

**DEP BWSC CRA TECHNICAL SCREENING AUDIT FORM**

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Lead RTN:		
<b>SUBMITTAL TYPE (Circle one)</b>	OHM description: (Source, Type of OHM, Media Affected)	Date Rcvd ____/____/____
Phase II                  Phase III Phase IV                  Phase V/ROS C-1 Periodic              Other: Review Related RTNs:	Site Use:	
Town:		Site Name:
Address:		LSP Name/No.:
PRP/OP:		Consulting Firm:
TECHNICAL SCREENING CHECKLIST		
Condition		Page #
<b>I. SITE CONCERNS (Score based upon conditions at time of CRA filing)</b>		
<b>A. Indoor Air (Based upon conditions at time of submittal)</b>		
1. <input checked="" type="checkbox"/> Applicable GW-2 standard exceeded @ residence/school with no soil gas/indoor air sampling	Yes    No    ?	
2. <input checked="" type="checkbox"/> Site contaminants impacting indoor air	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>B. Groundwater/Drinking Water (Based upon conditions at time of submittal)</b>		
1. <input checked="" type="checkbox"/> More than 0.5" NAPL observed in any monitoring well	Yes    No    ?	
2. Site within potential drinking water source area (PDWSA)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
3. Site located within IWPA/mapped Zone II	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
4. Private/Non-municipal public well(s) (TNC, NTNC) located within 500 feet of site	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
5. Municipal well(s) located within 1000 feet of site	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6. <input checked="" type="checkbox"/> Private well contaminated as a result of site	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7. <input checked="" type="checkbox"/> Public water supply contaminated as a result of site	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>C Soil (Based upon conditions at time of submittal)</b>		
1. IH levels of Arsenic (40), Cadmium (60), Chromium (200), Cyanide (100), Mercury (300), Methyl Mercury (10), or PCBs (10) in surface soil (< 1 foot)	Yes    No    ?	
1. <input type="checkbox"/> IH levels of Arsenic (40), Cadmium (60), Chromium (200), Cyanide (100), Mercury (300), Methyl Mercury (10), or PCBs (10) in surface soil (< 1 foot)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>D. Environmental Concerns</b>		
1. Site within 500 feet of surface water and/or wetlands	Yes    No    ?	
2. Endangered species habitat, ACEC and/or certified vernal pool within 500 feet	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
3. Confirmed contamination of surface water, sediments and/or wetlands with site contaminants	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>E. Site &amp; Area Use (Choose all that apply)</b>		
1. School/Institution/Playground	Yes    No    ?	
2. Residential	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>F. Released OHM (Primary Contaminant Type(s))</b>		
1. Petroleum Fuel Oils (#2, #4, #6, Jet fuel, kerosene, lube oil, MODF, etc.)	Yes    No    ?	
2. Gasoline, waste oil	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
3. Metals, coal tar, PCBs, pesticides/herbicides, asbestos, PAHs, cyanide	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
4. Chlorinated solvents or other organic compounds	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>G. Site Complexity</b>		
1. Co-mingled plumes (i.e., different sources from one or more sites co-mingled)	Yes    No    ?	
2. Bedrock contamination	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
If <input checked="" type="checkbox"/> conditions currently exist, see supervisor to discuss.		

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<b>II. PHASE II Comprehensive Site Assessment – Indication That:</b>		Citation(s)	Yes	No	?	NA	Page #
1. A Phase II SOW has been developed and submitted to the Department		40.0832(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. The Phase II Report was received within 2 years of Tier Classification or within 2 years of the effective date of the Tier 1 Permit		40.0550(2)(b), 40.0560(2)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. The source, nature, extent, and potential impacts of the release(s) of OHM have been identified		40.0833(1)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. The need to conduct remedial actions at the disposal site have been identified		40.0833(1)(c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. A Completion Statement (including an Opinion and certification) have been completed		40.0836(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. If appropriate, the disposal site has been re-scored using the Numerical Ranking System (NRS)		40.0840(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7. Public Involvement Activities have been completed		40.0839, 40.1403(3)(a)(e)(f), 40.1406	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Risk Characterization							
8. Background has been identified or characterized		40.0904(2)(b), 40.1020	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
9. The correct risk characterization method has been used		40.0941, 40.0942	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
10. The appropriate soil/groundwater categories have been properly identified		40.0930	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
11. EPC calculations have been provided (spatial or temporal) and EPCs have been properly calculated		40.0926	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
12. Hot Spot(s) addressed, identified (as Hot Spot) and have not added in to other EPCs		40.0924(4), 40.0926(5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
13. Migration Pathways (air, groundwater, etc.) assessed and evaluated (All Methods, media dependent)		40.0904(2)(c), 40.1004(1)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
14. Applicable soil and/or groundwater standards have not been exceeded (Method 1 or 2) or AUL applied		40.0974, 40.0975, 40.0988(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
15. All receptors have been accounted for (construction worker, trespassers, wetland, etc.) (Method 3)		40.0920-40.0922	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
16. Proper Exposure Scenario assumptions (exposure period, etc.) (Method 3)		40.0923-40.0925	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
17. All Exposure Pathways (dermal, inhalation, etc.) have been presented (Method 3)		40.0925	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
18. Total site risk has been calculated (Method 3)		40.0992, 40.0993(7),(8), (9)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>III. Phase III (RAP) Identification, Evaluation and Selection of Comprehensive Remedial Action Alternatives – Indication That:</b>			Yes	No	?	NA	Page #
1. The evaluation of remedial technologies was documented in a Ph III Remedial Action Plan (RAP)		40.0861(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. The RAP was received w/in 2 years of Tier Class. or w/in 2 years of the effective date of the Tier 1 Permit.		40.0550(2)(b), 40.0560(2)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. A description of all identified remedial action alternatives was included		40.0861(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. The results of an initial screening of the remedial action alternatives was included		40.0856(1), 40.0861(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. A detailed evaluation of the remedial technologies (except as provided in 40.0857(2)) was included (comparative effectiveness, short- & long-term reliability, difficulty, costs, risks, benefits, and timeliness)		40.0857(1), 40.0861(2)(b) 40.0858(1-7)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. Justification for the selection of the proposed remedial action alternative		40.0861(2)(c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
If a Permanent Solution was chosen:							
		40.0859(2), 40.0861(2)(e)					
7. Discussion of how the Permanent Solution is likely to achieve No Significant Risk		40.0861(2)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8. Evaluation of the feasibility of reaching/approaching background (unless RAA will result in A-1 RAO)		40.0861(2)(g)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
9. If RAA leaves OHM above UCLs at depths >15 ft or beneath an engineered barrier, an evaluation of the feasibility of reducing OHM below UCLs is included		40.0860(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
10. A projected schedule for the implementation of Phase IV activities was included		40.0861(2)(i)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
11. A Completion Statement (including an Opinion and certification) have been completed		40.0862(3)(a)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
12. Public Involvement Activities have been completed		40.0863, 40.1403(3)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
If a Temporary Solution was chosen:							
		40.0859(2), 40.0861(2)(f)					
13. Evaluation of the feasibility of implementing a Permanent Solution which is more cost-effective and timely		40.0860(2), 40.0861(2)(d)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
14. Discussion of how a Temporary Solution is likely to eliminate any Substantial Hazards is included		40.0861(2)(f)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
15. A detailed description of definitive and enterprising steps to identify and develop a likely Permanent Solution and a schedule of such steps have been completed		40.0859(2), 40.0861(2)(h)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
16. A projected schedule for the implementation of Phase IV activities was included		40.0861(2)(j)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
17. A Completion Statement (including an Opinion and certification) have been completed		40.0862(3)(a)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
18. Public Involvement Activities have been completed		40.0863, 40.1403(3)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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<b>IV. Phase IV Implementation of the Selected Remedial Action Alternative – Indication That:</b>	<b>Citation(s)</b>	<b>Yes</b>	<b>No</b>	<b>?</b>	<b>NA</b>	<b>Page#</b>
1. The Remedial Implementation Plan (RIP) was received within 3 years of Tier Classification or within 3 years of the effective date of the Tier 1 Permit.	40.0550(2)(c), 40.0560(2)(c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. The selected Remedial Action Alternative (RAA) has been documented in a RIP, unless technically justified	40.0874(1,2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. A list of relevant contacts including the PRP, the LSP, and the person who will operate and maintain RAA	40.0874(3)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Engineering designs (including goals, changes, disposal site map, proposed locations, environmental media to be treated, conceptual plan, design and operational parameters, etc.) are included	40.0874(3)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. Construction Plans and Specifications (including a construction schedule) are included	40.0874(3)(c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. An Operation, Maintenance and/or Monitoring Plan, containing names & phone #s, general operating procedures, frequency & type of monitoring, is included	40.0874(3)(d)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7. A Health and Safety Plan is included	40.0874(3)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8. A list of federal, state, or local permits, licenses, and/or approvals is included	40.0874(3)(f)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
9. A discussion of property access issues (with a plan & timetable to resolve such issues) is included	40.0874(3)(g)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
10. If significant variation from RIP occurred, or if an engineered barrier, or containment/immobilization system was installed, an As-Built Construction Report has been submitted.	40.0875(1)(b,c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
11. The As-Built Construction Report contains a description of construction activities, tests and measurements, significant modifications of the design or construction, and as-built drawings	40.0875(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
12. Remedial Monitoring and Phase IV Status Reports have been submitted in accordance with deadlines, where Active Operation and Maintenance is conducted prior to submittal of Final Inspection Report and Phase IV Completion Statement	40.0877(1)(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
13. Phase IV Status Report includes descriptions of type and frequency of OM&M activities, significant modifications since preceding report, performance of remedial action, problems noted which may affect performance, corrective measures taken, and the name, license number, signature and seal of LSP	40.0877(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
14. The Final Inspection Report was completed	40.0878(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
15. The Final Inspection Report contains a description of activities/findings, modifications from the RIP, any required permits, and a determination that the CRA meets projected design standards	40.0878(1,2,3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
16. A Completion Statement (including an LSP Opinion and certification) have been completed	40.0879(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
17. Public Involvement Activities have been completed	40.0880, 40.1403(3)(a)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>V. Phase V Operation, Maintenance, and/or Monitoring or ROS – Indication That:</b>		<b>Yes</b>	<b>No</b>	<b>?</b>	<b>NA</b>	<b>Page#</b>
1. OM&M follows the OM&M plan developed as part of the Remedy Implementation Plan	40.0891(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Phase V Status Reports have been submitted 6 months from receipt of Phase IV Completion Statement and every 6 months thereafter	40.0892(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Phase V Status Report includes descriptions of type and frequency of OM&M activities, significant modifications since preceding report, performance of remedial action, problems noted which may affect performance, corrective measures taken, and the name, license number, signature and seal of LSP	40.0893(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Remedial Monitoring Reports have been submitted in accordance with deadlines for disposal sites where Active Operation and Maintenance is being conducted	40.0892(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. A Completion Statement (including an Opinion and certification) have been completed	40.0894	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. Public Involvement activities have been completed	40.0895, 40.1403(3)(e)(f)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Remedy Operation Status						
7. ROS applies: Active O&M is being conducted to achieve a Permanent Solution	40.893(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8. Phase III and Phase IV Comprehensive Response Actions have been completed	40.0893(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
9. The remedial system is being operated and maintained according to Phase V OM&M	40.893(2)(c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
10. Each source has been eliminated or controlled	40.893(2)(d)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
11. Any Substantial Hazard has been eliminated	40.893(2)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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12. Information and data on OM&M have been documented in Status and Remedial Monitoring Reports in accordance with deadlines	40.0893(2)(f)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
13. ROS submittal contains a transmittal form, an ROS Opinion, and certification under 40.0009	40.893(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
14. Written notification of mechanical failure and/or need to substantially modify remedial system or program have been completed	40.0893(6)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
15. A Completion Statement (including an Opinion and certification) have been completed	40.0894	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
16. Public Involvement activities have been completed	40.0895, 40.1403(3)(e)(f)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>VI. C-1 RAO Periodic Review- Indication That:</b>		<b>Yes</b>	<b>No</b>	<b>?</b>	<b>NA</b>	<b>Page#</b>
1. Periodic Reviews have been conducted and submitted every 5 years and contain:	40.1051(3)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Discussion of current Feasibility of a Permanent Solution	40.1051(3)(b)(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Discussion of the effectiveness of the Temporary Solution and evaluation of any AUL thereof	40.1051(3)(b)(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Description of necessary and required actions to maintain the Temporary Solution	40.1051(3)(b)(6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	