# Massachusetts Community Justice Project An Initiative of the Massachusetts Trial Court

# Massachusetts Community Justice Workshop Report

**Worcester District Court:** 

Auburn, Millbury and Worcester

















# Massachusetts Community Justice Workshop Report Sequential Intercept Mapping and Taking Action for Change

#### Introduction:

The purpose of this report is to provide a summary of the Community Justice Workshop, including *Sequential Intercept Mapping* and *Taking Action for Change* meetings, held for the Worcester District Court jurisdiction on September 26<sup>th</sup> and 27<sup>th</sup>, 2017. This report includes:

• A brief review of the origins, background and framework of the Massachusetts Community Justice Project and

workshop;

 A Sequential Intercept Map as developed by the group during the workshop;

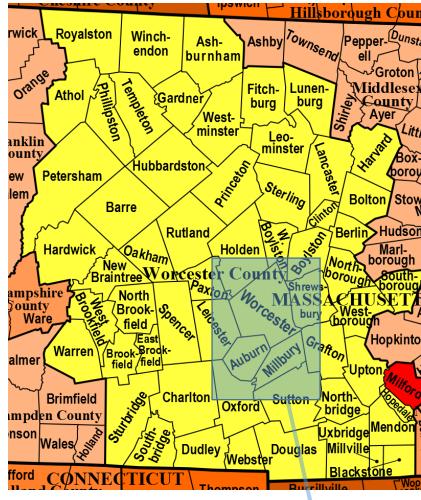
- A summary of the information gathered at the workshop;
- A list of best practices and resources to help the partners in this court jurisdiction action plan and achieve their goals.

The workshop was attended by 61 individuals representing multiple stakeholder systems including mental health, substance abuse treatment, crisis services, human services, corrections, advocates, family members, consumers, law enforcement, veterans' services, and the courts. A complete list of participants is available in Appendix A.

The workshop was facilitated by Ben Cluff, Veterans Services Coordinator for the Bureau of Substance Abuse Services at the Department of Public Health; and Marisa

Hebble, Coordinator of the Massachusetts Community Justice Project with the Trial Court.

The planning committee for this workshop was chaired by Judge Paul LoConto, former First Justice of the Worcester District Cout and Judge David Despotopulos, current First Justice. Planning committee members are indicated in Appendix A. Communities included in this jurisdiction: Auburn, Millbury and Worcester.



#### **Background of the Massachusetts Community Justice Project:**

The Massachusetts Community Justice Project (originally known as the Sequential Intercept Model Project) is a Massachusetts Trial Court initiative. The Project was developed and realized through the efforts of the Trial Court Task Force on Mental Health and Substance Abuse. This interagency Task Force, chaired by Chief Justice Paula Carey, includes key stakeholders from the Trial Court, Department of Mental Health, Department of Public Health's Bureau of Substance Abuse Services, Department of Corrections, Committee for Public Counsel Services, and Sheriffs' and District Attorneys' Offices.

The Project is designed to facilitate effective and sustainable collaborations at the local level between justice system, treatment and recovery support systems, and community agencies. Utilizing *Sequential Intercept Mapping* and collective action planning, the Project seeks to promote recovery for people with mental illness and/or addiction, enhance public safety and support quality of life for all.

#### **Project Goals, Objectives, and Strategies:**

The goal of the Massachusetts Community Justice Project is to decrease the risk of justice-involvement and recidivism for people with mental illness and/or substance use disorders by:

- increasing community-level collaboration between criminal justice, behavioral health treatment and human service sectors;
- increasing capacity to identify the need for behavioral health treatment and recovery support among justice-involved people; and
- increasing connections to and engagement with treatment and recovery support for justice-involved people with behavioral health needs.

In order to achieve the set forth objectives, the Project is:

- implementing cross-systems mapping and action planning workshops using the *Sequential Intercept Model*;
- providing technical assistance to communities to support continued collaborative action planning and implementation of evidence-based and promising strategies and best practices; and
- informing stakeholders of needs, barriers, and innovations at the community level, as identified in workshops.

### Framework: The Sequential Intercept Model

Developed by Mark Munetz, MD, and Patty Griffin, PhD, in conjunction with the Substance Abuse and Mental Health Services Administration's (SAMHSA) GAINS Center, the *Sequential Intercept Model* provides a conceptual framework for communities to organize targeted strategies for justice-involved individuals with behavioral health disorders.<sup>1</sup>

The model depicts the justice system as a series of points of "interception" at which an intervention can be made to prevent people from entering or penetrating deeper into the criminal justice system.<sup>2</sup>

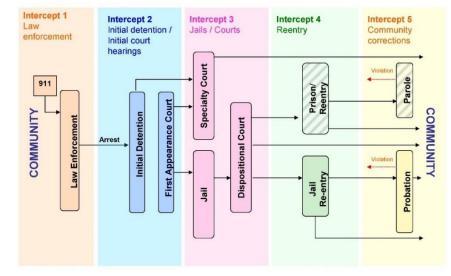
<sup>&</sup>lt;sup>1</sup> SAMHSA's GAINS Center. (2013). *Developing a Comprehensive Plan for Behavioral health & Criminal Justice Collaboration: The Sequential Intercept Model.* Delmar, NY: Policy Research Associates, Inc.

<sup>&</sup>lt;sup>2</sup> Munetz, M.R. & Griffin, P.A. (2006). Use of the Sequential Intercept Model as an Approach to Decriminalization of People with Serious Mental Illness. *Psychiatric Services*, 57(4), 544-549.

#### Points of intercept include:

- Intercept 1: Law Enforcement and Emergency Services
- Intercept 2: Initial Detention and Initial Hearings
- Intercept 3: Jail, Courts, Specialty Courts, Forensic Evaluations, and Forensic Commitments
- Intercept 4: Reentry from Jails, State Prisons, and Forensic Hospitalization
- Intercept 5: Community Corrections (Probation and Parole) and Community Support

The model provides an organizing tool for a discussion on how to best address the behavioral health needs of justice-involved individuals at the local level. Using the model, a community can identify local resources and gaps in services; decide on priorities for change; and develop targeted strategies to increase connections to treatment and recovery support services.



The Massachusetts Community Justice Project is including a discussion of

Intercept Zero at every workshop. Intercept Zero encompasses the places in the community where people with mental illness and/or addiction can have their needs identified and be connected with treatment and recovery resources before intersecting with the justice system. Intercept Zero includes (but is not limited to): schools, healthcare providers, mental health treatment providers, homeless shelters, and human service agencies.

#### **About the Workshop:**

Community Justice Workshops take place in District Court jurisdictions and bring together key local stakeholders for a facilitated one or two-day event, *Sequential Intercept Mapping* and *Taking Action for Change* (optional). Stakeholders include people in leadership roles from the local justice system, mental health and addiction treatment systems, recovery support and human service agencies. Front-line staff as well as people with lived experience are also at the table and are important contributors.

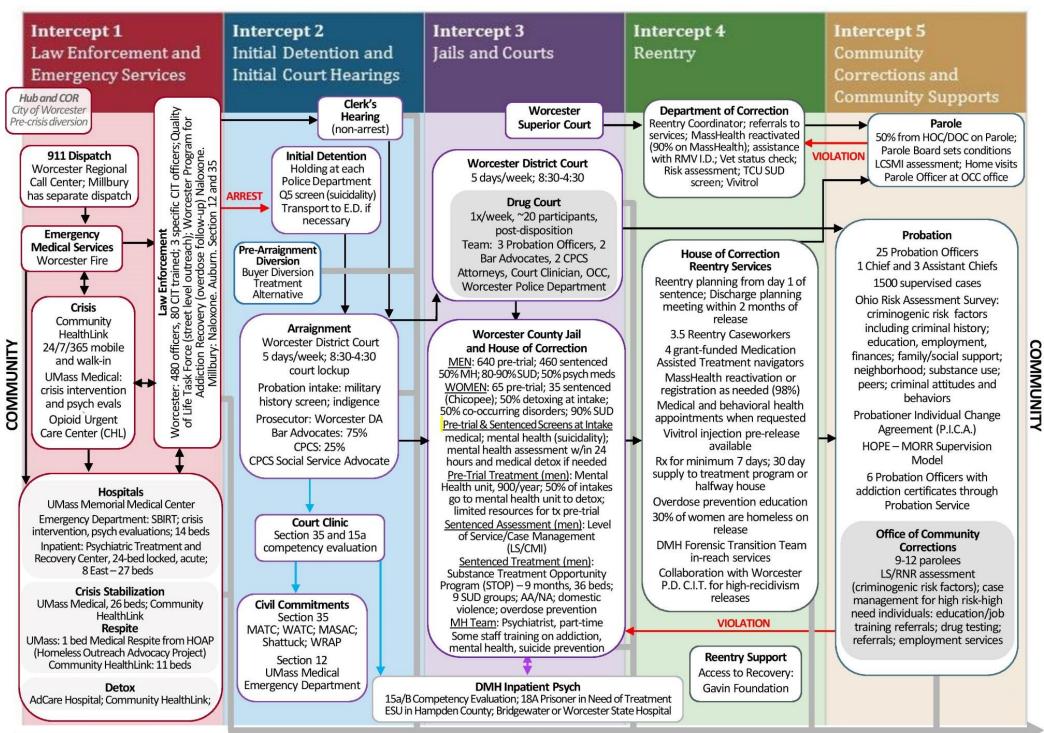
#### Objectives of the workshop include:

- 1. Development of a comprehensive picture of how people with mental illness and/or substance use disorders flow through the region's criminal justice system along the five distinct intercept points.
- 2. Identification of gaps, opportunities and barriers in the existing systems;
- 3. Identification of priorities for change and initial development of an action plan to facilitate change.

#### **Worcester Community Justice Workshop**

Following is a *Sequential Intercept Model* map, a list of local resources as well as gaps, priorities, and an initial action plan developed during the workshop.

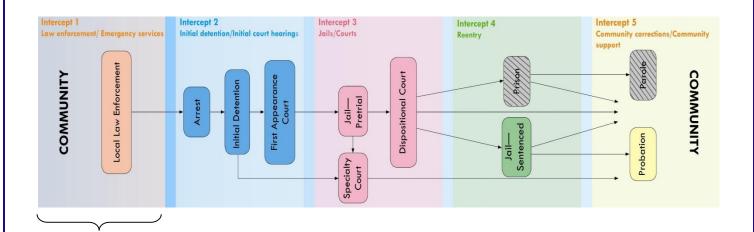
\*NOTE: The map, resources, gaps and priorities were identified during the facilitated interactive group portion of the workshop. As such, they are based solely upon the perspective and opinions of those present at the workshop.



Coalitions/Task Forces: Hub and COR (City of Worcester), Regional Response to Addiction Partnership (Worcester Health Department), Central Massachusetts Opioid Task Force (Worcester D.A.), Quality of Life Task Force (Family Recovery Committee (DCF), Recovery Homes Meeting, Mayor's Mental Health Task Force, Alliance against Commercial Sexual Exploitation, Millbury Opioid Task Force, Central MA Providers Network

Behavioral Health: Access to Recovery (Gavin), AIDS Project Worcester, Urgent Opioid Care Center (CHL), Quality of Life Task Force, Homeless Outreach and Advocacy Program (CHL), Hub and COR (City of Worcester), Clean Slate, AdCare, Living in Freedom Together, Worcester Program for Addiction Recovery (Worcester P.D.); Family Health Center, Spectrum

# Intercept 1: Pre-Arrest Diversion Law Enforcement/Emergency Services



#### Resources

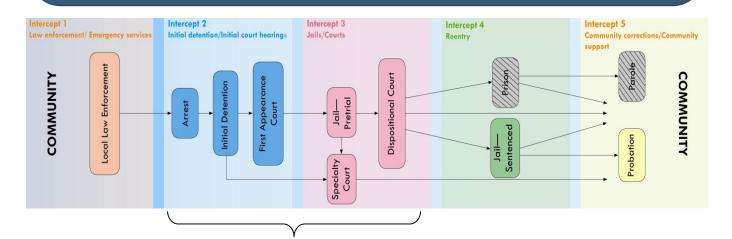
- Some C.I.T. training for dispatch
- EMD training for dispatch 16 hrs
- Post-overdose outreach Worcester P.D.
- Worcester Program for Addiction Recovery (Worcester P.D.)
- Community HealthLink Opioid Urgent Care Center
- AdCare open access hours
- Health insurance enrollment at Family Health Center
- DMH funding for C.I.T. training and technical assistance
- · Quality of Life Task Force
- Data

#### Gaps

- Training for dispatch including how to administer naloxone
- Data gaps
- Diversion for victims of commercial sexual exploitation
- Access to treatment post overdose
- Better bridge to Opioid Urgent Care Center

- Refusing ambulance transport
- 10 + CIT officers
- No CIT in Millbury
- Access to comprehensive case management services
- Resources for non-English speakers access to translation
- Family support

# Intercepts 2 and 3: Court-Based Diversion/Jail Diversion



#### Resources

#### **Intercept Two**

- Q5 suicidality screen at Worcester, Millbury and Regional lock-up (Sheriff's Office)
- Evaluation possible at Sheriff's Office holding for people represented by Bar Advocates
- Pre-arraignment buyer's diversion program developing
- CPCS Social service advocate
- Assistant District Attorney training
- Assistant District Attorney Commercial sexual exploitation advocate

#### **Intercept Three**

- Custody credits: Western Mass Regional Women's Facility
- Living in Freedom Together victims of sexual exploitation
- Office of Community Corrections Cognitive Behavioral Treatment to address criminogenic thinking

#### Gaps

#### **Intercept Two**

- No official diversion Program 111e rarely utilized
- Bar Advocates don't have access to a social service advocate
- No MI/SUD screen at holding or court intake
- Bar Advocate training
- No treatment information available at initial court appearance

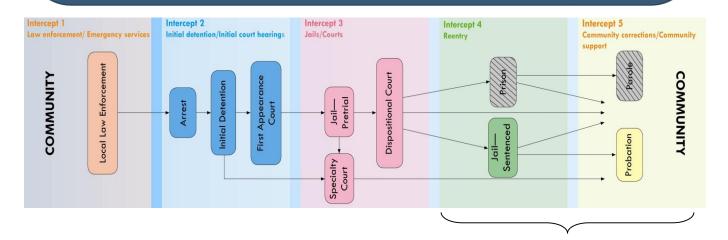
#### Intercept Three

- No medication assisted treatment access for pre-trial
- Health insurance lapses
- Pre-trial treatment for men and women
- Information sharing between courts and agencies
- Physical plant infrastructure at HOC
- Resources to support treatment for sentenced
- Overdose training at HOC
- · No mental health court
- Transportation for drug court participants
- Community resources employment, sustainability, family support, bus passes, recovery support

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\*NOTE: These resources and gaps were identified during the facilitated interactive group portion of the workshop. As such, they are based solely upon the perspective and opinions of those present at the workshop.

# Intercepts 4 and 5: Reentry and Community Supervision



#### Resources

#### **Intercept Four**

- Grant-funded medication assisted treatment coordinator at the House of Corrections
- Substance abuse service navigators at HOC
- Access to Recovery program opening soon
- Forensic Transition Team (DMH) with in-reach services for DMH clients and/or potential DMH clients
- DCF visitation

- HOPE Center in reach
- Sheriff reentry team + CIT + Quality of Life Task
   Force partnership for "super utilizers" of the House of Corrections

#### **Intercept Five**

- HOPE MORR probation program
- 6 substance abuse specialist certified Probation Officers

#### Gaps

#### **Intercept Four**

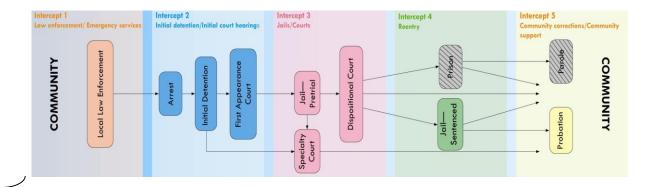
- No medication assisted treatment (suboxone/methadone)
- No Naloxone kit at release –identified individuals will have automatic naloxone prescription with new MAT reentry program
- Expand reentry support into drug court
- Limited reentry support for pre-trial
- Reentry worker for women

- Transportation overall, particularly for women
- Revise and revoke consequences
- Family support services

#### **Intercept Five**

- Info releases between probation and treatment providers
- Places to move people into recovery
- Housing: access to appropriate housing, inventory, funding, barriers, criminal history

# Intercept 0: Pre-Crisis Community Resources/Services



#### Resources

- Active User Engagement
  - AIDS Project Worcester
  - o CleanSlate Outreach
  - Living in Freedom Together (LIFT)
  - Access to Recovery (Gavin)
  - Quality of Life Team
  - Homeless Outreach and Advocacy Program (HOAP)
  - Worcester Program for Addiction Recovery (WPAR)
- Treatment: Spectrum, AdCare, Community HealthLink; CleanSlate; Family Health Center
- Allies in Recovery
- Mass 211
- Aunt Bertha

#### Gaps

- ARISE
- ACRA
- SBIRT in healthcare settings
- Information gaps
- Homeless shelters
- · Care coordination

#### **Priorities**

- 1. Safe and stable housing
- 2. Pre-trial treatment
- 3. Mental health court
- 4. Information exchange between criminal justice and human services
- 5. Timely access to treatment (specifically access to: urgent opioid treatment and post-overdose treatment)
- 6. Working with individuals not ready for recovery
- 7. Pre-trial reentry planning
- 8. Data linkage and sharing
- 9. Transportation for drug court participants; from jail to community
- 10. Family support services pre and post release
- 11. Increase CIT officers
- 12. Increase case management access all intercepts, particularly at Intercept 1
- 13. Pre-arraignment diversion
- 14. Resources for non-English speakers
- 15. Overdose prevention training pre-release
- 16. Bar advocate training and resources
- 17. Diversion and housing for victims of commercial sexual exploitation

### **Appendix Index**

Appendix A: Participant List

Appendix B: Resources

- Massachusetts Community Justice Project Resource List
- Best Practices: GAINS Center for Behavioral Health and Justice Transformation
- Resources Specific to the Worcester Workshop Priorities

Appendix C: Action Planning Tools

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#### Massachusetts Community Justice Workshop Report for the Worcester District Court Jurisdiction September 2017

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## **Appendix B: Resources**

## **Massachusetts Community Justice Project Resource List**

Massachusetts Web Sites	
Massachusetts Trial Court	mass.gov/courts
Department of Public Health: Bureau of Substance Abuse Services	mass.gov/dph/bsas
Department of Mental Health	mass.gov/dmh
Substance Abuse Helpline – Locate Treatment Providers	helpline-online.com
Massachusetts Behavioral Health Access - Treatment Bed Availability	mabhaccess.com
Massachusetts Center of Excellence for Specialty Courts	macoe.org
National Alliance on Mental Illness (NAMI) – Massachusetts	namimass.org
Massachusetts Rehabilitation Commission	mass.gov/eohhs/gov/departments/mrc
Community Health Training Institute – Coalition Training	hriainstitute.org
Learn to Cope – Family Support Network	learn2cope.org
Allies in Recovery – Family Guidance and Training	alliesinrecovery.net
Massachusetts Association for Sober Housing	mashsoberhousing.org
Massachusetts League of Community Health Centers	massleague.org
MassHealth	mass.gov/eohhs/gov/departments/masshealth
Physiology of Addiction Video (online)	<u>vimeo.com/155764747</u>
Additional Web Sites	
Center for Mental Health Services	mentalhealth.samhsa.gov/cmhs
Center for Substance Abuse Prevention	prevention.samhsa.gov
Center for Substance Abuse Treatment	<u>csat.samhsa.gov</u>
Council of State Governments Consensus Project	consensusproject.org
Justice Center	justicecenter.csg.org
Mental Health America	nmha.org
National Alliance on Mental Illness (NAMI)	<u>nami.org</u>
NAMI Crisis Intervention Team Resource Center; and Toolkit	nami.org/cit; nami.org/cittoolkit
National Center on Cultural Competence	nccc.georgetown.edu
National Center for Trauma Informed Care	mentalhealth.samhsa.gov/nctic
National Clearinghouse for Alcohol and Drug Information	<u>health.org</u>
National Criminal Justice Reference Service	ncjrs.org
National GAINS Center/ TAPA Center for Jail Diversion	gainscenter.samhsa.gov
National Institute of Corrections	<u>nicic.org</u>
National Institute on Drug Abuse	<u>nida.nih.gov</u>
Network of Care	networkofcare.org
Office of Justice Programs	<u>ojp.usdoj.gov</u>
Ohio Criminal Justice Center for Excellence	neoucom.edu/cjccoe
Partners for Recovery	partnersforrecovery.samhsa.gov
Policy Research Associates	<u>prainc.com</u>
SOAR: SSI/SSDI Outreach and Recovery	prainc.com/soar
Substance Abuse and Mental Health Services Administration	samhsa.gov
Pennsylvania Mental Health and Justice Center for Excellence	pacenterofexcellence.pitt.edu
USF Criminal Justice Mental Health & Substance Abuse Technical Assistance Center	<u>floridatac.org</u>

#### **Best Practices**

The following information on best practices is adapted from the GAINS Center for Behavioral Health and Justice Transformation, a program of the U.S. Substance Abuse and Mental Health Services Administration (SAMHSA), administered by Policy Research Associates.

The Sequential Intercept Model provides a conceptual framework for communities to organize targeted strategies for justice-involved individuals with mental illness and/or substance use disorders. Within the criminal justice system there are numerous intercept points — opportunities for linkage to services and for prevention of further penetration into the criminal justice system. This linear illustration of the model shows the paths an individual may take through the criminal justice system, where the five intercept points fall, and areas that communities can target for diversion, engagement, and reentry.

The five intercept points are:

- 1. Law Enforcement
- 2. Initial Detention/Initial Court Hearings
- 3. Jails/Courts
- 4. Reentry
- 5. Community Corrections

#### **Action for Service-Level Change at Each Intercept**

#### **Intercept 1: Law Enforcement**

- 911: Train dispatchers to identify calls involving persons with mental illness and/or substance use disorder and refer to designated, trained respondents.
- Police: Train officers to respond to calls where mental illness and/or substance use disorder may be a factor; Crisis Intervention Team and Mental Health First Aid training.
- **D** Documentation: Document police contacts with persons with mental illness and/or substance use disorder.
- Emergency/Crisis Response: Provide police-friendly drop off at local hospital, crisis unit, or triage center.
- Follow-Up: Provide service linkages and follow-up services to individuals who are not hospitalized and those leaving the hospital.
- Evaluation: Monitor and evaluate services through regular stakeholder meetings for continuous quality improvement.

#### **Intercept 2: Initial Detention/Initial Hearings**

- Screening: Screen for mental illness and/or substance use disorders at earliest opportunity; initiate process that identifies those eligible for diversion or needing treatment in jail; use validated, simple instrument or matching management information systems; evaluate case information by prosecution, judge/court staff for possible diversion and treatment.
- Pre-Trial Diversion: Maximize opportunities for pretrial release where appropriate and assist defendants with mental illness and/or substance use disorders in complying with conditions of pretrial diversion.
- Service Linkage: Link to comprehensive services, including care coordination, access to medication, dual diagnosis treatment as appropriate, prompt access to benefits, healthcare, and housing.

#### Intercept 3: Jails/Courts

- Screening: Inform diversion opportunities and need for treatment in jail with screening information from Intercept 2; utilize evidence-based screening and assessment tools (including Risk/Needs/Responsivity) during incarceration.
- Court Coordination: Maximize potential for diversion in a specialty court or non-specialty court.
- Service Linkage: Link to comprehensive services, including care coordination, access to medication, dual diagnosis treatment as appropriate, prompt access to benefits, health care, and housing.
- Court Feedback: Monitor progress with scheduled appearances (typically directly by court); promote communication and information sharing between non-specialty courts and service providers by establishing clear policies and procedures.
- Jail-Based Services: Provide services consistent with community and public health standards, including appropriate psychiatric medications; coordinate care with community providers.

#### **Intercept 4: Reentry**

- Screening: Assess clinical and social needs and public safety risks (Risk/Needs/Responsivity); boundary spanner position (e.g., discharge coordinator, transition planner) can coordinate institutional with community mental health, substance use disorder, and community supervision agencies.
- Coordination: Plan for treatment and services that address needs; document treatment plan and communicate it to community providers and supervision agencies domains should include prompt access to medication, mental health, substance use disorder and health services, benefits, and housing.
- Follow-Up: Identify required community and correctional programs responsible for post-release services; best practices include reach-in engagement and specialized case management teams.
- Service Linkage: Coordinate transition plans to avoid gaps in care with community-based services.

#### **Intercept 5: Community Corrections**

- Screening: Screen all individuals under community supervision for mental illness, substance use disorders, and trauma; screen and assess for criminogenic risk (Risk/Needs/Responsivity); link to necessary services.
- Maintain a Community of Care: Connect individuals to employment, including supportive employment; facilitate engagement in dual diagnosis treatment and supportive health services; link to housing; facilitate collaboration between community corrections and service providers; establish policies and procedures that promote communication and information sharing
- Implement a Supervision Strategy: Concentrate supervision immediately after release; adjust strategies as needs change; implement specialized caseloads and cross-systems training
- Graduated Responses & Modification of Conditions of Supervision: Ensure a range of options for community corrections officers to reinforce positive behavior and effectively address violations or noncompliance with conditions of release

#### **Across All Sectors**

- Implement education and training for justice system professionals on mental illness, substance use disorders, and trauma
- Increase use of peer support services
- Implement screening tools to identify people with a history of military service
- Implement education for justice system professionals on the use of medication-assisted treatment for substance use disorders

#### Three Major Responses for Every Community

Three Major Responses Are Needed:

- 1. Diversion programs to keep people with mental illness and/or substance use disorders, who do not need to be in the criminal justice system, in the community.
- 2. Institutional services to provide constitutionally adequate services in correctional facilities for people with mental illness and/or substance use disorders who need to be in the criminal justice system because, for example, of the severity of the crime.
- 3. Reentry transition programs to link people with mental illness and/or substance use disorders to community-based services when they are discharged.

The Sequential Intercept Model has been used by numerous communities to help organize behavioral health service system transformation to meet the needs of people with mental illness and/or substance use disorders involved with the criminal justice system. The model helps to assess where diversion activities may be developed, how institutions can better meet treatment needs, and when to begin activities to facilitate re-entry.

Source: The GAINS Center for Behavioral Health and Justice Transformation, a program of the U.S. Substance Abuse and Mental Health Services Administration (SAMHSA), administered by Policy Research Associates (<a href="www.samhsa.gov/qains-center">www.samhsa.gov/qains-center</a>).

The GAINS Center helps to expand community services for adults who are in the criminal justice system and experiencing a mental and/or substance use disorder. The GAINS Center provides information and skills training to help individuals and organizations at the local, state, regional, and national levels implement effective, integrated programming that will transform the criminal justice and behavioral health systems.

Priority Area 1: Safe and stable housing						
Objective	Activities/Tasks	Resources	Timeframe	Barriers	Responsibility	
Increase inventory of independent affordable housing	Work with city manager/city planners about a long term strategic affordable housing plan during Worcester's robust redevelopment efforts	City of Worcester  HUD continuum  DA's opioid workforce and housing development committee  Landlords and property owners	Immediate Future Long-term	Cost to manage NIMBY Increase rental costs reducing inventory	Agencies Private sector Local, state providers	
Change policies that inhibit housing placement/exclude particular groups from housing	Advocacy to funders, legislators, housing providers At the table – DPH, DMH and other EOHHS agencies	HUD continuum	Private housing vs funded	Policies/houses are population specific or are discriminatory  Cost of living		

City of Worcester

Increase shelter beds – family shelters and

long-term independent living environments

Make court process more accessible for providers (cell				
phone use) Information, resources for	Chief of security			
defendants, attorneys, providers  Remind clients at every interaction to let defense attorneys, corrections know about existing provider relationships	Judges Clerk's office		Court rules and regulations	
Corrections working with judges and providers to coordinate and streamline the process so dates line up		Timeline coordination		
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bjective	Activities/Tasks	Resources	Timeframe	Barriers	Responsibility
dentify a court willing	Funding	Staff/PO's	12-18 months	Funding	Judge
to take on the initiative	Identify key individuals that can make it happen	Court clinicians Community partnerships Law enforcement		Co-occurring disorders  Language barriers  Public safety – court	probation
	Commissioner of probation	Lawyers Judges		officers Limited resources	
	DMH Trial Court				
Identify and connect with people with mental health disorders to treatment	Training Meetings with judges			Transportation Cultural competency Staff burnout	

### **Priority Area 4:** Information exchange between criminal justice and human services

Objective	Activities/Tasks	Resources	Timeframe	Barriers	Responsibility
Identify resources	Collect all resource guides annually Integrate database Update	List of updated providers  Categories  Database with multiple maps	Now and yearly	Human error  Apathy/commitment  Propriety  Bias  MOU's  Education	Clark QCC WPI
Establish personal connections	Identify POC Train POC Protocols Set up quarterly meetings	Intake coordinators at treatment facilities (BSAS)	Quarterly	Education about cross- sector collaboration	
Real-time updates	Daily		Daily		

Priority Area 5: Timely access to treatment					
Objective	Activities/Tasks	Resources	Timeframe	Barriers	Responsibility
Emergency Department stabilization on medication assisted treatment					
Service providers or recovery coaches riding with Police Officers					
Education about the urgent care treatment assessment center and it's function  Education about alternative treatment modalities to detox	Training on appropriate levels of care  Outreach to: primary care, EMT's, Emergency Department staff, Clergy, Worcester Police Department, Learn to Cope, Pharmacists, Probation, APW	Public Service Announcements Grand rounds Meetings with CMO's Webinars Learn to Cope speakers AdCare family group	Start ASAP with PSA Start scheduling presentations	Stigma from all sectors	