funding is through state and local match funds. The grant amount for the Virginia Department for Aging and Rehabilitative Services for the SCSEP grant from the U.S. Department of Labor in Program Year 2020 (July 1, 2020-June 30, 2021) is \$1,735,935.

For the current program year, DARS has contracted with eight sub-grantees. The funding levels are as follows:

Organization	Funding Provided By Department of Labor (90%)	State and/or Local Match (10%)
Virginia Department for Aging and Rehabilitative Services	\$136,948	
Mountain Empire Older Citizens, Inc.	\$107,195	\$11,791
District Three Senior Services	\$169,725	\$18,670
Southern Area Agency on Aging	\$232,255	\$25,548
STEPS, Inc.	\$223,322	\$24,565
Senior Connections	\$348,383	\$38,322
Rappahannock Area Agency on Aging	\$178,658	\$19,652
Bay Aging, Inc.	\$205,456	\$22,600
Eastern Shore Area Agency on Aging Community Action Agency, Inc.	\$133,993	\$14,739
TOTAL	\$1,735,935	\$175,887

EMPLOYMENT AND TRAINING ADMINISTRATION
UNITED STATES DEPARTMENT OF LABOR

Monitoring Finding Example

Finding: Non-compliance with Federal statutes, regulations, and the terms and conditions of the Federal award

Indicator: 3.a.3: Compliance with Applicable Laws and Regulations

Condition: Neither the grantee's YouthBuild webpage or its YouthBuild outreach flyer include the following required language: 1) the dollar amount and percentage of costs financed with Federal funds or 2) the dollar amount & percentage of costs financed with non-governmental sources.

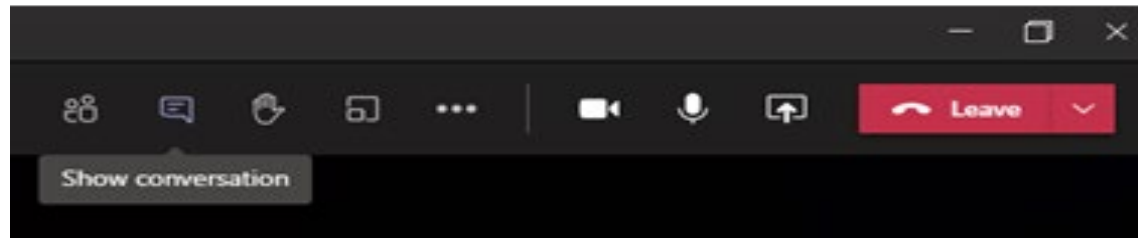
Cause: The grantee was unaware of the requirement.

Criteria: Grant Award Package: *2018 Federal Appropriations Requirement 15k: Requirement to Provide Certain Information in Public Communications*) and 2 CFR Part 200.62(3): *Internal control over compliance requirements for Federal awards*

Corrective Action: If the grantee intends to continue using a flyer for its YouthBuild program, it must find a means to make it compliant to include the required information. It is acceptable to place a sticker on its current flyer that contains the required language. Civic Works must also update the section of its website that references funding for its YouthBuild to include the required information.

Chat Box Questions

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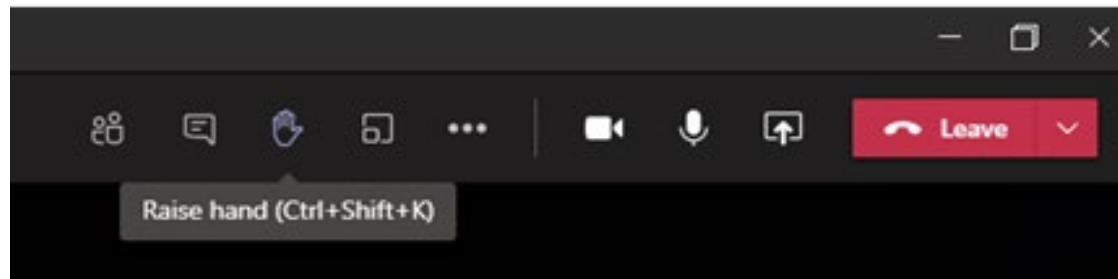
Knowledge Check!



Five Question Yes or No Quiz

Yes or No: Providing transparency and recognition of funding sources provides value to the public?

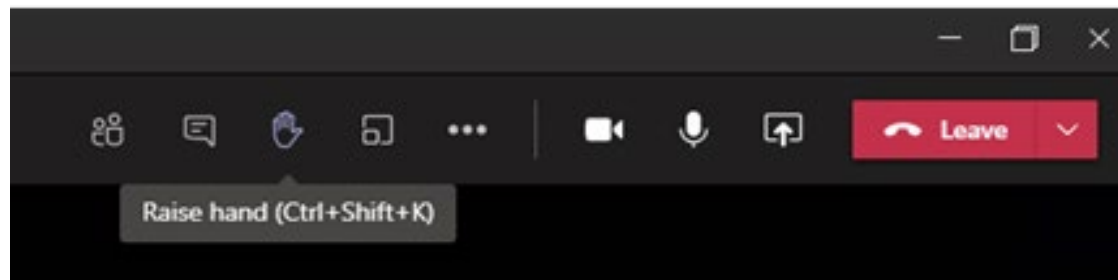
Raise your “hand” if the answer is Yes.



Yes or No: This is a compliant full funding statement:

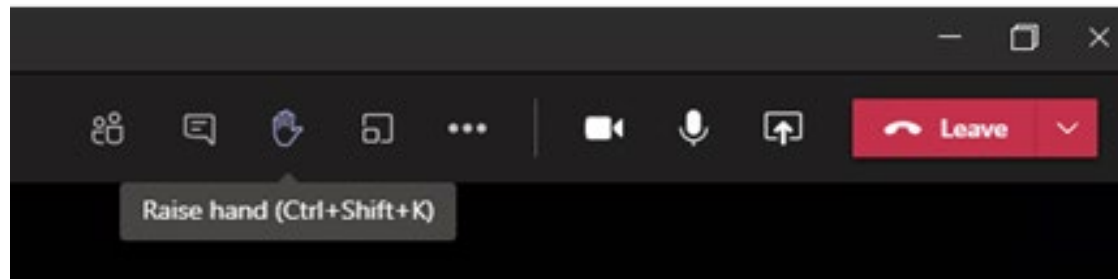
The total cost of the ABC program is \$1,250,000. \$1,000,000 is funded through a U.S. Department of Labor. The other \$250,000 is funded through non-Federal resources.

Raise your “hand” if the answer is Yes.



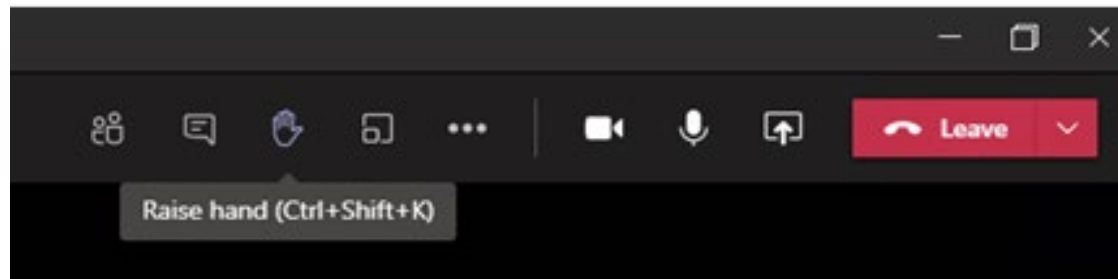
Yes or No: You know your grant is subject to the Requirement if it is cited as such in your Grant Award Package?

Raise your “hand” if the answer is Yes.



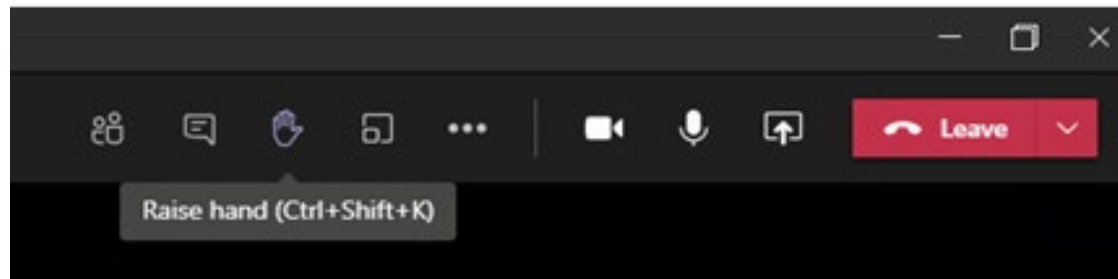
Yes or No: There is only one acceptable way to wordsmith and format the full funding information of your grant.

Raise your “hand” if the answer is Yes.



Yes or No (Repeat): Do you know what the Requirement to Provide Certain Information in Public Communications (aka the Stevens Amendment) is?

Raise your “hand” if the answer is Yes.



Next Steps

- Check your project's level of compliance with the Requirement and make necessary changes
- Contact your FPO with questions
- If you have ideas for future technical assistance in this format, please email them to R2OSIDTA@dol.gov. Make the email subject "TA Idea."

THANK YOU!