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**Lived Experience in New Models of Care for Substance Use Disorder: A Systematic Review of Peer Recovery Support Services and Recovery Coaching**

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## ABSTRACT

1  
2 Peer recovery support services (PRSS) are increasingly being employed in a range of clinical  
3 settings to assist individuals with substance use disorder (SUD) and co-occurring psychological  
4 disorders. PRSS are peer-driven mentoring, education, and support ministrations delivered by  
5 individuals who, because of their own experience with SUD and SUD recovery, are  
6 experientially qualified to support peers currently experiencing SUD and associated problems.  
7 This systematic review characterizes the existing experimental, quasi-experimental, single- and  
8 multi-group prospective and retrospective, and cross-sectional research on PRSS. Findings to  
9 date tentatively speak to the potential of peer supports across a number of SUD treatment  
10 settings, as evidenced by positive findings on measures including reduced substance use and  
11 SUD relapse rates, improved relationships with treatment providers and social supports,  
12 increased treatment retention, and greater treatment satisfaction. These findings, however,  
13 should be viewed in light of many null findings to date, as well as significant methodological  
14 limitations of the existing literature, including inability to distinguish the effects of peer recovery  
15 support from other recovery support activities, heterogeneous populations, inconsistency in the  
16 definitions of peer workers and recovery coaches, and lack of any, or appropriate comparison  
17 groups. Further, role definitions for PRSS and the complexity of clinical boundaries for peers  
18 working in the field represent important implementation challenges presented by this novel class  
19 of approaches for SUD management. There remains a need for further rigorous investigation to  
20 establish the efficacy, effectiveness, and cost-benefits of PRSS. Ultimately, such research may  
21 also help solidify PRSS role definitions, identify optimal training guidelines for peers, and  
22 establish for whom and under what conditions PRSS are most effective.

## 23 **Introduction**

24           Substance use disorder (SUD) is one of the most pervasive and intransigent clinical and  
25 public health challenges facing the United States (Office of the Surgeon General, 2016). While  
26 many who meet criteria for SUD are able to achieve remission without formal treatment  
27 (Cunningham and McCambridge, 2012; Kelly et al., 2017), many millions of affected individuals  
28 require some combination of acute care, medical stabilization, long-term recovery management,  
29 and recovery support services to sustain remission, akin to the care of other chronic health  
30 conditions such as diabetes and hypertension (McLellan et al., 2000). There is evidence that  
31 such multifaceted, long-term care models for SUD are helpful (Dennis et al., 2003; Scott and  
32 Dennis, 2009).

33           Existing health-care and treatment models, however, are often not structured in ways  
34 that facilitate treatment engagement, and linkages to services that can support long-term  
35 remission of SUD (McLellan et al., 2000; White and Kelly, 2011). To begin to address this care  
36 gap, many healthcare institutions have begun to implement peer recovery support services  
37 (PRSS) to help initiate and maintain patients' engagement with SUD treatment and other  
38 recovery support services, and mitigate relapse risk.

39           First arising in the 1990s, PRSS for individuals with SUD emerged from a variety of  
40 predecessors inside and outside of the addiction field. 'Patient navigator' models have played  
41 important roles for several decades in the professional coordination of care for chronic medical  
42 conditions such as cancer (e.g., Robinson-White et al., 2010; Freeman, 2012), and later  
43 included peers with lived experience to aid engagement (e.g., Giese-Davis et al., 2006). Such  
44 navigator models have also been developed in the care of individuals with severe mental health  
45 conditions (e.g., Corrigan et al., 2017). There is also a long tradition of community-based 12-  
46 Step mutual-support (e.g., 'sponsors'), that can provide free ongoing recovery monitoring and  
47 management using peers with lived experience, though this class of peer support should not be

48 conflated with more structured PRSS that are increasingly being incorporated into clinical  
49 settings and can support multiple pathways to recovery.

50 In the SUD field, PRSS are most often peer-driven mentoring, education, and support  
51 ministrations delivered by individuals who, as a result of their own experience with SUD and  
52 SUD recovery, are experientially qualified to support peers with SUD and commonly co-  
53 occurring mental disorders. These services represent a new category of specialized resources  
54 that are not formal treatment and not mutual-help, which offer support as well as linkage to  
55 traditional addiction treatment and mutual-help recovery programs (White and Evans, 2014).  
56 These PRSS roles emphasize respect for the diverse pathways and styles of recovery, and  
57 stress the need for long-term continuity of recovery support through mobilization of personal,  
58 familial, and community help (Valentine, 2010; White, 2010). They can be delivered through a  
59 variety of organizational venues and a variety of service roles including paid and volunteer  
60 recovery support specialists.

61 SAMHSA has previously defined PRSS as a peer-helping-peer service alliance in which  
62 a peer leader in stable recovery provides social support services to a peer who is seeking help  
63 in establishing or maintaining their recovery (SAMHSA, 2009). This broad definition provides a  
64 useful starting point that may help guide PRSS practice and research, however, it doesn't  
65 describe the wide range of roles peers serve in or the highly variable nature of their professional  
66 involvement with this work (e.g., ad hoc, lay, peer volunteers vs. full-time, trained, paid peer  
67 workers). In many clinical settings, unpaid lay peers are called upon to provide support to  
68 patients with SUD across all stages of recovery.

69 Common functions of PRSS include facilitating and supporting patients' engagement  
70 with SUD treatment and transition between levels of care (e.g., between inpatient and outpatient  
71 programs), in addition to connecting patients with community based recovery support services  
72 and mutual-help organizations in ways not possible for conventional treatment providers who  
73 are bound by ethical considerations like not forming dual relationships with patients (Valentine,

74 2010; White and Evans, 2014). PRSS can also help individuals navigate systems to build  
75 recovery capital, attain employment, attend mutual-help groups, and address criminal justice  
76 issues.

77         Probably the largest area of SUD peer-service growth over the past decade, however,  
78 has been in the uptake of peer recovery coaches. Recovery coaches are peers trained to  
79 provide informational, emotional, social, and practical support services to people with alcohol or  
80 other drug problems through a wide variety of organizational sponsors, including recovery  
81 community centers, as well as hospital and outpatient clinical settings (White, 2009). Typically  
82 they are paid employees working part- or full-time with some degree (a high school diploma or  
83 GED is usually required) of formal training and certification. Due to lack of agreed standards in  
84 terminology, in some clinical settings the term recovery coach may also refer to ‘recovery allies’  
85 who support individuals with SUD, but do not have lived experience with addiction. Such  
86 supports are not covered in this review.

87         Regardless of the nature of their role, peers have the ability to engage patients outside  
88 the confines of traditional clinical practice. This ability to fill critical care gaps is the most  
89 probable reason for their widespread uptake across a diverse range of SUD treatment settings  
90 and the reason they have emerged as a critical component of recovery management (White,  
91 2009). SAMHSA has made efforts to identify and describe core competencies for peer support  
92 workers in working with individuals with SUD as well as other psychological disorders  
93 (SAMHSA, 2015), and with time, PRSS roles and qualifications will become better defined.

94         While a compelling case has been made for PRSS in a number of theoretical articles  
95 and book chapters (e.g., White, 2009; Bora et al., 2010; Cicchetti, 2010; Valentine, 2010; White,  
96 2010; 2011; Powell, 2012; Laudet and Humphreys, 2013; White and Evans, 2014), to date  
97 empirical research on the topic is somewhat limited. Previous reviews of the PRSS literature  
98 published in 2014 (Reif et al.) and 2016 (Bassuk et al.) reported that overall, existing research at  
99 the time showed PRSS were commonly associated with reduced substance use and SUD

100 relapse rates, improved relationships with treatment providers and social supports, increased  
101 treatment retention, and greater satisfaction with treatment. Bassuk and colleagues ultimately  
102 concluded that there is evidence for the effectiveness of PRSS. Overall, however, both reviews  
103 highlighted concerns about the methodological rigor of the then existing research, which  
104 included an inability to distinguish the effects of peer recovery support from other recovery  
105 support activities, small samples and heterogeneous populations, inconsistency in the  
106 definitions of peer workers and recovery coaches, lack of any, or appropriate comparison  
107 groups, and inconsistencies in the quantity of peer-provider supervision. Ultimately, Bassuk et  
108 al. noted that although evidence for the effectiveness of PRSS exists, these limitations should  
109 offer pause, and that additional research is necessary to determine the effectiveness of different  
110 peer approaches and types of peer support services, with regard to the amount, intensity, peer  
111 skill level, service context, and effectiveness among different populations served.

112 PRSS, and recovery coaching models are increasingly and rapidly being rolled out in  
113 health care settings, despite little empirical knowledge of best practices and sense of to what  
114 degree services will help, and for whom. The aim of the present article is, therefore, to report the  
115 most up to date research on PRSS through systematic review. This review includes six new  
116 articles published following Bassuk et al.'s review. It also extends previous reviews by utilizing  
117 broader inclusion criteria (e.g., including cross-sectional studies and clinical interventions linking  
118 patients to 12-Step programs using 12-Step program volunteers) that provides broader context  
119 for this fast-growing literature. The review also identifies, wherever possible, for whom and  
120 under what conditions PRSS may have utility to inform health care and community-based PRSS  
121 delivery. We also highlight important gaps in the knowledge base that will inform the direction  
122 and scope of treatment and future research in this important, emerging area.

123

124 **Method**

125 A systematic search of the literature (as of 10/13/2018), using the search terms  
126 “recovery coaching”, “peer recovery support”, “peer-based recovery support services”, and  
127 “individual peer support” in combination with substance use terms, identified 158 records across  
128 four publicly available databases (i.e., PubMed, EMBASE, CINAHL, and PsycInfo; see  
129 Appendix A for search term syntax). Given the relative novelty of this line of investigation we  
130 cast a wide net in terms of article inclusion criteria. We included randomized controlled trials  
131 (RCTs), quasi-experimental studies, single- and multi-group prospective and retrospective  
132 studies, and cross-sectional/descriptive studies related to SUD. All age ranges, substances  
133 used, and available outcomes were included. Non-peer reviewed items, however, were not  
134 included (e.g., book chapters, dissertations, institutional reports). Reports had to include at least  
135 one substance use or related outcome.

136 A title screen removed 101 duplicate records, and 11 records on non-relevant topics  
137 (e.g., peer support for recovery for problem unrelated to addiction). An abstract review removed  
138 an additional 17 records: seven book chapters (removed because they were not peer reviewed  
139 and did not report original data), seven records on non-relevant topics, two review articles, and  
140 one article because it reported on a mandated to treatment sample. A full text review removed  
141 another 17 records: seven review and ten theoretical articles. The remaining 12 studies were  
142 included in the analysis and are summarized in Table 1, in addition to 12 relevant articles  
143 identified subsequently (see Figure 1, literature review diagram) resulting in 24 included  
144 reports.

145

## 146 **Results**

### 147 *Results Overview*

148 We found seven RCTs, four quasi-experiments, as well as eight single- or multi-group  
149 prospective or retrospective studies, and two cross-sectional investigations conducted on this  
150 topic. The review included 24 reports from 23 original studies containing a total of 6,544

151 participants. On average, the reviewed studies included more men than women (females,  
152 37.3%; males, 62.7%), although in the majority of studies the racial makeup of samples was  
153 diverse, and representative of the populations being studied. Outcomes reported were varied  
154 and included self-reported and bioassayed substance abstinence vs. non-abstinence, Addiction  
155 Severity Index scores (McLellan et al., 1992), outpatient substance use treatment attendance,  
156 12-Step meeting attendance, general medical, and mental health appointment adherence,  
157 utilization of inpatient substance use treatment services, inpatient readmissions, social  
158 functioning, number of psychiatric hospitalization nights, length of living in the community  
159 without rehospitalization, number of rehospitalizations, criminal charges, and deaths. The range  
160 of follow-up length varied from one week to three years following the intervention. Below we  
161 summarize the review findings by study design type from the most to the least, scientifically  
162 rigorous design types.

163

#### 164 ***Randomized Controlled Trials***

165 Bernstein and colleagues (2005) conducted the first RCT of a peer recovery support  
166 intervention in a sample of 1,175 individuals with SUD reporting past 90-day cocaine and/or  
167 heroin use who were receiving general medical care from an urban hospital walk-in clinic, but  
168 not SUD treatment. Participants engaged in one of two interventions: either a brief, single  
169 session, structured peer education session targeting drug use cessation, which included written  
170 advice and a referral list as well as a 'booster' telephone call (experimental group), or written  
171 advice and referral list for treatment only (control group). Compared to controls, at 6-month  
172 follow-up participants receiving a brief peer-support intervention were more likely to be abstinent  
173 from cocaine, and trended toward greater heroin, and combined cocaine and heroin abstinence  
174 ( $p = .05$ ), with OR's 1.51 – 1.57. This favorable abstinence outcome, however, was not  
175 supported by bioassay results; no significant between group differences were observed for  
176 bioassayed drug use. Similarly, Addiction Severity Index drug subscale and medical severity



177 scores were not significantly different, and no group differences were noted in detoxification or  
178 treatment admissions among those who were abstinent. It is possible that a brief, single-session  
179 peer interaction is not sufficient to elicit statistically significant levels of behavior change in  
180 individuals with SUD. This does not necessarily preclude the possibility that more intensive or  
181 sustained peer contact would achieve this end.

182 In a demographically similar sample, and using a more protracted treatment protocol,  
183 Rowe et al. (2007) compared the effectiveness of clinician-delivered 'Citizenship Training'  
184 (which included twice-weekly 2-hour classes over 8 weeks supporting social participation and  
185 community integration) + peer support combined with standard clinical treatment (experimental  
186 group), with standard clinical treatment alone (control group), for reducing alcohol and other  
187 drug use, and number of criminal justice charges ( $N= 228$ ). Participants were adult outpatients  
188 with severe mental illness who had criminal charges within the two years prior to study  
189 enrolment. Though having an SUD was not required for study participation, the majority of study  
190 volunteers had either a primary or secondary SUD diagnosis. Over the 4-month study period  
191 participants attended an average of 66% of Citizenship Training classes, and met once weekly  
192 with their peer-mentor. A significant group x time interaction showed participants randomized to  
193 the peer support group showed reduced alcohol use over 6- and 12-month follow-up as  
194 measured by the Addiction Severity Index alcohol use subscale ( $d$ 's=  $-0.22$  and  $-0.43$   
195 respectively), while controls demonstrated increased drinking over the same periods. A similar  
196 group x time interaction was not reported for drug use measured by the Addiction Severity Index  
197 drug use subscale, although from baseline to 6-month follow-up the peer support group showed  
198 reduction in drug use ( $d= -0.62$ ), while the Citizenship Training group showed an increase ( $d=$   
199  $0.27$ ). From baseline to 12-month follow-up, however, both groups showed reductions in drug  
200 use, though the effect size of this reduction was notably larger for the group receiving peer  
201 support (peer support group  $d= -0.64$ ; Citizenship Training  $d= -0.16$ ). It is not clear, however,  
202 whether these effects were driven by the Citizenship training itself, peer support, or a

203 combination of the two. Also, given only 31% of the sample had alcohol use disorder, it is not  
204 clear how clinically meaningful this reduction is. Both control and experimental groups  
205 demonstrated significantly less non-alcohol drug use and had fewer criminal justice charges  
206 over the 12-month study period signaling that on these measures, Citizenship Training + peer  
207 support did not perform better than standard clinical treatment alone.

208         Three RCTs have also been conducted in which peer volunteers from 12-Step groups  
209 were brought into the clinical milieu to help connect patients receiving outpatient treatment for  
210 SUD to 12-Step programs in the community. Timko et al. (2006) developed and tested a brief,  
211 three-session, intensive referral to 12-Step intervention for Department of Veterans Affairs  
212 outpatients ( $N= 345$ ). Participants were randomly assigned to a standard referral in which they  
213 were given a schedule for local 12-step meetings and were encouraged to attend, or intensive  
214 referral to 12-Step that included linking patients to 12-Step volunteers and using journals to  
215 check meeting attendance. For those receiving intensive referral, counselors arranged a  
216 meeting between the patient and a participating member of a local Alcoholics Anonymous or  
217 Narcotics Anonymous group by calling the peer volunteer in-session to arrange for them to meet  
218 patients before a 12-Step meeting so that they might attend the meeting together. Intensive  
219 referral was associated with greater likelihood of being involved with 12-Step groups and better  
220 alcohol and other drug use outcomes over a six-month follow-up period. Subsequently, Timko &  
221 DeBenedetti (2007) followed up with these participants at one year and found the benefits of  
222 intensive referral were sustained. The intensive referral group were more likely to attend at least  
223 one meeting per week ( $OR= 1.38$ ), and had greater 12-Step group involvement ( $d= 0.23$ ), as  
224 well as high rates of abstinence ( $OR= 1.61$ ).

225         Later, Timko and colleagues (2011) employed a very similar intervention structure, but  
226 with a sample of dually-diagnosed individuals seeking outpatient treatment at the Veteran's  
227 Administration. Participants were randomized either standard referral, or four sessions of  
228 intensive referral to Double Trouble in Recovery—a 12-Step program for individuals with SUD

229 and co-occurring psychiatric conditions. Intensive referral included a peer volunteer from Double  
230 Trouble in Recovery joining participants and their counselor in session. Peers gave a brief  
231 personal history and arranged to meet participants and attend a meeting together. At six-month  
232 follow-up those receiving intensive referral were more likely to have attended a Double Trouble  
233 in Recovery meeting, and had attended more meetings ( $d= 0.89$ ). Similarly, these participants  
234 were also more likely to have attended other 12-Step program meetings, and had greater  
235 frequency of attendance at these meetings ( $d= 0.25$ ). They also had less past 30-day drug use  
236 ( $d= 0.30$ ) and fewer psychiatric symptoms ( $d= 0.28$ ). No differences were observed for alcohol  
237 use and notably only 23% of patients in the intensive-referral group actually attended a Double  
238 Trouble in Recovery meeting during the six-month follow-up period compared to 13% in the  
239 standard referral group, suggesting about one-fifth of participants receiving intensive referral  
240 were driving the observed between group differences.

241 Manning and colleagues (2012) sought to determine whether peer referral to 12-Step  
242 meetings would increase 12-Step meeting attendance among individuals with SUD undergoing  
243 inpatient detoxification ( $N= 151$ ). Patients were randomized to either, 1) introduction and referral  
244 to 12-Step by a peer who shared their own recovery experience with the participant, 2)  
245 introduction and referral to 12-Step by a doctor, or 3) no introduction or referral (control group).  
246 Peers and doctors were instructed to initiate and maintain an open dialogue with participants  
247 about their beliefs, concerns, and experiences with 12-Step meetings, and to address any  
248 concerns or misconceptions that clients may have held about 12-Step meetings. Together, peer  
249 and doctor referral to 12-Step led to increased attendance at 12-Step meetings during inpatient  
250 treatment (88% vs. 73%), though peer and doctor groups had similar rates of 12-Step meeting  
251 attendance on the inpatient unit (89% and 87% respectively). Rates of post-discharge meeting  
252 attendance, however, were significantly higher in the peer referral group (64%;  $OR= 3.6$ )  
253 compared to the doctor referral (48%) or no referral groups (33%). Further, participants who  
254 attended 12-Step meetings while inpatient were three times as likely to have attended meetings

255 post-discharge than those who did not attend 12-Step meetings while inpatient (59% versus  
256 20%), and post-discharge meeting attenders reported significantly higher abstinence rates at 3-  
257 month follow-up (60.8% versus 39.2%). Abstinence rates at 3-month follow-up, however, did not  
258 differ significantly across intervention groups. Taken together, findings suggest introduction and  
259 referral to 12-Step programs for individuals in inpatient detoxification increases 12-Step meeting  
260 attendance both during inpatient treatment and after discharge, and that meeting attendance is  
261 associated with higher abstinence rates; it is not necessarily important, however, that these  
262 referrals/introductions be peer-delivered.

263 In contrast to the aforementioned studies, which utilized either single session, peer-  
264 delivered intervention (Bernstein et al., 2005) or peer support as an addendum to a  
265 professional-delivered treatment (Rowe et al., 2007), Tracy and colleagues (2011) compared a  
266 peer-driven treatment that included peer-led groups as well as peer support, to a professional-  
267 delivered treatment with peer support in a sample of 96 Veterans Administration inpatients.  
268 Study groups included, 1) treatment as usual (TAU) combined with peer-led groups and weekly  
269 peer mentorship, 2) TAU combined with a dual recovery intervention involving 8 weeks of  
270 clinician-delivered individual and group relapse prevention therapy in addition to peer-led groups  
271 and weekly peer mentorship, and 3) TAU only. TAU consisted of standard coping/skills training  
272 groups, medication management, and social work support to handle basic needs during  
273 inpatient stay. Substance misuse, psychiatric, and medication management support services  
274 were also available. Peer mentors were referred by their treating physician/clinician to a  
275 compensated work therapy program, and screened by the program coordinator and mentor  
276 supervisor from clinical record and interview. 88% of study participants had an alcohol use  
277 disorder or other SUD, in addition to psychiatric comorbidity. TAU combined with peer-delivered  
278 treatment, and TAU combined with professional-delivered treatment and peer support were both  
279 associated with greater post-discharge, outpatient substance use treatment attendance  
280 compared to TAU alone (51% and 52% SUD treatment appointment adherence respectively

281 among those receiving peer ministrations versus 38% for TAU). These two interventions were  
282 also associated with greater general medical, and mental health appointment adherence (43%  
283 and 48% appointment adherence respectively among those receiving peer ministrations versus  
284 33% for TAU), as well as greater inpatient substance use treatment accessed ( $d$ 's= 0.33 and  
285 0.63 respectively versus TAU only). Taken together, findings suggest that at least in terms of  
286 treatment adherence, compared with TAU alone, interventions including peer support or peer  
287 delivered ministrations are superior. Substance use outcomes were not reported.

288 Most recently, O'Connell et al. (2017) recruited 137 inpatients with psychotic disorders  
289 and co-occurring problematic substance use through substance dependence to receive either,  
290 1) TAU with skills training, 2) TAU with skills training + the 'Engage Program', which included  
291 contact with a peer support while inpatient, peer home visits after discharge, twice-weekly  
292 mutual support groups accompanied by the peer, and social and recreational outings, or 3) TAU  
293 only (not defined by the study's authors). Interventions were begun while participants were on  
294 an inpatient unit, and continued for three months post-discharge. At 3-month follow-up,  
295 participants receiving TAU with skills training, and TAU with skills training + the 'Engage  
296 Program' fared better than those receiving TAU only in terms of reduced alcohol use ( $d$ 's= -0.54  
297 and -0.81 respectively versus TAU only), and alcohol use disorder symptom endorsement ( $d$ 's=  
298 -1.23 and -1.47 respectively versus TAU only). Those in the Engage Program also viewed  
299 getting help for their alcohol use problems as being more important compared to those receiving  
300 TAU only ( $d$ = 0.69), though differences between those receiving peer support and those  
301 receiving TAU with skills training were not significantly different. Notably, Participants in the  
302 Engage group had significantly greater increases in self-criticism from baseline to three months  
303 compared to those receiving TAU ( $d$ = 0.43), which the authors posit may be a function of peer  
304 staff holding up higher expectations for their clients than clinical staff. Additionally, six months  
305 into the study, participants in the Engage Program had greater duration of outpatient service  
306 use compared to those in the TAU group ( $d$ = 0.31). At 9-month follow-up, skills training and

307 skills training with peer support was associated with fewer positive psychotic symptoms and  
308 greater functioning in comparison to TAU only, suggesting no specific effect of peers on these  
309 measures at this measurement timepoint. Participants in the peer support and skills training only  
310 groups also had significantly fewer psychiatric hospital readmissions from baseline at 6 and 12  
311 months compared to the TAU group, though the peer support and skills training only groups  
312 were not significantly different from one another on this measure.

### 313 Summary of randomized controlled trial evidence

314 Taken together, the RCTs reviewed here had a number of strengths, including strong  
315 research designs, provision of manualized treatment for the clinical components of studies  
316 (Bernstein et al., 2005; Timko et al., 2006; Timko et al., 2011; Tracy et al., 2011; O'Connell et  
317 al., 2017), and samples with diversity in terms of sex and race. Notable limitations, however,  
318 include generally poorly defined and non-manualized peer roles and procedures, although some  
319 studies incorporated semi-structured scripts (Bernstein et al., 2005) or manualized training  
320 protocols (Tracy et al., 2011) for their peer workers, and combining of peer services with  
321 clinician-delivered interventions without the necessary control groups to allow discernment of  
322 the independent effects of peers (Rowe et al., 2007; Tracy et al., 2011). Overall, positive effects  
323 appeared small to moderate in magnitude, and null findings were observed for many  
324 hypothesized treatment effects. It's possible too that the large numbers of measures assessed  
325 across these studies could be leading to type I error. These findings, however, should be taken  
326 in context; these studies typically reported on novel interventions still under development,  
327 providing treatment for individuals with complex clinical presentations (i.e., co-occurring mental  
328 disorders in addition to SUD), high addiction severity, and significant SUD related challenges  
329 such as homelessness.

330

### 331 ***Quasi-Experimental Studies***

332 Quasi-experimental studies addressing PRSS generally align with findings from the  
333 aforementioned RCTs. In an early study investigating the potential of PRSS, Sisson and  
334 Mallams (1981) sought to increase the likelihood of participation in Alcoholics Anonymous and  
335 Al-Anon meetings among a sample of adults receiving outpatient treatment for alcohol use  
336 disorder ( $n= 16$ ) and their spouses ( $n= 4$ ) in a sparsely populated, rural area. Participants were  
337 randomly assigned to either a standard referral procedure which involved receiving information  
338 about Alcoholics Anonymous or Al-Anon, and providing information concerning time, date, and  
339 location of weekly meetings with encouragement to attend (control group), or to systematic  
340 encouragement and connection to 12-Step groups that involved a phone call being made in a  
341 counseling session to an Alcoholics Anonymous or Al-Anon member, who had volunteered to  
342 provide peer support. The 12-Step group member briefly talked to participants about 12-Step  
343 meetings, offered to give a ride to a meeting or meet them before a meeting, and followed up  
344 with a call the night of the meeting to remind them about it and to encourage them to attend  
345 (experimental group). 100% of the experimental group attended an Alcoholics Anonymous or Al-  
346 Anon meeting within one week of referral and continued to attend, whereas none of the control  
347 group attended a meeting. The mean attendance rate over four-week follow-up was 2.3  
348 meetings for the experimental group and zero for controls, and ( $d= 2.74$ ). It is possible that peer  
349 linkage helped individuals surmount barriers to attending initial 12-Step meetings due to factors  
350 like distance needed to travel to meetings such rural areas.

351 In a similar study with a sample of patients hospitalized for alcohol and other drug  
352 detoxification, Blondell et al. (2008) utilized 12-Step group volunteers to visit patients  
353 undergoing medical detoxification ( $n= 19$ ). During visits, which would typically last between 30  
354 and 60 minutes, peers would explain how involvement in mutual-help programs was an  
355 essential part of their recovery from SUD. The control group ( $n= 80$ ) consisted of usual care in  
356 which mutual-help meetings were available every evening, but attendance was not required.  
357 The authors found that the brief, single-session peer-delivered counseling intervention resulted

358 in greater likelihood of completion of medical detoxification and not leaving “against medical  
359 advice” (88% completion vs. 74%). Although peer visits did not result in statistically significant  
360 differences in mutual-help meeting attendance following detoxification ( $p = .05$ ), observed  
361 differences were clinically meaningful (90% attendance for those receiving peer visits vs. 64%  
362 for those not). Similarly, likelihood of abstinence from all substances seven days after discharge  
363 was 84% for those receiving peer visits vs. 59% for those not ( $p = .06$ ), and initiation of  
364 professional aftercare treatment at one-week follow-up post detoxification discharge was 100%  
365 for those receiving peer visits vs. 82% for those not ( $p = .06$ ). While many detoxification sites  
366 invite 12-Step groups to bring meetings into units, this work suggests the possibility of added  
367 benefit to allowing 12-Step group members to meet individually with patients to share their  
368 experience of recovery, and encourage and support meeting attendance.

369 Work by Boisvert et al. (2008) indicates that PRSS may also bolster patients’ perceived  
370 support. Using a sequential cohort comparative design and a sample of adults with SUD and  
371 severe mental illness living in permanent supportive housing ( $N = 19$ ), the authors found that 10  
372 individuals who participated in a peer-driven program based on recovery community model  
373 published by SAMHSA and did not relapse, reported increased perceived  
374 emotional/informational ( $R^2 = 0.39$ ), tangible ( $R^2 = 0.24$ ) and affectionate support ( $R^2 = 0.24$ ) from  
375 pre- to post-intervention. Additionally, participants receiving the peer-support recovery program  
376 had lower rates of return to homelessness (85% vs. 33%) over a 6-month period, compared to a  
377 sample of residents living in the permanent supportive housing setting 6-months prior to  
378 instigation of the peer-support program. Further, prior to institution of the peer program,  
379 residents had a 24% chance of relapse to substance use, while the risk for those residents  
380 participating in the program was 7%, though it is not clear if this difference was statistically  
381 significant and no demographic or clinical data were provided for this comparison group.

382 Working in the Veteran’s Administration system, Smelson and colleagues (2013)  
383 assessed a novel program referred to as Maintaining Independence and Sobriety Through



384 Systems Integration, Outreach, and Networking (MISSION) for military veterans with SUD and  
385 co-occurring mental disorders, as well as experienced homelessness and current  
386 unemployment using a quasi-experimental, intact group design ( $N= 333$ ). Over 12 months,  
387 MISSION provides temporary housing, and delivers integrated mental health and SUD  
388 treatment delivered via Dual Recovery Therapy (Ziedonis & Stern, 2001), case management,  
389 and vocational and peer support. The manualized program is delivered by a case manager and  
390 peer specialist team. Those receiving MISSION had greater outpatient session attendance  
391 within the 30 days before the 12-month follow up ( $d= 1.25$ ), and a greater decline in the number  
392 of psychiatric hospitalization nights compared to those receiving TAU only ( $d= -0.26$ ). Both  
393 groups, however, showed improvement on measures of substance use and associated  
394 problems at 12 months, though those receiving MISSION were less likely to drink to intoxication  
395 ( $OR= 0.29$ ) and experience serious tension or anxiety ( $OR= 0.53$ ). Given the broad treatment  
396 platform in this study, it is impossible to separate out peer effects. The findings nevertheless  
397 speak to the promise of integrating peer supports with clinician-delivered treatments.

398 Most recently, in a large sample of parents or caregivers referred by child protective  
399 services to a specialized SUD outpatient treatment program ( $N= 1,362$ ), James and colleagues  
400 (2014) found that peer contact was associated with faster outreach, and shorter latency to initial  
401 clinical assessment ( $d= 0.16$ ), as well as higher rates of any treatment service initiation  
402 compared to no peer contact (96.9% vs. 89.9%). However, when the authors used a more  
403 restrictive definition of service initiation—limited to initiation of individual, group, or family  
404 counseling—84.88% and 82.53% of individuals referred to the enhanced and standard  
405 programs, respectively, initiated these services. Those receiving PRSS were less likely to  
406 complete treatment (26.64% vs. 38.12%), however, among those completing treatment, the  
407 average length of treatment was significantly greater for the PRSS + TAU group than controls  
408 ( $d= 0.35$ ). Additionally, participants who had received PRSS who discontinued treatment  
409 remained in treatment longer than controls who discontinued treatment ( $d= 0.36$ ). Groups,

410 however, were not significantly different in terms of total numbers making it to initial assessment  
411 appointments, initiating counseling, or discontinuing participation in treatment. Notably, relative  
412 treatment dropout rates were very high for both the PRSS (56.9%) and control groups (52.9%),  
413 though the difference was not statistically significant ( $p > .05$ ). Also, effect sizes were generally  
414 small suggesting the large sample size may have been driving observed statistically significant  
415 effects.

#### 416 Summary of quasi-experimental evidence

417 Quasi-experimental studies to date provide further support for the potential of PRSS for  
418 SUD. The quasi-experimental literature, however, includes many of the limitations observed for  
419 the RCT literature. For instance, peer roles were typically not well defined, nor were peer  
420 training protocols well-articulated. Further, positive findings were often small to moderate in size  
421 and no studies included intent-to-treat design meaning participants who dropped out of  
422 interventions or relapsed were not included in many of the analyses. Although it is difficult to  
423 parse out the independent effect of peers—because with the exception of Sisson & Mallams,  
424 (1981) and James et al. (2014) these studies lacked the necessary control groups—overall  
425 these findings suggest PRSS may have the ability to sure up treatment attendance and help  
426 individuals engage with treatment. These findings also speak to the versatility of PRSS by  
427 showing a diverse range of residential treatment settings in which peer services might be  
428 utilized.

429

#### 430 ***Single- or Multi-Group Prospective or Retrospective Studies***

431 Single- or multi-group prospective or retrospective studies addressing PRSS extend the  
432 case for more research on PRSS. Boyd and colleagues (2005) piloted a 12-week peer-delivered  
433 psychoeducation program for women with HIV living in rural areas. Though no inferential  
434 analyses were conducted due to the small sample size ( $N = 13$ ), results intimate the authors'  
435 brief peer-counseling intervention may increase participants' recognition that their alcohol and

436 other drug use is problematic, and increase the likelihood of steps being taken to address their  
437 alcohol and other drug use. The authors highlight the difficulty in identifying and retaining peer  
438 counselors for a majority of the rural U.S. areas where this pilot study was implemented,  
439 speaking to some of the real-world challenges associated with implementation of PRSS,  
440 especially in already underserved geographic areas. This observation speaks to the potential  
441 utility of peer coaching via telemedicine (Huskamp et al., 2018).

442         Using government public health, and Medicaid records, Min et al. (2007) retrospectively  
443 assessed whether a long-term, peer-mentorship intervention for individuals with SUD and  
444 severe co-occurring mental illness has the capacity to reduce rehospitalization rates ( $N= 484$ )..  
445 Survival analysis results over a 3-year period indicate that peer-support program participants  
446 had longer periods living in the community without rehospitalization, and a lower overall number  
447 of rehospitalizations, compared to a sample of comparable controls not engaged in peer-  
448 mentorship.

449         Similarly, Andreas et al. (2010) shared preliminary findings for the Peers Reach Out  
450 Supporting Peers to Embrace Recovery (PROSPER) program, which includes peer-run groups,  
451 coaching, workshops and seminars, social and recreational activities, and community events  
452 ( $N= 509$ ). Peers work closely with program staff and receive extensive training and supervision.  
453 Study participants included women and men over the age of 18 who had SUD and histories of  
454 incarceration. From baseline to 12-month assessment the authors observed increases in self-  
455 efficacy, perceived social support, and quality of life, as well as decreases in perceived stress,  
456 though guilt- and shame-based emotions increased over the same period of time.

457         Work by Armitage and colleagues (2010) suggests PRSS may also be beneficial to  
458 individuals in sustained SUD remission. The Recovery Association Project (RAP), which  
459 emphasizes active citizenship and social engagement, is facilitated by individuals in recovery  
460 from SUD who had completed at least 15 hours each of RAP leadership training ( $N= 152$ ). The  
461 authors found retrospectively that 6 months following RAP participation, 86% of their clients

462 reported no past 30-day alcohol or other drugs use, and another 4% indicated reduced use.  
463 Further, 95% reported strong willingness to recommend the program to others, 89% found  
464 services helpful, and 92% found provided materials helpful.

465         Using a multi-group prospective design, Deering et al. (2011) sought to better  
466 understand the effects of a peer-led, mobile outreach program for female sex workers. Women  
467 were surveyed every six months over 18 months ( $N= 242$ ). Women were more likely to utilize  
468 the peer-led outreach service if they were at higher risk due to factors such as seeing >10  
469 clients per week, working in isolated settings, injecting cocaine, or injecting/smoking  
470 methamphetamine in past 6 months. Utilizers of the peer-led service, however, were also more  
471 likely to access the intervention's drop-in center, and notably, after statistically controlling for  
472 inter-individual differences, past 6-month use of the peer-led outreach program was associated  
473 with a four-fold increase in the likelihood of participants utilizing detoxification and/or inpatient  
474 SUD treatment.

475         In a retrospective single group study, Kelley et al. (2017) explored the effects of the  
476 Transitional Recovery and Culture Program, a Montana-based, community-driven, PRSS  
477 intervention aimed at improving sobriety rates in a collection of Native American communities in  
478 the region, and increasing community awareness of substance use problems and the need to  
479 support SUD recovery ( $N= 224$ ). The authors found that participants completing 6-month follow-  
480 up (29%) had significant reductions in past 30-day alcohol ( $d= -0.78$ ) and other drug use ( $d= -$   
481  $0.64$ ). Participants were also more likely to have attained housing and employment. Symptoms  
482 of anxiety and depression, however, were not significantly changed. The low follow-up rate  
483 (29%) for this study, however, suggests the possibility of selection bias; i.e., individuals lost to  
484 follow-up were doing worse and are not represented in the results, making intervention look  
485 better than it actually was. As such, these results should be interpreted with caution.

486         Most recently, Scott et al. (2018) piloted an intervention designed to help link individuals  
487 actively using opioids to detoxification and/or agonist medication treatment. Peers approached

488 individuals in urban areas identified as high-risk for continued opioid use and overdose,  
489 engaged them in a conversation about heroin, and explained they were recruiting for a study  
490 that aimed to help people get into treatment. If the individual expressed interest in the study, the  
491 peer outreach worker then called study staff to phone-screened the prospective participant for  
492 study eligibility. At the study office, participants met with a treatment linkage manager who used  
493 an adapted version of the Recovery Management Checkup protocol (Scott and Dennis, 2010) to  
494 link individuals to detoxification and/or methadone agonist medication therapy. Over the course  
495 of eight weeks, peer outreach workers identified 88 individuals actively engaged in opioid use.  
496 72 were screened as eligible, and 70 showed to the treatment linkage meeting. Of those  
497 showing up to the treatment linkage meeting, eight went to detox, and nearly all (96%) were  
498 admitted to methadone treatment, with a median time from initial linkage meeting to treatment  
499 admission of 2.6 days. The majority of participants were still in treatment at 30 and 60 days  
500 post-intake (69% and 70%, respectively). This study demonstrates the synergistic potential of  
501 integrating peer-based approaches and evidence-based SUD interventions. While peers were  
502 not necessarily providing treatment per se, they served in this instance, as a critical link to  
503 treatment and were able to accomplish in the field what may be difficult for a non-peer provider.

504         Also interested in the benefits peers can confer for individuals with opioid use disorder,  
505 Samuels and colleagues (2018) explored if connecting individuals presenting to emergency  
506 department (ED) for opioid overdose would benefit from PRSS provided in the ED, in addition to  
507 provision of naloxone, and usual care consisting of medical stabilization and provision of a list of  
508 SUD treatment programs in printed discharge instructions ( $N= 151$ ). Using ED electronic  
509 medical record review, they contrasted this intervention to provision of naloxone with written and  
510 video instructions on use + usual care, and usual care only. Peers were employed by the  
511 partner community-based peer recovery organization. Participants were assigned to one of the  
512 three treatment groups based on provider and patient discretion. Peers met with participants in  
513 the ED and assessed their readiness to seek treatment, identified overdose risk factors, and

514 provided individualized support and addiction treatment navigation, including linkage to  
515 medication for opioid use disorder at the time of, and at least 90 days after the ED visit. The  
516 authors did not find significant differences between groups at 12-month follow-up via electronic  
517 medical record review; groups were similar in terms of proportion of participants initiating  
518 medication for opioid use disorder, number of times returning to the same emergency  
519 department for overdose, number of deaths, and median time to death.

#### 520 Summary of single- or multi-group prospective or retrospective study evidence

521 While the majority of these single- or multi-group prospective or retrospective studies  
522 speak to the promise of PRSS, they should be considered in the light of significant  
523 methodological limitations associated with these research designs. Single-group prospective  
524 and retrospective designs lack control groups; it is therefore not possible to know if some of the  
525 positive findings presented here reflected natural improvements in psychosocial functioning  
526 commonly observed in SUD interventions. Relatedly, in multi-group prospective and  
527 retrospective studies where comparison groups are used, groups are not selected by random  
528 assignment. As such there is risk for selection bias, although the majority of studies reported  
529 here checked for demographic between-group differences in order to mitigate this risk. Risk for  
530 selection bias is further increased because these studies did not use intent-to-treat analysis; it is  
531 thus possible that the benefits conferred by these programs are inflated. Further, all peer-based  
532 programs reported here included a wide range of activities and types of support. It is therefore  
533 not possible to parse out the unique effects of peers in the context of these interventions.

534

#### 535 ***Cross-Sectional Investigations***

536 The cross-sectional literature tentatively speaks to the potential of PRSS-based  
537 interventions in a range of treatment settings. Sanders and colleagues (1998) sought to contrast  
538 client satisfaction with peer-delivered SUD counseling, and counseling from traditionally-trained  
539 addiction counselors ( $N= 56$ ). They found that although there were no between-group

540 differences in overall treatment satisfaction, women receiving ongoing SUD counseling from a  
541 peer-counselor were more likely to describe their counselors as empathic, to identify them as  
542 the most helpful aspect of the program, to utilize other clinic resources, and to more strongly  
543 recommend the treatment program, compared to clients receiving counseling from traditional  
544 providers. This work speaks to the ability of peers to establish rapport in patients. It does not  
545 however speak to quality of care or treatment outcomes. It is unclear whether professional-  
546 delivered treatment may benefit them more in terms of treatment outcomes, even though  
547 patients may feel greater affinity for peer counselors.

548           One study has also assessed the motivation of individuals in recovery from SUD to seek  
549 PRSS. Wanting to know more about university students participating in peer-based college  
550 recovery support services, Laudet et al. (2016) surveyed 486 students engaged in 29 college  
551 recovery programs across the United States. At the time of survey, students had been abstinent  
552 from alcohol and other drugs a mean of 3 years. One third of the sample reported they would  
553 not be in college were it not for a peer-based, collegiate recovery program, and 20% would not  
554 be attending their current university. Top reasons cited for joining collegiate recovery programs  
555 were the need for same age peer recovery support, and wanting to maintain their sobriety in the  
556 high-risk college environment.

557

## 558 **Discussion**

559           Although a strong theoretical case has been made for the potential utility of PRSS in a  
560 range of SUD clinical and care settings (e.g., White and Evans Jr, 2014; Laudet et al., 2016), to  
561 date PRSS research is limited for specific clinical SUD populations for whom these services are  
562 most commonly provided (i.e., those in outpatient, residential and transitional care settings, and  
563 recovery community centers). In their 2016 review of the PRSS literature, Bassuk et al. noted  
564 open questions about the necessary amount and intensity of PRSS interventions, and the  
565 optimal contexts for provision of these services and the appropriate skill levels for peers.

566 Several years later, though a number of recent studies have begun to inform these  
567 considerations, these remain open questions. Moreover, additional work is needed to parse out  
568 for whom and under what conditions these PRSS interventions have most utility, and to  
569 determine how peers should be trained, and what, if any certifications should be required for  
570 peer work in order to inform the development of 'best practice' models. Further, research into  
571 potential cost-benefits to healthcare systems is necessary. Although the existing literature  
572 reviewed here reports mixed findings, positive findings to date speak to the possibility of  
573 benefits associated with adoption and implementation of PRSS. When placed in the context of  
574 other research in the recovery supports arena (e.g., Humphreys and Moos, 2001; 2007), such  
575 entities hold promise as cost-effective care models that can bridge gaps not covered by  
576 traditional care.

577 In theory, peer supports such as recovery coaches may have particular utility in hospital  
578 and clinical outpatient settings since many individuals with SUD who are not yet engaged in  
579 treatment present to these sites with SUD-related medical problems. Peers are uniquely  
580 positioned to engage such individuals and help connect them with SUD treatment, either in  
581 hospital systems, or the community. Bernstein et al. (2005) showed that even a single-session  
582 peer-led intervention for individuals presenting to a hospital-based, walk-in clinic could result in  
583 significant reductions in substance use at a 6-month follow-up. Though this work is promising,  
584 more research is needed to determine how effective they may be. Hospital and medical settings  
585 that have begun to utilize SUD peer supports should be encouraged to monitor their programs  
586 and where possible report their outcomes.

587 PRSS may be especially beneficial in substance detoxification units, since successfully  
588 connecting individuals to care following detoxification is a persistent and vexing problem for  
589 providers. PRSS might also impact the culture of detoxification units by offering a multiple  
590 pathways to recovery approach. Blondell et al. (2008) found that detoxification patients receiving  
591 a single peer counseling session were more likely to complete medical detoxification and not



592 leave detoxification “against medical advice”. Though differences between participants  
593 receiving a peer counseling session and controls were not statistically significant on measures  
594 of attendance of mutual-help group meetings during the first week following detoxification  
595 discharge, remaining abstinent following discharge, and initiating professional aftercare  
596 treatment, statistical trends with clinically meaningful differences were observed suggesting  
597 those receiving peer counseling fared better in a detoxification setting already strongly  
598 encouraging 12-Step participation. These observed trends may have been statistically  
599 significant were the study better powered. Based on these findings, more work in this area is  
600 justified. Peer supports could ultimately be a cost-effective way to bridge the gap between  
601 detoxification and longer-term SUD treatment by helping patients enter residential programs,  
602 and/or engage with recovery programs in the community such as mutual-help groups like  
603 Alcoholics Anonymous, Narcotics Anonymous, Refuge Recovery, Rational Recovery, and/or  
604 SMART Recovery.

605         The evidence reviewed here also suggests peer supports may have the ability to  
606 improve outcomes for individuals engaged in inpatient or outpatient psychiatric treatment for  
607 SUD and co-occurring mental disorders. In such contexts peer supports have been shown to  
608 reduce substance use (Rowe et al., 2007; O’Connell et al., 2017), lead to better SUD and  
609 medical treatment adherence (Tracy et al., 2011), get individuals to SUD treatment faster  
610 following SUD treatment referral (James et al., 2014), reduce the frequency of inpatient  
611 readmission (O’Connell et al., 2017), and reduce criminal behavior recidivism (Rowe et al.,  
612 2007). This body of work, however, reports a wide range of PRSS outcomes, for which there are  
613 also many negative findings showing treatment as usual performed equally well as PRSS  
614 interventions. More work is needed to determine the ways peer supports can be most effective  
615 in these treatment contexts, and how, in the future, PRSS’ efforts might be best focused.

616         Presently in the United States, state-to-state regulations vary greatly in terms of training  
617 and credentialing requirements for peer workers (London et al., 2018). More work is needed to

618 determine how peers should be trained, and what, if any certifications should be required for  
619 peer work. Studies reporting training procedures utilized a highly variable range of training  
620 protocols for peers. Most of these studies report providing some sort of supervision provided by  
621 licensed clinicians, though the quantity and frequency of supervision was typically not  
622 described. Future research will benefit from more clearly articulating peer roles in published  
623 manuscripts (Jack et al., 2018), and where possible, manualizing aspects of peer interventions.  
624 This will help future studies replicate findings, and also help educators and treatment providers  
625 develop better training protocols for peer workers. Work is also needed that identifies which  
626 peer roles are most helpful/effective in different clinical, treatment, and recovery support  
627 contexts. Further, it is important that future research distinguishes between paid peer workers  
628 such as recovery coaches who are generally expected to have formal training and certification  
629 (e.g., Tracy et al., 2011; O'Connell et al., 2017), and untrained, volunteer peer supports who  
630 may facilitate brief interventions akin to 12-step calls made by members of mutual-help groups  
631 (e.g., Sisson and Mallams, 1981; Blondell et al., 2008).

632 Community-based SUD programs also utilize PRSS. Research summarized in this  
633 review suggests peer recovery supports integrated into community outreach programs may  
634 increase individuals' self-awareness of problematic substance use (Boyd et al., 2005), and lead  
635 to reductions in alcohol and other drug use (Kelley et al., 2017). Such programs may also lead  
636 to greater utilization of detoxification programs and residential SUD treatment among those  
637 needing treatment (Deering et al., 2011), and reduce rehospitalization rates following treatment  
638 (Min et al., 2007). Findings from these preliminary cross-sectional, and prospective and  
639 retrospective studies indicate more comprehensive RCTs are warranted on this topic, and  
640 suggest that marginalized and/or stigmatized populations may particularly benefit from peer-  
641 driven initiatives.

642 Relatedly, peers may also have potential to bolster harm reduction programs. Ashford  
643 and colleagues (2018), for instance, found peers could be successfully utilized to engage

644 individuals who are at risk of diseases such as hepatitis-C and HIV, and overdose in the context  
645 of an urban needle exchange program. In light of the current opioid crisis, such ministrations are  
646 much needed and could enhance existing efforts to curb the prodigious disease burden of  
647 opioid misuse.

#### 648 Assessment of Potential Bias

649         The findings reviewed in the present paper should be tempered by the fact the  
650 discussed RCTs did not use an intent-to-treat design, potentially introducing sample bias into  
651 the results. Additionally, to date, all RCTs studying PRSS have recruited participants with fairly  
652 severe SUD and co-occurring mental illness, and major impairment in psychosocial functioning.  
653 It is therefore not clear how these results might generalize to samples of individuals with less  
654 severe SUD presentations, and those without psychiatric comorbidity. The vast majority of SUD  
655 treatment in the US is level-I outpatient treatment, yet to our knowledge there are no studies  
656 that have examined the utility of providing peer supports/recovery coaches in these settings. It  
657 should also be highlighted that, by nature, much of the non-RCT research presented here is  
658 based on convenience sampling, and survey analysis. More RCTs are needed on this topic to  
659 validate, and expand upon reported findings.

660

#### 661 **Conclusions**

662         This comprehensive, systematic review of the existing PRSS literature speaks to both  
663 the potential of peer supports across a number of SUD treatment settings, as well as the great  
664 amount of work yet needed to establish the efficacy and effectiveness of such ministrations.  
665 Importantly, many ethical and practical challenges remain for this novel class of interventions for  
666 SUD. For instance, individuals providing peer support face boundary issues as their work  
667 typically lies at the intersection of purely-peer, and purely-clinical support roles (Jack et al.,  
668 2018). Their work lacks the clarity of the professional treatment realm with its clear roles, work  
669 schedules, and expectations, and marked differentiation between paid professional staff and

670 clients, as well as the mutual-help 12-Step tradition with its own well-articulated, and long-  
671 standing peer-support traditions. Regardless, work to date makes the case for further  
672 exploration PRSS in a range of SUD-related contexts. Peer support specialists' roles will, no  
673 doubt, increasingly become more clearly defined as peer-supports are integrated more and  
674 more into the spectrum of SUD care.

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**Table 1.** Tabularized summary of the evidence on peer-based recovery support services (PRSS)

Article	Study design	Intervention(s)	Description of sample & peers	Sample size (N)	Follow-ups	Retention rate	Primary substance	Substance use and related outcomes
Bernstein et al., 2005	Randomized controlled trial	Exp: A single, structured encounter targeting cessation of drug use, conducted by peer educators in the context of a routine medical visit. Con: Written advice only.	Sample: Out of treatment adults with past 90-day cocaine and/or heroin use attending a hospital walk-in clinic. Peers: Experienced substance use outreach workers; level of training not described.	N = 1,175 (F= 29%, M= 71%)	3 and 6 months	66%	Multi-substance	Compared to controls, at 6-month follow-up, participants receiving a brief peer-support intervention were more likely to be abstinent from cocaine, and trended toward greater heroin, and both cocaine and heroin abstinence ( $p = .05$ ; OR's 1.51 – 1.57). A trend was also observed in bioassay measured cocaine use, but not heroin use. No group differences were noted in detoxification or treatment admissions among those who were abstinent. Those receiving the peer-support intervention demonstrated a trend toward greater reductions in Addiction Severity Index drug subscale and medical severity scores ( $p = .06$ ).
Timko et al., 2006 & 2007	Randomized controlled trial	Exp: Intensive referral to 12-Step in which participants were given AA or NA meeting schedules from counselors in addition to information about	Sample: Patients entering SUD outpatient treatment at a Department of Veterans Affairs program.	N = 345 (F= 2%, M= 98%)	6 months	81%	Multi-substance	Among patients with relatively less previous 12-Step meeting attendance, intensive referral was associated with more meeting attendance during follow-up than was

<p>12-Step philosophy and the structure and terminology of 12-Step groups over a minimum of 3 sessions in 1 month. Common concerns were addressed, and participants were encouraged to set goals for attending meetings, working the first steps, joining a home group and getting a sponsor. The counselor and patient also called a 12-Step volunteer during session 1 and the volunteer arranged to meet the patient before an AA or NA meeting so that they could attend the meeting together. Participants also received relapse prevention training and psychoeducation about substance misuse consequences, and healthy living.</p> <p>Con: Standard referral to 12-Step in which participants were given AA or NA meeting schedules from counselors + relapse prevention training and</p>	<p>Peers: Alcoholics Anonymous and Narcotics Anonymous members who were untrained and unpaid, volunteering support in the context of 12th step work.</p>	<p>standard referral. Compared with those randomized to standard referral, those randomized to intensive referral were more likely to be involved with 12-Step groups during the 6-month follow-up period. Intensive referral patients also had better alcohol and drug use outcomes at 6 months. 12-Step involvement mediated part of the association between referral group and alcohol outcomes.</p> <p>At 1-year follow-up (Timko and DeBenedetti, 2007), participants receiving intensive referral were more likely over the past year have attended at least one meeting per week (OR= 1.38), and had greater 12-Step group involvement (<math>d=0.23</math>) and abstinence rates (OR= 1.61). 12-Step involvement mediated the association between referral group and alcohol and drug outcomes, and was associated with better outcomes above and beyond group attendance.</p>
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			psychoeducation about substance misuse consequences, and healthy living over a minimum of 3 sessions in 1 month.					
Rowe et al., 2007	Randomized controlled trial	Exp: A community-oriented group intervention with 'Citizenship Training' and peer support combined with standard clinical treatment, including jail diversion services.  Con: Standard clinical treatment with jail diversion services only.	Sample: Adult outpatients with severe mental illness who had criminal charges within the two years prior to study enrolment, 31% with alcohol use disorder, 42% with other SUD.  Peers: Six peer mentors were utilized; all were diagnosed with a serious mental illness and were in treatment. All completed a training program covering confidentiality, the client engagement process, cultural competence, and the distinctive roles of criminal justice and mental health treatment system workers.	N = 114 (F= 32%, M= 68%)	6 and 12 months	61%	Multi-substance	Four months of 'Citizenship Training' geared toward social participation and community integration + peer mentorship, and standard clinical treatment including jail diversion services, produced reduced alcohol use over 12-month follow-up ( $d = -0.43$ ), while those receiving standard clinical treatment with jail diversion services alone demonstrated increased drinking over the same period. Both groups demonstrated significantly less non-alcohol drug use and fewer criminal justice charges over the 12-month follow-up period (peer support group $d = -0.64$ ; Citizenship Training $d = -0.16$ ).
Timko et al., 2011	Randomized controlled trial	Exp: Intensive referral to the Double Trouble in Recovery 12-Step program including a counselor-delivered	Sample: Dually-diagnosed individuals seeking outpatient treatment at the Veteran's Administration.	N = 287 (F= 9%, M= 91%)	6 months	80%	Multi-substance	Participants in the intensive referral group were more likely to attend and be involved Double Trouble in Recovery ( $d = 0.89$ ) as

		<p>introduction to the program plus information about the its philosophy, structure, and terminology over 4 sessions in 1 month. A volunteer member of Double Trouble in Recovery joined participants and counselors in a session during which the volunteer gave a brief personal history and arranged to attend a meeting with patients.</p> <p>Con: Standard referral to Double Trouble in Recovery in which participants were given meeting schedules by counselors and encouragement to attend.</p>	<p>Peers: Double Trouble in Recovery members who were untrained and unpaid, volunteering support in the context of 12th step work.</p>					<p>well as other 12-Step programs (<math>d= 0.25</math>), and had less drug use (<math>d= 0.30</math>) and fewer psychiatric symptoms (<math>d= 0.28</math>) at 6-month follow-up. However, only 23% of participants in the intensive-referral group attended a DFG meeting during the six-month follow-up period compared to 13% in the standard referral group.</p>
Tracy et al., 2011	Randomized controlled trial	<p>Exp: 1) Mentorship for Addictions Problems to Enhance Engagement to Treatment (MAP-Engage): A peer-driven intervention with open-ended individual peer contact and peer-led groups. Peers escort patients to first outpatient program.</p> <p>2) Dual Recovery</p>	<p>Sample: Adult inpatients at Veteran's Administration with high hospitalization recidivism and current and/or past diagnosis of SUD, and two or more past-year hospitalizations. 88% had current alcohol or other SUD in addition to</p>	<p><math>N = 96</math> (<math>F= 3\%</math>, <math>M= 97\%</math>)</p>	12 months	100%	Multi-substance	<p>Compared with TAU alone, MAP-Engage, and MAP-Engage + Dual Recovery Treatment were both associated with greater post-discharge, outpatient substance use treatment attendance, general medical, and mental health services appointment adherence, and greater utilization of inpatient substance use treatment services (<math>d</math>'s=</p>

		<p>Treatment + MAP-Engage: Dual Recovery Treatment is an intervention involving 8 weeks of clinician-delivered individual and group relapse prevention therapy.</p> <p>Con: TAU only, consisting of standard coping/skills training groups, medication management, and social work support to handle basic needs during inpatient stay. Substance misuse, psychiatric, and medication management in addition to social work services were also made available.</p>	<p>psychiatric comorbidity.</p> <p>Peers: Compensated through work therapy program, and screened by the program coordinator and mentor supervisor from clinical record and interview. Peer mentors were supervised by clinicians, though their level of formal training was not described.</p>					0.33 and 0.63 respectively versus TAU only).
Manning et al., 2012	Randomized controlled trial	<p>Exp: 1) Peer referral to 12-Step meetings.</p> <p>2) Doctor referral to 12-Step meetings.</p> <p>Con: No introduction or referral.</p>	<p>Sample: Individuals with SUD undergoing inpatient medical detoxification.</p> <p>Peers: Alcoholics Anonymous, Narcotics Anonymous, or Cocaine Anonymous members with at least three years of recovery.</p>	<p>N = 151 (F= 33%, M= 67%)</p>	3 months	83%	Multi-substance	Both peer and doctor referral to 12-Step programs increased attendance at 12-Step meetings during inpatient treatment. Rates of post-discharge meeting attendance were greatest in the peer-referred group (OR= 3.6). Inpatient meeting attenders were 3 times as likely to have attended 12-Step meetings post-discharge, and post-discharge meeting

								attenders reported significantly higher abstinence rates at 3-month follow-up. Follow-up abstinence rates did not differ significantly across intervention groups.
O'Connell et al., 2017	Randomized controlled trial	Exp: 1) TAU + a manualized skills training intervention for persons with co-occurring disorders in addition to peer-led social engagement program. 2) TAU + a manualized skills training intervention for persons with co-occurring disorders. Con: TAU only, not defined by the study's authors.	Sample: Individuals with co-occurring psychosis and substance use or dependence were recruited during an inpatient psychiatric hospitalization. Peers: Individuals in recovery trained to provide peer support.	N = 137 (F= 34%, M= 66%)	3 and 9 months	47%	Alcohol	At 3 months, TAU + skills training with and without peer support were effective in reducing alcohol use ( $d$ 's= -0.81 and -0.54 versus TAU only) and related symptoms ( $d$ 's= -1.47 and -1.23 versus TAU only), with the addition of peer-led support resulting in higher levels of relatedness, self-criticism, and outpatient service use. At nine months, skills training was effective in decreasing symptoms and inpatient readmissions and increasing functioning, with the addition of peer support resulting in reduced alcohol use.
Sisson & Mallams, 1981	Quasi-experiment	Exp: Systematic encouragement and community access procedure involving a phone call being made in a counseling session to a local Alcoholics Anonymous or Al-	Sample: Patients receiving outpatient treatment for alcohol us disorder. Peers: Alcoholics Anonymous and Al-Anon members who were untrained and unpaid, volunteering	N = 20 (F= 30%, M= 70%)	4 weeks	100%	Alcohol	100% of the experimental group attended AA or Al-Anon within 1 week of referral and continued to attend with an average of 2.3 meetings attended over 4-week follow-up, whereas none of the

		<p>Anon member in which the member briefly talked to participants about meetings, offered to give them a ride to a meeting or meet before a meeting. The AA or AI-Anon member then called the participant the night of the meeting to remind them about it and to encourage them to attend.</p> <p>Con: Standard referral procedure which involved giving information about AA or AI-Anon, encouraging meeting attendance, and providing information concerning time, date, and location of weekly meetings.</p>	<p>support in the context of 12th step work.</p>					<p>control group ever attended (<math>d= 2.74</math>).</p>
Blondell et al., 2008	Quasi-experiment	<p>Exp: A single, 30-60 minute session in which peers in SUD recovery share their personal experience with patients to provide emotional support, enhance motivation to maintain abstinence, and encourage the patient to attend inpatient treatment and/or mutual-help support group</p>	<p>Sample: Patients, hospitalized for alcohol and other drug detoxification.</p> <p>Peers: 12-Step program members who were untrained and unpaid, volunteering support in the context of 12th step work.</p>	<p><math>N = 119</math> (<math>F= 25\%</math>, <math>M= 75\%</math>)</p>	1 week	83%	Multi-substance	<p>Participants who received a single, 30-60 minute peer counseling session were more likely to report that they had attended mutual-help group meetings during the first week following detoxification discharge. Trends were also observed: those receiving peer counseling were more likely to remain abstinent from all</p>

								attendance after detoxification discharge.	substances, and also initiate professional aftercare treatment.
								Con: No peer intervention.	
Boisvert et al., 2008	Quasi-experiment	Exp: 'Peer Support Community Program': In a long-term supportive housing community, select individuals are taught to help govern the community and provide ongoing psychosocial support to fellow residents. The Peer Support Community Program aims to help clients maintain abstinence from alcohol and other drugs, and remain in housing, thereby transitioning out of homelessness.  Con: A sample of residents living in the same long-term supportive housing community the year prior to instigation of the peer-support program.	Sample: Adults living in permanent supportive housing following inpatient SUD treatment. 100% had a current SUD, 17% had a co-occurring mental illness.  Peers: Adults living in permanent supportive housing following inpatient SUD treatment.	N = 18 (participants' sex not specified)	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, and 12 months	12.5%	Multi-substance	Pre- to post-intervention, participants in the Peer Support Community Program reported more emotional/informational ( $R^2= 0.39$ ), tangible ( $R^2= 0.24$ ) and affectionate ( $R^2= 0.24$ ) support. Participants in the Peer Support Community Program also had lower relapse rates over the study period compared to a sample of residents living in the permanent supportive housing setting the year prior to instigation of the peer-support program.	
Smelson et al., 2013	Quasi-experiment	Exp: 'Maintaining Independence and Sobriety through Systems Integration, Outreach, and Networking'	Sample: Military veterans with SUD co-occurring mental disorders who are unemployed and	N = 333 (F= 4%, M= 96%)	6 and 12 months	70.6%	Multi-substance	In comparison to TAU, those receiving MISSION had greater outpatient session attendance within the 30 days before the 12-	



		<p>(MISSION) program, involving a 12-month, intervention developed for military veterans who have experienced homelessness and/or whose ability to return to independent community living is further complicated by co-occurring mental disorders. MISSION includes temporary housing, integrated mental health and SUD treatment delivered via Dual Recovery Therapy (Ziedonis and Stern, 2001), case management, and vocational and peer support.</p> <p>Con: Veteran's Administration TAU including temporary housing, medical treatment, consultation with a psychiatrist, group therapy, and vocational training.</p>	<p>have experienced homelessness.</p> <p>Peers: Not described.</p>					<p>month follow up (<math>d=1.25</math>), and a greater decline in the number of psychiatric hospitalization nights (<math>d= -0.26</math>). Both groups improved on measures of substance use and associated problems at 12 months, with those in MISSION less likely to drink to intoxication (OR= 0.29) and experience serious tension or anxiety (OR= 0.53).</p>
James et al., 2014	Quasi-experiment	Exp: Child welfare substance use treatment program ('Arizona Families FIRST' program), in addition to an enhanced program utilizing trained peer	Sample: Parents or caregivers referred by child protective services to a specialized substance use	$N = 1,362$ ( $F= 79\%$ , $M= 21\%$ )	36-month consecutive period	32%	Multi-substance	PRSS was associated with faster outreach, and shorter latency to initial clinical assessment ( $d= 0.16$ ), and higher rates of any treatment service initiation compared to

		<p>recovery specialists. Peer recovery coaches provided outreach and engagement to parents recently referred to the program, and helped initiation of SUD treatment. Peer recovery coaches were assigned to a client for approximately 60 days and generally discontinued contact with clients after they had successfully engaged in substance use treatment.</p> <p>Con: Child welfare substance use treatment program ('Arizona Families FIRST' program) alone.</p>	<p>outpatient treatment program.</p> <p>Peers: Parents in recovery from substance use disorder who had achieved reunification and permanent custody of their children following maltreatment allegations.</p>					<p>no peer contact. Those receiving PRSS were less likely to complete treatment, however, among those completing treatment, the average length of treatment was significantly greater for the PRSS + TAU group than controls (<math>d= 0.35</math>). Participants receiving PRSS who discontinued treatment remained in treatment longer than controls who discontinued treatment (<math>d= 0.36</math>). Groups were not different in terms of total numbers making it to initial assessment appointments, initiating counseling, or discontinuing participation in treatment</p>
Boyd et al., 2005	Single-group retrospective	<p>12 sessions of peer counseling providing psychoeducation about SUD and emotional and informational support to enhance motivation to change substance use behaviors and develop coping strategies for HIV.</p>	<p>Sample: Women with HIV living in rural areas. 100% had substance use problem based on Michigan Alcoholism Screening Test and Drug Abuse Screening Test scores.</p> <p>Peers: Not described.</p>	<p><math>N = 13</math> (<math>F= 100%</math>)</p>	12 weeks	100%	Multi-substance	<p>No inferential analyses were conducted due to the small sample size. Results however suggest a 12-week peer counseling intervention for substance use may increase participants' recognition that their alcohol and other drug use is problematic, and increase change behaviors.</p>

Min et al., 2007	Multi-group retrospective	<p>Exp: The 'Friends Connection Program': A community-based program in which participants are paired with a peer who has successfully achieved alcohol and other drug abstinence and is successfully coping with their mental health issues. Peer-supports and clients meet approximately once a week for an average of 2 to 5 hours to engage in a variety of community-based activities, including leisure and recreational activities, attend mutual-help groups, and/or spend time talking.</p> <p>Con: A comparable community sample of individuals who did not participate in the 'Friends Connection Program'.</p>	<p>Sample: Adults identified by the City of Philadelphia that have a history of frequent, long-term, psychiatric hospitalizations. 100% had current alcohol use disorder or other SUD in addition to psychiatric comorbidity.</p> <p>Peers: Individuals with SUD and co-occurring mental disorders who were successfully coping with their mental health issues and had abstained from using alcohol and other drugs for at least three years.</p>	N = 484 (F= 35%, M= 65%)	N/A	N/A	Multi-substance	Compared to a demographically and diagnostically concordant comparison group, participants in the 'Friends Connection Program' had longer periods of living in the community without rehospitalization, and a lower overall number of rehospitalizations over a 3-year period.
Andreas et al., 2010	Single-group retrospective	'Peers Reach Out Supporting Peers to Embrace Recovery' (PROSPER): A SUD recovery program based on	<p>Sample: Women and men in SUD recovery who have been incarcerated.</p> <p>Peers: People in SUD recovery who</p>	N = 509 (F= 32%, M= 68%)	6 and 12 months	Not reported	Multi-substance	From baseline to 12-month assessment, increases in self-efficacy, perceived social support, and quality of life were

		peer-to-peer social support that complements existing services. It includes peer-run groups, coaching, workshop/seminars, social and recreational activities, and community events.	have been incarcerated, plus their families.					observed, as were decreases in perceived stress. Guilt- and shame-based emotions increased over the same period of time.
Armitage et al., 2010	Single-group retrospective	'Recovery Association Project': A community peer recovery service based on leadership training for civic engagement of people in recovery, leading to a range of public and civic involvement among peers.	Sample: Adults in recovery from SUD. Peers: Individuals in recovery from SUD who had completed at least 15 hours each of 'Recovery Association Project' leadership training.	N = 152 (F= 39%, M= 61%)	6 months	96%	Multi-substance	At 6-month assessment, 86% of clients who had participated in the peer-driven 'Recovery Association Project' indicated no use of alcohol or other drugs in the past 30 days, and another 4% indicated reduced use. 95% reported strong willingness to recommend the program to others, 89% found services helpful, and 92% found materials helpful.
Deering et al., 2011	Single-group prospective	Exp: The 'Mobile Access Project Van': A peer-based mobile service providing a safe place for female sex-workers to rest and eat, and for staff to provide peer-support, condoms and clean syringes, while also acting as a point of contact for referrals to health services.	Sample: Female sex-workers who use alcohol and other drugs. Peers: Not described.	N = 242 (F= 100%)	N/A	N/A	Multi-substance	Women were more likely to utilize the 'Mobile Access Project Van' if they were at higher risk (i.e., seeing <10 clients per week, and/or working insolated settings; injecting cocaine or injecting/smoking methamphetamine in past 6 months), and were also more likely to access the

		Con: A comparable sample of female sex-workers who did not participate in the 'Friends Connection Program'.						intervention's drop-in center. Past 6-month use of the peer-led outreach program was also associated with a four-fold increase in the likelihood of participants utilizing inpatient SUD treatment including detoxification and residential SUD treatment.
Kelley et al., 2017	Single-group retrospective	'Transitional Recovery and Culture Program': A community-driven, PRSS approach aimed at improving sobriety rates in Native American communities, and increasing community awareness of substance use problems and the need for supporting SUD recovery.	Sample: Adults engaged with tribal chemical dependency programs, tribal health programs, and community social service agencies.  Peers: Native Americans recruited from chemical dependency programs.	N = 224 (F= 51%, M= 49%)	6 months	29%	Multi-substance	At 6-month follow-up, 'Transitional Recovery and Culture Program' participants demonstrated significant reductions from baseline in past 30-day alcohol ( $d = -0.78$ ), and other drug use ( $d = -0.64$ ). Participants also endorsed being more concerned about their psychological or emotional problems.
Samuels et al., 2017	Multi-group retrospective	Group 1: 'Lifespan Opioid Overdose Prevention' (LOOP) program: The program provides opioid overdose patients presenting to two hospital emergency departments take-home naloxone, patient education on overdose rescue, and consultation with	Sample: Adults presenting to two hospital emergency departments with opioid overdose.  Peers: Recovery coaches in addiction recovery for at least two years who had completed a 36-hour peer recovery coach training program in motivational	N = 151 (F= 32.5%, M= 67.5%)	12 months	N/A	Opioids	At 12-month follow-up via medical chart review, groups were not significantly different in terms of proportion of participants initiating medication for opioid use disorder, number of times returning to the same emergency department for overdose, number of

		<p>a community-based peer recovery coach for addiction treatment navigation.</p> <p>Group 2: Take-home naloxone with print and video patient education materials about naloxone assembly and use, in addition to usual care consisting of medical stabilization and provision of a list of substance use treatment programs in printed discharge instructions.</p> <p>Group 3: Usual care only.</p>	<p>interviewing, addiction treatment services, including opioid agonist therapy, and provision of peer-to-peer support.</p>					<p>deaths, and median time to death.</p>
<p>Scott et al., 2018</p>	<p>Single-group retrospective</p>	<p>A combined intervention using peer outreach workers for contacting and identifying out-of-treatment individuals with OUD and a modified version of the 'Recovery Management Checkup' intervention (Scott and Dennis, 2010) that focused only on initial linkage to treatment and engagement.</p>	<p>Sample: Individuals actively using opioids in urban areas identified as high-risk for continued opioid use and overdose.</p> <p>Peers: Individuals with a history of opioid use disorder and stable participation in methadone treatment for at least one year.</p>	<p>N = 70 (F= 27%, M= 73%)</p>	<p>30 and 60 days</p>	<p>70%</p>	<p>Opioids</p>	<p>Of participants showing up to the treatment linkage meeting after being approached by peers in natura, 96% were admitted to methadone treatment, with a median time from initial linkage meeting to treatment admission of 2.6 days. 69% were still in treatment 30 days post-intake and 70% at day 60.</p>

Sanders et al., 1998	Cross-sectional	<p>Exp: Peer-led counseling providing comprehensive case management including counseling, support groups, and assistance with housing, transportation, parenting, nutrition and child welfare.</p> <p>Con: Counseling from traditionally trained addiction counselors.</p>	<p>Sample: Pregnant and postpartum women in recovery from crack cocaine addiction.</p> <p>Peers: Women in recovery from SUD with histories of abusive relationships, homelessness, birth of infants with positive toxicologies, and removal of children by protective services.</p>	N = 56 (F= 100%)	N/A	N/A	Crack cocaine	Clients receiving ongoing counseling from a peer-counselor, compared to clients receiving counseling from traditionally trained addiction counselors were more likely to describe their counselors as empathic, to identify them as the most helpful aspect of the program, to utilize other clinic resources, and to more strongly recommend their program.
Laudet et al., 2016	Cross-sectional	Students residing in college recovery housing at 29 US universities.	<p>Sample: College students in recovery from SUD.</p> <p>Peers: Peer-based college recovery support services.</p>	N = 486 (F= 43%, M= 57%)	N/A	N/A	Multi-substance	Abstinent from alcohol and other drugs on average 3 years at the time of the survey, a third of the sample stated they would not be in college were it not for a collegiate recovery program. Top reasons for joining a collegiate recovery program included need for peer recovery support, and wanting to stay abstinent from alcohol and other drugs in the college environment, which is typically not conducive to SUD recovery.

Notes. TAU= treatment as usual; Exp= experimental group, Con= control group; SUD= substance use disorder; AA= Alcoholics

Anonymous, NA= Narcotics Anonymous; F= female, M= male; N/A= not applicable

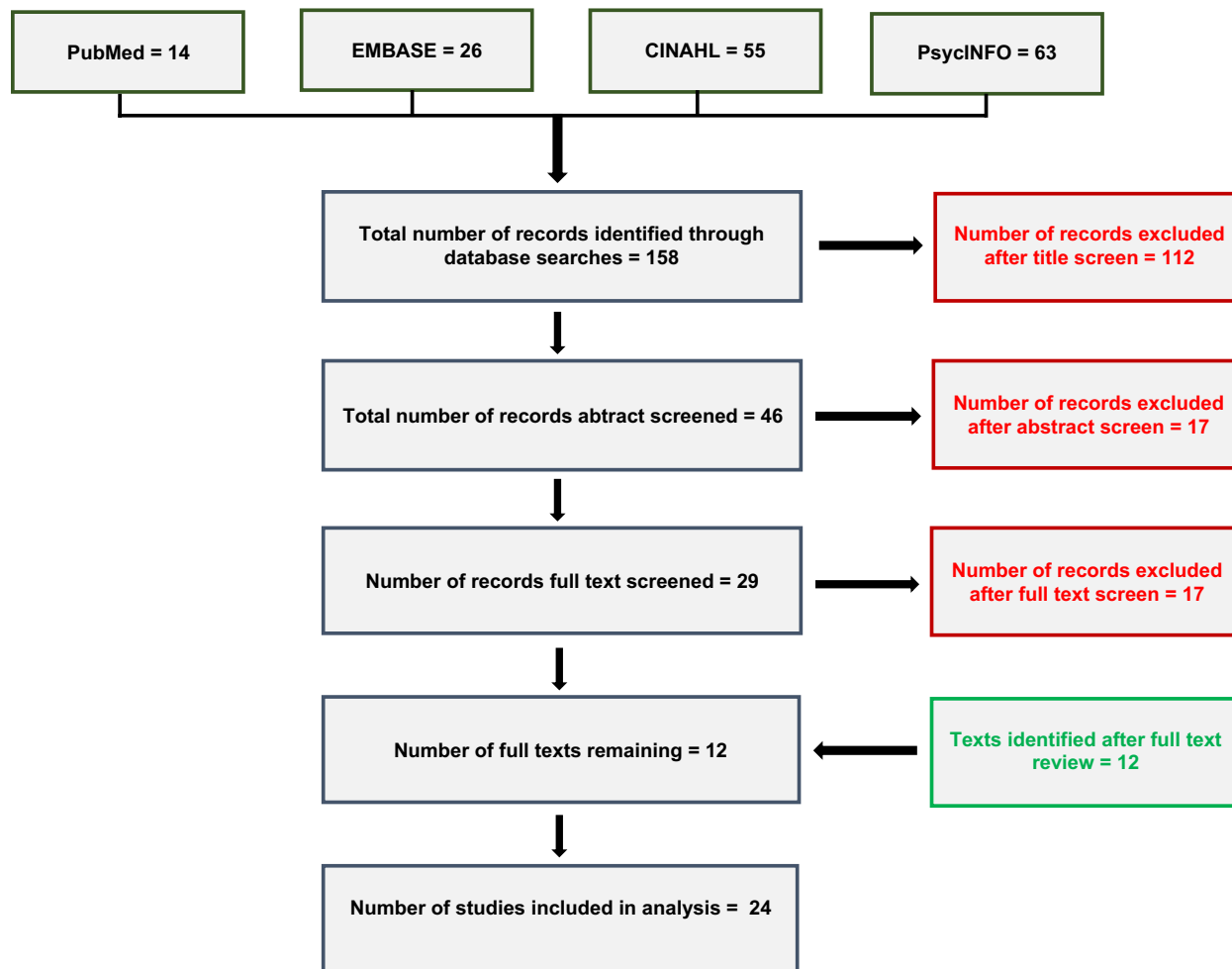


Figure 1. Literature review diagram showing article review and selection.