**Office of Quality Enhancement**
Department of Developmental Services

# 101Licensure Indicators by Service Group & Type

Applicability Reference Chart

| Legend | Legend |
|---|---|
| **X** Applicable to that service type<br>* Indicator may be Not Rated when it does not apply<br>⚐ **Critical** Flagged indicator | ● Applies when the provider is responsible for oversight (Identified in Service Plan or Remote Support Plan)<br>◆ Applies when the individual is receiving 15 or more hours per week<br>★ Applies when the location is owned, rented, or leased by the provider<br>■ Applies when the individual has an ISP<br>⊗ Applies for Site Based CBDS Locations |

**DDS Service Types and Activity Codes**

| Service | Activity Code |
|---|---|
| 24 hr | 3153, 4153 |
| ABI 24 Hr | 3751 |
| ILS: Independent Living Supports | 3798, 4798 |
| SCL: Supported Collaborative Living | 3810 |
| IHS: Individual Home Supports in a family home | 3703 |
| Shared Living | 3150 |
| ABI Shared Living | 3752 |

| Service | Activity Code |
|---|---|
| Respite: Site Based Planned Respite | 3759, 4759 |
| Respite: Emergency Stabilization | 3182, 4182 |
| CBDS: Community Based Day Supports, CBDS Without Walls | 3163 |
| Employ: Employment Supports | 3168, 4168 |
| Employ: Group Supported Employment | 3181, 4181 |
| RSM: Remote Supports and Monitoring | 3786 |
| | |

**Note:** The Residential Services Applicability Chart appears first. The separate Day and Employment Services and Remote Supports and Monitoring Applicability Chart follows the Residential chart and should be used for Employment Supports, CBDS, and RSMS services.

## Residential Services Applicability Chart

| Indicator | Critical | Requirement | 24 Hr | ABI 24 Hr | ILS (less than 7 hrs/ week) | ILS (7+ hrs/ week) | SCL | IHS 15+ Hrs/Wk (3703) | Shared Living | ABI Shared Living | Respite |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **L1** | | Individuals have been trained and guardians are provided with information in how to report alleged abuse/neglect | X | X | | X | X | X | X | X | X |
| **L2** | ⚐ | Allegations of abuse/neglect are reported as mandated by regulation. | X | X | X | X | X | X | X | X | X |

| Legend | Legend |
|---|---|
| **X** Applicable to that service type<br>* Indicator may be Not Rated when it does not apply<br>⚐ **Critical** Flagged indicator | ● Applies when the provider is responsible for oversight (Identified in Service Plan or Remote Support Plan)<br>◆ Applies when the individual is receiving 15 or more hours per week<br>★ Applies when the location is owned, rented, or leased by the provider<br>■ Applies when the individual has an ISP<br>⊗ Applies for Site Based CBDS Locations |

| Indicator | Critical | Requirement | 24 Hr | ABI 24 Hr | ILS (less than 7 hrs/ week) | ILS (7+ hrs/ week) | SCL | IHS 15+ Hrs/Wk (3703) | Shared Living | ABI Shared Living | Respite |
|---|---|---|---|---|---|---|---|---|---|---|---|
| ***L3** | | Immediate action is taken to protect the health and safety of individuals when potential abuse/neglect is reported. | X | X | X | X | X | X | X | X | X |
| ***L4** | | Action is taken when an individual is subject to abuse or neglect. | X | X | X | X | X | X | X | X | X |
| **L5** | | There is an approved safety plan in home and work locations. | X | X | | ◆ | ◆ | | X | X | X |
| **L6** | ⚐ | All individuals are able to evacuate homes in 2.5 minutes with or without assistance and workplaces within a reasonable amount of time. | X | X | | ◆ | ◆ | | X | X | X |
| **L7** | | Fire drills are conducted as required. | X | X | | | | | | | |
| **L8** | | Emergency fact sheets are current and accurate and available on site (and/or electronic and available to support staff. | X | X | | X | X | | X | X | X |
| ***L9** | | Individuals are able to utilize equipment and machinery safely. | X | X | | ● | ● | ● | | X | X |
| ***L10** | | The provider implements interventions to reduce risk for individuals whose behaviors may pose a risk to themselves or others. | X | X | | X | X | X | X | X | X |
| **L11** | ⚐ | All required annual inspections have been conducted. | X | X | | ★ | ★ | ★ | X | X | X |

| Legend | Legend |
|---|---|
| **X** Applicable to that service type<br>* Indicator may be Not Rated when it does not apply<br>⚐ **Critical** Flagged indicator | ● Applies when the provider is responsible for oversight (Identified in Service Plan or Remote Support Plan)<br>◆ Applies when the individual is receiving 15 or more hours per week<br>★ Applies when the location is owned, rented, or leased by the provider<br>■ Applies when the individual has an ISP<br>⊗ Applies for Site Based CBDS Locations |

| Indicator | Critical | Requirement | 24 Hr | ABI 24 Hr | ILS (less than 7 hrs/ week) | ILS (7+ hrs/ week) | SCL | IHS 15+ Hrs/Wk (3703) | Shared Living | ABI Shared Living | Respite |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **L12** | ⚐ | Smoke detectors and carbon monoxide detectors, and other essential elements of the fire alarm system required for evacuation are located where required and are operational. | X | X | | ★ | ★ | | X | X | X |
| **L13** | ⚐ | Location is clean and free of rodent and/or insect infestation. | X | X | | ★ | ★ | | X | X | X |
| ***L14** | | Handrails, balusters, stairs, and stairways are in good repair. | X | X | | ★ | ★ | | X | X | X |
| **L15** | | Hot water temperature tests between 110 and 120 degrees | X | X | | ★ | ★ | | X | X | X |
| **L16** | | The location is adapted and accessible to the needs of the individuals | X | X | | ★ | ★ | | X | X | X |
| **L17** | | There are two means of egress from floor at grade level. | X | X | | ★ | ★ | | X | X | X |
| ***L18** | | All other floors above grade have one means of egress and one escape route on each floor leading to grade. | X | X | | ★ | ★ | | ★ | ★ | X |
| ***L19** | | Bedrooms for individuals requiring hands on physical assistance to evacuate or who have mobility impairments are on a floor at grade or with a horizontal exit. | X | X | | ★ | ★ | | X | X | X |
| **L20** | | Exit doors are easily operable by hand from inside without the use of keys. | X | X | | ★ | ★ | | | | X |
| **L21** | | Electrical equipment is safely maintained. | X | X | | ★ | ★ | | X | X | X |

| Legend | Legend |
|---|---|
| **X** Applicable to that service type<br>***** Indicator may be Not Rated when it does not apply<br>⚐ **Critical** Flagged indicator | ● Applies when the provider is responsible for oversight (Identified in Service Plan or Remote Support Plan)<br>◆ Applies when the individual is receiving 15 or more hours per week<br>★ Applies when the location is owned, rented, or leased by the provider<br>■ Applies when the individual has an ISP<br>⊗ Applies for Site Based CBDS Locations |

| Indicator | Critical | Requirement | 24 Hr | ABI 24 Hr | ILS (less than 7 hrs/ week) | ILS (7+ hrs/ week) | SCL | IHS 15+ Hrs/Wk (3703) | Shared Living | ABI Shared Living | Respite |
|---|---|---|---|---|---|---|---|---|---|---|---|
| ***L22** | | All appliances are properly maintained. | X | X | | ★ | ★ | | | | X |
| ***L23** | | There are no locks on bedroom doors that provide access to an egress. | X | X | | ★ | ★ | | ★ | ★ | X |
| ***L24** | | Locks on doors not providing egress can be opened by the individuals from the inside and staff carry a key to open in an emergency. | X | X | | ★ | ★ | | X | X | X |
| ***L25** | | Potentially dangerous substances are stored separately from food and are in containers that are accurately labeled. | X | X | | ★ | ★ | | | | X |
| **L26** | | Walkways, driveways and ramps are in good repair and kept clear in all seasons. | X | X | | ★ | ★ | | X | X | X |
| ***L27** | | If applicable, swimming pools are safe and secure according to policy. | X | X | | ★ | ★ | | X | X | X |
| ***L28** | | Flammables are stored appropriately. | X | X | | ★ | ★ | | X | | X |
| **L29** | | No rubbish or other combustibles are accumulated within the location including near heating equipment and exits. | X | X | | ★ | ★ | | X | X | X |
| **L30** | | The exterior of the home, including every porch, balcony, deck or roof used as a porch or deck has a wall or protective railing, is in good repair. | X | X | | ★ | ★ | | X | X | X |
| **L31** | | Staff understand and can communicate with individuals in their primary language and method of communication. | X | X | | X | X | X | X | X | X |

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| Indicator | Critical | Requirement | 24 Hr | ABI 24 Hr | ILS (less than 7 hrs/ week) | ILS (7+ hrs/ week) | SCL | IHS 15+ Hrs/Wk (3703) | Shared Living | ABI Shared Living | Respite |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **L32** | | Individuals receive support to understand verbal and written communication. | X | X | | X | X | X | X | X | X |
| **L33** | | Individuals receive an annual physical exam. | X | X | | ● | ● | | X | X | |
| **L34** | | Individuals receive an annual dental exam. | X | X | | ● | ● | | X | X | |
| **L35** | | Individuals receive routine preventive screenings. | X | X | | ● | ● | | X | X | |
| ***L36** | | Recommended tests and appointments with specialists are made and kept. | X | X | | ● | ● | | X | X | |
| **L37** | | Individuals receive prompt treatment for episodic health care conditions. | X | X | | X | X | | X | X | X |
| ***L38** | ⚐ | Physicians' orders and treatment protocols are followed (when agreement for treatment has been reached by the individual/guardian/team). | X | X | | ● | ● | ● | X | X | X |
| ***L39** | | Special dietary requirements are followed. | X | X | | ● | ● | ● | X | X | X |
| **L40** | | There is an adequate supply of nutritional foods available at all times. | X | X | | ● | ● | | | | X |
| **L41** | | Individuals are supported to follow a healthy diet. | X | X | | ● | ● | ● | X | X | X |

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|---|---|---|---|---|---|---|---|---|---|---|---|
| **L42** | | Individuals are supported to engage in physical activity. | X | X | | ● | ● | ● | X | X | |
| **L43** | | The health care record is maintained and updated as required. | X | X | | ● | ● | | X | X | |
| ***L44** | | The location where MAP certified staff is administering medication is registered by DPH. | X | X | | ● | ● | | | | X |
| ***L45** | | Medications are stored in a locked container or area in which nothing except such medications are stored. | X | X | | ● | ● | | | | X |
| ***L46** | ⚐ | All prescription medications are administered according to the written order of a practitioner and are properly documented on a Medication Treatment Chart. | X | X | | ● | ● | | X | X | X |
| ***L47** | | Individuals are supported to become self-medicating when appropriate. | X | X | | ● | ● | | X | X | |
| **L48** | | The agency has an effective Human Rights Committee. | X | X | X | X | X | X | X | X | X |
| **L49** | | Individuals and guardians have been informed of their human rights and know how to file a grievance or to whom they should talk if they have a concern. | X | X | | X | X | X | X | X | X |
| **L50** | | Written and oral communication with and about individuals is respectful. | X | X | | X | X | X | X | X | X |
| **L51** | | Individuals can access and keep their own possessions. | X | X | | X | X | X | X | X | X |

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|---|---|---|---|---|---|---|---|---|---|---|---|
| **L52** | | Individuals can make and receive phone calls and use other communication technology. | X | X | | X | X | X | X | X | X |
| **L53** | | Individuals can visit with family and friends. | X | X | | X | X | | X | X | X |
| **L54** | | Individuals have privacy when taking care of personal needs and discussing personal matters. | X | X | | X | X | | X | X | X |
| ***L55** | | Informed consent is obtained from individuals or their guardians when required; Individuals or their guardians know that they have the right to withdraw consent. | X | X | | X | X | X | X | X | X |
| ***L56** | | Restrictive practices intended for one individual that affect all individuals served at a location need to have a written rationale that is reviewed as required and have provisions so as not to unduly restrict the rights of others. | X | X | | X | X | | X | X | X |
| ***L57** | | All behavior plans are in a written plan. | X | X | | ● | ● | ● | X | X | X |
| ***L58** | | All behavior plans contain the required components. | X | X | | ● | ● | ● | X | X | X |
| ***L59** | | Behavior plans have received all the required reviews. | X | X | | ● | ● | ● | X | X | X |
| ***L60** | | Data are consistently maintained and used to determine the efficacy of behavioral interventions. | X | X | | ● | ● | ● | X | X | X |
| ***L61** | | Health Related Supports and protective equipment are included in ISP assessments; and the continued need is outlined. | X | X | | ● | ● | | X | X | X |

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|---|---|---|---|---|---|---|---|---|---|---|---|
| ***L62** | | Health Related Supports and protective equipment are reviewed by the required groups. | X | X | | ● | ● | | X | X | X |
| ***L63** | | Medication treatment plans are in written format with required components. | X | X | | ● | ● | | X | X | |
| ***L64** | | Medication treatment plans are reviewed by the required groups. | X | X | | ● | ● | | X | X | |
| ***L65** | | Restraint reports are submitted within required timelines. | X | X | X | X | X | X | X | X | X |
| ***L66** | | All restraints are reviewed by the Human Rights Committee. | X | X | X | X | X | X | X | X | X |
| ***L67** | | There is a written plan in place accompanied by a training plan when the agency has shared or delegated money management<br>responsibility. | X | X | | ● | ● | ● | X | X | |
| ***L68** | | Expenditures of individual's funds are made only for purposes that directly benefit the individual. | X | X | | ● | ● | ● | X | X | X |
| ***L69** | | Individual expenditures are documented and tracked. | X | X | | ● | ● | ● | X | X | X |
| **L70** | | Charges for care are calculated appropriately. | X | X | | | | | X | X | |
| **L71** | | Individuals are notified of their appeal rights for their charges for care. | X | X | | | | | X | X | |

| Legend | Legend |
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|---|---|---|---|---|---|---|---|---|---|---|---|
| **L74** | | The agency screens prospective employees per requirements. | X | X | X | X | X | X | X | X | X |
| **L75** | | The agency assures that staff have the necessary qualifications and certifications to do the job. | X | X | X | X | X | X | X | X | X |
| **L76** | | The agency has and utilizes a system to track required trainings. | X | X | X | X | X | X | X | X | X |
| **L77** | | The agency assures that staff / care providers are familiar with and trained to support the unique needs of individuals. | X | X | | X | X | X | X | X | X |
| ***L78** | | Staff are trained to safely and consistently implement restrictive interventions. | X | X | | X | X | X | X | X | X |
| ***L79** | | Staff are trained in safe and correct administration of restraint. | X | X | | X | X | X | X | X | X |
| **L80** | | Support staff are trained to recognize signs and symptoms of illness. | X | X | | X | X | X | X | X | X |
| **L81** | | Support staff know what to do in a medical emergency. | X | X | | X | X | X | X | X | X |
| *** L82** | ⚐ | Medications are administered by licensed professional staff or by MAP certified staff (or by PCAs) for individuals unable to administer their own medications. | X | X | | ● | ● | | | | X |
| **L83** | | Support staff are trained in human rights. | X | X | X | X | X | X | X | X | X |

| Legend | Legend |
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|---|---|---|---|---|---|---|---|---|---|---|---|
| ***L84** | | Staff / care providers are trained in the correct utilization of health related supports and protective equipment per regulation. | X | X | | ● | ● | ● | X | X | X |
| **L85** | | The agency provides on-going supervision, oversight and staff development. | X | X | | X | X | X | X | X | X |
| **L86** | | Required assessments concerning individual needs and abilities are completed in preparation for the ISP. | X | X | | ■ | ■ | ■ | X | X | |
| **L87** | | Support strategies necessary to assist an individual to meet their goals and objectives are completed and submitted as part of the ISP. | X | X | | ■ | ■ | ■ | X | X | |
| **L88** | | Services and support strategies identified and agreed upon in the ISP for which the provider has designated responsibility are being implemented. | X | X | | ■ | ■ | ■ | X | X | |
| **L89** | | The provider has a complaint and resolution process that is effectively implemented at the local level. | | X | | | | | | X | |
| ***L90** | | Individuals are able to have privacy in their own personal space. | X | X | | ★ | ★ | | X | X | |
| **L91** | | Incidents are reported and reviewed as mandated by regulations. | X | X | | X | X | X | X | X | X |
| **L93** | | The provider has emergency back-up plans to assist the individual to plan for emergencies and/or disasters. | X | X | | X | X | X | X | X | X |
| **L94** | | Individuals have assistive technology to maximize independence. | X | X | | ● | ● | ● | X | X | |

| Legend | Legend |
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|---|---|---|---|---|---|---|---|---|---|---|---|
| **L95** | ⚐ | Remote Supports and Monitoring Technology system requirements have been met. | | | | | | | | | |
| ***L96** | | Staff is competent and knowledgeable in the use of the individual's technology devices and applications. | X | X | | ● | ● | ● | X | X | |
| **L97** | | The agreed upon remote support and monitoring plan includes the required components and is implemented as developed. | | | | | | | | | |
| **L98** | | Monitoring staff are trained and knowledgeable in the individual's remote supports and monitoring plan. | | | | | | | | | |
| ***L99** | | Medical monitoring devices needed for health and safety are authorized, agreed to, used and data collected appropriately. (eg, seizure watches; fall sensors). | X | X | | ● | ● | | X | X | |
| **L100** | | An assessment for use of Remote supports and monitoring has been included within the ISP. On-going review for the continued need occurs. | | | | | | | | | |
| **L101** | ⚐ | The individual is trained on how to use the remote supports and monitoring system. | | | | | | | | | |

## Day and Employment Services and Remote Supports and Monitoring Applicability Chart

| Indicator | Critical | Requirement | Employ | CBDS | RSM |
|---|---|---|---|---|---|
| **L1** | | Individuals have been trained and guardians are provided with information in how to report alleged abuse/neglect | X | X | X |

| Legend | Legend |
|---|---|
| **X** Applicable to that service type<br>* Indicator may be Not Rated when it does not apply<br>⚐ **Critical** Flagged indicator | ● Applies when the provider is responsible for oversight (Identified in Service Plan or Remote Support Plan)<br>◆ Applies when the individual is receiving 15 or more hours per week<br>★ Applies when the location is owned, rented, or leased by the provider<br>■ Applies when the individual has an ISP<br>⊗ Applies for Site Based CBDS Locations |

| Indicator | Critical | Requirement | Employ | CBDS | RSM |
|---|---|---|---|---|---|
| **L2** | ⚐ | Allegations of abuse/neglect are reported as mandated by regulation. | X | X | X |
| ***L3** | | Immediate action is taken to protect the health and safety of individuals when potential abuse/neglect is reported. | X | X | X |
| ***L4** | | Action is taken when an individual is subject to abuse or neglect. | X | X | X |
| **L5** | | There is an approved safety plan in home and work locations. | | ⊗ | |
| **L6** | ⚐ | All individuals are able to evacuate homes in 2.5 minutes with or without assistance and workplaces within a reasonable amount of time. | | ⊗ | |
| **L7** | | Fire drills are conducted as required. | | ⊗ | |
| **L8** | | Emergency fact sheets are current and accurate and available on site (and/or electronic and available to support staff. | X | X | X |
| ***L9** | | Individuals are able to utilize equipment and machinery safely. | X | X | X |
| ***L10** | | The provider implements interventions to reduce risk for individuals whose behaviors may pose a risk to themselves or others. | X | X | X |
| **L11** | ⚐ | All required annual inspections have been conducted. | | X | |
| **L12** | ⚐ | Smoke detectors and carbon monoxide detectors, and other essential elements of the fire alarm system required for evacuation are located where required and are operational. | | X | |
| **L13** | ⚐ | Location is clean and free of rodent and/or insect infestation. | | X | |
| ***L14** | | Handrails, balusters, stairs, and stairways are in good repair. | | X | |
| **L15** | | Hot water temperature tests between 110 and 120 degrees | | X | |

| Legend | Legend |
|---|---|
| **X** Applicable to that service type<br>***** Indicator may be Not Rated when it does not apply<br>⚐ **Critical** Flagged indicator | ● Applies when the provider is responsible for oversight (Identified in Service Plan or Remote Support Plan)<br>◆ Applies when the individual is receiving 15 or more hours per week<br>★ Applies when the location is owned, rented, or leased by the provider<br>■ Applies when the individual has an ISP<br>⊗ Applies for Site Based CBDS Locations |

| Indicator | Critical | Requirement | Employ | CBDS | RSM |
|---|---|---|---|---|---|
| **L16** | | The location is adapted and accessible to the needs of the individuals | | X | |
| **L17** | | There are two means of egress from floor at grade level. | | X | |
| ***L18** | | All other floors above grade have one means of egress and one escape route on each floor leading to grade. | | X | |
| ***L19** | | Bedrooms for individuals requiring hands on physical assistance to evacuate or who have mobility impairments are on a floor at grade or with a horizontal exit. | | | |
| **L20** | | Exit doors are easily operable by hand from inside without the use of keys. | | X | |
| **L21** | | Electrical equipment is safely maintained. | | X | |
| ***L22** | | All appliances are properly maintained. | | X | |
| **L23** | | There are no locks on bedroom doors that provide access to an egress. | | | |
| **L24** | | Locks on doors not providing egress can be opened by the individuals from the inside and staff carry a key to open in an emergency. | | | |
| ***L25** | | Potentially dangerous substances are stored separately from food and are in containers that are accurately labeled. | | X | |
| **L26** | | Walkways, driveways and ramps are in good repair and kept clear in all seasons. | | X | |
| ***L27** | | If applicable, swimming pools are safe and secure according to policy. | | X | |
| ***L28** | | Flammables are stored appropriately. | | X | |
| **L29** | | No rubbish or other combustibles are accumulated within the location including near heating equipment and exits. | | X | |

| Legend | Legend |
|---|---|
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| Indicator | Critical | Requirement | Employ | CBDS | RSM |
|---|---|---|---|---|---|
| **L30** | | The exterior of the home, including every porch, balcony, deck or roof used as a porch or deck has a wall or protective railing, is in good repair. | | X | |
| **L31** | | Staff understand and can communicate with individuals in their primary language and method of communication. | X | X | X |
| **L32** | | Individuals receive support to understand verbal and written communication. | X | X | X |
| **L33** | | Individuals receive an annual physical exam. | | | |
| **L34** | | Individuals receive an annual dental exam. | | | |
| **L35** | | Individuals receive routine preventive screenings. | | | |
| ***L36** | | Recommended tests and appointments with specialists are made and kept. | | | |
| **L37** | | Individuals receive prompt treatment for episodic health care conditions. | X | X | X |
| ***L38** | ⚐ | Physicians' orders and treatment protocols are followed (when agreement for treatment has been reached by the individual/guardian/team). | X | X | ● |
| ***L39** | | Special dietary requirements are followed. | X | X | ● |
| **L40** | | There is an adequate supply of nutritional foods available at all times. | | | |
| **L41** | | Individuals are supported to follow a healthy diet. | | | ● |
| **L42** | | Individuals are supported to engage in physical activity. | | | ● |
| **L43** | | The health care record is maintained and updated as required. | | | |

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|---|---|---|---|---|---|
| ***L44** | | The location where MAP certified staff is administering medication is registered by DPH. | X | X | |
| ***L45** | | Medications are stored in a locked container or area in which nothing except such medications are stored. | X | X | |
| ***L46** | ⚐ | All prescription medications are administered according to the written order of a practitioner and are properly documented on a Medication Treatment Chart. | X | X | |
| ***L47** | | Individuals are supported to become self-medicating when appropriate. | | | ● |
| **L48** | | The agency has an effective Human Rights Committee. | X | X | X |
| **L49** | | Individuals and guardians have been informed of their human rights and know how to file a grievance or to whom they should talk if they have a concern. | X | X | X |
| **L50** | | Written and oral communication with and about individuals is respectful. | X | X | X |
| **L51** | | Individuals can access and keep their own possessions. | X | X | |
| **L52** | | Individuals can make and receive phone calls and use other communication technology. | X | X | |
| **L53** | | Individuals can visit with family and friends. | | | |
| **L54** | | Individuals have privacy when taking care of personal needs and discussing personal matters. | X | X | X |
| ***L55** | | Informed consent is obtained from individuals or their guardians when required; Individuals or their guardians know that they have the right to withdraw consent. | X | X | X |
| ***L56** | | Restrictive practices intended for one individual that affect all individuals served at a location need to have a written rationale that is reviewed as required and have provisions so as not to unduly restrict the rights of others. | X | X | X |

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|---|---|---|---|---|---|
| ***L57** | | All behavior plans are in a written plan. | X | X | |
| ***L58** | | All behavior plans contain the required components. | X | X | |
| ***L59** | | Behavior plans have received all the required reviews. | X | X | |
| ***L60** | | Data are consistently maintained and used to determine the efficacy of behavioral interventions. | X | X | |
| ***L61** | | Health Related Supports and protective equipment are included in ISP assessments; and the continued need is outlined. | ● | ● | |
| ***L62** | | Health Related Supports and protective equipment are reviewed by the required groups. | ● | ● | |
| ***L63** | | Medication treatment plans are in written format with required components. | ● | ● | |
| ***L64** | | Medication treatment plans are reviewed by the required groups. | | | |
| ***L65** | | Restraint reports are submitted within required timelines. | X | X | |
| ***L66** | | All restraints are reviewed by the Human Rights Committee. | X | X | |
| ***L67** | | There is a written plan in place accompanied by a training plan when the agency has shared or delegated money management responsibility. | X | X | |
| ***L68** | | Expenditures of individual's funds are made only for purposes that directly benefit the individual. | X | X | |
| ***L69** | | Individual expenditures are documented and tracked. | X | X | |
| **L70** | | Charges for care are calculated appropriately. | | | |

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|---|---|---|---|---|---|
| **L71** | | Individuals are notified of their appeal rights for their charges for care. | | | |
| **L72** | | Sub-minimum wages are earned in accordance with Department of Labor (DOL) requirements for compensation. | | | |
| **L73** | | The provider has a current DOL certificate. | | | |
| **L74** | | The agency screens prospective employees per requirements. | X | X | X |
| **L75** | | The agency assures that staff have the necessary qualifications and certifications to do the job. | X | X | X |
| **L76** | | The agency has and utilizes a system to track required trainings. | X | X | X |
| **L77** | | The agency assures that staff / care providers are familiar with and trained to support the unique needs of individuals. | X | X | X |
| ***L78** | | Staff are trained to safely and consistently implement restrictive interventions. | X | X | X |
| ***L79** | | Staff are trained in safe and correct administration of restraint. | X | X | |
| **L80** | | Support staff are trained to recognize signs and symptoms of illness. | X | X | X |
| **L81** | | Support staff know what to do in a medical emergency. | X | X | X |
| ***L82** | ⚐ | Medications are administered by licensed professional staff or by MAP certified staff (or by PCAs) for individuals unable to administer their own medications. | X | X | |
| **L83** | | Support staff are trained in human rights. | X | X | X |
| ***L84** | | Staff / care providers are trained in the correct utilization of health-related supports and protective equipment per regulation. | ● | ● | |

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|---|---|---|---|---|---|
| **L85** | | The agency provides on-going supervision, oversight and staff development. | X | X | X |
| **L86** | | Required assessments concerning individual needs and abilities are completed in preparation for the ISP. | X | X | ■ |
| **L87** | | Support strategies necessary to assist an individual to meet their goals and objectives are completed and submitted as part of the ISP. | X | X | ■ |
| **L88** | | Services and support strategies identified and agreed upon in the ISP for which the provider has designated responsibility are being implemented. | X | X | ■ |
| **L89** | | The provider has a complaint and resolution process that is effectively implemented at the local level. | | | |
| **L90** | | Individuals are able to have privacy in their own personal space. | | | |
| **L91** | | Incidents are reported and reviewed as mandated by regulations. | X | X | X |
| **L92** | | Employment/Day Sub Location Inspections | X | X | |
| **L93** | | The provider has emergency back-up plans to assist the individual to plan for emergencies and/or disasters. | X | X | X |
| **L94** | | Individuals have assistive technology to maximize independence. | X | X | X |
| **L95** | ⚐ | Remote Supports and Monitoring Technology system requirements have been met. | | | X |
| *** L96** | | Staff is competent and knowledgeable in the use of the individual's technology devices and applications. | X | X | X |
| **L97** | | The agreed upon remote support and monitoring plan includes the required components and is implemented as developed. | | | X |
| **L98** | | Monitoring staff are trained and knowledgeable in the individual's remote supports and monitoring plan. | | | X |

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|---|---|---|---|---|---|
| ***L99** | | Medical monitoring devices needed for health and safety are authorized, agreed to, used and data collected appropriately. (eg, seizure watches; fall sensors). | ● | ● | ● |
| **L100** | | An assessment for use of Remote supports and monitoring has been included within the ISP. On-going review for the continued need occurs. | | | X |
| **L101** | ⚐ | The individual is trained on how to use the remote supports and monitoring system. | | | X |