The Commonwealth of Massachusetts

<u>2020</u>

RETURN

OF THE

Housatonic Water Works

WATER COMPANY

TO THE

DEPARTMENT OF PUBLIC UTILITIES

OF MASSACHUSETTS

For the Year Ended December 31, 2020

Name of Officer to whom correspondence should be addressed regarding this report, James J. Mercer

Official title	Treasurer	; Office address,	80 Maple Avenue	- Suite 1
		Great Barrington N	A zip code	01230

	GENERAL PRINCIPALS AND	INFORMATION SALARIED OFF		
Titles	Names	Addresses		Annual Salaries
President/Clerk Treasurer	Frederick J Mercer, Jr. James J Mercer	314 North Plain R Housatonic, MA 143 Castle Street Great Barrington,		107,595 107,595
	DIRI	LCTORS*		
Names	Addresse	es	Fees P	aid During Year
Frederick J Mercer, Jr James J Mercer	314 North Plain Ro Housatonic, MA 143 Castle Street Great Barrington, I			0

By General Laws. Chapter 164, Section 83, the Return must cotain a "List of names of all their salaried officers and the amounts of the salary paid to each," and by Section 77, the department is required to include in its annual report" the names and addresses of the principal officers and of the directors."

Annual report of

Housatonic Water Works Company

	-VCN	IERAL INFORMAT	ION		
1. Full corporate title company,	Houasatonic W	ater Works Compa	ny Telephone No	D. -	413-528-1780
2. Location of principal business office,	80 M	laple Avenue, Ste 1	, Great Barrington	, MA	
3. Date of organization,	June 7, 189	8 4. Date of	incorporation,	1	March 8, 1898
5. Whether incorporated under genera	I or special law,	Special La	N		
6. If under special law, give chapter and	d year of act,	1897 - cha	pter 229		
7. Give chapter and year of any subseq	uent special legisla	ation affecting the	Company	N/A	
8. Territory covered by charter rights,	Villag	ge of Housatonic in	the Town of Grea	t Barrington and	vicinity
9. Capital stock authorized by charter,	\$ \$40,0	000			
10. Captital stock issued prior to Augus		N/A			
11. Capital stock issued with approval			missioners or the	Department	
of Public Utilities since August 1, 1914,	N/A	•		• • • • • • • • • •	
shares of pa				each	\$
12. If additional stock has been issued	•	al period, give the	fate, amount and		Ŧ
the date or dates on which the same w	as paid in and the	number of shares			
	as paid in, and the	number of shares :	so solo allo die all	iounts realized:	
	as paid in, and the	number of shares :		iounts realized:	
the date or dates on which the same w D.P.U. No. N/A	as paid in, and the	number of shares :	50 5010 and the an	iounts realized:	
	as paid in, and the	number of shares :	שישטעישוע נופ מוז		
	as paid in, and the	number of shares :	ש שוע מוע נופ מו		
D.P.U. No. N/A		number of shares : none	שישטאישטאישטאישטאישטאישטאישטאישטאישטאישט	iounts realized:	
D.P.U. No. N/A 13. Management Fees and Expenses d	uring the Year	none			
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora	uring the Year ations or concerns	none with whom the coi	npany has any cor	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si	uring the Year ations or concerns upervision of its aff	none with whom the co fairs such as accou	npany has any cor nting, financing, er	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si	uring the Year ations or concerns upervision of its aff	none with whom the co fairs such as accou	npany has any cor nting, financing, er	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, et	uring the Year ations or concerns upervision of its aff	none with whom the cor fairs such as accour tal amount paid to	npany has any cor nting, financing, er	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, et 1. Smith, Watson & Company, LLP	uring the Year ations or concerns upervision of its afi c. and show the to	none with whom the coi fairs such as accoui tal amount paid to \$ 8,5	npany has any cor nting, fihancing, er each for the year	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, et 1. Smith, Watson & Company, LLP 2. Martin & Olivera 3. Housatonic Basin Sampling & Testing	uring the Year ations of concerns upervision of its aff c. and show the to Accounting Legal	none with whom the cou fairs such as accou tal amount paid to \$ 8,5 \$ 2,7	npany has any cor nting, financing, er each for the year 200	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, et 1. Smith, Watson & Company, LLP 2. Martin & Olivera 3. Housatonic Basin Sampling & Testing	uring the Year ations of concerns upervision of its aff c. and show the to Accounting Legal	none with whom the cou fairs such as accoun tal amount paid to \$ 8,9 \$ 2,7 \$ 9,5	npany has any cor iting, financing, er each for the year 200 102	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d	uring the Year ations or concerns upervision of its aff c. and show the to Accounting Legal Testing	none with whom the cou fairs such as accou tal amount paid to \$ 8,5 \$ 2,7 \$ 9,5 \$ 6,5	npany has any cor nting, financing, er each for the year 200 102 262	ntract or	
 D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, etc 1. Smith, Watson & Company, LLP 2. Martin & Olivera 3. Housatonic Basin Sampling & Testing 4. Cornwall Engineering 	uring the Year ations or concerns upervision of its aff c. and show the to Accounting Legal Testing Engineering	none with whom the con fairs such as accoun tal amount paid to \$ 8,9 \$ 2,3 \$ 9,5 \$ 6,3	npany has any cor nting, financing, er each for the year 200 102 2062 190 198	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, et 1. Smith, Watson & Company, LLP 2. Martin & Olivera 3. Housatonic Basin Sampling & Testing 4. Cornwall Engineering 5. Bowditch & Dewey 6. Water Compliance Solutions	uring the Year ations or concerns upervision of its aff c. and show the tor Accounting Legal Testing Engineering Legal Engineering	none with whom the cou fairs such as accoun tal amount paid to \$ 8,9 \$ 8,5 \$ 9,9 \$ 6,5 \$ 4,5 \$ 35,0	npany has any cor nting, financing, er each for the year 200 102 2062 190 198	ntract or	
D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, et 1. Smith, Watson & Company, LLP 2. Martin & Olivera 3. Housatonic Basin Sampling & Testing 4. Cornwall Engineering 5. Bowditch & Dewey 6. Water Compliance Solutions 14. Date when Company first began to	uring the Year ations or concerns upervision of its aff c. and show the tor Accounting Legal Testing Engineering Legal Engineering	none with whom the cou fairs such as accoun tal amount paid to \$ 8,9 \$ 8,5 \$ 9,9 \$ 6,5 \$ 4,5 \$ 35,0	npany has any cor nting, financing, er each for the year 200 102 2062 190 198	ntract or	
 D.P.U. No. N/A 13. Management Fees and Expenses d List all individuals, associations, corpora agreement, covering management or si construction, purchasing, operation, etc 1. Smith, Watson & Company, LLP 2. Martin & Olivera 3. Housatonic Basin Sampling & Testing 4. Cornwall Engineering 5. Bowditch & Dewey 	uring the Year ations or concerns upervision of its aff c. and show the to Accounting Legal Testing Engineering Legal Engineering distribute and sell 4	none with whom the cou fairs such as accoun tal amount paid to \$ 8,9 \$ 8,5 \$ 9,9 \$ 6,5 \$ 4,5 \$ 35,0	npany has any cor nting, financing, er each for the year 200 102 2062 190 198	ntract or	

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Beginning	Assets	Ending	Net Change
Balance		Balance	During Year
	Investments	· · ·	
2,799,659	101 - 113 Plant Investment (p 202)	2,802,435	2,776
	114 - 119 General Equipment (p 202)	206,251	-,
37,155	201 Unfinished Construction (p 202)	37,155	_
	202 Miscellaneous Physical Property (p 203)	-	
60,490	203 Other Investments (p 203)	60,621	131
3,103,555	Total Investments	3,106,462	2,907
	Current Assets		
61,428	204 Cash	189,977	128,549
	205 Special Deposits		, i i i i i i i i i i i i i i i i i i i
813,255	206 Notes Receivable	782,300	(30,955)
132,187	207 Accounts Receivable	140,388	8,201
	208 Interest and Dividends Receivable	·	r -
26,969	209 Materials and Supplies	23,921	(3,048)
4,988	210 Other Current Assets	4,988	-
1,038,827	Total Current Assets	1,141,574	102,747
	Reserve Funds		
	211 Sinking Funds		
	212 Insurance and Other Funds		
-	Total Reserve Funds	۳.	
	Prepaid Accounts		
1,464	213 Prepaid Insurance	7,803	6,339
	214 Prepaid Interest		
4,887	215 Other Prepayments	5,212	325
6,351	Total Prepaid Accounts	13,015	6,664
	Unadjusted Debits		
16,128	216 Unamortized Debt Discount Exp (p 203)	14,615	(1,513)
	217 Property Abandoned		
168,795	218 Other Unadjusted Debits (p 203)	147,874	(20,921)
184,923	Total Unadjusted Debits	162,489	(22,434)
4,333,656	Grand Total	4,423,540	89,884

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Beginning	Liabilities	Ending	Net Change
Balance		Balance	During Year
	Capital Stock		
40,000	301 Common Stock (p 204)	40,000	-
	302 Preferred Stock (p 204)		
	303 Employees' Stock (p 204)		
40,000	Total Capital Stock	40,000	-
	304 Premium on Capital Stock		
	Bonds, Coupon and Long Term Notes		
	305 Bonds (p 204)		
928,021	306 Coupon and Long Term Notes (p 204)	942,347	14,326
928,021	Total Bonds, Coupons and Long Term Notes	942,347	14,326
	Current Liabilities		
	307 Notes Payable (p 205)		
64,089	308 Accounts Payable	10,190	(53,899)
	309 Customers' Deposits		
	310 Matured Interest Unpaid		
	311 Dividends Declared		
	312 Other Current Liabilities		
64,089	Total Current Liabilities	10,190	(53,899)
	Accrued Liabilities		
	313 Tax Liability	44,457	2,019
4,720	314 Interest Accrued	3,732	(988)
1,771,663	315 Other Accrued Liabilities	1,874,519	102,856
1,818,821	Total Accrued Liabilities	1,922,708	103,887
	Unadjusted Credits		
	316 Premium on Bonds (p 205)		■.
404,066	317 Other Unadjusted Credits (p 205)	388,574	(15,492)
404,066	Total Unadjusted Debits	388,574	(15,492)
	Reserves		
	318 Insurance and Casualty Reserves		
1,189,518	319 Depreciation Reserve (p 206)	1,272,790	83,272
· · · · · · · · · · · · · · · · · · ·	320 Other Reserves		
1,189,518	Total Reserves	1,272,790	83,272
	Appropriated Surplus		
	321 Sinking Fund Reserves		
202,876	323 Contributions for Extensions	202,876	-
	324 Surplus Invested in Plant		
202,876	Total Appropriated Surplus	202,876	-
(313,735)	400 Profit and Loss Balance (p 301)	(355,944)	(42,209)
(110,859)	Total Corporate Surplus	(153,068)	(42,209)
	- F		
4,333,656	Grand Total	4,423,541	89,885

Annual report of

Housatonic Water Works Company

202 Year ended December 31, 2020

1
13,363
12,645
3,048
1,20
907709
1
30,256

- -	Additions	Retirements	Adjustments
Pumping Plant Equipment			
Pump House windows	13,365		
Steiner Pump	976		
Purification System			
Turbineter	8,391		
Consumers' Meters			
12 Meters Replaced	3,048		
Meters costs deducted but meters later return		(27,480)	
Consumers' Meter Installation		(21,100)	
12 Meters Replaced	1,200		
Hydrants			
Office Equipment			
Transportation Equipment			
Miscellaneous Equipment Propes and meters	3,276		
Unfinished Construction			

_	30,256	(27,480)	
=	JV,2JU	(47,700)	

r					
Cizco nontic	MISCELLANEOUS P	HYSICAL PR	OPERTY		
Give partit	culars of all investments of the respondent in p	hysical propert	y not devoted t	o utility opera	ition.
	DESCRIPTON AND LOCATION OF	D. E.W. f.			
Line No.		Book Value a			Net Revenue
	MISCELLANEOUS PHYSICAL	End of Year		Expense for	· ·
1	PROPERTY HELD AT END OF YEAR.	(b)	the Year (c)	the Year (d)	e)
2					
3				8	
4					
5	Totals	\$ _	\$ -	\$ -	\$ -
		ф —		<u> </u>	<u> </u>
	OTHER	INVESTMEN	TS		
Give partic	ulars of investments in stocks, bonds, etc., hel	d by the respor	dent at end of	vear.	
	DESCRIPTION OF SECURITY HELD BY	<u> </u>			
	RESPONDENT			Amount	
6	CoBank Participation Certificate	\$ 60,621	\$ 131		\$ 131
7					
8					
9				TOTAL	<u>\$ 131</u>
	UNAMORTIZED DEBT D				
Entires i	presents only the expense incurred in connecti n Col. (d) should be consistent with the return	s made on page	301, Shedules	s of Income ar	nd Profit and
Entires i	n Col. (d) should be consistent with the returns	s made on page	1	s of Income ar	
Entires i	n Col. (d) should be consistent with the returns	s made on page	Discount on	s of Income ar Discount	Unextinguish
Entires i	n Col. (d) should be consistent with the returns	s made on page oss.	Discount on Bonds		Unextinguish ed Discount
Entires i	n Col. (d) should be consistent with the return Le	s made on page oss. Unexinguishe d Discount at	Discount on Bonds Issued	Discount Written Off	Unextinguish ed Discount at Close of
Entires i	n Col. (d) should be consistent with the return Le	s made on page ss. Unexinguishe	Discount on Bonds	Discount	Unextinguish ed Discount
Entires i	n Col. (d) should be consistent with the return Le	s made on page oss. Unexinguishe d Discount at	Discount on Bonds Issued	Discount Written Off During Year	Unextinguish ed Discount at Close of Year
Entires i	n Col. (d) should be consistent with the return Le	s made on page oss. Unexinguishe d Discount at Beg. Of Year	Discount on Bonds Issued During Year	Discount Written Off During Year	Unextinguish ed Discount at Close of Year \$ 695
Entires i 10 11 12	n Col. (d) should be consistent with the returns Lc NAME OF SECURITY Mortgage Costs - CoBank 1996	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390	Discount on Bonds Issued During Year	Discount Written Off During Year \$ 695	Unextinguish ed Discount at Close of Year
Entires i 10 11 12 13	n Col. (d) should be consistent with the returns Lc NAME OF SECURITY Mortgage Costs - CoBank 1996	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390	Discount on Bonds Issued During Year	Discount Written Off During Year \$ 695	Unextinguish ed Discount at Close of Year \$ 695
Entires i 10 11 12 13 14	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739	Discount on Bonds Issued During Year \$ - -	Discount Written Off During Year \$ 695 819	Unextinguish ed Discount at Close of Year \$ 695 13,920
Entires i 10 11 12 13	n Col. (d) should be consistent with the returns Lc NAME OF SECURITY Mortgage Costs - CoBank 1996	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739	Discount on Bonds Issued During Year \$ - -	Discount Written Off During Year \$ 695	Unextinguish ed Discount at Close of Year \$ 695
Entires i 10 11 12 13 14	n Col. (d) should be consistent with the returns Lc NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129	Discount on Bonds Issued During Year \$ - - \$ \$	Discount Written Off During Year \$ 695 819	Unextinguish ed Discount at Close of Year \$ 695 13,920
Entires i 10 11 12 13 14 15	n Col. (d) should be consistent with the returns Lc NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI	Discount on Bonds Issued During Year \$ - - \$ -	Discount Written Off During Year \$ 695 819 \$ 1,514	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615
10 11 12 13 14 15 Give an an	n Col. (d) should be consistent with the returns Lc NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of	s made on page ss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing	Discount on Bonds Issued During Year \$ - - \$ S in detail each	Discount Written Off During Year \$ 695 819 \$ 1,514	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615
I0 11 12 13 14 15 Give an an amounting	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of to \$500 or more. Items less than \$500 may be	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in	Discount on Bonds Issued During Year \$ - - \$ S In detail each S single entry	Discount Written Off During Year \$ 695 819 \$ 1,514	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615
I0 11 12 13 14 15 Give an an amounting	n Col. (d) should be consistent with the returns Lc NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of	s made on page ss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in s thus combine	Discount on Bonds Issued During Year \$ - - \$ S in detail each a single entry " d,	Discount Written Off During Year \$ 695 819 \$ 1,514 item or subace Minor Items.	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615 count
I0 11 12 13 14 15 Give an an amounting	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of to \$500 or more. Items less than \$500 may be	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in a s thus combine Balance at	Discount on Bonds Issued During Year \$ - - \$ S in detail each single entry " d. Amount	Discount Written Off During Year \$ 695 819 \$ 1,514 item or subace Minor Items. Amount	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615 count
I0 11 12 13 14 15 Give an an amounting	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of to \$500 or more. Items less than \$500 may be ich less than \$500," giving the number of items	s made on page oss. Unexinguishe d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in a s thus combine Balance at Beginning of	Discount on Bonds Issued During Year \$ - - \$ S in detail each a single entry " d, Amount Added	Discount Written Off During Year \$ 695 819 \$ 1,514 item or subace Minor Items. Amount written off	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615 count
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Intires i	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of to \$500 or more. Items less than \$500 may be ich less than \$500," giving the number of items DESCRIPTION AND CHARACTER OF UNADJUSTED DEBITS Rate Case Epense Phase I Rate Case Expense Phase II	s made on page ss. Unexinguished d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in a s thus combined Balance at Beginning of Year (b) \$ 85,904 35,442	Discount on Bonds Issued During Year \$ - - \$ - S in detail each a single entry " d. Amount Added During Year (c) \$ -	Discount Written Off During Year \$ 695 819 \$ 1,514 item or subace Minor Items. Amount written off During Year (d)	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615 count
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Intires i	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of to \$500 or more. Items less than \$500 may be ich less than \$500," giving the number of items DESCRIPTION AND CHARACTER OF UNADJUSTED DEBITS Rate Case Epense Phase I Rate Case Expense Phase II	s made on page ss. Unexinguished d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in a s thus combined Balance at Beginning of Year (b) \$ 85,904 35,442	Discount on Bonds Issued During Year \$ - - \$ - S in detail each a single entry " d. Amount Added During Year (c) \$ -	Discount Written Off During Year \$ 695 819 \$ 1,514 item or subace Minor Items. Amount written off During Year (d) \$ 17,774	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615 count in Balance at Close of Year (e) \$ 68,130 28,233
Entires i 10 11 12 13 14 15 Give an an amounting number, ea 16 17 18 19 20	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of to \$500 or more. Items less than \$500 may be ich less than \$500," giving the number of items DESCRIPTION AND CHARACTER OF UNADJUSTED DEBITS Rate Case Epense Phase I Rate Case Expense Phase II	s made on page ss. Unexinguished d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in a s thus combined Balance at Beginning of Year (b) \$ 85,904 35,442	Discount on Bonds Issued During Year \$ - - \$ - S in detail each a single entry " d. Amount Added During Year (c) \$ -	Discount Written Off During Year \$ 695 819 \$ 1,514 item or subace Minor Items. Amount written off During Year (d) \$ 17,774	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615 count in Balance at Close of Year (e) \$ 68,130 28,233
Entires i 10 11 12 13 14 15 Give an an amounting number, ea 16 17 18 19	n Col. (d) should be consistent with the returns Lo NAME OF SECURITY Mortgage Costs - CoBank 1996 Mortgage Costs - CoBank 2017 Totals OTHER UNADJ alysis of the above-entitled account as close of to \$500 or more. Items less than \$500 may be ich less than \$500," giving the number of items DESCRIPTION AND CHARACTER OF UNADJUSTED DEBITS Rate Case Epense Phase I Rate Case Expense Phase II	s made on page ss. Unexinguished d Discount at Beg. Of Year \$ 1,390 14,739 \$ 16,129 USTED DEBI year, showing e combined in a s thus combined Balance at Beginning of Year (b) \$ 85,904 35,442 47,449	Discount on Bonds Issued During Year \$ - - \$ - S in detail each a single entry " d. Amount Added During Year (c) \$ -	Discount Written Off During Year \$ 695 819 \$ 1,514 item or subace Minor Items. Amount written off During Year (d) \$ 17,774	Unextinguish ed Discount at Close of Year \$ 695 13,920 \$ 14,615 count in Balance at Close of Year (e) \$ 68,130 28,233

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report	
Annual	

Housatonic Water Works Company

204 Year ended December 31, 2020

Give pa	CAPITAL STOCK Give particulars of the various issues of capital stock of the respondent, as called for in the following schedule. In stating the amount of Capital Stock authorized in Col. (d) show only amount authorized by the regulatory body.	stock of the resp	pondent, as calle amount a	CAPITAL STOCK t, as called for in the following schedule. I amount authorized by the regulatory body	OCK wing schedule. regulatory body	In stating the an	nount of Capita	al Stock aut	lorized in Col.	(d) show only
			Number of Sh	Number of Shares Authorized	Per Value of	Amount of Capital Stock	Arnount Acutal Outstanding at End of	Acutal at End of	Total Premiu	Total Premium at End of Year
Line. No.	DESCRIPTION (a)			(b)	One Share (c)	Authorized (d)	Year (e)	(e)		Û
, 1	Capital Stock:		400		\$ 100	S 40,000	\$ 40,000			
ίΩ				-						
4	Treasury Stock	- - - - -								
5		TOTALS				\$ 40,000	\$ 40,000		، ج	
			BUNDS, CUL	BUNDS, COUPON AND LONG TERM NOTES	NC LEKM NO	IES.				
Give parti	Give particulars of various issues of bonds, coupon and long term notes as called for in the following schedule, giving the names of any underlying issues that may have been accumant by	on and long term	notes as called 1	for in the followi	ne schèdule, <i>v</i> i	ving the names	of any underlyi	ing issues th	at may have h	the second have the
•	respondent.	respondent. The total of Col	l (b) should be c	(b) should be consistent with return made on page 301. Income Schedule (line 20).	turn made on p	age 301. Income	s Schedule (line	e 20).		
							INTEREST	FST		
						Par Value			Interest	
_						Actually			Accrued	
	NAME AND CHARACTER OF	Date of Issue	Date of			Cuustantong at End of Year (Rate per Cent Dates Due	Rate per Cent	Dates Due	During Year, Charged to	Interest Paid
	OBLIGATION (a)	(q)	Maturity (c)	Par Value Authorized (d)	thorized (d)	() ()		(B)	Income (h)	During Year (i)
Q	6 Mortgage Bonds:									
~										
¢0										
0										
10										
	<u>č</u>									
12		12/23/1996	12/20/2021	1,340,000		112,235	various		16,375	16,375
13		7/16/2020	6/15/2050	150,000		153,329	3.75%		3,329	
14		7/28/2016	7/28/2021	33,324		ı	6.39%		680	680
15		3/31/2017	3/31/2022	33,680		8,420	0.00%		1	ı
16		7/27/2017	1/20/2038	700,000		639,191	5.45%		36,109	36,109
17	Ford Credit Financing	12/11/2019	12/11/2022	35,734		29.172	4.00%		1,328	1,328
18	Total Coupon & Long T		I .	2,292,738		942,347			57,821	54,492
19	GRAND TOTAL	AL						Totals	57,821	54,492
										* ••

L		SUND	RY CURRENT	LIABILITIES		······································
			NOTES PA	YABLE		
Line No.	Name of Creditor (a)	Date of Issue (b)	Date of Maturity (c)	How Secured (d)	Rate of Interest (e)	Amount (f)
1						\$
2						
4						
5						
6						
7						
8	•			TOTAL		

PREMIUM ON BONDS

Give an analysis of the respondent's accounts covering premium on bonds or other evidences of indebtedness. Entries in Col (d) should be consistent with the returns made on page 301, Schedules of Income and Profit and Loss.

	NAME OF SECURITY (a)	Unextinguished Premium at Beginning of Year (b)	on Bonds Issued During Year (c)	Premium Written Off During Year (d)	Unextinguished Premium at End of Year (e)
9 10 11		\$	\$	\$	\$
12	TOTALS				

Giv	ve the names in Col. (a) and indicate the c Unadjusted Credits." For items less th	ER UNADJUSTED CREDITS haracter, in Col. (b) of the severa an \$1,000, a single entry may be ber, each less than \$1,000," statin	made under the caption "Minor
	NAME OF SUBACCOUNT (a)	Character of Subaccount (b)	
13	Deferred Corporate Taxes		Amount (c) \$ 329,193
14	Unearned Income		7,870
15	Amortized CIAC		51,511
16			
17			
18		TOTAL	\$ 388,574

r

Show Below the amount credited during the year to Depreciation Reserve, and the amount charged to Depreciation Reserve on account of property retired. Also the balance in the account at the close of the year.

â,		Amount
Balance at beginning of year		b.
Credits to Depreciation Reserve during year:		\$ 1,189,518
Acct 610-10 Depreciation		
Other accounts (Specify)		84,920
	Total Credits During Year	84,920
Net charges for Plant Retired:		
Book Cost of Plant Retired		
Cost of Removal		· •
Salvage (Credit) - unrecovered book cost		
		1,649
	Total charges during year	1,649
	Balance December 31,	1,272,789

BASES OF DEPRECIA	ATION CHARGES	
Give in detail the rule and rates by which the resp	ondent determined the amount charged to	Concreting over an end of the
accounts, and credited to Depreciation Reserve. R	court also the depreciation taken for the	Joperating expenses and other
purposes.	report and the depresention taken for the	year for lederal income tax
Plant and equipment depreciated at rate of 2%.		
Office and shop equipment depreciated at rate of 5	5 - 20%.	
Meters depreciated at rate of 5%.		
Depreciation taken for federal income tax purpose	s = \$36839	
Amortization of Contributions at 2%	4,062	
Depreciation included in expense	84,920	
Total of Depreciation and Amortization of Plant in Service	88,982	

Account Number	Item	Amount	Net Change
TNUITIDEI			0
500	Operating Income		······································
500	Operating Revenues (p 302)	734,381	62,00
600	Operating Expenses (p 302-303)	682,286	97,52
	Net Operating Revenues	52,095	(35,52)
550	Uncollectible Operating Revenues		(55,52)
551	Taxes (p 303a)	52,942	(20,20)
	Net Operating Income	(847)	(15,325
	Non-Operating Income	(017/	(13,32,
560	Mdse. And Jobbing Revenue		
561	Rent from Appliances		
562	Miscellaneous Rent Income		
563	Interest and Dividend Income	6,197	(12.00
564	Inc. from Sink. And Other Res. Funds	0,197	(13,602
565	Amortization of Premium on Bonds		
566	Miscellaneous Non-operating Income	10,091	0.07/
	Total Non-operating Income	16,288	9,070
	Total Gross Income		(4,532
	Deductions From Gross Income	15,441	(19,857
575	Miscellaneous Rents		
576	Interest on Bonds and Coupon Notes	56 126	(= = = =
577	Miscellaneous Interest Deductions	56,136	(7,294
578	Amortization of Discount (p 203)	1 61 6	-
579	Miscellaneous Deductions from Income	1,514	-
	Total Deductions from Gross Income		-
	Income Balance Transferred to Profit and Loss	57,650	(7,294
	Loss	(42,209)	(12,563

Profit and Loss Statement

Account Number	Item	Debits	Credits
	Credits		
401	Credit Balance at Beg of Fiscal Period (p 201)		7213 725
402	Credit Balance transferred from Income Acct (p301)		(313,735
403	Miscellaneous Credits (note)		
	Debits		·····································
411	Debit Balance at Beg of Fiscal Period (p 201)	4月1日月1日日月1日日日日 1月1日日 - 1月1日日 1月1日日 - 1月1日日 1月1日日 - 1月1日日 1月11日 1月111日 1月111日 1月111 1月1111 1月1111 1月1111 1月1111 1月11111 1月11111 1月11111 1月111111	动动动动动的
412	Debit Balance transferred from Income Acct (p 301)	42,209	
413	Surplus applied to Sinking Fund and Other Reserves	42,209	
414	Dividend Appropriations of Surplus (p 302)		生长的变现法的
415	Appropriations of Surplus for Depreciation (p 204)		
416	Discn't on Bonds (p 203)		
417	Other Deductions from Surplus (note)		
418	Appropriations of Surplus for Construction		中的增加的增加的
	Balance Carried Forward to Balance Sheet	00000	
	Totals	(313,735)	(313,735

State the operating revenues of the respondent for the year ended December 31, classified in accordance with the Uniform System of Accounts.

Acct No	CLASS OF WATER OPERATING REVENUE	Amt of Rev for Year	Net Change
	<u>a</u> ,	b.	c.
	Revenues From Sale of Water		
501	Metered Sales to General consumers	\$ 632,026	\$ 52,461
502	Flat-rate Sales to General Consumers	46,438	1,632
503	Sales to Other Water Companies	10,100	1,052
504	Municipal Hydrants	50,017	2,008
505	Miscellaneous municipal Revenues	50,017	2,008
	Total Revenues from Water Operations	728,481	56,101
	Miscellaneous Revenues		
506	Rent from Property used in Operations		1
507	Miscellaneous Operating Revenues	5,900	5,900
	Total Revenues from Miscellaneous Operation	5,900	5,900
	Total Operating Revenues	734,381	62,001

DIVIDENDS DECLARED DURING THE YEAR

Give particulars of dividends on each class of stock during the year, and charged to Profit and Loss. This schedule shall include only dividends that have been declared by the Board of Directors during the fiscal year.

Name of Security on which dividend	Rate per Cent on y		Amount of Capital Stock on which dividend was	Amount of	Date	
was declared	Regular	Extra	declared.	Dividend	declared	payable
	c					
				i í		

Account Number	Name of Expense Account	Amount	Net
· · · · · · · · · · ·	Source of Water Supply Expenses		Change
601-1	Maintenance of Water Supply Bldgs & Fixtures	4,315	1.064
601-2	Maintenance of Surface Source of Supply Facilities	733	2,865
601-3	Maintenance of Ground Source of Water Supply	/35	(717)
	Total Source of Water Supply Expenses	5,048	2 1 4 9
602	Water Purchased for Resale	3,048	2,148
	Pumping Expenses		
603-1	Pumping Labor		Ž10 (101)
603-2	Boiler Fuel	-	(18,281)
603-3	Water for Steam		
603-4	Electric Power Purchased	13,513	(646)
603-5	Misc Pumping Station Supplies and Exps	13,513	(646)
604-1	Maint of Power Pumping Bldg & Fixtures	-	÷
604-2	Maint of Pumping Equipment	2 010	0.010
604-3	Maint of Misc Pumping Plant Equipment	2,010	2,010
	Total Pumping Expenses	15 502	(10.015)
	Purification Expenses	15,523	(16,917)
605-1	Purification Labor		(00.40)
605-2	Purification Supplies and Expenses	-	(20,406)
606-1	Maintenance of Purification Bldgs & Fixtures	44,116	6,180
606-2	Maintenance of Purification Equipment	-	-
	Total Purification Expenses	44 110	(1.4.66.6)
	Transmission and Distribution Expenses	44,116	(14,226)
607	Inspecting Customers' Installations		
608	Misc Trans and Dist Supplies and Expenses		
609-1	Maint of Trans and Dist Buildings & Expenses		
609-2	Maint of Trans and Dist Mains	4,930	1.00
609-3	Maint of Storage, Reservoirs, Tanks and Standpipes	4,930	160
609-4	Maint of Services	-	-
609-5	Maint of Meters	ľ	
609-6	Maint of Hydrants	-	.=-
609-7	Maint of Fountains and Troughs	-	• ·
	Total Trans and Dist Expenses	4 020	1.00
	General and Miscellaneous Expenses	4,930	160
610-1	Salaries of General Officers and Clerks	210 /155	15 655
610-2	General Office Supplies and Expenses	218,455 63,716	15,655
610-3	Law Expenses (and other professional services)		(7,923)
610-4	Insurance	15,257 68,539	(4,534)
610-5	Accidents and Damages	96,50	24,504
610-6	Store Expenses		
610-7	Transportation Expenses	5 700	10.000
610-8	Inventory Adjustments	5,792	(963)
610-9	Maint of General Structure	- 1	-
610-10	Depreciation	04.000	
610-11	Miscellaneous General Expenses	84,920	5,177
	Total General and Miscellaneous Expenses	155,990	94,446
	Grand Total Operating Expenses	612,669	126,362
	Grand Total Operating Expenses	682,286	97,527

OPERATING EXPENSES

(For companies having average operating revenues not exceeding \$15,000.00)

State the operating expenses of the respondent for the year ended December 31, classifying them in accordance with the Uniform System of Accounts.

Line No.	Account No	Name of Operating Expense Account	Amount of operating expenses for year	Comparison with prior year
25	601	Maintenance of Source Water Supply		1
26	602	Water Purchased for Resale		
27	603	Pumping Labor		1
28	604	Maint of pumping Plant		
29	605	Purification Labor, supplies & exps		
30	606	Maint of Purification Bldg & Equip		· ·
31	607	Inspecting Customers' Installations		
32	608	Misc Trans and Dist Supplies & Exp		
33	609	Maint of Trans and Dist System		1
34	610-10	Depreciation		
.35	610-1-11	General and Miscellaneous Expenses		
36				
37		TOTAL OPERATING EXPENSES		·······

TAXES

Kind of Tax	Federal	State	Municipal	Total	
Personal Property			11,204	11,204	
Real Estate			7,277	7,277	
Other		-			
FICA/Medicare	8,935			8,935	
FUTA	104			104	
SUTA		331		331	
Deferred Income Tax	(14,500)	(4,866)		(19,366)	
Income Tax	32,413	12,044		44,457	
Totals	26,952	7,509	18,481	52,942	

1.1	Land owned by the Company.	REAL ESTATE	NFORMATI	ON	
	Location				Use
В. С. D. Е.	Great Barrington east side Long Po Great Barrington east side Long Po Great Barrington south side Long P Stockbridge High Street Great Barrington south side Long P Great Barrington Prospect Street	nd Water Shed ond	Shore of res Shore of res Filter site sh Site of reser Shore of res Site of Prosp	ervoir ore of reserv voir water su ervoir	apply tank
	Area	When Be	ought		Cost
A. B. C. E. F. G. H.	40 acres 17.5 acres 1.3 acres 1 acre 1 lot 1 acre 5 acres	1923-24 1922 April 1939 January 1946 December 1951 1960 1976			23 1,725 226 500 643 50 600
[. [.]	uildings owned by Company.				3,767
	Location	- 10			Use
A B D. E F. H.	Great Barrington south side Long Po Great Barrington south side Long Po	ond	Valve house CT basin & s	slow sand fi	
	Size 1/10 acre 1.0 mg	Material Concrete Concrete	When 1939 1997	Built	Cost not separate 948,862
					948,862

SUPPLY INFORMATION

1. Give a full and complete description of the source or sources from which water is obtained. State whether these sources are owned or leased by the Company. If they are leased, quote the terms of the leases. Give the date of the latest opinion of the Department of Public Health regarding each of these sources of supply.

All water is obtained from Long Pond, Great Barrington, MA. It is a natural lake of about 119 acres situated approximately 3 miles from Housatonic. The water shed is largely wooded with inhabitants, the nearest being 200 feet from the lake. Sanitary conditions are approved by the MA Board of Health. Water is filtered by a slow sand filtration system with a bypass valve for exception use during fires.

Location	Area	When Bought	Cost*
A. Baker Farm B. Tobey Lot C. Shalley Lot D. Barbieri Lot	0.4 acres 17.5 acres 10.0 acres 1.0 acre	1923-24 1922 1946 1960	23 1,725 500 50
emarks:			2,298

3. Give a full and complete description of any water supply rights that are owned by the Company and state when they were bought and what was paid for them. N/A

Water supply rights on Long Pond for which deeds were obtained from abutting owners of right of flow. Right of way to lake of all abutting lands for company purposes. Deeds for 3 lots of land for filter with additional right of way over Dewey's land for which \$226 was paid.

*By cost is meant the original cost of Installation, not the Book Value.

	SUPPLY	INFORMATI	ON - Continu	he		
4. Wells			on - commu	50	······································	
Location	Inside Dimensions	Depth Below High Water	Covered or Uncovered	When Built	Co	ost*
B. C. D. E.						
5. Give a full and complete description of	of the wells					
6. Reservoirs				,		
Location	Area at Surface When Full		Full Capacity in	When Built	Cost*	
A. Long Pond B. C. D. E.	103 acres			no record		
7. Describe the reservoirs, stating to what service; to what extent their slopes and be the capacity; and give the character of co Originally a natrual lake shore largely gru been reconstructed to conform to the requ	nstruction of an abbed. Earth da	ny dams: 19 dams: 19 dams:	250 feet long	a made for rais	ing the water lev	el and increasin
*By cost is meant the original cost of Inst	allation, not the	e Book Value				

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PUMPING INFORMATION

1. Give a general description of the method employed for delivering the water to the consumers, stating whether gravity is utilized or not; whether the company owns a pumping station or not; and giving all other pertinent information: Gravity systems used. Booster pumping station installed on Prospect Street in 1976-77 services 21 families. Booster pump installed at Long Pond filter house in July 1984.

2. BOILERS

3. CHIMNEYS

This schedule not presently used.

This schedule not presently used. 4. PUMPING ENGINES, STEAM-ACTUATI This schedule not presently used.

5. PUMPS, DRIVEN BY CONNECTED POWER

	L	OCATION		TYPE	NAME OF BUILDER	WHEN INSTALLE	COST
A. B. C. D. E. F. G. H. J.	Valve, hous Valve, hous Valve, hous New Prospe New Prospe	e filter e filter ct St Vault		2" Cent 2.5" Cent 1/2 x 1/2 Cent Cent	FBRK/Mrse FBRK/Mrse DMC Paco Paco	Sep-40 Aug-42 Jun-53 Jun-77 Jul-84	72 245 166 4,050 17,233
A. B. C. D. E. F. G. H. I. J.	NUMBER OF CYLS	SINGLE OR DOUBLE ACTING Single Single Single	RATED STROKES PER MINUTE 1600 1200 1600	LENGTH OF STROKE	DIAM OF PISTONS OR PLUNGERS	DRIVEN	21,766 DISPLACEMENT PER 24 HOURS 500,000 gallons 500,000 gallons 115,200 28,800

6. Gas producers

PUMPING INFORMATION - Continued

This schedule not presently used.

7. Internal combustion engines.

	rnal combustion engines.			11977 - Lauke			
	Location		Name o	f Builder	When Installed	Type of Drive	Cost*
A.					Instança	DIIVC	CUSI
B.							
С.							
			Single or	Dimen	sions of	2 or 4	· · · · · · · · · · · · · · · · · · ·
	For Gas, Gasoline or	Number of	Double			Stroke	
4	Oil	Cyls.	Acting	Diameter	Stroke	Cycle	Rated H.P.
A.							
B.							
<u>C.</u>							
S. ELE	ECTRIC MOTORS, INCI	LUDING CC	ST OF WIR	UNG SWIT	CHES, ETC.		
	LOCATION	NAME OF	BUILDER	WHEN IN:	STALLED	(COST*
4.							
3.							
2.							
Э.							
3.							
Э.							
<u>.</u>							
A.C. (OR D.C. IF A.C. GIVE	VOI	TS				
	PHASE		-10	TYPE OF	DRIVE	KA:	TED H.P.
\ .							······································
3.							
2.							
). 3.							
i.							
7.							
-							
Э. Н .							

		PLIMPD	NG INFORMA	TION			
9. W	ATER WHEELS AND	TURBINES	IO INI ORIVIA	110N - Con	unued		
	LOCATIO	DN	NAME OF	BUILDER	WHEN IN	STALLED	COST
A. B. C. D.							
	TYPE OF MACHINE	DIAM. OF RUNNER	WORKING HEAD	SPEED	TYPE OF DRIVE	RAT	ED H.P.
A. B. C. D.							
ley t	live a full and complete d were bought and what wa	es paid for them:	y water power i	rights that an	re owned by	the Company	, and say when

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11. Station L	og	T	PUMPING INFORMATIO	N - Continued		
Year and Month	Kwhrs. Used	Pounds of Coal Burned	Gallons of Water Pumped (in millions)	Hours of Pumping	Average Total Static Head	Average Total Dynamic Head
lanuary	5,200					
February	4,700					
March	4,600					
April	5,200					
vîay	5,300					
une	5,900					
uly	7,300	1				
August	6,600					
eptember	5,100					
October	5,500					
lovember	4,700	i				
ecember	5,000		F			
OTALS	65,100		38.1			
 Average g Maximum Date of satisfy Range of p 	n the displace allons pump gallons pump ne ressure in ma ressure in ma	d per day ped in a day in	gallons per re	volution with per	cent allowance	for slip

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POMPING II	NFORMATION - Conclu	ded
 18. Kind of coal 19. Average price per net ton, delivered, 20. Average price of wood per cord, delivered, 21. Average price of gas per M. cubic feet, 22. Average price of gasoline per gallson delivered. 23. Average price of fuel oil per gallon, delivered. 24. Average price of electric power per Kwhr 25. Wood consumed during the year, 26. Gass consumed during the year, 27. Gasoline consumed during the year, 28. Fuel oil consumed during the year, 29. Electric Power used during the year, 	Pred	Cords, M. Cubic Feet, Gals, Gals, K.W. Hrs.

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Housatonic Water Works Company

409 Year ended December 31, 2020

2,046 2,046 4,323 8,464 16,596 3,761 587 587 587 587 587 587 587 587 587 587
Totals 89.087

2. Cost of repairs per mile of pipe, including valves,

Number of leaks in mains, during the year,
 Number of leaks per mile,
 Length of mains less than 4 inches in diameter,

miles

						LAN	<u>n</u>
		Location		Area	When	Bought	Cost
A. 3, C.).							
	Inside	Diameter	Capacity in	Gallons	Whe	n Built	Cost
A. 3,							CUSI
), , /•			l și				
/.).							
. Services							
Nominal						<u> </u>	
Diameter	Kind of	Number Ins	talled and In				
Inches	Pipe		ning of Year	Tal **	- 61-	Laid	Installed and in Use
0.75	Galv Iron	775	uning of rear	Taken U	p Since	Since	Close of Year
8	Galv Iron	1					775
1.5	Galv Iron	4					1
1.25	Galv Iron	2					4
4	Galv Iron	1					2
1	Galv Iron	1					
0.75	Copper	69	1				1 69
1	Copper	2					
	Duct Iron	1					2
]				1
tals		856					050
	ngth of servic			75 fe	······		856

11. Percentage in income that is metered,

12. Leaks in service during the year,

13. Are service pipes paid for by consumers, in whole or in part and to what extent? Services are fully paid for by consumers

Nominal		Number in Use			
Diameter		at Beginning of	Removed	Installed	Number in TT-
Inches	Kind of Valve	Year	Since	Since	Number in Use at Clos
14		1	Diade	Since	of Year
12		11			1
10		1			11
1		43	Ē		1
6		42			43
4		27			42
2.5		1			27
2		28			
1		59			28
1.5		3			59
1.25		2			3
0.75		1014			2
8		11			1014
		14			11
					·
			· ·		
	TOTALS	1042			
a abovo list abo	uld include all valves that are	1243		0	1243

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Housatonic Water Works Company

Nominal Diameter	Hoss	Number in Use at	Removed	Incicil-1	
Inches	Outlets	Beginning of Year	Since	Installed	Number in Use at Close of
5		53	Since 0	Since	Year
4.5		16		0	53
]		1			16
					1
	TOTALS	70			70
5. Were all of the abo	ve hydrants p	urchased and installed at	t the expense of	of the Comp	any? Yes
3. HYDRANTS, PRI Nominal Diameter		were they purchased and		·	
Inches	Outlets	Number in Use at	Removed	Installed	Number in Use at Close of
5	2	Beginning of Year 27	Since	Since	Year
4	2-2.5	27			27 2
	TOTALS	20			
	TOTALS	29 rchased and installed at			29

21. Meters	owned by Comp	DISTRIBU any*	TION INFO	ORMATION - Continue	ed	
	NUMBER AT	BEGINNING OF	Bought	Condemned Since on	NUMPER AT OF	Age 05
Size Inches	s In Use	On Hand	Since	Condemned Since and Removed	NUMBER AT CL	
1/2				Removed	In Use	On Hand
5/8					-	
3/4	787	22		_	-	-
1	3			_	787	22
l 1/2	5				3	-
2	2				5	-
3.					2	-
4						
5						
TOTALS	797	22			797	
						22
				ng the meters in use at a average cost? Actual co		e stated? Yes.
23. If so, wa	s the cost the act	ual cost or some a	assumed or	average cost? Actual co		e stated? Yes.
23. If so, wa	s the cost the act	ual cost or some a	assumed or			e stated? Yes.
3. If so, wa	s the cost the act	ual cost or some a	assumed or	average cost? Actual co		e stated? Yes.
23. If so, wa	s the cost the act	ual cost or some a	assumed or	average cost? Actual co		e stated? Yes.
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DISTRIBUTION INFORMATION - Concluded		1 1/2	Ś		 	 							ан ра _{й т} алан так		
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DISTRIE		5/8			 	 									
r 31, 2019		1/2.			 	 			m						
pany, as of Decembe	f	lype													
25. Meters owned by Company, as of December 31, 2019		Iviaker	Alluator Model 25	Badger	 	 				_					

	CONSUMPTION I	NFORMATION							
1. Estimated total population of territory covered by franchise,									
2. Estimated population reached by the distributing system,									
3. Estimated population actually supplied,									
4. Total consumption during the year ####################################									
5. Average daily consumption									
6. Day on which the greatest amount was pumped,									
7. Gallons pumped on above day,									
8. Week during which greatest amount was pumped,									
9. Gallons pumped during above week,									
10. Gallons per day per service,									
11. Consumption metered,									
12. Consumption metered,									
13. CUSTOMERS									
Number being supplied at			Number being Supplied at						
Beginning of Year	Disconnected Since	Connected Since	Close of Year						
850	0	0	850						
Name of City, Towr	n or District	Number of Customers as of December 31, 2020							
Housatonic		753							
Stockbridge		23							
West Stockbridge		66							
Vacancies		10							

CONSUMPTION INFORMATION - Concluded Attach to the Return a printed copy of all schedules of rates and of the rules and regulations 14. Rates in Effect December 31, 2019 By meter, Per faucet, per year, Per hose connection, per year, Per bath tub, per year, Per shower bath, per year, Per foot tub, per year, Per wash tub, per year, Per urinal, per year, Per water closet, per year, Per sink, per year, Per bowl, per year, Per private hydrant, per year, For sprinkler systems, For water motors, Per drinking fountain, per year, Per public hydrant, per year, For watering troughs, Minimum charge, Give any contact rates that are in force and state what discounts are allowed for prompt payment and what fines are charged for delayed payment Are payments required in advance? When are meters read and bills rendered?

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THIS RETURN IS SIGNED UNDER THE PE	
And menn	President
Jones Vinen	Treasurer
Anders Phin James Meu	CM Directors
SIGNATURES OF ABOVE PARTIES AFFIXED OUTSIDE THE COMM PROPERLY SWORN TO	ONWEALTH OF MASSACHUSETTS MUST BE
Then personally appeared	
nd severally made oath to the truth of the foregoing statement their best knowledge and belief.	by them subscribed according
	Notary Public or Justice of the Peace

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COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

HOUSATONIC WATER WORKS COMPANY

M. D. P. U. NO, 14

RULES AND REGULATIONS

Canceling:

HOUSATONIC WATER WORKS COMPANY

RULES AND REGULATIONS

M. D. T. E. 10

ISSUED: December 20, 2007

HOUSATONIC WATER WORKS COMPANY.

EFFECTIVE: January 31, 2008

ISSUED BY: James J. Mercer, Treasurer

1. RULES AND REGULATIONS GOVERN RENDERING OF SERVICE:

- (a) The rules and regulations in their entirety as herein set forth, or as they may hereafter be altered or amended and approved by the Massachusetts Department of Public Utilities, shall govern the rendering of water service, and every Customer, upon the signing of an application for water service, or upon the taking of water service, will be bound thereby.
- (b) Bills for service will be rendered periodically in accordance with the Company=s approved Schedule of Water Rates, and as provided for herein (Section 18).

DEFINITIONS APPLICABLE TO FOLLOWING SECTIONS: 2.

The word "Company" refers to the Housatonic Water Works Company, Inc.

The word "Department" refers to the Massachusetts Department of Public Utilities.

The word "Customer" shall be taken to mean any person, firm, corporation, government, or governmental division who receives water service supplied by the Company.

The words "main" or "main pipe" shall mean the supply pipe from which service connections are made to supply water to customers.

The words "service pipe" or "service connection" shall mean the pipe from the main to the premises to be serviced.

The words "street service connection(s)" shall mean that portion of the service connection from the main to the property line, including corporation cock, curb cock, and curb box.

The word "premises" as used herein shall be restricted to the following:

- (a) A building under one roof owned or leased by one customer and occupied as one residence or one place of business.
- (b) A combination of buildings owned by one customer in one common enclosure, or occupied by one family, or one corporation or firm, as a residence or place of business.
- (c) Each unit of a multiple house or building separated by a solid vertical partition wall, occupied by one family or one firm, as a residence or place of business.

ISSUED: December 20, 2007 HOUSATONIC WATER WORKS COMPANY. EFFECTIVE: January 31, 2008

ISSUED BY: James J. Mercer, Treasurer

(d) A building owned by one customer having a number of apartments, offices, or lofts which are rented to tenants, using common halls and one or more means of entrance.

3. <u>APPLICATIONS FOR WATER SERVICE:</u>

- (a) Application for a new street service connection or application for water service through an existing street service connection shall be made by the owner of the premises to be supplied or the owner's duly authorized representative.
- (b) No agreement will be entered into by the Company with an applicant until all arrears and charges due by the applicant at any premises now or heretofore owned or occupied by the applicant shall have been paid. A payment plan on overdue charges can be arranged if so desired.
- (c) Any change in the identity of the contracting Customer at any premises will require notice and the Company may, after reasonable notice, discontinue the water service until such notice has been made and accepted.
- (d) When accepted by the Company, the application shall constitute a contract between the Company and the applicant, obligating both parties to comply with these Rules and Regulations.
- (e) Applications for service installations will be accepted subject to there being an existing main in a street or right-of-way abutting on the premises to be served. The contract in no way obligates the Company to extend its mains to service the premises under consideration.
- (f) When a prospective customer has made application for a new service, or has applied for the reinstatement of an existing service, damage caused by any deficiency in the plumbing which the service will supply will be at the risk of the Customer, and the Company will be liable only for its own negligence.
- (g) At the time of application, the applicant must disclose to the Company the existence of wells, use of surface water, or cross connection on applicant's property.

4. <u>SPECIAL APPLICATIONS FO</u>	SPECIAL APPLICATIONS FOR WATER SERVICE:					
TOOLIND D	HOUSATONIC WATER WORKS COMPANY.					
EFFECTIVE: January 31, 2008	ISSUED BY: James J. Mercer, Treasurer					

- (a) Water for transit, temporary or special purposes must be specially applied for.
- (b) Whenever a street service connection is made to the mains for temporary service, or for building or construction purposes, the applicant will bear the cost and expense of installing and maintaining such service, and shall bear the entire cost and expense of eliminating such service (if required) when temporary usage has terminated. The applicant will be liable for the amount of water used in accordance with the schedule of rates of the Company.

5. CUSTOMER'S LIABILITY FOR CHARGES:

- (a) No person shall take any water service without having applied for service under these Rules and Regulations, but to the extent any person takes service without having applied, he shall be responsible for all Company charges.
- (b) A Customer who receives water service to any premises shall be held liable for all water service furnished to such premises until such time as the Customer properly notifies the Company to discontinue the service for such account and a final meter reading is obtained. Premises with remote reading meters must have both inside meter and remote meter read.

6. <u>STREET SERVICE CONNECTIONS:</u>

- (a) The Company will make all connections to its mains and will specify the size, kind and quality of all materials for service connections.
- (b) The street service connection shall be laid at a right angle to the main. The corporation cock shall be installed by the Company or its authorized subcontractor. The service line from the corporation cock to the property line shall be installed by the Customer's approved contractor. The cost of labor and materials shall be the responsibility of the Customer, as well as subsequent replacement and repair. The materials, for which the Customer paid, shall conform to the standards of the American Water Works Association and be the property of the Customer but shall be under sole jurisdiction and control of the Company.
- (c) Water service will not be turned on until such time as a meter is set in accordance with the Company's Rules and Regulations under "Meters and Meter Installations."

ISSUED: December 20, 2007HOUSATONIC WATER WORKS COMPANY.EFFECTIVE: January 31, 2008ISSUED BY: James J. Mercer, Treasurer
- (d) The curb box shall be set at or near the curb or property line and shall be kept accessible at all times.
- (e) The service connection from the main to the premises will be maintained by the Customer at his or her expense.
- (f) The Company shall in no event be responsible for maintenance of service pipe or any other pipe and fixtures on the outlet side of the corporation cock or for damage done by, or cost of water escaping from, the service pipe or any other pipe and fixtures on the outlet side of the corporation cock.

7. CUSTOMER'S SERVICE PIPES:

- (a) The size, kind, and quality of the materials which shall be laid down between the main and structures on the premises to be supplied shall be in accordance with the standards set forth by the American Water Works Association.
- (b) The service pipe shall be furnished and installed by the Customer at his expense and risk. All materials to be used shall comply with the standards set forth by the American Water Works Association.
- (c) The Customer's service pipe and all connections and fixtures attached thereto shall be subject to the inspection and approval of the Company before the water will be turned on.
- (d) The service pipe shall be laid at all points at least four and one-half feet below the surface of the ground and shall be placed on firm and continuous earth so as to give unyielding and permanent support, and shall be installed in a trench at least ten feet in all horizontal directions from any sewer line. Existing or future crossings, public or private, must be made known to the Company after approval of other agencies.
- (e) The Customer shall make all changes in the service pipe required on account of changes of grade, relocation of mains, or other causes.
- (f) No fixture shall be attached to or any branch made in the service pipe between the meter and the street main.
- (g) Each premises shall be supplied through an independent service pipe from a separate curb cock and box, and all double houses, apartment houses, office buildings or business blocks shall have a separate service connection and curb box for each tenant unless otherwise specifically approved or ordered by the Company

in which event the owner is to be solely responsible for all water used on and in said buildings or premises.

- (h) When more than one building, apartment, or premises is supplied through a single service pipe, any violation of the Rules and Regulations of the Company with reference to either or any of the said buildings or premises shall be deemed a violation as to all and the water service shall be discontinued after the property has been posted for at least 30 days and reasonable opportunity allowed for each building or premises to attach their service pipes to separately controlled service connection which will be installed by the Company at the expense of the Customer.
- (i) Any repairs, maintenance, or replacement necessary to the Customer's service pipe or any pipe or fixture in or upon the Customer's premises shall be performed by the Customer at his expense and risk.
- (j) The Customer shall promptly notify the Company of any leak, defect, or damage affecting the service pipe between the property line and the point where metered.
- (k) Old existing Customer-owned service lines that are non-conforming with these Rules and Regulations may be repaired but not replaced until brought up to current standards at the Customer's expense.
- When water service has been discontinued for a period of one year or more and no commitment has been provided by the owner as to possible future use, the Company may, at its sole discretion, consider the water service to be abandoned and may require that the water service pipe(s) be disconnected from the public water main at the owner's expense. Any unused or abandoned water service shall be cut off and disconnected at the water main, and the curb box removed by a licensed plumber or licensed contractor at the expense of the property owner.

8. <u>PLUMBING MUST BE APPROVED BY COMPANY:</u>

(a) All plumbing work in connection with the Company's water mains or appurtenances shall be submitted for inspection by the Company, and no underground work shall be covered up until inspected and approved by the Company. Whenever the Company determines that a job of plumbing is obviously defective, although not in direct violation of these rules and regulations, the Company will insist upon correction before water service will be supplied.

9. <u>CROSS-CONNECTIONS:</u>

> In order for the Company to meet its obligations under the Cross Connection Program, 310 CMR 22.22, including annual reporting requirements, it must monitor and test all cross connections within its system. The following requirements are intended to facilitate compliance.

- (a) No pipe or fixtures connected with the mains of the Company shall be connected with pipes or fixtures supplied with water from any other source unless specifically approved by the Department of Public Health of the Commonwealth of Massachusetts and the Company.
- (b) Piping systems supplying swimming pools and/or tanks in which water might become polluted shall be so designed so as to preclude water from re-entering the water distribution system. These installations are subject to annual approval by the Company.
- (c) Fire pumps and booster pumps of any nature may be connected only after notification to the Company and shall be constructed in such a manner as to prevent cross connections and vacuum. Owners and operators of such equipment are liable for any and all damages to the Company and/or other customer's property during such operation.
- (d) The plumbing on all premises supplied from the Company's water system shall conform to the Commonwealth of Massachusetts plumbing codes, the Sanitary Code of the town (s) where the premises are located, and/or regulations specified by the Department of Public Health or the Department of Environmental Protection.
- (e) Should any Customer commence use of private wells and/or surface water, it shall notify the Company and pay appropriate fees for testing or otherwise to ensure compliance with the Cross Connection Program.

10. METERS AND METER INSTALLATIONS:

- (a) The Company shall specify the kind and size of meter to be installed.
- (b) Meters will be furnished, installed and removed by the Company and shall remain its property.
- (c) The Customer shall provide at his/her own expense a readily accessible and protected location for the installation of a meter and reading device at such a point as will control the entire supply to the premises, which location must be acceptable to the Company as most convenient for its service, so that the meter

and or reading device may be easily examined, read and/or removed and replaced; and the Customer shall also provide at his/her own expense suitable pipe connections and the necessary valves and other fittings as may be designated by the Company for the proper installation and protection of the meter.

- (d) The Company may require a Customer to install a meter box, or vault, furnished at the expense of the Customer, which box or vault shall be placed just inside the Customer's property line or at such other location as may be ordered by the Company.
- (e) Each Customer shall have a separate meter. Double houses, apartment houses, offices or business blocks may be served through a single meter where the arrangement of the interior piping does not permit individual meters but in such cases the owner of the property shall be responsible for the payment of the bills.
- (f) Meters will be maintained by the Company at its expense insofar as ordinary wear is concerned, but damage due to hot water, freezing or other external causes shall be paid for by the Customer.
- (g) The Customer shall promptly notify the company of any damage to the meter, meter connections, or reading device. The Customer shall not permit anyone who is not an agent of the Company or otherwise lawfully authorized, to remove, inspect or tamper with the meter or other property of the Company.
- (h) No agreement for water service, including restoration of service, will be entered into by the Company unless the premises to be served have a meter presently installed, or unless the applicant/customer consents in writing in their application for service to the installation of a meter by the Company.
- (i) A Customer's refusal or failure to permit the Company to install or inspect a meter at the premises being served shall be evidenced by a Customer's failure upon written request of the Company to schedule an appointment for meter installation, or by the Customer's failure, on two successive occasions, to keep a scheduled installation or inspection appointment. Customers shall have twenty-one (21) days following receipt of a written request from the Company to schedule an appointment for meter installation or inspection. Any Customer who fails to respond to the initial twenty-one day notice shall receive a second written request from the Company, which request shall provide the Customer with an additional fourteen (14) days following receipt to schedule an appointment. In the event that a Customer fails, for any reason, to keep the originally scheduled appointment, such Customer shall have an additional fourteen (14) days following the date of the original appointment within which to reschedule a date for meter installation.

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EFFECTIVE: January 31, 2008	ISSUED BY: James J. Mercer, Treasurer

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- (j) Upon a Customer's refusal or failure (as defined in subsection (i) above) to permit installation or inspection of a meter, the Company shall provide the Customer with written notification of its intention to discontinue water service on account of such failure. The notice shall provide a date for termination of service, which date shall not be earlier than thirty (30) days from the date for receipt of the notice. The Company shall provide a final written notice of termination to the Customer at least thirty-six (36) hours, but no more than fourteen (14) days, prior to the date of termination. If the meter has not been installed by the specified termination date, the Company may discontinue service.
- (k) All notices required pursuant to this subsection shall be deemed received five (5) days after mailing, or on the date of delivery if delivered by hand.

11. MULTIPLE METERS:

(a) When more than one meter is installed on a Customer's service because of conditions in the Company's distribution system, the registration of such meters shall be combined.

12. <u>METER TESTS AND TEST FEES:</u>

- (a) All meters are accurately tested before installation and are also subject to periodic tests. The Company may at any time remove any meter and/or reading device for routine tests, repairs or replacement and may, at its option and expense, test any meter or reading device when the Company has reason to believe that it is registering inaccurately.
- (b) The Customer may request the Company to make a special test of the accuracy of a meter, which test will be made in accordance with the standard provisions of the Department of Public Utilities. The Customer and/or the Customer's authorized representative shall witness such special test.
- (c) For such special test, the fee as established herein shall be paid in advance by the complainant but should the said meter be found upon said test to be more than two percent incorrect to the prejudice of the Customer, the fee so paid shall be returned to the complainant. This correction shall apply to both over and under registration and another meter, which has been properly calibrated, shall be installed.
- (d) The fee associated with the testing of meters made upon request by the Customer shall be charged as set forth in Appendix A hereto.

(e) The quantity of water recorded by the meter shall be accepted as conclusive by both the Customer and the Company, except when the meter has been found to be registering inaccurately, or has ceased to register. In any such case, the quantity may be determined by the average registration of the meter in a corresponding past period, or by the average registration of the new meter, whichever method is more representative of the conditions existing during the period in question.

13. PUBLIC FIRE HYDRANTS:

- (a) All public fire hydrants shall be furnished, installed, and maintained by the Company.
- (b) Any expense for repairs caused by the negligence of employees of the municipality or by members of the fire department will be paid by the municipality.
- (c) The use of fire hydrants will be restricted to the taking of water for the extinguishing of fires and water shall not be taken from any fire hydrant for construction purposes, sprinkling streets, flushing sewers or gutters, or for any other use unless specifically expressed in writing by the Company for the particular time and occasion.
- (d) Inspections and tests of public fire hydrants will be made by the Company at convenient times and reasonable intervals.
- (e) Whenever a change in location, size, type, or permanent removal of a fire hydrant is requested by a municipality and/or a Customer, such change will be made by the Company at the expense of the municipality and/or the Customer.
- (f) Maintenance of the area surrounding fire hydrants is the responsibility of the property owner, or in the case of hydrants located on the public way, the responsibility of the municipality. This includes brush and snow removal...
- (g) A charge of \$100 will be made for each unauthorized use of a public fire hydrant.

14. PRIVATE FIRE SERVICE:

(a) The entire cost of the labor and materials for installing a private fire service from the main to the property line will be paid for by the Customer. The Company shall furnish, install, own, and maintain all new service connections, provided the costs of excavation, backfill, removal, and replacement of paving, walks, curbs,

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etc., including the hiring of traffic control personnel and obtaining the street opening permits, necessarily incurred in respect to new services, shall be borne by the Customer and/or the applicant for service. All work performed on the Customer's premises shall be done by the Customer at his expense.

- (b) A gate valve controlling the entire supply will be placed on the fire service between the main and the property line of the premises being serviced. Any valve pit or vault, which may be required, will be furnished at the expense of the Customer.
- (c) The private fire service shall be subject to the inspection and approval of the Company before the service is made effective.
- (d) A private fire service connection is permitted only for the purpose of supplying water for the extinguishments of fires, and no use of water from such connections for any other purpose shall be made without approval of the Company.
- (e) The Customer shall notify the Company within a period of seventy-two (72) hours after any usage of the sprinkler system.
- (f) A detector check valve with by-pass, including meter installed in such by-pass, shall be furnished and installed by the Customer in accordance with Company requirements, just inside the building wall or other convenient location on the Customer's premises as designated by the Company. Any meter pit or vault required by the Company shall be constructed and maintained at the expense of the Customer. The by-pass meter will be maintained by and at the expense of the Company.
- (g) Any repairs or maintenance performed within the property of the Customer, whether done by the Customer or the Company, will be at the Customer's sole expense, and that performed in the street will be at the expense of the Company.
- (h) Hydrants and other fixtures connected with a private fire service connection may be sealed by the Company and such seals shall be broken only in the case of fire or as specially permitted by the Company, and the Customer must immediately notify the Company of the breaking of such seal.
- (i) No pipe or fixture connected with a private fire service connection served by the Company shall be connected with pipes or fixtures supplied with water from any other source.
- (j) The Company shall approve the size and location of any connection made to its mains for private fire service.

- (k) The entire private fire service connection and all parts of it which are located outside of the premises of the Customer are and forever remain the property of and come under the complete jurisdiction of the Company.
- No test of Fire Services shall be permitted without the express approval of the Company, (who may elect to have a representative present). Tests shall be scheduled to cause the least possible inconvenience to the Company's other Customers.

15. DISCONTINUANCE OF WATER SERVICE:

- (a) Service rendered under any application, contract or agreement may be discontinued by the Company, after reasonable notice, for any of the following reasons:
 - (1) For willful or indifferent waste of water due to any cause, including failure to repair service leaks within Customer's own premises.
 - (2) Misrepresentation in application and or notice as to identity of water service subscriber.
 - (3) For vacancy.
 - (4) For nonpayment of account for water supplied by water service or any charges under these rules and regulations.
 - (5) Failure to recognize Water bans as outlined in Water Conservation Measures/Authorities (revised section 24).
- (b) Whenever the Customer desires to have the service contract terminated or the water service discontinued, the Customer shall so notify the Company. Until such notice is received by the Company and the Company has access to remove the meter or obtain the final readings, the Customer shall be responsible for the payment for all service rendered by the Company, including charges for meter repairs caused by damage from hot water, freezing or other external causes. A reasonable time after receipt of such notice shall be allowed the Company to take a final reading of the meter or meters and to discontinue service.
- (c) Discontinuing the supply of water to any premises for any reason shall not prevent the Company from pursuing any lawful remedies by action at law or otherwise for the collection of monies due from the Customer.
- (d) Discovery of undisclosed cross-connections or use of wells or surface water in a system cross connected with the Company's service system, shall be grounds for the Company to discontinue its water supply to the relevant premises.

16. <u>RENEWAL OF WATER SERVICE AFTER DISCONTINUANCE:</u>

(a) When water service to any premises has been terminated for other than temporary vacancy it will be renewed only after the acceptance of a new application and when the conditions, circumstances or practices which caused the water service to be discontinued are corrected to the satisfaction of the Company, including the payment of all charges due and payable by the Customer in accordance with the rates, rules and regulations. A payment plan on overdue charges can be arranged if so desired.

17. <u>TURN-ON CHARGE:</u>

- (a) When it is necessary to discontinue water service to any premises because of violation of the rules and regulations or on account of non-payment of any bill, or by request of a customer for any reason, a charge as specified in Appendix A hereto will be made to partially offset the expense of discontinuing and of turning on the water and this charge, together with any arrears that may be due the Company for charges against the Customer, must be paid before the water service will be restored.
- (b) If at the time of such discontinuance of service a non-residential Customer does not have a deposit with the Company, the Company may require a deposit in accordance with Massachusetts Department of Public Utilities regulations as a guarantee of payment of future bills before water service will be restored.

18. BILLS FOR WATER SERVICE:

- (a) Customers are responsible for furnishing the Company their correct address. Failure to receive bills is not to be considered an excuse for nonpayment nor will it permit an extension of the date the account is deemed delinquent.
- (b) All bills will be sent to the address provided in the application or notice, unless, the Company is notified in writing of a change in address.
- (c) If requested in writing by the Customer, the Company will send bills to and will receive payments from agents or tenants acting as agents. However, this accommodation will in no way relieve the Customer of the liability for all water charges and the Company shall not be obligated to notify the Customer of the

other acceptable delivery service or at such other places conveniently located as may be designated by the Company.

- (e) The Company will not be bound by bills rendered under mistake of fact as to the quantity of service rendered except if that mistake is due to Company negligence or omission.
- (f) The use of water by the same Customer at different premises or localities will not be combined, and each water service shall stand by itself.

19. TERMS OF PAYMENT:

- (a) All bills shall be payable upon receipt. However, no residential bill shall be considered "due" under applicable law or these regulations in less than forty-five (45) days from receipt. No disputed portion of a bill which relates to the proper application of approved rates and charges, or the Company's compliance with these regulations, shall be considered "due" during the pending of any complaint, investigation, hearing or appeal under these regulations. If a non-residential customer wishes to dispute a bill, it must provide written notice of such dispute to the Company within twelve (12) months of receipt of such bill.
- (b) Special charges, such as temporary services, shall be payable on demand.
- (c) Bills for the minimum charges for metered service shall be due and payable in arrears. Bills for water used shall be due and payable in arrears. The Company may render bills on either a semi-annual, quarterly, bi-monthly or monthly basis depending upon the class and quantity of service rendered.
- (d) Bills for public fire service shall be rendered quarterly or monthly in arrears and shall be dune and payable as rendered.
- (e) Bills for private fire service shall be rendered quarterly or monthly in arrears and shall be due and payable as rendered.
- (f) The Company may terminate service to a household in which all residents are sixty-five (65) years of age or older only after such Company first secures the written approval of the Department. In addition to the application for such approval filed with the Department, the Company shall concurrently give written notice to the Department of Elder Affairs (or any such agency designated by the Department of Elder Affairs for such purposes), any third person to be notified pursuant to 220 CMR 25.05 (2), and the residents of such household. Prior to approval by the Department of such application, no Company may send notices threatening termination of service to any household which has notified the

Company that all residents of the household are sixty-five (65) years of age or older.

(g) Customer must notify the Company five (5) days prior to sale of their property so that the meter can be read and a final bill prepared. A Final Reading Fee as specified in Appendix A attached hereto shall be included in the final bill.

20. INTEREST CHARGE FOR LATE PAYMENT:

- (a) Except as otherwise provided herein, bills for water service shall be due and payable when rendered. A bill shall be deemed rendered when it is delivered to the customer personally or three days following the date of the mailing of the bill to the mailing address supplied by the customer to the Company, whether or not the bill is in dispute
- (b) No bill shall be considered due under applicable law or these Regulations in less than forty-five (45) days from receipt. No bill rendered to the Commonwealth of Massachusetts or to any agency, city, town, county or political subdivision thereof, shall be considered due under applicable law or these Regulations in less than fifty-five (55) days from receipt.
- (c) Except as provided in subsection (d) below, a bill rendered to a non-residential customer for which payment in full has not been received within 45 days from the date the bill was rendered shall bear interest at the applicable monthly rate as determined in subsection (e) below, on any unpaid balance from the due date until the date of payment.
- (d) A bill rendered to the Commonwealth of Massachusetts or to any agency, city, town, county or political subdivision thereof, for which payment in full has not been received within 55 days from the date the bill was rendered shall bear interest at the applicable monthly rate as determined in subsection (e) below, on any unpaid balance from the due date of payment.
- (e) The applicable monthly interest rate shall be established on February 1 each year based upon the previous year's annual average of two year Treasuries <u>plus</u> 1000 basis points, as per DPU Order 93-204-A, effective November 1, 1994.

21. ABATEMENTS AND REFUNDS:

No abatement shall be made which arises from leaks or water wasted by improper or damaged service pipes or fixtures belonging to the Customer, or for water services left on due to vacancy.

22. PRESSURE AND CONTINUITY OF SUPPLY:

- (a) The Company does not guarantee a sufficient or uniform pressure, or an uninterrupted supply of water and Customers are cautioned to provide sufficient storage of water where an absolutely uninterrupted supply must be assured, such as for steam boilers, domestic hot water systems, gas engines, medical equipment, etc.
- (b) In high level sections where pressure is low, if the Customer desires a higher pressure than that furnished at the mains of the Company, the Customer shall install at his/her own expense a tank and/or booster pump, of a type and installation approved by the Company.
- (c) Where the pressure to a Customer's premises is greater than desired, it shall be the Customer's responsibility to install the proper regulating device to reduce the pressure to the extent desired.
- (d) The Company shall have the right to reserve sufficient supply of water at all times in its reservoirs to provide for fire or any other emergencies, and may restrict or regulate the quantity of water used by its Customers in case of scarcity, or whenever the public welfare may require it. Refer to Water Conservation Measures/Authorities (Section 24).

23. **INTERRUPTIONS IN WATER SUPPLY:**

(a) The Company may at any time shut off the water in the mains in case of accident, or for the purpose of making connections, alterations, repairs, changes, or for other reasons, and may restrict the use of water to reserve a sufficient supply for public fire service or other emergencies whenever required for the public welfare.

24. CONSERVATION MEASURES AND AUTHORITIES:

- (a) The Company reserves the right to restrict water usage during drought conditions and periods of excessive consumption by consumers, if water supplies are deemed low. Restrictions are always deemed necessary to guarantee fire flow protection, health and sanitary requirements and whenever required for the public good.
- (b) The Company will, when possible, elect to implement water use restrictions consistent with those developed by the Town of Great Barrington, if any, so that town residents, as a group, are subject to the same restrictions. The Company

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reserves the right to utilize a more restrictive use policy if it determines that it faces a water supply shortage.

- (c) The following procedures will be utilized to announce, implement and enforce water use restrictions:
 - i. The Company will provide advance notification to local agencies including the Department of Environmental Protection and the Department of Public Utilities prior to implementation of water use restrictions. The associated penalties and enforcement procedures will be on file with the respective agencies.
 - ii. Customers shall receive advanced notification through local media outlets or Company mailings that water restrictions will be implemented. In the case of an emergency requiring immediate implementation, termination of service for failure to respond to water use restrictions must be deferred until the customer is personally notified of the restrictions.
- (d) Water use restrictions will follow the customary four (4) stage method and customers will utilize their numerical address in determining water use permissions. Consumers with even numbered addresses may use water resources on even dates and those with odd numbered addresses may use water resources on odd dates.
 - Stage 1: Voluntary water conservation. Outside water usage is limited to an odd-even allocation program between sunset and sunrise. Water may not be used to fill pools or wash vehicles.
 - Stage 2: Mandatory water conservation. Outside water usage is limited to odd-even allocation program between sunset and sunrise. Water may not be used to fill pools or wash vehicles.
 First violation: Written Citation (No financial penalty). Second and subsequent violations: \$150 penalty. Third and subsequent violations within a calendar year: Termination of water service for a 24 hour period plus Company costs of termination and restoration and the aforementioned \$150 penalty.
 - Stage 3: Mandatory water conservation. Utilization of lawn sprinklers, irrigation systems, soakers and unattended hoses is expressly forbidden. Outside water usage is restricted to use of hand held devices for one hour per day between the

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hours of 7:00 PM and 7:00 AM following the odd-even allocation program. Water may not be used to fill pools. First violation: Written Citation (No financial penalty). Second and subsequent violations: \$150 penalty. Third and subsequent violations within a calendar year: Termination of water service for a 24 hour period plus Company costs of termination and restoration and the aforementioned \$150 penalty.

- Stage 4:Complete (total) mandatory water conservation. All
outside use of water is forbidden.
First violation: Written citation.
Second and subsequent violations: \$200 penalty.
Third and subsequent violations within a calendar year:
Termination of water service for a 24 hour period plus
Company costs of termination and restoration, and the
aforementioned \$200 penalty.
- (e) Notwithstanding anything to the contrary all consumers who are found liable for the termination and/or restoration of water service must also pay the Company's costs.
- (f) For purposes of this section the Company will charge a \$50.00 fee for each service termination and a separate \$50.00 fee for each service restoration during regular business hours and actual costs for terminations or restorations after regular business hours.
- (g) For purposes of this section an odd/even water use permission plan shall be interpreted to mean that residents with even numerical addresses may use water on even numbered days while residents with odd numerical addresses may use water on odd numbered days.

25. LIABILITY OF COMPANY:

- (a) The Company will undertake to use reasonable care and diligence in order to prevent and avoid interruptions and fluctuations in the service, but it cannot and does not guarantee that such will not occur.
- (b) The Company shall in no event be liable for any damage or inconvenience caused by reason of any break, leak, or defect in the Customer's service pipe or fixtures.

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26. <u>GENERAL</u>:

- (a) The service pipes, meters and fixtures on the Customer's premises shall at all reasonable hours be accessible to the Company for observation or inspection.
- (b) No person shall turn the water on or off at any street valve, corporation cock, curb cock, or other street connection, or disconnect or remove any meter without the consent of the Company. Penalties provided by law for any such action will be rigidly enforced.
- (c) Employees or agents of the Company are expressly forbidden to demand or accept any compensation for any service rendered to its Customers except as covered in these rates, rules and regulations.
- (d) No employee or agent of the Company shall have the right or authority to bind it by any promise, agreement or representation contrary to the letter of these rules and regulations.
- (e) Any complaint against the service or employees of the Company should be made at the office of the Company and preferably in writing.
- (f) The Company shall have the right to cut off the water supply to make repairs, changes or connections to its mains and other equipment. It will use reasonable effort to notify the Customer in advance of such discontinuance of service, but it will not be liable for any damage or inconvenience suffered by the Customer because of such discontinuance of service, or because of failure to notify the Customer in advance of its intention to discontinue service.

27. APPROVAL OF THE RULES AND REGULATIONS:

(a) All rules and regulations of the Company are subject to the approval of the Department of Public Utilities of the Commonwealth of Massachusetts and if any part thereof should be adjudged to be in violation of any rule or order made by the Department, then that particular part shall be ineffective but without in any way affecting the other portions thereof.

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COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC UTILITIES

HOUSATONIC WATER WORKS COMPANY

M. D. P. U. No. 18

CANCELLING

M. D. P. U. No. 17

SCHEDULE OF WATER RATES APPLICABLE TO ALL CLASSES

ISSUED: April 3, 2019

EFFECTIVE: June 1, 2019

HOUSATONIC WATER WORKS COMPANY

HOUSATONIC WATER WORKS COMPANY GREAT BARRINGTON, MASSACHUSETTS

RATES FOR METERED SERVICE

<u>AVAILABILITY</u>

These rates are available to all customers located on the mains of the Company, subject to the RULES AND REGULATIONS of the COMPANY.

MINIMUM CHARGES

Minimum charges with quantities of water allowed, without additional charge, will be made to each customer for each meter. Such charges and such allowances of water for each size meter are as follows:

Size of Pipe In Inches	Monthly Allowance in Gallons	Base Minimum Charge per Month
4.	· · ·	
5/8 or ¾	2,500	\$ 44.73
1	4,000	70.38
1 1/2	8,000	140.51
2	12,500	220.66
3	25,000	443.42
4	40,000	709.17
6	80,000	1416.65

VOLUMETRIC CHARGES

The following rate shall apply to all water use in excess of the Monthly Allowance set forth above by meter size.

Per 100 gallons per month, or any part thereof.

\$1.057

ISSUED: April 3, 2019

EFFECTIVE: June 1, 2019

HOUSATONIC WATER WORKS COMPANY GREAT BARRINGTON, MASSACHUSETTS

FIXTURE RATES

The following charges shall apply to all non-metered customers.

Direct forward installation in sink which have 1	Monthly Fixture Rate	
First faucet installation in sink, washbowl, or other faucet installation	\$17.75	
Each additional faucet installation	6.10	
Bathtubs (one bathtub installation)	8.5 1	
Each additional bathtub installation	6.10	
Toilet (one toilet installation)	8.51	
Each additional toilet installation	6.10	
Use of hose (first outlet) per season	9.93	

INSTALLATIONS

A faucet installation consists of an outlet of either cold or hot water, or both, in any one receptacle.

A bathtub installation consists of an outlet consisting of faucets or a shower of either hot or cold water, or both, in any one bathtub or like receptacle.

An automatic dishwasher is treated as an additional faucet installation.

An automatic laundry machine is treated as an additional faucet installation.

A toilet installation consists of a cold water outlet controlled by a self-closing floating valve with appropriate receptacle.

All such installations shall be deemed to be installed and subject to charge at the foregoing applicable rates unless both the water outlets and the receptacle drain of such installation are disconnected and plugged in a manner acceptable to the Company.

ISSUED: April 3, 2019

EFFECTIVE: June 1, 2019

HOUSATONIC WATER WORKS COMPANY GREAT BARRINGTON. MASSACHUSETTS

M. D. P. U. No. 18 Original Sheet No. 3

FIRE PROTECTION

Public hydrants now and hereafter installed or located by the Housatonic Water Works Company in the public streets and ways in the towns of Great Barrington, West Stockbridge and Stockbridge.

Public hydrants, producing a flow of 250 gallons or more per minute

Public hydrants, producing a flow of 150 gallons and less than 250 gallons or more per minute

\$50.28

\$62.50

Monthly Charge

Private hydrants installed at owner's expense will be charged \$62.48 per month. Charges for sprinkler connections will be established by written contract between the Company and the private fire service customer.

PAYMENTS

Payment for unmetered service is to be made in advance for the month on the 1st. Metered service will be billed monthly in arrears.

ISSUED: April 3, 2019

EFFECTIVE: June 1, 2019