

LICENSURE INDICATORS

with applicability by SERVICE TYPE

Applicability Definitions/Key

❏ **Flagged Critical Indicator**

X Applicable to that service

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● Applies when Provider is responsible for oversight (e.g., ISP/Remote Support Plan)

★ Applies when location is owned, rented or leased by the provider

◆ Applies when individual is **not** living in family home

* Indicator could be “not rated”, when the indicator does not apply

INDICATOR		24 Hour	ABI 24 hr	IHS 15 or more hrs/wk	Plmt	ABI Plmt	Respite	Employ	CBDS	RSM
L1	Individuals have been trained and guardians are provided with information in how to report alleged abuse/neglect	X	X	X	X	X	X	X	X	X
❏ L2	Allegations of abuse/neglect are reported as mandated by regulation.	X	X	X	X	X	X	X	X	X
*L3	Immediate action is taken to protect the health and safety of individuals when potential abuse/neglect is reported.	X	X	X	X	X	X	X	X	X
*L4	Action is taken when an individual is subject to abuse or neglect.	X	X	X	X	X		X	X	X
L5	There is an approved safety plan in home and work locations.	X	X	◆	X	X	X		X	
❏ L6	All individuals are able to evacuate homes in 2.5 minutes with or without assistance and workplaces within a reasonable amount of time.	X	X	◆	X	X	X		X	
L7	Fire drills are conducted as required.	X	X						X	
L8	Emergency fact sheets are current and accurate and available on site (and/or electronic and available to support staff.	X	X	X	X	X		X	X	X
*L9	Individuals are able to utilize equipment and machinery safely.	X	X	X		X		X	X	X
*L10	The provider implements interventions to reduce risk for individuals whose behaviors may pose a risk to themselves or others.	X	X	X	X	X	X	X	X	X
❏ L11	All required annual inspections have been conducted.	X	X	★	X	X	X		X	
❏ L12	Smoke detectors and carbon monoxide detectors, and other essential elements of the fire alarm system required for evacuation are located where required and are operational.	X	X	★	X	X	X		X	
❏ L13	Location is clean and free of rodent and/or insect infestation.	X	X	★	X	X	X		X	
*L14	Handrails, balusters, stairs, and stairways are in good repair.	X	X	★	X	X	X		X	
L15	Hot water temperature tests between 110 and 120 degrees	X	X	★	X	X	X		X	

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L16	The location is adapted and accessible to the needs of the individuals	X	X	★	X	X	X		X	
L17	There are two means of egress from floor at grade level.	X	X	★	X	X	X		X	
*L18	All other floors above grade have one means of egress and one escape route on each floor leading to grade.	X	X	★			X		X	
*L19	Bedrooms for individuals requiring hands on physical assistance to evacuate or who have mobility impairments are on a floor at grade or with a horizontal exit.	X	X	★	X	X	X			
L20	Exit doors are easily operable by hand from inside without the use of keys.	X	X	★			X		X	
L21	Electrical equipment is safely maintained.	X	X	★	X	X	X		X	
*L22	All appliances are properly maintained.	X	X	★			X		X	
L23	There are no locks on bedroom doors that provide access to an egress.	X	X				X			
L24	Locks on doors not providing egress can be opened by the individuals from the inside and staff carry a key to open in an emergency.	X	X		X	X	X			
*L25	Potentially dangerous substances are stored separately from food and are in containers that are accurately labeled.	X	X	★			X		X	
L26	Walkways, driveways and ramps are in good repair and kept clear in all seasons.	X	X	★	X	X	X		X	
*L27	If applicable, swimming pools are safe and secure according to policy.	X	X	★	X	X	X		X	
*L28	Flammables are stored appropriately.	X	X	★	X	X	X		X	
L29	No rubbish or other combustibles are accumulated within the location including near heating equipment and exits.	X	X	★	X	X	X		X	
L30	The exterior of the home, including every porch, balcony, deck or roof used as a porch or deck has a wall or protective railing, is in	X	X	★	X	X	X		X	

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	good repair.									
L31	Staff understand and can communicate with individuals in their primary language and method of communication.	X	X	X	X	X	X	X	X	X
L32	Individuals receive support to understand verbal and written communication.	X	X	X	X	X	X	X	X	X
L33	Individuals receive an annual physical exam.	X	X	●	X	X				
L34	Individuals receive an annual dental exam.	X	X	●	X	X				
L35	Individuals receive routine preventive screenings.	X	X	●	X	X				
*L36	Recommended tests and appointments with specialists are made and kept.	X	X	●	X	X	X			
L37	Individuals receive prompt treatment for episodic health care conditions.	X	X	X	X	X	X	X	X	X
*❏ L38	Physicians’ orders and treatment protocols are followed (when agreement for treatment has been reached by the individual/guardian/team).	X	X	●	X	X	X	X	X	●
*L39	Special dietary requirements are followed.	X	X	●	X	X	X	X	X	●
L40	There is an adequate supply of nutritional foods available at all times.	X	X	●			X			
L41	Individuals are supported to follow a healthy diet.	X	X	●	X	X	X			●
L42	Individuals are supported to engage in physical activity.	X	X	●	X	X	X			●
L43	The health care record is maintained and updated as required.	X	X	●	X	X				
*L44	The location where MAP certified staff is administering medication is registered by DPH.	X	X	♦			X	X	X	

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*L45	Medications are stored in a locked container or area in which nothing except such medications are stored.	X	X	◆			X	X	X	
*▢ L46	All prescription medications are administered according to the written order of a practitioner and are properly documented on a Medication Treatment Chart.	X	X	◆	X	X	X	X	X	
*L47	Individuals are supported to become self-medicating when appropriate.	X	X	◆	X	X				●
L48	The agency has an effective Human Rights Committee.	X	X	X	X	X	X	X	X	X
L49	Individuals and guardians have been informed of their human rights and know how to file a grievance or to whom they should talk if they have a concern.	X	X	X	X	X	X	X	X	X
L50	Written and oral communication with and about individuals is respectful.	X	X	X	X	X	X	X	X	X
L51	Individuals can access and keep their own possessions.	X	X	X	X	X	X	X	X	
L52	Individuals can make and receive phone calls and use other communication technology.	X	X	X	X	X	X	X	X	X
L53	Individuals can visit with family and friends.	X	X	X	X	X	X			
L54	Individuals have privacy when taking care of personal needs and discussing personal matters.	X	X	X	X	X	X	X	X	X
*L55	Informed consent is obtained from individuals or their guardians when required; Individuals or their guardians know that they have the right to withdraw consent.	X	X	X	X	X	X	X	X	X
*L56	Restrictive practices intended for one individual that affect all individuals served at a location need to have a written rationale that is reviewed as required and have provisions so as not to unduly restrict the rights of others.	X	X	X	X	X	X	X	X	X

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*L57	All behavior plans are in a written plan.	X	X	X	X	X	X	X	X	
*L58	All behavior plans contain the required components.	X	X	X	X	X	X	X	X	
*L59	Behavior plans have received all the required reviews.	X	X	X	X	X	X	X	X	
*L60	Data are consistently maintained and used to determine the efficacy of behavioral interventions.	X	X	X	X	X	X	X	X	
*L61	Health Related Supports and protective equipment are included in ISP assessments; and the continued need is outlined.	X	X	●	X	X	X	●	●	
*L62	Health Related Supports and protective equipment are reviewed by the required groups.	X	X	●	X	X	X	X	X	
*L63	Medication treatment plans are in written format with required components.	X	X	●	X	X	X	X	X	
*L64	Medication treatment plans are reviewed by the required groups.	X	X	●	X	X	X			
*L65	Restraint reports are submitted within required timelines.	X		X	X		X	X	X	
*L66	All restraints are reviewed by the Human Rights Committee.	X		X	X		X	X	X	
*L67	There is a written plan in place accompanied by a training plan when the agency has shared or delegated money management responsibility.	X	X	●	X	X	X	X	X	
*L68	Expenditures of individual's funds are made only for purposes that directly benefit the individual.	X	X	●	X	X	X	X	X	
*L69	Individual expenditures are documented and tracked.	X	X	●	X	X	X	X	X	
L70	Charges for care are calculated appropriately.	X	X		X	X				
L71	Individuals are notified of their appeal rights for their charges for care.	X	X		X	X				

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INDICATOR		24 Hour	ABI 24 hr	IHS 15 or more hrs/wk	Plmt	ABI Plmt	Respite	Employ	CBDS	RSM
L72	Sub-minimum wages are earned in accordance with Department of Labor (DOL) requirements for compensation.									
L73	The provider has a current DOL certificate.									
L74	The agency screens prospective employees per requirements.	X	X	X	X	X	X	X	X	X
L75	The agency assures that staff have the necessary qualifications and certifications to do the job.	X	X	X	X	X	X	X	X	X
L76	The agency has and utilizes a system to track required trainings.	X	X	X	X	X	X	X	X	X
L77	The agency assures that staff / care providers are familiar with and trained to support the unique needs of individuals.	X	X	X	X	X	X	X	X	X
*L78	Staff are trained to safely and consistently implement restrictive interventions.	X	X	X	X	X	X	X	X	X
*L79	Staff are trained in safe and correct administration of restraint.	X		X	X		X	X	X	
L80	Support staff are trained to recognize signs and symptoms of illness.	X	X	X	X	X	X	X	X	X
L81	Support staff know what to do in a medical emergency.	X	X	X	X	X	X	X	X	X
*❏ L82	Medications are administered by licensed professional staff or by MAP certified staff (or by PCAs) for individuals unable to administer their own medications.	X	X	◆			X	X	X	
L83	Support staff are trained in human rights.	X	X	X	X	X	X	X	X	X
*L84	Staff / care providers are trained in the correct utilization of health related supports and protective equipment per regulation.	X	X	●	X	X	X	●	●	
L85	The agency provides on-going supervision, oversight and staff development.	X	X	X	X	X	X	X	X	X
L86	Required assessments concerning individual needs and abilities are completed in preparation for the ISP.	X	X	X	X	X		X	X	X

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L87	Support strategies necessary to assist an individual to meet their goals and objectives are completed and submitted as part of the ISP.	X	X	X	X	X		X	X	X
L88	Services and support strategies identified and agreed upon in the ISP for which the provider has designated responsibility are being implemented.	X	X	X	X	X		X	X	X
L89	The provider has a complaint and resolution process that is effectively implemented at the local level.		X			X				
L90	Individuals are able to have privacy in their own personal space.	X	X	★	X	X				
L91	Incidents are reported and reviewed as mandated by regulations.	X	X	X	X	X	X	X	X	X
L92	Employment/Day Sub Location Inspections							X	X	
L93	The provider has emergency back-up plans to assist the individual to plan for emergencies and/or disasters.	X	X	X	X	X	X	X	X	X
L94	Individuals have assistive technology to maximize independence.	X	X	●	X	X		X	X	X
▢ L95	Remote Supports and Monitoring Technology system requirements have been met.									X
*L96	Staff is competent and knowledgeable in the use of the individual's technology devices and applications.	X	X	X	X	X	X	X	X	X
L97	The agreed upon remote support and monitoring plan includes the required components and is implemented as developed.									X
L98	Monitoring staff are trained and knowledgeable in the individual's remote supports and monitoring plan.									X
*L99	Medical monitoring devices needed for health and safety are authorized, agreed to, used and data collected appropriately. (eg seizure watches; fall sensors).	X	X	●	X	X	●	●	●	●
L100	An assessment for use of Remote supports and monitoring has been included within the ISP. On-going review for the continued need									X

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INDICATOR		24 Hour	ABI 24 hr	IHS 15 or more hrs/wk	Plmt	ABI Plmt	Respite	Employ	CBDS	RSM
	occurs.									
L101	The individual is trained on how to use the remote supports and monitoring system.									X