

DEP BWSC RAO TECHNICAL SCREENING AUDIT FORM

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Lead RTN:					
SUBMITTAL TYPE (Circle one)		OHM description: (Source, Type of OHM, Media Affected)		Date RAO Rcvd ____/____/____	
RAO RAO-P LSP Eval. Opin. Waiver Compl. St. RAO w/ AUL Other: Related RTNs:					
		Site Use:			
Town:		Site Name:			
Address:					
PRP/OP:		LSP Name:			
Consultant:		LSP No.:			
TECHNICAL SCREENING CHECKLIST					
Condition					Page #
I. SITE CONCERNS (Based upon conditions at time of RAO submittal)					
A. Time Critical Conditions					Yes No ?
1. <input checked="" type="checkbox"/> Applicable GW-2 standard exceeded@ residence/school with no soil gas/indoor air sampling					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. <input checked="" type="checkbox"/> More than 0.5" NAPL observed in any monitoring well					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. <input checked="" type="checkbox"/> One or more data points exceeds UCL					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4. <input checked="" type="checkbox"/> EPC in S-1 soil exceeds Method 1 standard and school/residence within 500 feet					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5. <input checked="" type="checkbox"/> Site contaminants impacting indoor air					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
B. Drinking Water					Yes No ?
1. Site within potential drinking water source area (PDWSA)					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. Site located within IWPA/mapped Zone II					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. Private/Non- municipal public well(s) located within 500 feet of site					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4. Municipal well(s) located within 1000 feet of site					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5. <input checked="" type="checkbox"/> Private well contaminated as a result of site					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
6. <input checked="" type="checkbox"/> Public water supply contaminated as a result of site					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
C Contaminated Soil					Yes No ?
1. Category S-3 Soils					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. Category S-2 Soils					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. Category S-1 Soils					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
D. Site and Area Use					Yes No ?
1. Industrial (no children likely to be present)					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. Commercial (limited presence of children)					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. School/Institution					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4. Residential					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
E. Released OHM [Contaminant Type(s)]					Yes No ?
1. Petroleum Fuel Oils					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. Gasoline, lube oils, waste oils and other petroleum products					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. Metals, coal tar, PCBs, pesticides/herbicides, asbestos					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4. Chlorinated Solvents or Other					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
F. Environmental Concerns					Yes No ?
1. Site within 500 feet of surface water and/or wetlands					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. Endangered species habitat, ACEC and/or certified vernal pool within 500 feet					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. Confirmed contamination of surface water, sediments and/or wetlands with site contaminants					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
G. Site Complexity					Yes No ?
1. Media other than groundwater or soil affected (surface water, air, sediment)					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. Co-mingled plumes (i.e., different sources from one or more sites co-mingled)					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. Bedrock contamination					<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
If <input checked="" type="checkbox"/> conditions currently exist, see supervisor to discuss.					

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II. TECHNICAL ADEQUACY	Citation(s)	Yes	No	?	NA	Page #
A. Remedial Response Actions – Indication That:						
1. Documentation of removal of remediation waste provided	40.0034(5), (6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Remediation waste properly managed (reqmnts -Air 95%,gw, sw [NPDES], soil properly handled)	40.0031-40.0049	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Obtained DEP or other agency approvals and work done in accordance with approvals	40.0100(4), 40.0170(2-3, 5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
B. Source/Extent Investigations – Indication That:						
1. History of OHM use/storage/disposal at the site included	40.0405(1), 40.0835(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Potential source(s) identified, characterized, or abated (septic leach field, floor drain, AST, etc.)	40.0923(2), 40.1003(5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Extent of contamination defined (including downgradient)	40.0904(2)(a),40.1003(4),(5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Potential or actual OHM analyzed for and/or evaluated (metals, VPH, VOCs, etc.)	40.0191(2), 40.0904(3)(a), 40.0926(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. All likely migration pathways (soil/gw/sw/air/sediment) identified/characterized/evaluated	40.0904(2)(c), 40.1004(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. Proper sample collection technique/preservation/analysis/data reporting	40.0017	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
C. Risk Characterization – Indication That:						
1. Background identified or characterized	40.0904(2)(b), 40.1020	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Soil/groundwater category properly identified	40.0930	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. EPC calculation provided (spatial or temporal) and EPC properly calculated	40.0926	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Hot Spot(s) addressed, identified (as Hot Spot) and not added in to other EPCs	40.0924(2), 40.0926(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. Migration Pathways (air, groundwater, etc.) assessed and evaluated in RC (All Methods, media dependent)	40.0904(2)(c), 40.1004(1)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. Applicable soil and/or groundwater standards not exceeded (Method 1 or 2) or AUL applied	40.0974, 40.0975	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7. Correct risk characterization method used	40.0941, 40.0942	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8. All receptors accounted for (construction worker, trespassers, wetland, etc.) or AUL applied (Method 3)	40.0920-40.0922	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
9. Proper Exposure Scenario assumptions (exposure period, etc.) (Method 3)	40.0923-40.0925	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
10. All Exposure Pathways (dermal, inhalation, etc.) evaluated (Method 3)	40.0925	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
11. Final RAO for facility/property submitted with total site risk calculated (Method 3)	40.0992, 40.0993(7),(8),(9)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
12. AUL Permitted/Inconsistent Activities, etc. understandable to general public and clearly written	40.0923(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
III. Response Action Outcome Statement (RAO) Indication That:						
1. RAO boundaries defined/delineated (clear description/plan of RAO boundaries)	40.1003(4), 40.1056(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Relationship of RAO to other RAOs for that location has been defined	40.1056(1)(d)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Correct RAO category	40.1030 – 40.1050	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Indication as to whether OHM(s) exceed UCLs presented	40.1056(1)(i)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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A. CLASS A – Indication That:		Yes	No	?	NA	Page #
1. All uncontrolled sources have been eliminated or controlled	40.1035 (2)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Groundwater concentrations do <i>not</i> exceed standards in GW-1 area	40.1036(5)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Phase IV, Phase V or Post RAO O&M, where required, has been completed	40.1036(6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
A-1. CLASS A-1 – Indication That:						
1. A permanent solution has been achieved	40.1036(1)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. The level of OHM at the site has been reduced to background	40.1036(1)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Response actions eliminated all threats of release and a release oil and/or hazardous material to the environment has <i>not</i> occurred (This question applies to threats of release only)	40.1036(1)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
A-2. CLASS A-2 – Indication That:						
1. A permanent solution has been achieved	40.1036(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. A background feasibility evaluation has been conducted which demonstrates that achievement of background is not feasible	40.1020(3), 40.1056(2)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
A-3. CLASS A-3 – Indication That:						
1. A permanent solution has been achieved	40.1036(3)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Obligations and Conditions of AUL have been implemented	40.1036(3)(c), 40.1056(2)(g)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Reasonable AUL restrictions to maintain No Significant Risk (deep OHM, long exposure period, etc)	40.1074(2)(d-f, h)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. A background feasibility evaluation has been conducted which demonstrates that achievement of background is not feasible	40.1020(3), 40.1056 (2)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. Groundwater or Soil OHM concentrations do <i>not</i> exceed UCLs	40.1036(3)(d)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
A-4. CLASS A-4 – Indication That:						
1. A permanent solution has been achieved	40.1036(4)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Obligations and Conditions of AUL have been implemented	40.1036 (4)(c), 40.1056(2)(g)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Reasonable AUL restrictions to maintain No Significant Risk (deep OHM, long exposure period, etc)	40.1074(2)(d-f, h)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Groundwater or Soil concentrations exceed UCLs; however: (check only a, b, or c)	40.1036 (4)(d), 40.1036(5)(a)	---	---	---	---	
a. concentrations are consistent with background	40.1036(5)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
b. contaminated soil is <i>greater</i> than 15 feet below grade	40.1036 (4)(d), 40.1036(5)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
c. contaminated soil is beneath an engineered barrier	40.1036 (4)(d), 40.1036(5)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. Engineered barrier does compare favorably to all other alternatives	40.0859(4), 40.1036(4)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. UCL Feasibility Evaluation conducted and shows that achieving UCLs is not feasible	40.1036(4)(e), 40.1056(2)(f)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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B. CLASS B – Indication That:						
1. Remedial actions have <i>not</i> been conducted	40.1045(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. A level of No Significant Risk does exist	40.1045(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Initial Assessment, Phase I, or Phase II has been completed	40.1046(5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
B-1. CLASS B-1 – Indication That:						
1. One or more AULs are <i>not</i> necessary to maintain a level of no significant risk	40.1046(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
B-2. CLASS B-2 – Indication That:						
1. Obligations and Conditions of AUL have been implemented	40.1046(2)(a), 40.1056(2)(g)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Reasonable AUL restrictions to maintain No Significant Risk (deep OHM, long exposure period, etc)	40.1074(2)(d-f, h)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Groundwater or Soil OHM concentrations do <i>not</i> exceed UCLs	40.1046(2)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
B-3. CLASS B-3 – Indication That:						
1. Obligations and Conditions of AUL have been implemented	40.1046(3)(a), 40.1056(2)(g)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Reasonable AUL restrictions to maintain No Significant Risk (deep OHM, long exposure period, etc)	40.1074(2)(d-f, h)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. OHM concentrations exceed UCLs; however: (check only a or b)	40.1046(3)(b),(c)	---	---	---	---	
a. soil is located <i>greater</i> than 15 feet from ground surface	40.1046(3)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
b. UCL Feasibility Evaluation was conducted and shows that achieving UCLs is not feasible	40.1046(3)(c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
C. CLASS C – Indication That:						
1. All substantial hazards have been eliminated	40.1050(1), 40.1056(2)(d)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2. Soil and/or groundwater concentrations exceed any applicable standards	40.1050(2)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Phase II and Phase III were submitted	40.1050(3), 40.1050(4)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4. Plan of definitive & enterprising steps to achieve a permanent solution is included	40.1050(5)(a), 40.1056(2)(j)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. Statement indicating whether post RAO Active O&M will be conducted is included	40.1056(1)(e)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. Plan for post-RAO active O&M is included	40.1056(2)(l)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	