#### Commonwealth of Massachusetts - Department of Developmental Services Massachusetts Rehabilitation Commission Incident Report - INITIAL REPORT

(\* = MANDATORY FIELD)

Initial Report: Individual	<u>Information</u>		
*(1) Individual: First Name		Last Name	
*(2) Individual's Service Co	ordinator:		
*(3A) Is the individual subje	ect to a Day Level II	or Level III Behavior Plan?	
*(3B) Is the individual subje	ect to a Res. Level II	or Level III Behavior Plan?	
*(4) Home Address: (4A) Stro			
( <b>4A</b> ) Stro	eet	(4B) City	(4C) State
Initial Report: Filing Agen	cy Information		
*(5) Filing Agency:			
*(6) Staff filling out Paper I	ncident Report:		
(7) Staff Responsible for Inc	ident Follow-up:		
Initial Report: Incident Cl	assification_		
*(8A) Date Incident Discove	ered:	*(8B) Approximate Time Incident Discovered:	
	and/or approximate t	ime that the incident occurred?	НН:ММ АМ/РМ
Complete only if known (9A) Date Incident Occur	ırred:	(9B) Approximate Time Incident Occurred: _	HH:MM AM/PM
*(10) Did staff directly obse	rve the incident?	Yes • No • Unknown	
*(11) Who was responsible	for the supervision of	of the individual at the time of the incident?	
Choose one from the t	following:		
☐ Individual	☐ Other Provider		
□ Family	☐ Other		
☐ Reporting Provider	☐ Unknown		
(12) If Reporting Provider, v	was supervision at th	ne time of the incident being provided as assigned	?
☐ Yes ☐ No ☐ Unk	nown		
(13) Responsible Site:			

Individual: First Name:	Last Name:

*(14	) Incident	Categories:	CHECK ONE	(**INDICATES MAJOR LEVEL OF REVIEW REQUIRED)
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(1) Unexpected/Suspicious Death	(9) Fire  Known Origin – Allegedly Known Origin – Not Starte Source Unknown  (10) Suspected Mistreatment Alleged Victim of Psychol Alleged Victim of Physica Alleged Omission – Failur Alleged Omission – Failur Alleged Omission – Failur Alleged Victim Alleged Victim Alleged Victim Alleged Perpetrator  (12) Theft Alleged Victim Alleged Perpetrator**  (13) Other Criminal Activity Alleged Victim* Alleged Perpetrator**  (14) Transportation Accident Pedestrian Motor Vehicle Other  (15) Emergency Relocation  (16) Unplanned Transportation  Other	ogical Abuse Abuse Abuse to Provide I	ual Needed Supports Needed Supervision
(15A) Did the incident involve the ingestion of non-food	substances?	es 🗖 No	☐ Unknown
			□ Unknown
(15B) Did the incident involve unauthorized use of drugs		es 🗖 No	□ Unknown
(15B) Did the incident involve unauthorized use of drugs (15C) Did the incident involve suicidal threat/ideation?	/alcohol?	es No	□ Unknown
(15B) Did the incident involve unauthorized use of drugs (15C) Did the incident involve suicidal threat/ideation? (15D) Did the incident involve non-compliance with a m	/alcohol?	es No	□ Unknown
(15B) Did the incident involve unauthorized use of drugs (15C) Did the incident involve suicidal threat/ideation? (15D) Did the incident involve non-compliance with a magnification (15E) Did the incident involve a medication refusal?	/alcohol?	es No es No es No es No	
(15B) Did the incident involve unauthorized use of drugs (15C) Did the incident involve suicidal threat/ideation? (15D) Did the incident involve non-compliance with a magnificant involve a medication refusal? (20) Did the incident involve a medication refusal?	/alcohol?	es No es No es No es No	
(15B) Did the incident involve unauthorized use of drugs (15C) Did the incident involve suicidal threat/ideation? (15D) Did the incident involve non-compliance with a magnificant involve a medication refusal? (20) Did the incident involve a medication refusal? (20) DID THERWISE, SKIP TO #19.	/alcohol?	es No es No es No es No	
(15B) Did the incident involve unauthorized use of drugs (15C) Did the incident involve suicidal threat/ideation? (15D) Did the incident involve non-compliance with a magnificant involve a medication refusal? (20) Did the incident involve a medication refusal? (20) DID THERWISE, SKIP TO #19.	/alcohol?	es No es No es No es No	
**(15B) Did the incident involve unauthorized use of drugs (**(15C)) Did the incident involve suicidal threat/ideation? (**(15D)) Did the incident involve non-compliance with a magnitude of the incident involve a medication refusal?  **DINLY COMPLETE QUESTIONS #16-#18 FOR SIGNIFICANT BEHAND THERWISE, SKIP TO #19.  **16 Did the incident involve an altercation towards: CHE    Another Individual    **Staff**	/alcohol?	es No es No es No es No	
**(15B) Did the incident involve unauthorized use of drugs (**(15C)) Did the incident involve suicidal threat/ideation? (**(15D)) Did the incident involve non-compliance with a magnitude of the incident involve a medication refusal?  **DINLY COMPLETE QUESTIONS #16-#18 FOR SIGNIFICANT BEHAND THERWISE, SKIP TO #19.  **16 Did the incident involve an altercation towards: CHE    Another Individual    **Staff**	/alcohol?	es No es No es No es No	
<b>17</b> ) Did the altercation result in an injury? <i>CHECK ONE</i>	/alcohol?	es No es No es No es No	

Individual: First Name: Last Name:

Description of Any Injury Associated with the Incident:					
*(19) Is there an injury to the IF YES, COMPLETE QUEST.					
(20) Cause of Injury: CHECK	ALL THAT APPLY				
☐ Inflicted by self☐ Inflicted by staff☐ Inflicted by peer☐ Inflicted by other☐ Environmental	☐ Fall ☐ Equipment ☐ Restraint-Rela ☐ Transfer/Hand ☐ PICA/Eating	dling	☐ Insect/Animal Bite ☐ Motor Vehicle ☐ Seizure ☐ Other ☐ Unknown		
(20A) If Other, Specify:					
(21) Briefly Describe the Inju					
(22) Type of Injury: CHECK A	ALL THAT APPLY				
☐ Abrasion/Cut☐ Bite☐ Bruise	☐ Burn ☐ Choking ☐ Fracture	☐ Head Injury☐ Internal Injury☐ Other	☐ Poison ☐ Puncture ☐ Sprain/Strain		
(22A) If Other, Specify:					
(23) Body Part Affected by I					
☐ Toe ☐ Foot ☐ Ankle ☐ Knee ☐ Leg ☐ Hip	☐ Genitals ☐ Front Torso ☐ Back Torso ☐ Internal Organs ☐ Neck ☐ Head	☐ Face ☐ Eye ☐ Nose ☐ Ear ☐ Mouth ☐ Shoulder	☐ Arm ☐ Elbow ☐ Wrist ☐ Hand ☐ Finger ☐ Other		
(23A) If Other Specify:					

Individual: First Name: Last Name:

*(24) Incident Description: Describe in detail exactly what happened during the incident. Include dates, times, and all people involved including staff. Include all relevant details prior to, during, and after the incident.
Initial Report: Incident Description II
*(25) What is the most recent status of the individual?

#### Massachusetts Department of Developmental Services and Massachusetts Rehabilitation Commission **Incident Report - INITIAL REPORT (continued)** Individual: First Name: Last Name: \*(26) Is the Incident Location known? □ Yes □ No (26A) Where did the incident occur? CHECK ONE ☐ Individual's Residence ☐ Day Service ☐ Hospital ☐ Family Residence ☐ Work Site ☐ Vehicle ☐ Residential Setting-Other ☐ School ☐ Other ☐ Respite ☐ Community ☐ Unknown (26B) Location Detail: CHECK ONE ☐ Bedroom ☐ Common Area ☐ Yard ☐ Dining Area ☐ Public Area ☐ Work Area ☐ Living Area ☐ Laundry Area ☐ Vehicle ☐ Kitchen ☐ Stairs or Stairwells ☐ Outdoor Area ☐ Bathroom ☐ Basement ☐ Other (26C) Site Location of Incident (address): (26D) IF NOT AT PROVIDER SITE, INFORMATION ABOUT INCIDENT LOCATION: Location Name/Description: Location Name and address, if any: Initial Report: Actions Taken To Protect Health, Safety, and Rights \*(27) Actions Taken to Protect Health, Safety and Rights: *Immediate actions taken to protect the individual*. Describe administrative, health/safety, treatment and other actions taken to address the incident to date. (28) Treatment Provided By: CHECK ALL THAT APPLY

 $\square$  EMT

☐ MD's Office

☐ ER/Crisis Team (no admission)

 $\square$  PCA

☐ None

☐ Other (*describe above*)

☐ Self/Family

☐ LPN, RN, NP

☐ Staff (non licensed)

Individual: First Name: Last Name:

#### **Initial Report: Involved Parties**

\*(29) People Involved with Incident: (ADD ADDITIONAL SHEETS AS NEEDED)

	#(20T) X 1	1:(200) 7 1 1 11			
	*(29B) Involvement SELECT ALL THAT APPLY	*(29C) Relationship  SELECT ALL THAT APPLY			
(29A) Involved Party Name:	☐ Eyewitness to Incident☐ Person who filled out paper report	☐ Reporting Provider Stat ☐ Non-Reporting Provide	f □Relative r □Volunteer		
(29D) Telephone:	□ Person who reported Incident □ Provider/DDS Staff who discovered/first	Staff	☐General Public ☐Other		
( ) -	made aware of incident	☐ Friend			
Involved Party Name:	☐ Eyewitness to Incident☐ Person who filled out paper report	☐ Reporting Provider Stat ☐ Non-Reporting Provide	r □Volunteer		
Telephone: ( ) -	☐ Person who reported Incident ☐ Individual/Consumer ☐ Other ☐ Other				
Involved Douty Name	made aware of incident	□ Friend	C FIR L		
Involved Party Name:	☐ Eyewitness to Incident☐ Person who filled out paper report☐	☐ Reporting Provider Staf ☐ Non-Reporting Provide Staff	f □Relative r □Volunteer □General Public		
Telephone: ( ) -	☐ Person who reported Incident☐ ☐ Provider/DDS Staff who discovered/firmade aware of incident		□Other		
Involved Party Name:	☐ Eyewitness to Incident☐ Person who filled out paper report	☐ Reporting Provider Stat ☐ Non-Reporting Provide	f □Relative r □Volunteer		
Telephone: ( ) -	☐ Person who reported Incident☐ Provider/DDS Staff who discovered/first	Staff	☐General Public☐Other		
•	made aware of incident	□ Friend			
Involved Party Name:	☐ Eyewitness to Incident☐ Person who filled out paper report	☐ Reporting Provider Stat☐ Non-Reporting Provide	f □Relative r □Volunteer		
Telephone: ( ) -	☐ Person who reported Incident ☐ Provider/DDS Staff who discovered/firmade aware of incident	Staff Individual/Consumer Friend	□General Public □Other		
Initial Report: Notification	Initial Report: Notification				
*(30A) Was the On-Call person notif	ïed? □ Yes □	No			
*(30B) Name of On-Call person:					
*(31A) Has Executive Office of Elde	r Affairs been notified? (Only ap	plies to individuals grea	ter than 59 years		
old. Choose 'N/A' for all other indiv	iduals.)   Yes-Have Notified	■ No-Will Notify	No  N/A		
*(31B) Has DPPC Been Notified? ☐ Yes-Have Notified ☐ No-Will Notify ☐ No					
*(31C) Has DCF Been Notified?	☐ Yes-Have Notified ☐	■ No-Will Notify	No  N/A		
(32) Has Family/Guardian Been No	tified?	■ No-Will Notify	No  N/A		
*(33) Was Law Enforcement Involved? ☐ Yes ☐ No ☐ Unknown					
*(34A) Signature of the Staff filling out Paper Incident Report:					
*( <b>34B</b> ) Position:					
*( <b>34</b> C) Telephone: ()	*( <b>34D</b> ) Date/Time of Re	port: H	H:MM AM/PM		

Individual: First Name:	Last Name:	
*(35A) Name of Supervisor:		
*( <b>35B</b> ) Position:		
*(35C) Signature of Supervisor:		
(35 <b>D</b> ) Telephone: ( ) -	(35E) Date/Time of Review:	<u></u>

Individual: First Name:	Last Name:
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Final Danart, HOSDITAL VISIT (Complete Only fo	ar a Hagnital Vigit
Final Report: HOSPITAL VISIT (Complete Only for	or a Hospital visit)
(36) Length of Time spent in ER/Urgent Care/Crisis Un □ <6 Hours □ 6-12 Hours □ 12-24 Hours □ >24 Hours	
(37) Admission Information: IF NOT ADMITTED, SKIP TO	QUESTION #39
(37A) Date of Admission: (37B) Ho	spital Name:
*(37C) Reason for ER/Hospital Visit:	
	T
□ Near Drowning Inappropriate Sexual Behavior □ Aggressive Sexual Behavior Alleged Victim** □ Aggressive Sexual Behavior Alleged Perpetrator** □ Sexual Misbehavior Alleged Victim □ Sexual Misbehavior Alleged Perpetrator □ Victim of Physical Altercation ** Significant Behavioral Incident □ Involving a Physical Altercation ** ■ Not Involving a Physical Altercation ** Missing Person □ Law Enforcement Contacted** □ Law Enforcement Not Contacted Fire □ Known Origin – Allegedly Started by Individual** □ Known Origin – Not Started by Individual** □ Source Unknown** Suspected Mistreatment □ Alleged Victim of Psychological Abuse** □ Alleged Victim of Verbal Abuse** □ Alleged Omission – Failure to Provide Needed Supports** □ Alleged Omission – Failure to Provide Needed Supervision**	Property Damage
(38) If admitted, was the admission from the ER? □ Yes	s □ No
(39A) If individual went to the ER, did you contact the ☐Yes ☐No ☐Unknown	1 0 0
(39B) If yes, did you get an appointment at the doctor's □Yes □No □Unknown	
(39C) If no, reason for no appointment at doctor's office	e:
(40) What Occurred During the Hospital Visit? CHEC.  ☐ Death ☐ Surgical Procedure ☐ Admission ☐ None of the Above  (40A) If other, please specify:	

Individual: First Name:	Last Name:
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(41) Discharge Information: <i>IF NOT DISCHAR</i> (41A) Actual Date of Discharge:  MM/D	GED, SKIP TO QUESTION #45
(41B) ER/Urgent Care/Crisis Unit/hospi  SEE APPENDIX in INCIDENT REPORT IN Discharge Diagnosis 1:	tal discharge diagnosis: NSTRUCTIONS
Discharge Diagnosis 2:	
Discharge Diagnosis 3:	
(41C) If Other was chosen as a discharge	e diagnosis, please specify:
(41D) Did you get instructions Upon Di	ischarge? ☐ Yes ☐ No
(41E) What changed for this person upon Increase in medication(s) (compared to me admission)  □ Decrease in medication(s)/Discontinuation (compared to medications before admission)  □ New medication □ New treatment □ New instructions received for signs and sy	edications before  Instructions on when to contact the health care practitioner  Wound care  New equipment  Newly diagnosed condition  New living situation (specify in additional information below)  Transferred to rehabilitation or nursing facility
(42) Current Status: CHECK ALL THAT APPLY  ☐ Change in daily living capabilities – lower ☐ Change in daily living capabilities – highe ☐ No change in daily living capabilities ☐ New Health status – temporary condition to ☐ New Health status – progressively deterion ☐ New Health status – permanent condition, ☐ New Health status – terminal condition ☐ Unclear at this time	r than before hospitalization er than before hospitalization that will get better rating condition
<ul> <li>□ Primary Care Physician (PCP)</li> <li>□ Admitting Physician</li> <li>□ Surgeon</li> <li>□ Specialist</li> <li>□ Outpatient Psychiatrist</li> </ul>	heduled with a health care professional: CHECK ALL THAT APPLY  Admitting Psychiatrist  Other (specify in additional information below)  None d
(44) Any Additional/Clarifying Information	:

Individual: First Name:	Last Name:	

Final Report: Additional Information		
*(45) Incident Description: Any updated or corrected infincluding dates, times, people involved, and relevant deta current status of the individual. If law enforcement has be enforcement.	ils prior to, during	, and after the incident. Indicate the
Final Report: Action Steps		
*(46) Are there Additional Action Steps for this Incident:	☐ Yes ☐ No	☐ Unknown
(46A) Action Step:	(46B) Targeted Completion Date: (MM/DD/YYYY)	(46C) Responsible Party: (Name and/or Position)
	,	
Final Report: Involved Parties	1	

(47) People Involved with Incident:

CORRECT ONLY IF THERE ARE CHANGES FROM THE INITIAL REPORT. ADD ADDITIONAL SHEETS AS NEEDED

	*(47B) Involvement SELECT ALL THAT APPLY	*(47C) Relationship  SELECT ALL THAT APPLY	
(47A) Involved Party Name:  (47D) Telephone:  ( ) -	☐ Eyewitness to Incident ☐ Person who filled out paper report ☐ Person who reported Incident ☐ Provider/DDS Staff who discovered/first made aware of incident	□ Reporting Provider Staff □ Non-Reporting Provider Staff □ Individual/Consumer □ Friend	□Relative □Volunteer □General Public □Other
Involved Party Name:  Telephone: ( ) -	□ Eyewitness to Incident □ Person who filled out paper report □ Person who reported Incident □ Provider/DDS Staff who discovered/first made aware of incident	□ Reporting Provider Staff □ Non-Reporting Provider Staff □ Individual/Consumer □ Friend	□Relative □Volunteer □General Public □Other
Involved Party Name:  Telephone: ( ) -	☐ Eyewitness to Incident ☐ Person who filled out paper report ☐ Person who reported Incident ☐ Provider/DDS Staff who discovered/first made aware of incident	□ Reporting Provider Staff □ Non-Reporting Provider Staff □ Individual/Consumer □ Friend	□Relative □Volunteer □General Public □Other

Individual: First Name:	Last Name
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*(49A) Date Incident Discovered: *(49A) Date Incident Discovered: *(49A) Do you know the date and/or approximate time   Both Date Only Time Only Neither (50A) Date Incident Occurred:	that the incident occurred:
(50A) Date Incident Occurred:  MM/DD/YYYY  *(51) Incident Categories: CHECK ONE (**INDICATES A	
(1) Unexpected/Suspicious Death	(9) Fire  □ Known Origin – Allegedly Started by Individual □ Known Origin – Not Started by Individual □ Source Unknown  (10) Suspected Mistreatment □ Alleged Victim of Psychological Abuse □ Alleged Victim of Physical Abuse □ Alleged Omission – Failure to Provide Needed Supports □ Alleged Omission – Failure to Provide Needed Supervision  (11) Property Damage □ Alleged Victim □ Alleged Perpetrator  (12) Theft □ Alleged Perpetrator**  (13) Other Criminal Activity □ Alleged Victim** □ Alleged Perpetrator**  (14) Transportation Accident □ Pedestrian □ Motor Vehicle □ Other  (15) □ Emergency Relocation  (16) □ Unplanned Transportation Restraint  (17) □ Other

\*(53) Did staff directly observe the incident? ☐ Yes ☐ No ☐ Unknown

Individual: First Name:	Last Name
manyianar riisi wame	Lasi Name

*(54) Who was responsible for	or the supervisi	on of the individua	l at the time o	of the inci	dent?	
Choose one from the fo	ollowing:					
☐ Individual	☐ Other Provide	r				
☐ Family	☐ Other					
☐ Reporting Provider	☐ Unknown					
(55) If Reporting Provider, v	vas supervision	at the time of the i	ncident being	provided	l as assigned?	
☐ Yes ☐ No						
*(56A) Was the On-Call pers	on notified	☐ Yes-Have Notifi	ied • No-W	ill Notify	□ No	
( <b>56B</b> ) Name of On-Call pers	son notified					
*(57A) Has Executive Office	of Elder Affai	rs been notified?				
☐ Yes-Have Notified		☐ No-Will Notify	□ No	□ N/A	A	
(Only applies to individuals great	er than 59 years of	ld. Choose N/A of all	other individuals	s)		
*(57B) Has DPPC Been Noti	fied?	☐ Yes-Have Notified	□ No-W	ill Notify	□ No	
*(57C) Has DCF Been Notifi	ied?	☐ Yes-Have Notified	□ No-W	ill Notify	□ No	□ N/A
(58) Has Family/Guardian E	Been Notified?	☐ Yes-Have Notified	□ No-W	ill Notify	□ No	□ N/A
*(59) Was Law Enforcement	Involved?	☐ Yes	□ No		☐ Unknow	⁄n
*(60A) Did the incident invo	lve the ingestio	on of non-food subs	tances?   Yes	□ No	☐ Unknown	
*(60B) Did the incident invol	ve unauthorize	d use of drugs/alco	hol?	☐ Yes	□ No	
*(60C) Did the incident invo	lve suicidal thre	eat/ideation?		☐ Yes	□ No	
*(60D) Did the incident invo	lve non-compli	ance with a medica	al directive?	☐ Yes	□ No	
*(60E) Did the incident invol	lve a medicatio	n refusal?		☐ Yes	□ No	
ONLY COMPLETE QUESTIONS #6	61-#63 FOR SIGN	NIFICANT BEHAVIORA	L INCIDENTS II	NVOLVING	A PHYSICAL ALT	ERCATION.
OTHERWISE, SKIP TO #64.						
(61) Did the incident involve	towards: CHE	CK ALL THAT APPLY				
☐ Another Individual	☐ Staff		☐ Other			
(62) Did the altercation result	t in an injury?	CHECK ONE				
☐ Yes-Medical Treatment No	eeded	Medical Treatment Not	Needed •	No		
(63) Where did the altercation	n take place? (	CHECK ONE				
☐ Within the program site	☐ In the	e community				

Individual: First Name: Last Name:

Description of Any Injury A	ssociated with the Incide	ent:		
*( <b>64</b> ) Is there an Injury? <b>Q</b> Yes <i>IF YES, COMPLETE QUESTIO</i>		то #69A.		
(65) Cause of Injury: CHECK A	LL THAT APPLY			
☐ Inflicted by self☐ Inflicted by staff☐ Inflicted by peer☐ Inflicted by other☐ Environmental  (65A) If Other, Specify:	☐ Fall ☐ Equipment ☐ Restraint-Related ☐ Transfer/Handling ☐ PICA/Eating Non-food items		☐ Insect/Animal Bite ☐ Motor Vehicle ☐ Seizure ☐ Other ☐ Unknown	
(66) Briefly Describe the Injur	y Including Cause and Fa	actors:		
(67) Type of Injury: CHECK AL	L THAT APPLY			
☐ Abrasion/Cut☐ Bite☐ Bruise	☐ Burn☐ Choking☐ Fracture	☐ Choking ☐ Internal Injury		
(67A) If Other, Specify: _				
(68) Body Part Affected by In	jury: <i>CHECK ALL THAT AF</i>	PPLY		
☐ Toe ☐ Foot ☐ Ankle ☐ Knee ☐ Leg ☐ Hip	☐ Genitals ☐ Front Torso ☐ Back Torso ☐ Internal Organs ☐ Neck ☐ Head	☐ Face ☐ Eye ☐ Nose ☐ Ear ☐ Mouth ☐ Shoulder	☐ Arm ☐ Elbow ☐ Wrist ☐ Hand ☐ Finger ☐ Other	
(68A) If Other Specify: _				
- • -				
Final Report - Finalization				
*(69A) Name of Person Finali	zing Report:			
*( <b>69B</b> ) Position:				
*( <b>69C</b> ) Signature:				
( <b>69D</b> ) Telephone: ( )	- ( <b>69E</b> ) Dat	te/Time of Revie	W: HH·MM AM/PM	