



The Commonwealth of Massachusetts  
Executive Office of Health and Human Services  
Department of Public Health  
Bureau of Health Care Safety and Quality  
**Medical Use of Marijuana Program**  
99 Chauncy Street, 11<sup>th</sup> Floor, Boston, MA 02111

CHARLES D. BAKER  
Governor

KARYN E. POLITO  
Lieutenant Governor

MARYLOU SUDDERS  
Secretary

MONICA BHAREL, MD, MPH  
Commissioner

Tel: 617-660-5370  
[www.mass.gov/medicalmarijuana](http://www.mass.gov/medicalmarijuana)

**MANAGEMENT AND OPERATIONS PROFILE**  
**Request for a Certificate to Registration to**  
**Operate a Registered Marijuana Dispensary**

**INSTRUCTIONS**

This application form is to be completed by a non-profit corporation that wishes to apply for a Certificate of Registration to operate a Registered Marijuana Dispensary ("RMD") in Massachusetts, and has been invited by the Department of Public Health (the "Department") to submit a *Management and Operations Profile*.

Once invited by the Department to submit a *Management and Operations Profile*, the applicant must submit the *Management and Operations Profile* within 45 days from the date of the invitation letter, or the applicant must submit a new *Application of Intent* and fee.

If invited by the Department to submit a *Management and Operations Profile* for more than one proposed RMD, you must submit a separate *Management and Operations Profile*, attachments, and application fee for each proposed RMD. Please identify each application of multiple applications by designating it as Application 1, 2 or 3 in the header of each application page. Please note that no executive, member, or any entity owned or controlled by such an executive or member, may directly or indirectly control more than three RMDs.

However, even if submitting a *Management and Operations Profile* for more than one RMD, an applicant need only submit one background check packet, including authorization forms for all required individuals, and fee associated with the background checks.

Unless indicated otherwise, all responses must be typed into the application forms. Handwritten responses will not be accepted. Please note that character limits include spaces.

Attachments should be labelled or marked so as to identify the question to which it relates.

Each submitted application must be a complete, collated response, printed single-sided, and secured with a binder clip (no ring binders, spiral binding, staples, or folders).

RECEIVED  
SEP 30 2015  
MA Dept. of Public Health  
99 Chauncy Street  
Boston, MA 02111

Mail or hand-deliver the *Management and Operations Profile*, with all required attachments, the \$30,000 application fee, and completed Remittance Form to:

Department of Public Health  
Medical Use of Marijuana Program  
RMD Applications  
99 Chauncy Street, 11<sup>th</sup> Floor  
Boston, MA 02111

RECEIVED

SEP 30 2015

MA Dept of Public Health  
99 Chauncy Street  
Boston, MA 02111

**All fees are non-refundable and non-transferable.**

## REVIEW

Applications are reviewed in the order they are received.

After a completed application packet and fee is received by the Department, the Department will review the information and will contact the applicant if clarifications/updates to the submitted application materials are needed. The Department will notify the applicant whether they have met the standards necessary to be invited to submit a *Siting Profile*.

## PROVISIONAL CERTIFICATE OF REGISTRATION

Applicants have one year from the date of the submission of the *Management and Operations Profile* to receive a Provisional Certificate of Registration. If an applicant does not receive a Provisional of Certificate of Registration after one year, the applicant must submit a new *Application of Intent* and fee.

## REGULATIONS

For complete information regarding registration of an RMD, please refer to 105 CMR 725.100.

It is the applicant's responsibility to ensure that all responses are consistent with the requirements of 105 CMR 725.000, et seq., and any requirements specified by the Department, as applicable.

## PUBLIC RECORDS

Please note that all application responses, including all attachments, will be subject to release pursuant to a public records request, as redacted pursuant to the requirements at M.G.L. c. 4, § 7(26).

## QUESTIONS

If additional information is needed regarding the RMD application process, please contact the Medical Use of Marijuana Program at 617-660-5370 or [RMDapplication@state.ma.us](mailto:RMDapplication@state.ma.us).

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Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: h

**CHECKLIST**

The forms and documents listed below must accompany each application, and be submitted as outlined above:

- ☒ A fully and properly completed *Management and Operations Profile*, signed by an authorized signatory of the applicant non-profit corporation (the "Corporation")
- ☒ A copy of the Corporation's *Articles of Incorporation*
- ☒ A copy of the Corporation's *Certificate of Good Standing* from the Massachusetts Secretary of State
- ☒ A copy of the Corporation's bylaws
- ☒ An *Employment and Education* form (use template provided) for each of the following individuals: The Corporation's Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations
- ☒ A bank or cashier's check made payable to the *Commonwealth of Massachusetts* for \$30,000
- ☒ A completed *Remittance Form* (use template provided)
- ☒ A sealed envelope with the name of the Corporation and marked "authorization forms," that contains the background check authorization forms (use forms provided) and fee, for each of the following actors:
  - Chief Executive Officer; Chief Operating Officer; Chief Financial Officer; individual/entity responsible for marijuana for medical use cultivation operations; individual/entity responsible for the RMD security plan and security operations; each member of the Board of Directors; each Member of the Corporation, if any; and each person and entity known to date that is committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, authorizations forms must be completed and signed by the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

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**SECTION A. APPLICANT INFORMATION**

1. Seven Point of Massachusetts, Inc.  
Legal name of Corporation
2. Brad A. Zerman  
Name of Corporation's Chief Executive Officer
3. 109 State Street, Suite 404, Boston, MA 02109  
Address of Corporation (Street, City/Town, Zip Code)
4. Brad A. Zerman  
Applicant point of contact (name of person Department of Public Health should contact regarding this application)
5. 312-593-8448  
Applicant point of contact's telephone number
6. brad@skyprocessing.com  
Applicant point of contact's e-mail address
7. Number of applications: How many *Management and Operations Profiles* do you intend to submit?  
3

**SECTION B. INCORPORATION**

8. Attach a copy of the corporation's *Articles of Incorporation*, documenting that the applicant is a non-profit entity incorporated in Massachusetts.
9. Attach a copy of the corporation's *Certificate of Good Standing* from the Massachusetts Secretary of State.
10. Attach a copy of the corporation's bylaws.

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: [Signature]

**SECTION C. NON-PROFIT COMPLIANCE**

Answer each of the questions below to explain how the Corporation will remain in compliance with the non-profit requirements of Ch. 369 of the Acts of 2012, the regulations at 105 CMR 725.000, and "Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance." Please refer to the "Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance" document in completing this form.

11. Please identify any management company that the applicant intends to utilize and summarize the terms of any agreement or contract, executed or proposed, with the management company.

Seven Point of Massachusetts, Inc. has not engaged any management company at this time

SPM would only utilize a management company if it determines, after consultation with its legal counsel, that the compensation to the management company is reasonable and reflects the fair market value of the supplies, equipment, and services being provided to the RMD. Any management contract proposed to SPM would require approval by a majority vote of SPM's independent Directors.

In the event that SPM elects to utilize a management company, it will be prepared to provide an independent legal opinion that the proposed contract complies with the non-profit requirements of Ch. 369 of the Acts of 2012, the regulations at 105 CMR 725.000, and the Department of Public Health's "Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance" and all information regarding the management company will promptly be disclosed to the DPH.

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12. Please identify any agreements or contracts, executed or proposed, in which the applicant will engage in a Related Party Transaction and summarize the terms of each such agreement.

Brad Zerman (Director/President/CEO) committed funds to Seven Point of Massachusetts, Inc. It is expected that SPM will execute a promissory note for funds provided to the RMD. While capital contributor lending terms have not been finalized, the interest rate will not exceed 18% per annum.

Ryan Goldstein (CFO) committed funds to Seven Point of Massachusetts, Inc. It is expected that SPM will execute a promissory note for funds provided to the RMD. While the capital contributor lending terms have not yet been finalized, the interest rate will not exceed 18% per annum.

Beth Ann Zerman committed funds to Seven Point of Massachusetts, Inc. It is expected that SPM will execute a promissory note for funds provided to the RMD. While the capital contributor lending terms have not yet been finalized, the interest rate will not exceed 18% per annum.

SPM is prepared to provide an independent legal opinion to ensure that any Related Party Transaction complies with the non-profit requirements of Ch. 369 of the Acts of 2012, the regulations at 105 CMR 725.000, & the DPH's "Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance." All information regarding Related Party Transactions will be promptly disclosed to DPH

13. Please identify whether any members of the Board of Directors are also serving as employees of the proposed RMD and, if so, their title and role with the proposed RMD.

Brad Zerman is a Director and President of Seven Point of Massachusetts, Inc. He will also serve as the Chief Executive Officer of Seven Point of Massachusetts, Inc.

14. Please identify whether any members of the Board of Directors are serving as officials, executives, corporate members or board members for any management company, investor or other third party proposed to contract or otherwise conduct business with the proposed RMD.

Brad Zerman, President/Director of Seven Point of Massachusetts, Inc., is also the Managing Member of Medical Cannabis Company of Massachusetts, LLC (LLC).

In the event SPM obtains a provisional registration to operate a RMD, the Members of the LLC plan to provide financing by loaning funds to SPM at an interest rate not to exceed 18% per annum. At this time, the LLC's only purpose will be to loan funds to SPM.

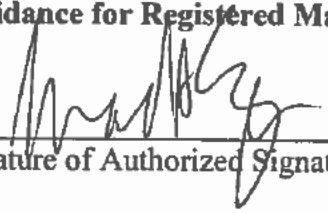
SPM is prepared to provide an independent legal opinion to ensure that any Related Party Transaction complies with the non-profit requirements of Ch. 369 of the Acts of 2012, the regulations at 105 CMR 725.000, & the DPH's "Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance." All information regarding any Related Party Transaction(s) will promptly be disclosed to the DPH.



15. Please identify any contract or agreement, executed or proposed, under which a percentage or portion of the applicant's revenue will be distributed to a third party and summarize the terms of any such agreement or contract.

Seven Point of Massachusetts, Inc. does not have any contract or agreement, executed or proposed, under which a percentage or portion of the applicant's revenue will be distributed to a third party.

The applicant agrees and attests that it will operate in compliance with all applicable state laws and regulations, including, but not limited to, laws regarding child support and taxation, as well as the "Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance."

  
Signature of Authorized Signatory

9/25/15  
Date Signed

Brad A. Zerman

CEO, Seven Point of Massachusetts, Inc.

Print Name of Authorized Signatory

Title of Authorized Signatory

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**SECTION D. EXPERIENCE**

16. Attach an *Employment and Education* form (use template provided) for each of the following individuals: The Corporation's Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations.
17. Describe the experience, and length of experience, of the Corporation's Chief Executive Officer, Chief Operations Officer, and Chief Financial Officer with running a non-profit organization or business.

Brad Zerman (CEO) has over 25 years of experience running businesses. He is founder & managing member of a company that was awarded a license to open a medical marijuana dispensary in IL. He is also founder & CEO of Qualtex Corp. (dba Sky Processing). Sky Processing is one of the nation's largest independent operators of ATMs and has dispensed an estimated \$5 billion in withdrawals since 2000, processes approx. 11 million transactions annually & provides ATM transaction processing services to more than 2200 locations, including 95 dispensaries. Brad has 25 years of experience designing and implementing sophisticated security and risk mitigation practices. He designed & manufactured secure outdoor-rated ATMs through brand Qualtex. Brad is an active member of the Chicago community. He is a founder, past president and Board Member of the E. Wicker Park Organization where he participated in the management of the Dean Park Committee, raised funds for the redevelopment of Dean Park and developed policies during the massive re-gentrification of E. Wicker Park. He is currently on the Council of the Graceland West Community Assn. In 2014, he was a mentor in the JCC PresentTense Program and in 2015 a judge in a Shark Tank-style event where Fellows competed for which venture would bring about the most social change. He is an active fundraiser and donor to the Jewish United Fund. He is a Director of Giving Rocks Foundation, a charity dedicated to finding a cure for Langerhan's Cell Histiocytosis. Brad graduated from the Univ. of Wisconsin.

Ryan Goldstein (CFO) has 20 years of experience in the real estate industry. As Founder and President of RWG Realty Company LLC, Ryan focuses on development, property management and brokerage services. Prior to RWG, Ryan worked at Richard Builders, Inc. as a Development Manager. Ryan started his real estate career at Ludwig & Co., where he was a VP of property management with responsibility for 3000+ units. He managed tenant relations, business operations and ensured profitability of the units. Prior to Ludwig, Ryan worked for Neoforma.com, a B2B healthcare company, in the medical equipment auction division. He is an active fundraiser and donor to the Lynn Sage Cancer Research Foundation at Northwestern Hospital, Renee Israel Foundation and has Co-Chaired the Lynn Sage Cancer Research Foundation Annual Golf Outing for the past 4 years. A 1999 graduate of the Univ. of Arizona, Ryan is a Licensed Real Estate Managing Broker.

Marie Swain (COO) has approximately 1 year of experience managing a business as the assistant manager of a medical marijuana dispensary in Rhode Island. In this role, she worked closely with outside growers on procurement of medicine (cannabis), ordered equipment/accessories, worked with labs to test medicine, ensured staffing needs were met, performed inventory on an-ongoing basis, ensured proper packaging of medical cannabis/edibles and reviewed purchase orders for medicine and merchandise.

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18. Describe the experience, and length of experience, of the Corporation's Chief Executive Officer, Chief Operations Officer, and Chief Financial Officer with providing health care services.

Brad Zerman (Chief Executive Officer) does not have experience with providing health care services.

Ryan Goldstein (Chief Financial Officer) worked in the healthcare industry for approximately two years in the medical equipment auction division of Neoforma.com, a B2B healthcare company. In this role, he advised hospital administrators on matters related to the disposition and/or transfer of medical equipment assets (i.e. x-ray equipment, surgical equipment, etc.) within their network. In addition to this advisory role, Ryan was part of the team that liquidated all remaining equipment from hospitals facing consolidation or closure. In 1999, Ryan received a B.A. in Media Arts with a focus in Health Education from the University of Arizona. Along with his course work, he volunteered at a rehab center in Tucson, AZ assisting people in various stages of recovery. Ryan has since transitioned to the real estate industry, however, he is an active fundraiser for the Lynn Sage Cancer Research Foundation at Northwestern Hospital.

Marie Swain (Chief Operations Officer) has over five years of experience with providing health care services. Most recently, she was the assistant manager of a medical marijuana dispensary in Rhode Island. In this role, she worked closely with outside growers on procurement of medicine (cannabis), ordered equipment and accessories, worked with labs on testing all medicine, ensured staffing needs were met, performed inventory on an ongoing basis, ensured proper packaging of medical cannabis and edibles and reviewed purchase orders for medicine and merchandise. Marie also had oversight of MIPS production, including the testing of all edibles to ensure potency remained consistent and proper packaging regulations were followed. She focused heavily on patient education and interacted with roughly 20 to 30 patients daily providing counseling and answering questions on the differences in strain types as well as general differences between sativa and indica strains. Given the volume of patients Marie worked with, she was able to suggest edibles, flowers or smoking versus vaporizing based on the patient's ailments. In addition to working in a medical marijuana dispensary, Marie worked for Amazing Charts, an electronic medical records company, where she gained a fundamental understanding of the importance of recordkeeping in the highly regulated healthcare industry. Marie began her health care career at CVS Pharmacy, where worked as a Pharmacy Technician. In addition to ensuring federal and state laws, rules and regulations were adhered to, Marie's responsibilities included processing prescriptions (i.e. selecting, counting and measuring drugs), ensuring proper labeling protocols were followed, and maintaining records in accordance with federal and state laws. Given her wide range of experience, Marie will be an integral part of the Seven Point of Massachusetts executive management team.

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19. Describe the experience, and length of experience, of the Corporation's Chief Executive Officer, Chief Operations Officer, and Chief Financial Officer with providing services for marijuana for medical purposes.

Brad Zerman (Chief Executive Officer) is the founder and managing member of an Illinois medical cannabis company that was awarded an authorization to operate a medical marijuana dispensary in Oak Park, Illinois. The dispensary is anticipated to open in 2016. Brad has created educational seminars geared towards prospective medical marijuana patients and his team hosts such seminars throughout the district and beyond where his dispensary will be located. Brad regularly attends industry conferences and workshops. Brad is a member of ILCIA, NCIA and is a director of the Medical Cannabis Alliance of Illinois.

Ryan Goldstein (Chief Financial Officer) does not have experience providing services for marijuana for medical purposes.

Marie Swain (Chief Operations Officer) has extensive experience providing services for marijuana for medical purposes. She served as assistant manager of a medical marijuana dispensary in Rhode Island. In this role, she worked closely with outside growers on procurement of medicine (cannabis), ordered equipment and accessories, worked with labs on testing all medicine, ensured staffing needs were met, performed inventory on an-ongoing basis, ensured proper packaging of medical cannabis and edibles and reviewed purchase orders for medicine and merchandise. Marie also had oversight of MIPS production, including the testing of all edibles to ensure potency remained consistent and proper packaging regulations were followed. She focused heavily on patient education and interacted with roughly 20 to 30 patients daily providing counseling and answering questions on the differences in strain types as well as general differences between sativa and indica strains. Given the volume of patients Marie worked with, she was able to suggest edibles, flowers or smoking versus vaporizing based on the patient's ailments. Marie's experience and knowledge providing services relating to medical marijuana, she will be a key member of Seven Point's executive management team and work closely with the CEO and Director of Cultivation.

20. Describe the experience, and length of experience, of the Corporation's individual/entity responsible for marijuana for medical use cultivation operations and individual/entity responsible for the RMD security plan and security operations with providing services for marijuana for medical purposes.

Steven Hickox (Head of Cultivation) 2010-Present. Steven Hickox is a founding member of Medicinal Wellness Center, LLC, (dba Oasis Cannabis Superstore). Founded in 2010 with the purpose of operating a vertically integrated marijuana business in CO, the company has experienced consistent growth and continues to be successful. Medicinal Wellness has annual gross revenues of approx. \$8 to \$10 million and employees approx. 40 employees in 4 retail stores and 4 grow warehouses, totaling 45,000 square feet. He is responsible for day to day operations, incl. site locations, corporate finance, oversees licensing requirements and ensures regulatory compliance. To date, Medicinal Wellness has had no licensing or regulatory violations. Steve is involved in both retail and growing operations, and visits all sites at minimum on a bi-weekly basis. In 1994, he founded 1031x.com, Inc., a company that acts as a Qualified Intermediary under section 1031 of the IRC. The company has a 20 year track record of successful growth and has handled more than a billion dollars for its client's exchange needs. Steve graduated from Colorado State University and received a JD from the Univ. of Colorado Law School. He has held a real estate broker license, mortgage broker license and insurance sales license and Steve maintains an active law license in Colorado where he practiced until 1994.

F. Mitchell Suzan, Jr. (Head of Security) 1984-Present. Mitchell Suzan is the president of ECM Security, a Massachusetts based company providing security services for commercial facilities, construction sites and events. Mitchell was employed by the Town of Mattapoisett Police Department for 27 years. As a Senior Patrolman he was responsible for responding to various emergency situations and taking appropriate action when necessary and conducted investigations as pertaining to the arrests. He served as the Old Rochester Regional School District's Resource Officer for 13 years, serving as a liaison between the Mattapoisett Police Department, school administration, students, parents, town departments, community organizations and the court system and was responsible for and implemented a lock down training program as well as lock down practice drills in the local schools on a regular basis. He also was the Elderly Affairs Officer, where he mentored and counseled on matters of safety, privacy and other issues pertinent to senior citizens. He was an active SWAT member for six years in the Southeastern Massachusetts Law Enforcement Council and hostage negotiation trained by the FBI. He has received training from Federal Hazmat Training in Texas and the Mass. Emergency Management Agency. From 1984-1987, Mitchell was a United States Army Military Police Investigator in the 1st MP Company at Fort Riley in KS where his duties and responsibilities included investigating crimes on a military post of 70,000 soldiers and civilians.

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**SECTION E. OPERATIONS**

21. Provide a summary of the RMD's operating procedures for the cultivation of marijuana for medical use.

Seven Point's (SPM) marijuana cultivation SOPs are intended to ensure agent, product & public safety; produce consistent, predictable yields based on accepted science; and create transparent, compliant & efficient operations. Our extensive SOPs address cultivation topics include, but are not limited to: CO2 Systems; Crop/Supply Management; Disease Management; Environmental Controls; Pest Management; Fertilizer/Soil/Media Management; Spray/Feeding Protocols; Nutrient Prep Form; Hygiene/Sanitation; Infected Handler Guidelines; Security/Limited Access Areas; Monitoring/Record keeping; Inventory Management/Storage; Procedure Variances; Quality Assurance; & Water Quality.

No non-organic pesticides will be used and cultivation will be consistent with DPH guidance on U.S. DOA 7 CFR, Part 205 organic requirements. Our cultivation process will use best practices to prevent contamination, including, but not limited to mold, fungus, bacterial diseases, rot, pests, nonorganic pesticides, mildew, & other contaminants identified as posing potential harm. The soil used for cultivation will meet the U.S. Agency for Toxic Substances & Disease Registry's Environmental Media Evaluation Guidelines for residential soil levels. Waste disposed of to minimize odor/pests.

Pursuant to 725.105(B)(1)(c)-(f), all phases of cultivation (strain selection, seed germination, grow mediums and organic nutrient selection, establishment of lighting grow cycle, harvesting, drying, & curing) will take place in designated, locked, limited access areas that are monitored by a surveillance system compliant with 725.110(D)(1)(d)-(i). All of our source soils/solids will be sampled/analyzed prior to use, when new soils/solids are received from a different source, & annually.

Proper sampling collection steps will be followed including use of disposable gloves, clean sampling area, appropriate tools & containers, no cross contamination & time records kept for each sample. Representative samples will be collected and maintained for DPH review with duplicate samples collected at least annually & 1 for every 20 samples. All logbooks & chain of custody forms will be available for DPH review. We will create & maintain cultivation and sampling diagrams for review. We will have a sufficient plumbing system & water supply & our water will be derived from a public source & public records of analysis will be maintained & available for DPH review.

Best Management Practice, Good Agricultural Practice, & Good Handling Practice will be used. SPM has adopted marijuana cultivation standards from the American Herbal Pharmacopoeia & the American Herbal Products Association & adapted USDA Organic Standards & FDA standards to create a holistic medical marijuana crop management system. We also have adopted or adapted the use of the HACCP Principles & Application Guidelines (National Advisory Committee on Microbiological Criteria for Foods).

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: 62

22. Describe the types and forms of Marijuana Infused Products ("MIPs") that the RMD intends to produce, if any.

Seven Point's (SPM) will produce high-quality MIPs & comply with DPH Regs. MIPs will be medicinal in nature, lab tested & packaged in dosage levels allowing patients to self-titrate. At minimum, product line will include: transdermal/topical salve and patches, creams/lotions, oral mucosal/sublingual dissolving tablets, tinctures, sprays, inhalation-ready-to-use CO2 extracted hash oils, pre-dosed oil vaporizers, ingestion-capsules, food/oil/beverages.

MIPs will have a legible, affixed label w/ letters at least 1/16" in size and info required by 725.105(E)(3), will not resemble commercially available candy & be packaged in plain/opaque tamper/child-proof containers w/o depictions except SPM logo. Accidental ingestion of edibles/beverages to be avoided via proper packaging & labeling. MIPs produced are not considered a food/drug per M.G.L. c94, s.1.

Marijuana (excluding MIPs) products will be properly, legibly and firmly labeled per 725.105(E)(2).

All products will be weighed with a certified Class II NTEP Balance.

Ingredients, THC/CBD concentration profiles will be on labels and may include: Tetrahydrocannabinol acid; Tetrahydrocannabivarin; Cannabinadiolic acid; Cannabidiol; Cannabinol; Cannabigerol; Cannabichromene; and other cannabinoids. Food additives will be approved/properly used. 3rd party sample results to calculate extract addition quantities for exact dosage & consistent results.

23. Provide a summary of the RMD's methods of producing MIPs, if the RMD intends to produce MIPs.

Seven Point (SPM) will create MIPs with consistent cannabinoid profiles to treat a range of debilitating conditions.

Ingredients from DPH approved source will be safe, unadulterated & labeled. Agents wear gloves & utilize ware washing facilities in kitchen w/o pests. Surfaces, utensils, equipment & linens properly sanitized, stored, dried & handled to prevent contamination during preparation, storage & display.

Proper cooling methods to prevent microorganism growth; approved thawing methods & accurate thermometers. Per DPH Regs, prepared on food-grade stainless steel tables & packaged in secure area with security cameras. MIPs tested pursuant to 725.105(C)(2) & HACCP compliant. TPHC used if appropriate.

Leaves & flowers of female plants processed in safe/sanitary manner, well cured & free of seeds, stems, dirt, sand, debris & other foreign matter; and free of contamination by mold, rot, fungus & bacterial disease.

Extraction/Refining: prep w/ lab quality grinder; oil (butter/olive oil), ethanol and/or supercritical CO2 extraction; external testing for cannabinoid profile & potency; decarboxylation. If practicable fractioning to produce pure active cannabinoids; fracture, separate & purify to produce concentrates that are high in specific, single cannabinoids using HPLC.



24. Provide a summary of the RMD's operating procedures for the provision for security at the RMD.

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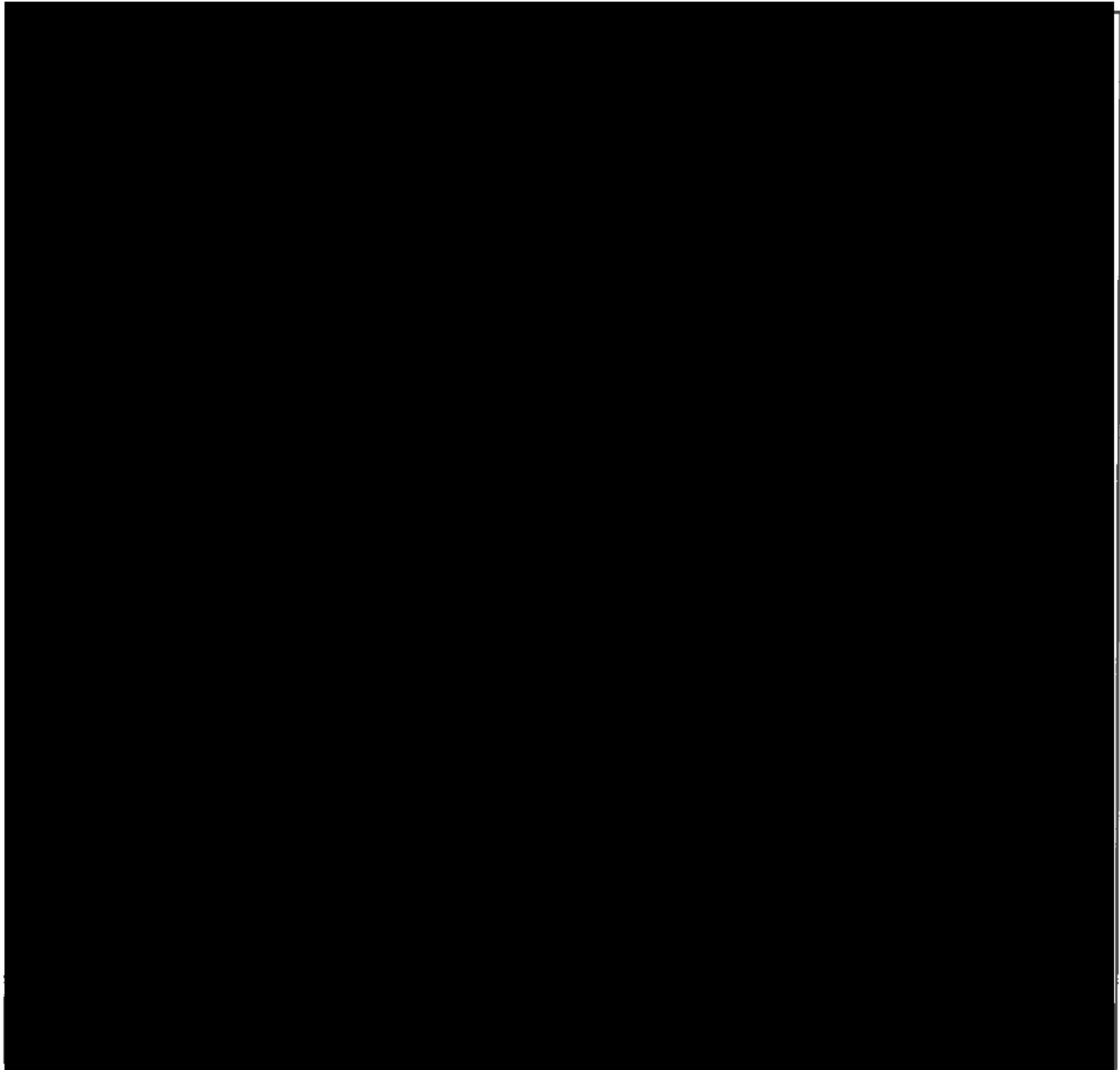
Information on this page has been reviewed by the applicant and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here:

25. Provide a summary of the RMD's operating procedures for the prevention of the diversion of marijuana.

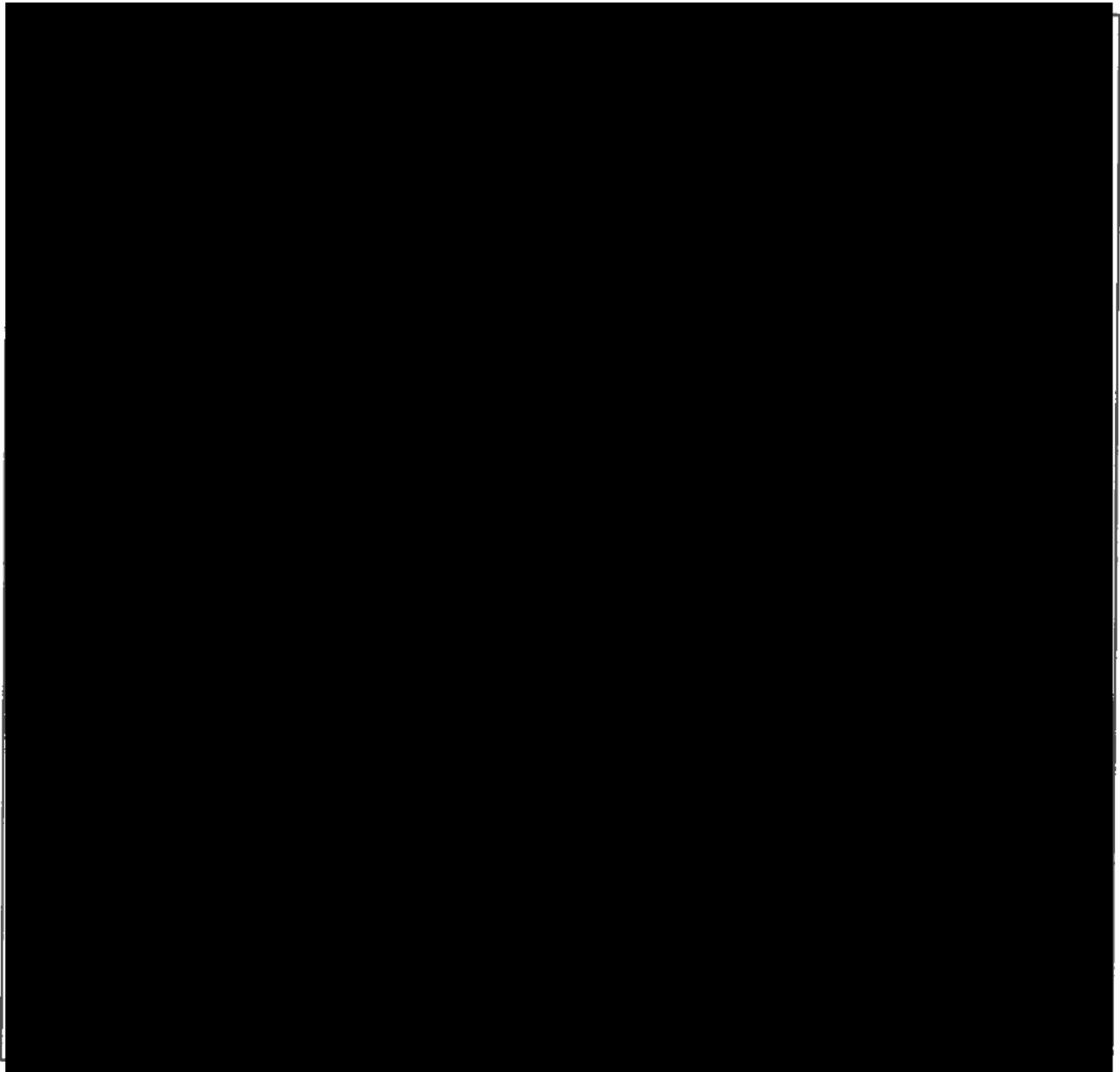


Information on this page has been reviewed by the applicant and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: LS

26. Provide a summary of the RMD's operating procedures for the storage of marijuana for medical use.

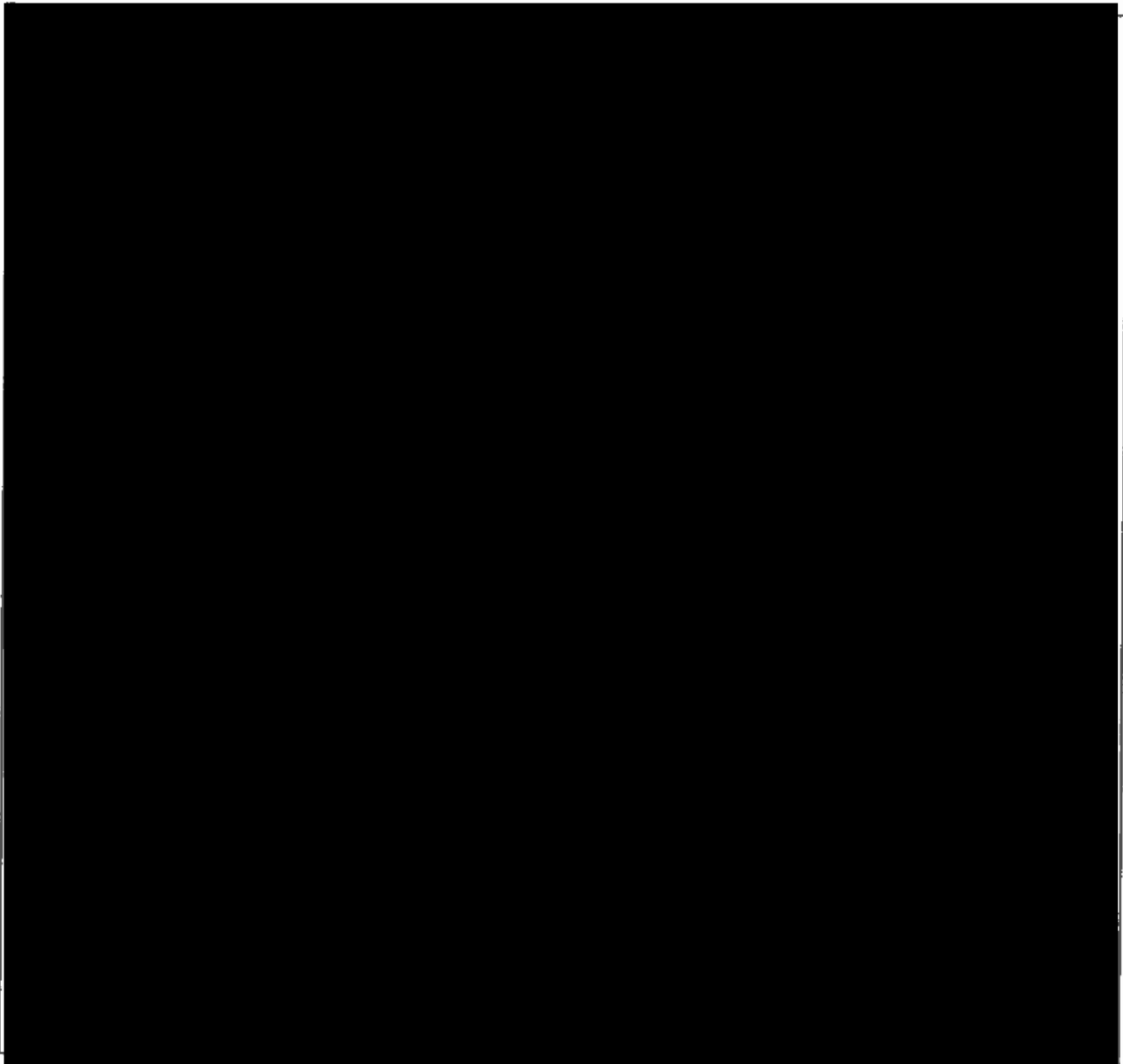


27. Provide a summary of the RMD's operating procedures for the transportation of marijuana for medical use.



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28. Provide a summary of the RMD's operating procedures for inventory management.



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29. Provide a summary of the RMD's operating procedures for quality control and testing of product for potential contaminants.

Seven Point (SPM) SOPs comply with DPH Regs and protocols for quality control/testing.

All sampling:

- Decontaminated area
- Proper tools & disposable gloves
- Separate & store in appropriate container; no cross contamination
- Use Chain of Custody form
- Records kept > 1yr

Finished MJ:

- Sample from 5% of finished MJ
- Collection plan for each product type produced
- Log-collection date/time; agent IDs; product type & procedure (grinding, mixing); sample ID'd by batch#, ID#, batch impacted
- Labels-batch/sample ID#+date/time of collection & agent ID

Grow Media:

- Source soils & solids prior to use, when changed, not less than 1X/ 6 mo. w/ duplicates every 20X
- Media lots tracked to plants used with and logged per DPH Regs.
- Non-PWS: sampled prior to use & quarterly; PWS: records maintained & avail.

Testing:

Completed post sampling by DPH approved independent ISO 17025 lab. Tested for cannabinoid profile and contaminants (mold, mildew, heavy metals, plant-growth regulators, non-organic pesticides, & info DPH requires). Excess MJ from lab destroyed by SPM. Lab to provide signed narrative detailing:

- Sample
- Analysis
- Methods
- Chain of custody docs
- Info sought
- Summary & detail of results incl. units of measure, date/time

Results kept >1yr; how destruction of product, investigation of source of contamination & mitigation steps. No SPM agent will have financial interest in lab. No lab employee will get compensation from SPM. Lab employees to be registered SPM agents.

30. Provide a summary of the RMD's operating procedures for maintaining confidentiality of registered qualifying patients, personal caregivers, and dispensary agents, as required by law.

In compliance with 105 CMR 725.200, 725.105(H)(I), patients, caregivers, & agent info is confidential & shall not be disclosed without the written consent of the individual to whom the information applies, or as required under or court order. DPH may access this information to carry out official duties. Hard copies of records stored in secure locked area, w/ limited access. Any loss/alteration of records related to MJ/MIPS, patients, caregivers, or agents reported to DPH, law enforcement & protected party.

Agents will have documented mandatory confidentiality training.

Patient tracking software will be in accordance with HIPAA principles & encrypted. Network servers will be protected by SSL, firewall, biometric locks in a secure area with 24hr surveillance. Software & infrastructure will be updated regularly, including relevant security patches. Software will be compliant & compatible with DPH's electronic system. Access to database limited to key agents.

Data security strategies incl. frequent password changes, length & character diversity requirements for passwords, restrictions of personal flash/thumb drives on computers, marking software for each system and securing when not in use.

Emails to patients will say "Confidential" & be sent on secure servers, either individually, using BCC, or using secure bulk email and will not provide patient info or refer to MJ in subject line.

Depending on siting & balanced with security, parking, entrance & exit will be discreet.

## 31. Provide a summary of the RMD's personnel policies.

Seven Point (SPM) is in compliance with 105 CMR 725.105(1)(4) & committed to safety, professional development, compliance, equitable compensation & healthcare benefits. SPM to register in the MMJ Online System. BOD/agents/EMTs/volunteers will be RMD agents & remain compliant per 725.030(A)-(C) & (E). Personnel records will be kept for at least 1yr following termination. SPM to notify DPH no more than 1 business day after termination.

To strengthen the local community, recruiting locally is a priority. Agents will receive training on confidentiality, internal security policies, emergency procedures and will complete training specific to their job function. Agents will receive, at minimum, 8hrs of on-going training annually.

Any agent who diverts MJ or engages in unsafe practices will be dismissed and such activities will be reported to law enforcement & DPH.

Agents in contact with MJ or non-edible MIPs, will be subject to the requirements for food handlers specified in 105 CMR 300.000 & shall conform to sanitary & good hygienic practices.

SPM provides equal opportunities regardless of race, religion, ethnicity, sexual orientation or other factors resulting in discrimination. Policies include: safety, harassment, work hours, job reviews, maintaining alcohol, tobacco, & drug free workplace, compensation, benefits, vacation/holidays/leaves, training/professional development, record keeping, agent registration, compliance, security, standards of conduct, confidentiality.

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: 



32. Provide a summary of the RMD's operating procedures for dispensing of marijuana for medical use.

[Redacted content]

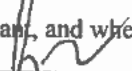
Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: [Signature]

## 33. Provide a summary of the RMD's operating procedures for record keeping.

SPM's SOPs ensure records are accurate & compliant with 105 CMR 725.105(G)-(I). Records maintained & avail. upon request include, but not limited to:

- Inventory
- Sales/Seed-to-Sale Tracking/Cultivation Records- incl. ID of buyer, quantity, form, price & inventory records per DPH Regs
- Personnel Records-incl. staffing plan/org charts/job descriptions/training materials; list of non-profit BOD&EMT; records for agents kept for >1 yr. after termination incl. agent registration/de-registration notification, age, crim. history incl. CORI (separate from others); agents' written acknowledgment of limitations on cultivate/harvest/prepare/package/transport/dispense authority; attestation that agent will not divert; DPH ID card; agent application fee; yearly renewal of DPH ID; changes to submitted info; salaries/wages/stipends/compensation/bonuses/benefits to anyone associated with SPM
- Business Records-assets & liabilities; monetary transactions; account info incl. journals/ledgers/ supporting documents/agreements/checks/invoices/vouchers; 3rd party financial audits
- Training Records
- Patient Ed. Materials
- Waste Disposal
- Transportation
- Product Testing
- Recalls/Withdrawals/Complaints
- Security
- Incident Reports-immediately notify PD & DPH w/in 24hrs of discovering loss/unauthorized alteration of records
- SOPs/Changes to SOPs
- DPH correspondence

Records kept in secure location per DPH Regs. After closure, records kept min of 2yrs at SPM's expense in form/location acceptable to DPH.

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: 

## 34. Provide a summary of the RMD's plans for providing patient education.

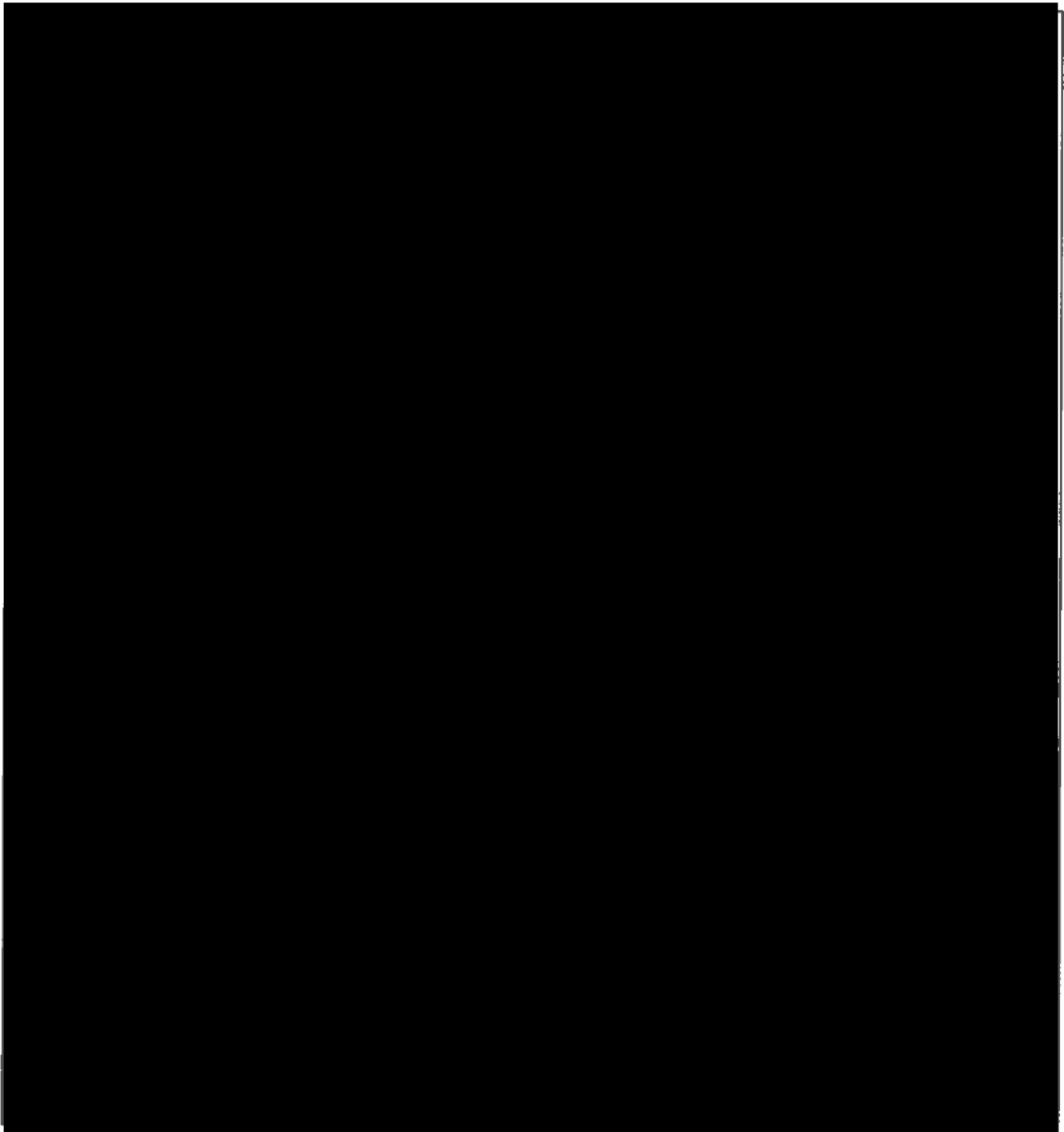
Pursuant to 725.105(K), Seven Point will have an adequate supply of up-to-date educational materials for patients & caregivers. Materials will be available in languages accessible to all of patients & caregivers, including for the visually- and hearing-impaired.

In addition to patient consultation/education, SPM will hold educational meetings. Education materials include, but are not limited to:

- Warnings: FDA has not analyzed or approved marijuana; there is limited information on side effects; potential health risks; marijuana should be kept away from children; driving under the influence of marijuana is prohibited by M.G.L. c. 90, s. 24; machinery should not be operated
- Info to assist in selection, descriptions of the differing effects of strains, as well as the various forms & routes of administration
- Patient Log: to track the strains used & their associated effects
- Dosage Guidelines: proper dosage & titration for each route of administration; emphasis on using the smallest amount possible to achieve the desired effect; impact of potency explained
- Info regarding tolerance, dependence & withdrawal
- Substance abuse signs & symptoms & referral info for treatment programs
- Statement that patients may not distribute marijuana to other individuals & that unused, excess, or contaminated product must be returned to RMD for disposal
- Patient rights
- Condition-specific booklets
- Any other info required by DPH

All materials will be made available to DPH upon request.

35. Provide a summary of the RMD's operating procedures for patient or personal caregiver home-delivery, if the RMD plans to provide home-delivery services.



36. Provide a summary of the RMD's policies and procedures for the provision of marijuana for medical use to registered qualifying patients with verified financial hardship without charge or at less than the market price.

Seven Point (SPM) is committed to assisting those with verified financial hardships.

Our financial hardship program is an income-based sliding scale program. Proof of financial hardship may come in various forms: MassHealth, SSI, SSDI, SNAP. Patients will be eligible for the program if those patients' incomes do not exceed 300% of the Federal Poverty Level (FPL) (adjusted for family size). Proof of assistance from other income-based support programs will serve as sufficient evidence for enrollment.

Should the patient submit financial data for consideration, SPM will not retain copies of their personal information. The budget & number of patients given access to the program will grow as revenue permits.

We will limit the amounts of free/low cost medicine to avoid diversion by patients who are given excess medicine and may sell it. Any irregularities in the program will be investigated and reported to the DPH.

The amount of free/low cost medicine will be determined as follows with income compared to FPL:

- $\leq 100\%$  of FPL: free up to 2 gram/week, 50% discount thereafter up to .25 ounce/month. Paraphernalia at cost
- $> 100\%$  but  $\leq 200\%$  of FPL: free up to 1 gram/week, 35% discount thereafter up to .25 ounce/month. Paraphernalia at cost + 10%
- $> 200\%$  but  $\leq 300\%$  of FPL: free up to .5 gram/week, 20% discount thereafter up to .25 ounce/month. Paraphernalia at cost + 20%

## 37. Provide a summary of the training(s) that the RMD intends to provide to Dispensary Agents.

Seven Point (SPM) has identified areas training required for all agents to perform job duties and functions safely and in compliance with all applicable laws and regulations. Training programs will be tailored to the roles and responsibilities of the job function of each dispensary agent. Dispensary agents must complete training prior to performing job functions. At a minimum, 8 hours of on-going training will be required annually. All training records will include a signed statement of the agent indicating the date, time, and place they received training and the topics discussed, including the name and title of presenters.

Training, depending on agent position, will include:

- New agent orientation; Overview of the Act for Humanitarian Use of Medical Marijuana & 105 CMR 725.000
- Smoke, alcohol & drug free workplace
- Storage
- Patient & caregiver identification
- Compliance, regulation, & law
- Privacy & Confidentiality
- Cultivation & MIPs processing, safety, & security
- Dispensary safety & security & LAAs
- Emergency & incident management
- Inventory management & diversion prevention
- Manufacturing safety & security
- Waste disposal
- Community & patient interaction
- Record keeping & reporting
- Product handling & sanitation
- Transportation
- Advertising restrictions
- Whistleblowing
- Law enforcement interaction
- Internal audits
- Patients w/disabilities
- Testing/sampling
- Dispensing limits
- Packaging/labeling

Our SOPs also detail training evaluations & performance reviews.

38. Will the Corporation provide worker's compensation coverage to the RMD's Dispensary Agents?

Yes ☒ No ☐

39. Will the Corporation obtain professional and commercial insurance coverage?

Yes ☒ No ☐

40. Describe the Corporation's plan to obtain liability insurance or place in escrow the required amount to be expended for coverage of liabilities.

Seven Point plans to contract with an insurance provider to maintain general liability insurance coverage for no less than \$1,000,000 per occurrence & \$2,000,000 in aggregate annually & product liability coverage for no less than \$1,000,000 per occurrence & \$2,000,000 in aggregate annually. The deductible for this policy will be no higher than \$5,000 per occurrence.

If adequate coverage is unavailable in the marketplace at a reasonable rate, SPM will place in escrow at least \$250,000 to be expended for coverage of liabilities. Any withdrawal from escrow will be replenished within 10 business days.

SPM will carry automobile coverage, as well as property and casualty coverage. Coverage to include business interruption protection. Replacement cost will be used to value all property ensuring a full recovery in event of a catastrophe. Business interruption coverage will allow SPM to continue paying employees, vendors, taxes & fees during reconstruction, if necessary. It will also provide capital for any necessary emergency inventory purchases from another RMD.

SPM will also carry personal & advertising injury insurance, as well as employment practice liability coverage for directors & officers. Staff that transports cash or medical marijuana/MIPs will be bonded. RMD will consider additional coverage based on availability & a cost-benefit analysis.

SPM will keep reports documenting compliance with 725.105(Q) made in a manner & form determined by the DPH pursuant to 725.105(M)

**SECTION F. CAPITAL CONTRIBUTORS**

List all persons and entities known to date that are committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, list the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

Attach additional tables if needed.

Individual Name	Amount of Initial Capital Committed	Percentage of Initial Capital Committed
Brad Zerman and Beth Ann Zerman	\$ 375,000.00	75%
Ryan W. Goldstein	\$ 125,000.00	25%
	\$	
	\$	
	\$	

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: AG



Entity Name	Leadership Names	Amount of Initial Capital Committed	Percentage of Initial Capital Committed
N/A	Entity CEO/ED:  Entity President/Chair:	\$	
	Entity CEO/ED:  Entity President/Chair:	\$	
	Entity CEO/ED:  Entity President/Chair:	\$	
	Entity CEO/ED:  Entity President/Chair:	\$	
	Entity CEO/ED:  Entity President/Chair:	\$	

Information on this page has been reviewed by the applicant and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: 

## ATTESTATIONS

Signed under the pains and penalties of perjury, I, the authorized signatory of the non-profit applicant corporation, agree and attest that all information included in this application is complete and accurate and that I have an ongoing obligation to submit updated information to the Department if the information presented within this application has changed.

  
Signature of Authorized Signatory

9/25/15  
Date Signed

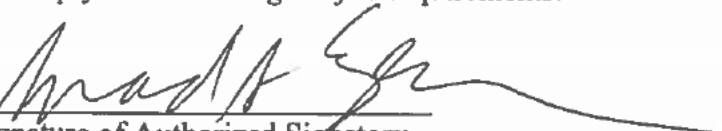
Brad A. Zerman

Print Name of Authorized Signatory

CEO, Seven Point of Massachusetts, Inc.

Title of Authorized Signatory

I hereby attest that if the corporation is allowed to proceed to submit a *Siting Profile*, the corporation is prepared to comply with all *Siting Profile* requirements.

  
Signature of Authorized Signatory

9/25/15  
Date Signed

Brad A. Zerman

Print Name of Authorized Signatory

CEO, Seven Point of Massachusetts, Inc.

Title of Authorized Signatory



**The Commonwealth of Massachusetts**  
**William Francis Galvin**

Minimum Fee: \$35.00

Secretary of the Commonwealth, Corporations Division  
One Ashburton Place, 17th floor  
Boston, MA 02108-1512  
Telephone: (617) 727-9640

**Articles of Organization**

(General Laws, Chapter 180)

Identification Number: 001179293

**ARTICLE I**

The exact name of the corporation is:

SEVEN POINT OF MASSACHUSETTS, INC.

**ARTICLE II**

The purpose of the corporation is to engage in the following business activities:

TO ENGAGE IN CIVIC, EDUCATIONAL, AND BENEVOLENT ACTIVITIES PER MGL CH. 180 §4.

**ARTICLE III**

A corporation may have one or more classes of members. If it does, the designation of such classes, the manner of election or appointments, the duration of membership and the qualifications and rights, including voting rights, of the members of each class, may be set forth in the by-laws of the corporation or may be set forth below:

MAY BE SET FORTH IN BY-LAWS.

**ARTICLE IV**

Other lawful provisions, if any, for the conduct and regulation of the business and affairs of the corporation, for its voluntary dissolution, or for limiting, defining, or regulating the powers of the corporation, or of its directors or members, or of any class of members, are as follows:  
(If there are no provisions state "NONE")

1. THE CORPORATION SHALL HAVE, IN FURTHERANCE OF ITS CORPORATE PURPOSES, ALL OF THE POWERS SPECIFIED IN SECTION 6 OF CHAPTER 180 AND IN SECTIONS 9, 9A AND 9B OF CHAPTER 156B OF THE MASSACHUSETTS GENERAL LAWS (EXCEPT THOSE PROVIDED IN PARAGRAPH (M) OF SAID SECTION 9) AS NOW IN FORCE OR AS HEREAFTER AMENDED, AND MAY CARRY ON ANY OPERATION OR ACTIVITY REFERRED TO IN ARTICLE 2 OF THE ARTICLES OF ORGANIZATION TO THE SAME EXTENT AS MIGHT AN INDIVIDUAL, EITHER ALONE OR IN A JOINT VENTURE OR OTHER ARRANGEMENT WITH OTHERS, OR THROUGH A WHOLLY OR PARTIALLY OWNED OR CONTROLLED CORPORATION; PROVIDED, HOWEVER, THAT NO SUCH POWER SHALL BE EXERCISED IN A MANNER INCONSISTENT WITH SAID CHAPTER 180 OR ANY OTHER CHAPTER OF THE MASSACHUSETTS GENERAL LAWS. 2. NO PERSONAL LIABILITY. A. THE DIRECTORS AND OFFICERS OF THE CORPORATION SHALL NOT BE PERSONALLY LIABLE FOR ANY DEBT, LIABILITY OR OBLIGATION OF THE CORPORATION. ALL PERSONS, CORPORATIONS OR OTHER ENTITIES EXTENDING CREDIT TO, CONTRACTING WITH OR HAVING ANY CLAIM AGAINST THE CORPORATIONS MAY LOOK ONLY TO THE FUNDS AND PROPERTY OF THE CORPORATION FOR THE PAYMENT OF ANY SUCH CONTRACT

OR CLAIM OR FOR THE PAYMENT OF ANY DEBT, DAMAGES, JUDGMENT OR DECREE, OR OF ANY MONEY THAT MAY OTHERWISE BECOME DUE OR PAYABLE TO THEM FROM THE CORPORATION. B. THE OFFICERS AND DIRECTORS OF THE CORPORATION SHALL HAVE NO PERSONAL LIABILITY TO IT OR TO ITS MEMBERS FOR MONETARY DAMAGES FOR BREACH OF FIDUCIARY DUTY AS AN OFFICER OR DIRECTOR NOTWITHSTANDING ANY PROVISION OF LAW IMPOSING SUCH LIABILITY. NOTWITHSTANDING THE GENERALITY OF THE FOREGOING, SUCH PROVISION SHALL NOT ELIMINATE OR LIMIT THE LIABILITY OF ANY OFFICER OR DIRECTOR A) FOR ANY BREACH OF THE OFFICER'S OR DIRECTOR'S DUTY OF LOYALTY TO THE CORPORATION, B) FOR ACTS OR OMISSIONS NOT IN GOOD FAITH OR IN WHICH INVOLVE INTENTIONAL MISCONDUCT OR A KNOWING VIOLATION OF LAW, OR C) FOR ANY TRANSACTION FROM WHICH THE OFFICER OR DIRECTOR DERIVED AN IMPROPER PERSONAL BENEFIT. 3. REFERENCES. ALL REFERENCES HEREIN: (I) TO THE GENERAL LAWS OF THE COMMONWEALTH OF MASSACHUSETTS, OR ANY CHAPTER THEREOF, SHALL BE DEEMED TO REFER TO SAID GENERAL LAWS OR CHAPTER AS NOW IN FORCE OR HEREAFTER AMENDED; AND (II) TO PARTICULAR SECTIONS OF THE GENERAL LAWS OF THE COMMONWEALTH OF MASSACHUSETTS SHALL BE DEEMED TO REFER TO SIMILAR OR SUCCESSOR HEREAFTER ADOPTED. 4. THE BY-LAWS MAY BE AMENDED IN WHOLE OR IN PART PURSUANT TO THE PROCEDURES SET FORTH IN THE BY-LAWS.

Notes: The preceding four (4) articles are considered to be permanent and may only be changed by filing appropriate Articles of Amendment.

#### ARTICLE V

The by-laws of the corporation have been duly adopted and the initial directors, president, treasurer and clerk or other presiding, financial or recording officers, whose names are set out on the following page, have been duly elected.

#### ARTICLE VI

The effective date of organization of the corporation shall be the date approved and filed by the Secretary of the Commonwealth. If a later effective date is desired, specify such date which shall not be more than *thirty days* after the date of filing.

06/26/2015

#### ARTICLE VII

The information contained in Article VII is not a permanent part of the Articles of Organization.

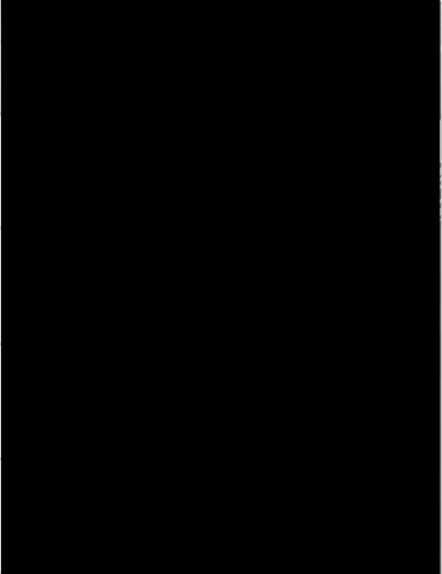
a. The street address (post office boxes are not acceptable) of the principal office of the corporation in Massachusetts is:

No. and Street: 109 STATE STREET  
SUITE 404

City or Town: BOSTON State: MA Zip: 02109 Country: USA

b. The name, residential street address and post office address of each director and officer of the corporation is as follows:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	BRAD A ZERMAN		Until successors are duly elected and qualified
TREASURER	ROBERT F RADIN		Until successors are duly elected and qualified

CLERK	NABIL UDDIN		Until successors are duly elected and qualified
DIRECTOR	NABIL UDDIN		Until successors are duly elected and qualified
DIRECTOR	BRAD A ZERMAN		Until successors are duly elected and qualified
DIRECTOR	ROBERT F RADIN		Until successors are duly elected and qualified
DIRECTOR	DOUGLAS IAN MCGREGOR		Until successors are duly elected and qualified

c. The fiscal year (i.e., tax year) of the business entity shall end on the last day of the month of:  
December

d. The name and business address of the resident agent, if any, of the business entity is:

Name: LESLEY SCOTT GORDON, ESQ  
No. and Street: 10 MILK STREET  
SUITE 720  
City or Town: BOSTON State: MA Zip: 02108 Country: USA

I/We, the below signed incorporator(s), do hereby certify under the pains and penalties of perjury that I/we have not been convicted of any crimes relating to alcohol or gaming within the past ten years. I/We do hereby further certify that to the best of my/our knowledge the above-named officers have not been similarly convicted. If so convicted, explain:

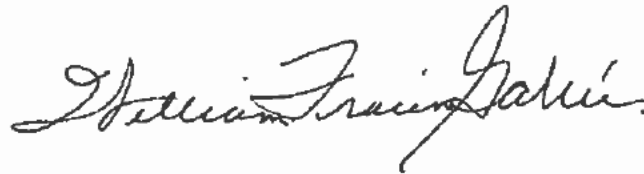
**IN WITNESS WHEREOF AND UNDER THE PAINS AND PENALTIES OF PERJURY, I/we, whose signature(s) appear below as incorporator(s) and whose name(s) and business or residential address (es) beneath each signature do hereby associate with the intention of forming this business entity under the provisions of General Law, Chapter 180 and do hereby sign these Articles of Organization as incorporator(s) this 26 Day of June, 2015. (If an existing corporation is acting as incorporator, type in the exact name of the business entity, the state or other jurisdiction where it was incorporated, the name of the person signing on behalf of said business entity and the title he/she holds or other authority by which such action is taken.)**

BRAD A. ZERMAN, PRESIDENT

THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

June 26, 2015 04:04 PM

A handwritten signature in black ink, reading "William Francis Galvin". The signature is written in a cursive style with a large, stylized initial 'W'.

WILLIAM FRANCIS GALVIN

*Secretary of the Commonwealth*



William Francis Galvin  
Secretary of the  
Commonwealth

*The Commonwealth of Massachusetts*  
*Secretary of the Commonwealth*  
*State House, Boston, Massachusetts 02133*

Date: August 25, 2015

To Whom It May Concern :

I hereby certify that according to the records of this office,  
**SEVEN POINT OF MASSACHUSETTS, INC.**

is a domestic corporation organized on **June 26, 2015**

I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 180 section 26 A, for revocation of the charter of said corporation; that the State Secretary has not received notice of dissolution of the corporation pursuant to Massachusetts General Laws, Chapter 180, Section 11, 11A, or 11B; that said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.



In testimony of which,  
I have hereunto affixed the  
Great Seal of the Commonwealth  
on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth

Certificate Number: 15084960290

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

Processed by: smc

**BY-LAWS OF SEVEN POINT OF MASSACHUSETTS, INC.**  
**A MASSACHUSETTS NON-PROFIT CORPORATION**

**ARTICLE I: General**

**Section 1.** Name. The name of the Corporation is Seven Point of Massachusetts, Inc.

**Section 2.** Purpose. The purpose of the Corporation shall be as set forth in the Corporation's Articles of Organization as adopted and filed with the Office of the Secretary of State of the Commonwealth of Massachusetts (as now in effect or as hereafter amended or restated from time to time, the "*Articles of Organization*"). As permitted by law, the Corporation may engage in any and all activities in furtherance of, related to, or incidental to these purposes, the activities being lawful for a non-profit corporation formed under Chapter 180 of the General Laws of Massachusetts ("*Chapter 180*"). Any revenue from the Corporation shall be used solely in furtherance of the Corporation's purposes.

**Section 2.** Articles of Organization. These Bylaws (these "*Bylaws*"), the powers of the Corporation and its Board of Directors, and all matters concerning the conduct and regulation of the business of the Corporation shall be subject to the provisions in regard thereto that may be set forth in the Articles of Organization. In the event of any conflict or inconsistency between the Articles of Organization and these Bylaws, the Articles of Organization shall control.

**Section 3.** Corporate Seal. The Board of Directors may adopt and alter the seal of the Corporation. The seal of the Corporation, if any, shall, subject to alteration by the Board of Directors, bear its name, the word "Massachusetts" and the year of its incorporation.

**Section 4.** Fiscal Year. The fiscal year of the Corporation shall commence on January 1, and end on December 31 of each year, unless otherwise determined by the Board of Directors.

**Section 5.** Location of Offices of Corporation. The principal office of the Corporation shall be 109 State Street, Suite 404, Massachusetts, 02109. The Board of Directors may approve a change of the location of the principal office in the Commonwealth of Massachusetts effective upon the filing of a certificate indicating the new location with the Office of the Secretary of State of the Commonwealth of Massachusetts. The Corporation may establish and maintain offices in such other locations, within and outside of the Commonwealth of Massachusetts, as the Board of Directors may determine.

**ARTICLE II: Members**

**Section 1.** Identity of Initial and Sole Member. The initial and sole member of the Corporation shall be Brad Zerman, a resident of Chicago, IL (hereinafter referred to herein as the "*Original Member*"). In the event the Original Member dies or becomes incapacitated and, at that time, there are no other Members (as defined below), the legal representative of the estate of the Original Member shall be vested with exclusive authority to appoint a substitute Member, subject to such terms and conditions, including terms and conditions

Seven Point of Massachusetts, Inc.

Corporate Bylaws



with respect to voting rights, as such legal representative may determine to be appropriate at the time of such appointment.

**Section 2.** Additional and Substitute Members. The Original Member may increase the number of members of the Corporation and may appoint additional members and substitute members on such terms and conditions, including terms and conditions related to voting rights, as the Original Member may from time to time determine ("*Additional Members*"). Rights conferred upon an Additional Member by the Original Member, including voting rights, need not be uniform for all Additional Members. As used in these Bylaws, the term "*Member*" shall refer only to the Original Member (including his substitute Member described in Section 1 of this Article), unless and until the Original Member acts to designate one or more Additional Members as specified in these Bylaws, at which time the term "*Member*" shall refer to the Original Member and the Additional Members collectively.

**Section 3.** Tenure. Unless a different term is designated at the time an Additional Member is admitted by the Original Member, for so long as a Member continues to comply with the qualifications, rules and regulations applicable to Membership as shall be established from time to time by the Member, each such Member shall continue to be a Member in good standing until such Member dies, resigns, withdraws, dissolves, becomes incapacitated or disqualified.

**Section 4.** Resignation. Any Member may resign by delivering a written resignation to the President or Clerk of the Corporation, to the Board of Directors, or to the principal office of the Corporation. Such resignation shall be effective upon receipt (unless specified to be effective at another time), and acceptance thereof shall not be necessary to make it effective; *provided, however*, that the non-resigning Members may act to accept such resignation immediately or at any other time sooner than the time specified by such resigning Member in his, her, or its resignation.

**Section 5.** Annual Meeting. The annual meeting of the Member shall be held on such day and at such hour as may be named in the notice of such meeting designated by the Member. In the event that the annual meeting is not held on such date, a special meeting in lieu thereof may be held with all of the force and effect of an annual meeting.

**Section 6.** Special Meetings. Special meetings of the Member may be called by the Member, the President or by a majority of the Directors, and shall be noticed by the Clerk, or in the case of the death, absence, incapacity or refusal of the Clerk, by any other officer.

**Section 7.** Notice. A written notice of the date, place, and hour of all meetings stating the purposes of the meeting shall be given by the Clerk (or by any other officer) at least seven calendar (7) days before the meeting to the Member. The Member may waive notice either before or after a meeting.

**Section 8.** Action by Written Consent. Any action required or permitted to be taken at any meeting of the Member may be taken without a meeting and without notice if the Member consents to the action in writing and the written consent is filed with the records of the

meetings of the Member. Such consent shall be treated for all purposes as a vote at a meeting.

**Section 9.** Powers of the Member. In addition to and without limiting the powers, rights and privileges the Member shall have that are afforded to "members" of a Corporation organized under Chapter 180, the Articles of Organization, these Bylaws and other applicable law, the actions and powers of the Corporation listed below shall be reserved exclusively to the Member:

- (a) Amend or amend and restate the Articles of Organization;
- (b) Adopt, amend or repeal these Bylaws;
- (c) Appointment, removal or suspension of any Director of the Corporation;
- (d) Change in the number of members of the Board of Directors of the Corporation;
- (e) Approval of any sale or other disposition of all, or substantially all, of the assets or operations of the Corporation;
- (f) Approval of any merger or consolidation of the Corporation;
- (g) Approval of any plan of dissolution of the Corporation, or other action related to dissolution or liquidation of the Corporation; and
- (h) Appointment, suspension or removal of a Member of the Corporation; and
- (i) Authorize the Corporation to enter into any agreement to do any of the foregoing.

### **ARTICLE III: Directors**

**Section 1.** Enumeration; Qualifications. The Corporation shall have a Board of Directors that shall serve as the governing body of the Corporation and shall have all the powers and duties of a board of directors under Massachusetts law, subject to Section 9 of Article II and Section 7 of this Article. The Board of Directors shall consist of such number of Directors as shall be determined initially by the incorporator, and thereafter by the Member (but not less than the minimum number required by law). Directors shall possess such qualifications as may be determined by the Member.

**Section 2.** Election of Directors; Term of Office. Directors shall be elected by the Member at an annual meeting of the Member or at any special meeting held in lieu thereof by the affirmative vote of the Member or by written consent of the Member. Subject to other

provisions of these Bylaws, unless the Member specifies a different term at the time of election or appointment, each Director shall, subject to these Bylaws, serve until the next annual meeting of the Member, or special meeting held in lieu thereof, and until his or her successor is duly elected and qualified, or until he or she sooner dies, becomes incapacitated, resigns, is removed or becomes disqualified.

Section 3. Vacancies. Any vacancy at any time existing in the Board of Directors (including any newly created seats on the Board) may be filled by the Member at any meeting of the Member or by written consent of the Member. Unless the Member specifies a different term at the time of election or appointment, each successor Director shall hold office for the remainder of his or her predecessor's unexpired term and until his or her successor is duly elected and qualified, or in each case until he or she sooner dies, resigns, is removed or becomes disqualified.

Section 4. Resignation. Any Director may resign by delivering his or her written resignation to the Corporation at its principal office, to any meeting of the Board of Directors, or to the President or Clerk of the Corporation. Such resignation shall be effective upon receipt (unless it is specified to be effective at some other time or upon the happening of some other event) and acceptance thereof shall not be necessary to make it effective unless it so states; *provided, however*, that the Board of Directors may act to accept such resignation immediately or at any other time sooner than the time specified by such resigning Director in his or her resignation.

Section 5. Removal. A Director may be removed from office, with or without cause, by the affirmative vote of the Member. A Director may be removed for cause only after reasonable notice and opportunity to be heard prior to action thereon.

Section 6. Powers. The Board of Directors shall manage, control and be responsible for oversight of the affairs and property of the Corporation, and at all times may exercise on behalf of the Corporation all lawful powers, rights and privileges of the Corporation under Chapter 180 and any other applicable law, except those powers reserved to the Member by law, the Articles of Organization or these Bylaws. The Board of Directors, in its discretion, may from time to time establish committees, appoint individuals to serve as members of any such committee, define or limit the powers and duties of any such committee, and thereafter may disband the same. The Board of Directors may delegate its powers, or a portion thereof, to committees that either consist solely of Directors or give voting power only to Directors on any such committee, except that the Board of Directors may not delegate the powers specified in Section 55 of Chapter 156B of the Massachusetts General Laws or other actions under Massachusetts law that require action by the Board of Directors including, without limitation, the Board may not delegate the power to:

- (a) Change the location of the principal office of the Corporation;
- (b) Adopt, amend or repeal these Bylaws;
- (c) Change the number of Directors;

- (d) Appoint, elect, suspend or remove Directors or officers;
- (e) Amend or amend and restate the Articles of Organization;
- (f) Authorize any sale, lease, exchange or other disposition of all or substantially all of the assets of the Corporation;
- (g) Authorize any merger or consolidation of the Corporation; or
- (h) Authorize the dissolution of the Corporation.

Subject to these Bylaws and applicable law, the Board of Directors may authorize officers, attorneys or agents of the Corporation to act on its behalf subject to such limitations as the Board of Directors determines.

Section 7. Compensation of Directors. Directors as such shall not receive any salaries for their services on the Board of Directors, but Directors shall not be precluded from serving the Corporation in any other capacity and receiving reasonable compensation for any such services. The Corporation may reimburse Directors for reasonable expenses incurred in the performance of their duties to the Corporation as approved by the Board of Directors. A Director, solely because of being a member of the Board of Directors, shall not be precluded from serving the Corporation in any other capacity and receiving reasonable compensation for any such other service.

#### **ARTICLE IV: Meetings of the Board of Directors**

Section 1. Place. Meetings of the Board of Directors shall be held at such place within or outside of Massachusetts as may be determined by the Board and identified in the notice of any such meeting.

Section 2. Regular and Special Meetings. Regular meetings of the Board of Directors may be held at such hour as may be determined by the Board and identified in the notice of such meeting. Special meetings of the Board may be called by the Member, the President or by a majority of the Directors, and shall be noticed by the President or the Clerk, or in the case of the death, absence, incapacity or refusal of the President or the Clerk, by the Member or any other officer.

Section 3. Notice. Unless otherwise required by law, the Articles of Organization or these Bylaws, notice of each meeting of the Board of Directors shall be given, not later than two (2) business days before the meeting is scheduled to commence, by the President or the Clerk (or the Member or other officer as set forth in Section 1 above) and each such notice shall state the place, date and time of the meeting. Notice of each meeting may be delivered to a Director by hand or given to a Director orally (either by telephone or in person) or mailed, sent by electronic mail or sent by facsimile transmission to a Director at his residence or usual place of business. If mailed, the notice shall be deemed given when deposited in the United States mail, postage prepaid; if sent by electronic mail, the notice shall be deemed given when directed to an electronic mail address at which the Director has consented to receive notice; and if sent by facsimile transmission, the notice shall be

deemed given when transmitted with transmission confirmed. Notice of any meeting need not be given to any Director who shall submit, either before or after the time stated therein, a signed waiver of notice or who shall attend the meeting, other than for the express purpose of objecting at the beginning thereof to the transaction of any business because the meeting is not lawfully called or convened. Notice of an adjourned meeting, including the place, date and time of the new meeting, shall be given to all Directors not present at the time of the adjournment, and also to the other Directors unless the place, date and time of the new meeting are announced at the meeting at the time at which the adjournment is taken.

Section 4. Quorum; Action at Meetings; Proxy Voting Not Permitted. Except as otherwise provided by law, the Articles of Organization or these Bylaws, at all meetings of the Board of Directors, a majority of the total number of Directors then in office shall constitute a quorum for the transaction of business, and the vote of a majority of the Directors present and voting at a meeting when a quorum is present shall be the act of the Board. A majority of the Directors present, whether or not a quorum is present, may adjourn any meeting to another time, date and place. Each Director shall be entitled to one (1) vote on any matter that comes before the Board of Directors. There shall be no voting by proxy.

Section 5. Action by Unanimous Written Consent. Any action required or permitted to be taken at any meeting of the Directors may be taken without a meeting and without notice if all the Directors consent to the action in writing and the written consents are filed with the records of the meetings of the Directors. Such consents shall be treated for all purposes as a vote at a meeting.

Section 6. Presence through Communications Equipment. Unless otherwise provided by law or the Articles of Organization, members of the Board of Directors or any committee thereof may participate in a meeting by means of a conference telephone or similar communications equipment so that all persons participating in the meeting can hear each other at the same time and participation by such means shall constitute presence in person at the meeting.

## **ARTICLE V: Officers and Agents**

Section 1. Enumeration. The officers of the Corporation shall be a President, a Treasurer, a Clerk, and such other officers, if any, as the Board of Directors may from time to time determine. The Corporation may also have such agents, if any, as the Board of Directors may appoint from time to time and each shall have such powers as may be designated from time to time by the Board of Directors.

Section 2. Term of Office. Subject to other provisions of these Bylaws, unless the Board of Directors indicate a different term at the time of election or appointment, officers of the Corporation shall serve at the pleasure of the Board of Directors, and until their respective successors are elected and qualified, or in each case until he or she sooner dies, resigns, is removed or becomes disqualified.

Section 3. Qualifications. An officer of the Corporation may but need not be a Director of the Corporation. Any two (2) or more offices may be held by the same person. The Clerk shall be a resident of the Commonwealth of Massachusetts unless the Corporation has a resident agent appointed for the purpose of service of process. Any officer may be required by the Directors to give bond for the faithful performance of his or her duties to the Corporation in such amount and with such sureties as the Directors may determine. The premiums for such bonds may be paid by the Corporation. Officers shall meet such other qualifications as the Board of Directors may determine from time to time.

Section 4. Vacancies. Any vacancy at any time existing in any office of the Corporation may be filled by the Directors at any meeting of the Board of Directors and such successor shall serve at the at the pleasure of the Board of Directors, and until his or her successor is chosen and qualified, or in each case until he or she sooner dies, resigns, is removed or becomes disqualified.

Section 5. Resignation. Any officer or agent may resign by delivering his or her written resignation to the Corporation at its principal office, to any meeting of the Board of Directors, or to the President or Clerk of the Corporation, and such resignation shall be effective upon receipt (unless it is specified to be effective at some other time or upon the happening of some other event) and the acceptance thereof shall not be necessary to make it effective unless it so states; *provided, however*, that the Board of Directors may act to accept such resignation immediately or at any other time sooner than the time specified by such resigning officer in his or her resignation.

Section 6. Removal. The Board of Directors may remove any officer, with or without cause, by the affirmative vote of not less than a majority of the total number of Directors then in office at any regular meeting or special meeting of the Board of Directors. An officer may be removed for cause only after reasonable notice and opportunity to be heard prior to action thereon. Each agent appointed by the Board shall retain his or her authority at the pleasure of the Board of Directors and each agent so appointed may be removed, with or without cause, at any time by the Board of Directors.

Section 7. President. The President shall be the chief executive officer of the Corporation and as such shall have charge of the affairs of the Corporation subject to the supervision of the Board of Directors. Subject to limitations that the Board of Directors may approve generally or in any specific instance, all checks drawn on bank accounts of the Corporation may be signed on its behalf by the President or such other persons as may be authorized from time to time by the Board of Directors. The President shall also have such other powers and duties as customarily belong to the office of the chief executive or as may be designated from time to time by the Board of Directors. The President shall be responsible for the administration of the Corporation in all its activities subject to such policies as may be adopted and such orders as may be issued by the Board of Directors from time to time, or by any committees of the Board to which the authority for such action has been specifically delegated.

Section 8. Treasurer. The Treasurer shall have such powers and duties as customarily belong to the office of Treasurer or as may be designated from time to time by the Board of Directors. The Treasurer shall have the power to endorse for deposit or collection all notes, checks, drafts and similar documents that are payable to the Corporation or its order, provided that the Treasurer shall not deposit any funds of the Corporation in any banking institution unless such institution has been designated as a depository by a vote of the majority of the members of the Board of Directors, exclusive of any Director who is an officer or Director of the depository so designated. Subject to limitations that the Board of Directors may approve generally or in any specific instance, all checks drawn on bank accounts of the Corporation may be signed on its behalf by the Treasurer or such other persons as may be authorized from time to time by the Board of Directors.

Section 9. Clerk. The Clerk shall record all proceedings of the Member and the Board of Directors in a book or books to be kept therefor and shall have custody of the seal of the Corporation. If the Clerk is absent from any meeting of the Board of Directors, a temporary Clerk shall be chosen at the meeting who shall keep a true record of the proceedings thereof.

Section 10. Additional Powers and Duties. Each officer shall, subject to these Bylaws and to any applicable provisions of law and the Articles of Organization, have, in addition to the duties specifically set forth in these Bylaws, such duties and powers as are customarily incident to such officer's office and such additional duties and powers as the President or the Directors may from time to time designate.

Section 11. Compensation of Agents and Employees. The Corporation may pay compensation in reasonable amounts to its officers, agents and employees for services rendered, such amount to be fixed by the Board of Directors, or, if the Board of Directors delegate power to any officer or officers, then by such officer or officers; *provided, however*, any such officer or officers authorized by the Board of Directors to fix compensation may not be authorized to fix his or her own compensation. The Directors may require officers, agents or employees to give security for the faithful performance of their duties.

#### **ARTICLE VI: Inspection of Records**

Books, accounts, documents and records of the Corporation shall be open to inspection by any Director for any proper purpose during the usual hours of business. The original, or attested copies, of the Articles of Organization, these Bylaws and records of all meetings and actions of the Member, the Board of Directors and its committees, and records which shall contain the names of all Directors and their record addresses, shall be kept in the Commonwealth of Massachusetts at the principal office of the Corporation, or at an office of the Clerk, attorney of record or the resident agent, if any, of the Corporation.

#### **ARTICLE VII: Execution of Instruments; Evidence of Authority**

Section 1. Checks, Notes, Drafts and Other Instruments. Unless the Board of Directors shall otherwise generally or in any specific instance authorize: (i) all checks, notes, drafts, and

Seven Point of Massachusetts, Inc.

Corporate Bylaws

other instruments for the payment of money drawn or endorsed in the name of the Corporation shall be signed by the President or the Treasurer of the Corporation or such other officers and/or agents as shall be authorized to do so from time to time by the Board of Directors; and (ii) contracts, leases, transfers, conveyances, deeds, notes, bonds and all other written instruments shall be signed in the name and on behalf of the Corporation by the President or the Treasurer of the Corporation or such other officers and/or agents as shall be authorized to do so from time to time by the Board of Directors, and such person or persons so signing such instrument may also seal, acknowledge, and deliver the same. Any instrument purporting to affect an interest in real estate, executed in the name of the Corporation, shall be executed by any two (2) officers of the Corporation, at least one of whom shall be the President or the Treasurer of the Corporation and shall be binding on the Corporation in favor of a purchaser or other person relying in good faith on such instrument, notwithstanding any inconsistent provisions of the Articles of Organization, Bylaws, resolutions or votes of the Corporation.

**Section 2.** Evidence of Authority. A certificate executed by the Clerk, an assistant clerk or a temporary clerk as to any action taken by the Board of Directors, or any officer or representative of the Corporation shall, as to all persons who rely thereon in good faith, be conclusive evidence of such action.

#### **ARTICLE VIII: Conflict of Interest; Transactions with Interested Parties**

**Section 1.** Conflict of Interest Policy. All Directors, officers and other persons in a position of significant authority designated by the Board of Directors or the President of the Corporation shall adhere to such policies on conflicts of interest as may be adopted from time to time by the Directors and the Member.

**Section 2.** Transactions with Interested Parties. Nothing shall prevent the Corporation from entering into any contract or transaction between the Corporation and one or more of its Member(s), Directors or officers, or between the Corporation and any other corporation, partnership, association, or other organization in which one or more of the Corporation's Member(s), Directors or officers are directors or officers, or have a financial interest. In addition, no contract or transaction between the Corporation and one or more of its Member(s), Directors or officers, or between the Corporation and any other corporation, limited liability company, partnership, association, or other organization in which one or more of the Corporation's Member(s), Directors or officers are directors or officers, or have a financial interest, shall be void or voidable solely for this reason, or solely because the Member, Director or officer is present at or participates in the meeting of the Board of Directors or committee thereof which authorizes the contract or transaction or solely because the votes of such Member, Director or officer are counted for such purpose, if:

- (a) The material facts as to his, her or its relationship or interest and as to the contract or transaction are disclosed or are known to the Board of Directors or the committee, and the Board of Directors or committee authorizes the contract or transaction by the affirmative votes of a



majority of the disinterested Directors, even though the disinterested Directors be less than a quorum; or

- (b) The material facts as to his, her or its relationship or interest and as to the contract or transaction are disclosed or are known to the Member (provided that the Member is disinterested), and the contract or transaction is specifically approved by vote of the Member; or
- (c) The contract or transaction is fair as to the Corporation as of the time it is authorized, approved or ratified, by the Board of Directors, a committee thereof, or the Member.

#### **ARTICLE IX: Personal Liability; Indemnification**

Section 1. Personal Liability. The Member, Directors and officers of the Corporation shall not be personally liable for any debt, liability or obligation of the Corporation. All persons, corporations or other entities extending credit to, contracting with, or having any claim against, the Corporation, may look only to the funds and property of the Corporation for the payment of any such contract or claim, or for the payment of any debt, damages, judgment or decree, or of any money that may otherwise become due or payable to them from the Corporation.

Section 2. Indemnification. The Corporation shall, to the fullest extent legally permissible, indemnify each Indemnified Person (as defined below) against all liabilities and losses, including amounts paid in satisfaction of judgments, in compromise or as fines, penalties, excise taxes, court costs, witness fees, and Costs and Expenses (as defined below) incurred by, or imposed upon, the Indemnified Person in connection with or arising out of the defense or disposition of any Proceeding (as defined below) in which the Indemnified Person is or may become involved or with which the Indemnified Person may be threatened, while in office or thereafter, as a party, witness or otherwise, by reason of his or her being or having been an Indemnified Person.

Notwithstanding the foregoing, as to any matter disposed of by a compromise payment by an Indemnified Person, pursuant to a consent decree or otherwise, no indemnification for said payment shall be provided unless such compromise and indemnification therefor shall be approved:

- (a) By a majority vote of a quorum consisting of disinterested Directors;
- (b) If such a quorum cannot be obtained, then by a majority vote of a committee of the Board of Directors consisting of all the disinterested Directors then in office;
- (c) By the Member if it is disinterested;

- (d) If there are not two or more disinterested Directors in office, then by a majority of the Directors then in office, provided they have obtained a written finding by Independent Legal Counsel (as defined below) appointed by a majority of the Directors to the effect that, based upon a reasonable investigation of the relevant facts as described in such opinion, the Indemnified Person appears to have acted in good faith and in the reasonable belief that the Indemnified Person's actions were in the best interests of the Corporation (or, to the extent that such matter relates to service with respect to a Related Entity, in the best interests of the Related Entity (and, if the Related Entity is an employee benefit plan, in the best interests of the participants or beneficiaries of such plan)); and with such care as an ordinarily prudent person in a like position with respect to a similar corporation organized under Chapter 180 would use under similar circumstances; or
- (e) By a court of competent jurisdiction.

Costs and Expenses incurred by an Indemnified Person in connection with the defense or disposition of any Proceeding shall be paid promptly by the Corporation in advance of the final disposition thereof upon receipt of an undertaking by such Indemnified Person to repay the amounts so paid by the Corporation if it is ultimately determined that indemnification for such Costs and Expenses is not authorized by law or under this Article. Such undertaking shall be accepted without reference to the financial ability of such Indemnified Person to make repayment.

If both the Corporation and the Indemnified Person are parties to a Proceeding (other than a claim, action or suit by or in the right of the Corporation to procure a judgment in its favor), counsel representing the Corporation therein also may represent such Indemnified Person (unless such dual representation would involve such counsel in an actual or potential conflict of interest in violation of applicable principles of professional ethics), and the Corporation shall pay all Costs and Expenses of such counsel incurred during the period of dual representation.

The right of indemnification hereby provided shall not be exclusive. Nothing contained in this Article shall affect any rights to indemnification to which such Indemnified Person or other corporate personnel may be entitled by contract, by vote of the Board of Directors or otherwise under law.

Section 3. Definitions. As used in this Article, the terms:

- (a) "*Costs and Expenses*" means all reasonable costs and expenses incurred by an Indemnified Person in investigating, defending or appealing any Proceeding, including attorneys', accountants', experts' and other professional fees and disbursements; reasonable compensation for time spent by the Indemnified Person on behalf of

Seven Point of Massachusetts, Inc.  
Corporate Bylaws

the Corporation during which he or she is not otherwise compensated by the Corporation or a third party; any premium, security for, and other costs relating to any costs or other appeal bond or its equivalent, whether such Proceeding is of a civil, criminal, arbitrational, administrative or investigative nature, whether formal or informal, including an action by or in the name of the Corporation. Costs and Expenses shall include all reasonable costs and expenses incurred by an Indemnified Person, including attorneys', accountants', experts' and other professional fees and disbursements, in connection with successfully establishing his or her right to indemnification, in whole or in part, hereunder.

- (b) "*Indemnified Person*" means each person who:
- (i) Shall be, or at any time shall have been, a Director or officer of the Corporation, or
  - (ii) At the request of the Corporation, shall serve, or at any time shall have served, as an incorporator, director, trustee, officer, employee, agent, member, manager or in any other capacity with respect to any Related Entity, or
  - (iii) The Board of Directors by vote shall designate, who shall be, or at any time shall have been, an employee or agent of the Corporation or who shall serve, or at any time shall have served, at the request of the Corporation, in any capacity with respect to any Related Entity.
- (c) "*Proceeding*" means any threatened, pending or completed claim, action, suit or proceeding or any alternative dispute resolution mechanism (including an action by or in the right of the Corporation or an affiliate of the Corporation) or any formal or informal inquiry, hearing or investigation, whether conducted by the Corporation or an affiliate of the Corporation or any other party, and any other action that an Indemnified Person in good faith believes might lead to the institution of any such action, suit or proceeding, whether civil, criminal, arbitrational, administrative, investigative or other, including any appeal relating to any of the foregoing.
- (d) "*Related Entity*" means any corporation, limited liability company, partnership, joint venture, trust or other entity or enterprise in which the Corporation is in any way interested in, or as to which an Indemnified Person is serving or shall have served at the Corporation's request or on its behalf, as a director, officer, partner, employee, agent, fiduciary, member, manager or representative including, but not limited to, any employee benefit plan or any

Seven Point of Massachusetts, Inc.

Corporate Bylaws

corporation of which the Corporation or any Related Entity is, directly or indirectly, a stockholder, member, limited or general partner, beneficiary or creditor.

- (e) *"Independent Legal Counsel"* means a law firm, or a member of a law firm, that is experienced in matters of corporation law and neither presently is, nor in the previous five (5) years has been retained to represent: (A) the Corporation or the Indemnified Person in any other matter material to either party, or (B) any other party to the threatened, pending or completed proceeding or action giving rise to the claim for indemnification hereunder. Notwithstanding the foregoing, the term "Independent Legal Counsel" shall not include any person who, under the applicable standards of professional conduct then prevailing, would have a conflict of interest in representing either the Corporation or the Indemnified Person in a proceeding or action to determine the Corporation's obligations or such Indemnified Person's rights hereunder.
- (f) "Person," "director," "officer," "employee," "agent" and "Indemnified Person" shall include their respective heirs, executors and administrators, and an "interested" director, officer, employee or agent is one against whom in such capacity the Proceedings in question or other Proceedings on the same or similar grounds is then pending.

Section 4. Enforceability. It is the intention of the Corporation that the obligations of the Corporation, and the rights and benefits of Indemnified Persons shall vest upon the date of adoption of these Bylaws. It is the further intention of the Corporation to provide for indemnification in all cases under all circumstances where to do so would not violate applicable law (and notwithstanding any limitations permitted, but not required by statute or common law) and the terms and provisions of this Article shall be interpreted and construed consistent with that intention. Nonetheless, if any term or provision of this Article, or the application thereof to any person or circumstances, shall to any extent be held invalid or unenforceable, the remainder of this Article, or the application of such term or provision to persons or circumstances other than those as to which it is held invalid or unenforceable, shall not be affected thereby, and each term and provision of this Article shall be held valid and be enforced to the fullest extent permitted by law. Any amendment, alteration or repeal of this Article or Chapter 180 that adversely affects any right of an Indemnified Person (or his or her respective heirs, executors and administrators), shall be prospective only and shall not limit or eliminate any such right with respect to any Proceeding involving any occurrence or alleged occurrence of any action or omission to act that took place prior to any such amendment, alteration or repeal.

Section 5. Insurance. The Corporation shall have the power to purchase and maintain insurance on behalf of any Indemnified Person against any liability asserted against or

incurred by him or her in such capacity, or arising out of his or her status as such, whether or not the Corporation would have the power to indemnify or advance expenses to him or her against such liability.

#### **ARTICLE X: Advisory Boards or Committees**

The Board of Directors may determine to establish one or more advisory boards or committees to further the goals of the Corporation. Advisory boards or committees may be constituted of persons who are not Directors, but have an interest and willingness to advance the purposes of the Corporation. Any advisory board or committee may be discontinued by the Board of Directors at any time. An advisory board or committee shall be required to make at least annual reports to the Board of Directors.

#### **ARTICLE XI: Nondiscrimination Policy**

Persons of all races, religions, genders, sexual orientations and national origins shall be entitled to all the rights and privileges generally made available by the Corporation through the activities and programs that it conducts, and the Corporation shall not discriminate on the basis of race, religion, gender, sexual orientation, national origin or disability.

#### **ARTICLE XII: Amendments**

These Bylaws may be altered, amended or repealed, in whole or in part, solely by the Member.

## Appendix 1

### SEVEN POINT OF MASSACHUSETTS, INC. WHISTLEBLOWER POLICY

#### I. EXPECTATION

Seven Point of Massachusetts, Inc. (the "*Corporation*") expects directors, officers and employees to observe high ethical standards in carrying out their responsibilities and to comply with all applicable laws and regulations.

#### II. OPEN DOOR POLICY

If any director, officer or employee has complaints, concerns, or questions as to the ethics or legality of a particular action taken by another director, officer or employee, he/she is encouraged to raise such complaints, concerns or questions with the relevant individual. With respect to directors, the relevant individual is the president of the board of directors or any other director. With respect to officers and employees, the relevant individual is the Chief Executive Officer, if there is one in office, and if not, any member of the board. In the event the director, officer or employee believes there may have been a legal transgression, and that it is not reasonable to raise the issue with a board member or the Chief Executive Officer, he/she should contact an outside attorney. Anyone filing a complaint concerning a violation or suspected violation of a law, regulation or ethical requirement must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Individuals making baseless or malicious accusations will be disciplined up to and including termination.

#### III. REQUIREMENT OF INVESTIGATION

Within a reasonable time of receiving a complaint, concern or question regarding compliance with a law, regulation or ethics requirement, the Chief Executive Officer and/or board member shall open an investigation into the matter and pursue it to resolution. Should the Chief Executive Officer or board member find that a law, regulation or ethics requirement has been violated, appropriate action should be taken.

#### IV. CONFIDENTIALITY

To the degree possible, the names of the individuals reporting under this Whistleblower Policy shall be kept confidential.

#### V. PROTECTION FROM RETALIATORY ACTION

Neither the Corporation nor its directors, officers, or employees may take any negative employment or other retaliatory action against any director, officer or employee who in good faith reports a violation of a law or regulatory requirement. A director, officer, or

Seven Point of Massachusetts, Inc.

Appendix 1

employee who retaliates against someone who has reported a violation in good faith is subject to discipline including, but not limited to, termination of employment.

## VI. GENERAL POLICY

This general policy is not a contract and it may be rescinded or amended at any time by the Corporation. It is not intended to and does not create any legally enforceable rights whatsoever for any employee.

## Appendix 2

### SEVEN POINT OF MASSACHUSETTS, INC. DOCUMENT RETENTION AND DESTRUCTION POLICY

#### I. RETENTION POLICY

Seven Point of Massachusetts, Inc. (the "*Corporation*") takes seriously its obligations to preserve information relating to litigation, audits, and investigations. The information listed in the retention schedule below is intended as a guideline and may not contain all the records the Corporation may be required to keep in the future, and may include records that the Corporation is not required to keep.

From time to time, the Corporation may suspend the destruction of records due to pending, threatened, or otherwise reasonably foreseeable litigation, audits, government investigations, or similar proceedings.

<b>File Category</b>	<b>Item</b>	<b>Retention Period</b>
<b>Corporate Records</b>	<i>Bylaws and Articles of Organization</i>	Permanent
	<i>Board resolutions</i>	Permanent
	<i>Board and committee meeting agendas and minutes</i>	Permanent
	<i>Conflict-of-interest disclosure forms</i>	4 years
<b>Finance and Administration</b>	<i>Financial statements (audited)</i>	7 years
	<i>Auditor management letters</i>	7 years
	<i>Payroll records</i>	7 years
	<i>Check register and checks</i>	7 years
	<i>Bank deposits and statements</i>	7 years
	<i>Chart of accounts</i>	7 years
	<i>General ledgers and journals (includes bank reconciliations)</i>	7 years
	<i>Investment performance reports</i>	7 years
	<i>Equipment files and maintenance records</i>	7 years after disposition
	<i>Contracts and agreements</i>	7 years after all obligations end
	<i>Correspondence — general</i>	3 years

Seven Point of Massachusetts, Inc.

Appendix 2



<b>Insurance Records</b>	<i>Policies — occurrence type</i>	Permanent
	<i>Policies — claims-made type</i>	Permanent
	<i>Accident reports</i>	7 years
	<i>Safety (OSHA) reports</i>	7 years
	<i>Claims (after settlement)</i>	7 years
	<i>Group disability records</i>	7 years after end of benefits
<b>Real Estate</b>	<i>Deeds</i>	Permanent
	<i>Leases (expired)</i>	7 years after all obligations end
	<i>Mortgages, security agreements</i>	7 years after all obligations end
<b>Tax</b>	<i>IRS Tax returns and related correspondence</i>	Permanent
	<i>IRS Form 1120s</i>	7 years
	<i>State Tax returns</i>	7 years
<b>Human Resources</b>	<i>Employee personnel files</i>	Permanent
	<i>Retirement plan benefits (plan descriptions, plan documents)</i>	Permanent
	<i>Employee handbooks</i>	Permanent
	<i>Workers comp claims (after settlement)</i>	7 years
	<i>Employee orientation and training materials</i>	7 years after use ends
	<i>Employment applications</i>	3 years
	<i>IRS Form I-9 (store separate from personnel file)</i>	Greater of 1 year after end of service, or three years
	<i>Withholding tax statements</i>	7 years
	<i>Timecards</i>	3 years
<b>Technology</b>	<i>Software licenses and support agreements</i>	7 years after all obligations end

## II. ELECTRONIC DOCUMENTS AND RECORDS

Seven Point of Massachusetts, Inc.

Appendix 2

Electronic documents will be retained as if they were paper documents. Therefore, any electronic files that fall into one of the document types on the above schedule will be maintained for the appropriate amount of time. If a user has sufficient reason to keep an e-mail message, the message should be printed in hard copy and kept in the appropriate file or moved to an "archive" computer file folder. Backup and recovery methods should be tested on a regular basis.

### III. EMERGENCY PLANNING

The Corporation's records should be stored in a safe, secure, and accessible manner. Documents and financial files that are essential to keeping the Corporation operating in an emergency will, if possible, be duplicated or backed up at least weekly and maintained off-site.

### IV. DOCUMENT DESTRUCTION

Documents should be eliminated at the end of the relevant retention period. Destruction of financial and personnel-related documents should be accomplished by shredding.

Document destruction with respect to relevant documents will be suspended immediately, upon any indication of an official investigation or when a lawsuit is filed or appears imminent. Destruction will be reinstated upon conclusion of the investigation or lawsuit.

### V. COMPLIANCE

The Corporation's will periodically review these procedures with legal counsel or Corporation's certified public accountant to ensure that they are in compliance with new or revised regulations.

## Appendix 3

### SEVEN POINT OF MASSACHUSETTS, INC. COMPENSATION SETTING POLICY

#### I. INTRODUCTION

This policy codifies the procedures by which the board of directors of Seven Point of Massachusetts, Inc. (the "*Corporation*") sets the compensation of directors, top management officials, officers and key employees ("Executive Compensation"). These procedures are designed to comply with the "safe harbor" requirements set forth in the tax regulations on intermediate sanctions to create a rebuttable presumption of reasonableness in compensation levels.

#### II. POLICY

The board of directors shall oversee the setting of Executive Compensation and shall (1) determine compensation of all directors, top management officials, officers and key employees, and (2) review, assess and approve the reasonableness of such compensation on a regular basis. In order to be approved as reasonable, compensation must be an amount that would ordinarily be paid for comparable work by similarly situated organizations under like circumstances. The particular education, experience and skill of the compensated individual may also be taken into account.

#### III. Guidelines

Compensation determinations made by the directors will be made in accordance with the following guidelines:

- i. In setting and determining the reasonableness of Executive Compensation, the board shall obtain and rely upon compensation information for comparable work by similarly situated organizations under like circumstances, as defined in Section II above.
- ii. Board directors involved in setting and approving Executive Compensation, as well as any third parties providing professional advice to the board of directors in connection with setting and approving Executive Compensation shall be independent and have no conflicts of interest as to the executive whose compensation is being reviewed. Board directors shall have no conflict of interest for these purposes if they (a) will not economically benefit from the compensation arrangement, (b) are not family members of a person who will economically benefit, (c) have no material financial interest affected by the compensation arrangement, and (d) are not family members of a person who has a material financial interest affected by the compensation arrangement.
- iii. Timely and accurate minutes of all final actions by the board regarding the setting and approval of Executive Compensation will be recorded and held

Seven Point of Massachusetts, Inc.

Appendix 3

with board records. Such minutes will include (a) the terms of the approved compensation arrangement and the date approved, (b) a list of the directors present during discussion, showing those who approved the arrangement, those who rejected it and those who recused themselves due to conflicts of interest, (c) the comparability data relied upon and how such data was obtained, and (d) the rationale for determining that the arrangement was reasonable if it exceeded the range of the comparability data.

## Appendix 4

### SEVEN POINT OF MASSACHUSETTS, INC. COMPREHENSIVE INFORMATION SECURITY POLICY

#### I. OBJECTIVE

It is the objective of Seven Point of Massachusetts, Inc. (the "*Corporation*") in the development and implementation of this comprehensive information security program ("CISP") to create effective administrative, technical and physical safeguards for the protection of personal information, and to comply with obligations under 201 CMR 17.00. This CISP sets forth our procedure for evaluating our electronic and physical methods of accessing, collecting, storing, using, transmitting, and protecting personal information. For purposes of this CISP, "personal information" means an individual's first name and last name or first initial and last name in combination with any one or more of the following data elements that relate to such resident: (a) Social Security number; (b) driver's license number or state-issued identification card number; or (c) financial account number, or credit or debit card number, with or without any required security code, access code, personal identification number or password, that would permit access to an individual's financial account; provided, however, that "personal information" shall not include information that is lawfully obtained from publicly available information, or from federal, state or local government records lawfully made available to the general public. The Corporation generally acquires personal information in connection with hiring employees and payroll, and in connection with sales to the public.

#### II. PURPOSE

The purpose of the CISP is to:

- Ensure the security and confidentiality of personal information;
- Protect against any anticipated threats or hazards to the security or integrity of such information; and
- Protect against unauthorized access to or use of such information in a manner that creates a substantial risk of identity theft or fraud.

#### III. DATA SECURITY COORDINATOR

The Corporation appoints the Treasurer to be its Data Security Coordinator. The Data Security Coordinator will be responsible for:

- Initial implementation of the CISP;
- Regular testing of the CISP's safeguards;
- Evaluating the ability of each of the Corporation's third party service providers to implement and maintain appropriate security measures for the personal information to which the Corporation permits them access, and requiring such third party service providers to implement and maintain appropriate security measures;

Seven Point of Massachusetts, Inc.

Appendix 4

- Reviewing the scope of the security measures in the CISP at least annually, or whenever there is a material change in the Corporation's business practices that may implicate the security or integrity of records containing personal information; and
- Conducting an annual training session for all directors, officers, employees, volunteers and independent contractors, including temporary and contract employees who have access to personal information on the elements of the CISP.

#### IV. HANDLING PERSONAL INFORMATION

##### A. Paper Records.

All paper records containing personal information shall be kept in a locked file cabinet with restricted access. Paper records will be destroyed regularly in accordance with the Corporation's document destruction policy using an office-grade shredder. Records containing personal information may not be taken out of the office and may be accessed only by personnel with a business necessity. Checks that need to be transported from the dispensary to the bank may be sent by US mail or hand delivered by the responsible employee, and if hand delivered, will not be left unattended at any point in the transition.

Checks. When the Corporation receives checks from members of the public, it will make only one hard copy and keep it in a locked file cabinet with restricted access. The checks themselves will also be kept under lock and key until they are deposited.

Paper employment records. Paper employment records must be kept under lock and key and accessed only by staff members responsible for employment issues and/or by the Chief Executive Officer.

##### B. Electronically Held Records.

The Corporation requires the following security systems with respect to the maintenance of personal information on its computers:

Authentication Protocols. The Data Security Coordinator shall secure user authentication protocols including:

- Control of user IDs and other identifiers;
- A reasonably secure method of assigning and selecting passwords, or use of unique identifier technologies, such as biometrics or token devices;
- Control of data security passwords to ensure that such passwords are kept in a location and/or format that does not compromise the security of the data they protect;
- Restricting access to active users and active user accounts only; and
- Blocking access to user identification after multiple unsuccessful attempts to gain access.

Access Protocols. The Data Security Coordinator shall implement the following secure access control measures:

- Restrict access to records and files containing personal information to those who need such information to perform their job duties; and
- Assign unique identifications plus passwords, which are not vendor supplied default passwords, to each person with computer access that is reasonably designed to maintain the integrity of the security of the access controls.

Restriction on E-mailing Personal Information. The Corporation will not, as a general rule, send or accept personal information by e-mail. To the extent exceptions must be made, the security measures described in this CISP shall be taken.

Encryption. Should any records and files containing personal information be transmitted across public networks or wirelessly, such records or files shall be encrypted. Personal information stored on laptops and other portable devices shall also be encrypted.

Monitoring. The Corporation shall take all steps necessary to reasonably monitor its computer network for unauthorized use of or access to personal information.

Firewalls. All files containing personal information on a system that is connected to the Internet shall be protected by a reasonably up-to-date firewall protection and operating system security patches designed to maintain the integrity of the personal information.

Virus protection. All computers containing personal information shall be protected by reasonably up-to-date versions of system security agent software, including malware protection and reasonably up-to-date patches and virus definitions, or a version of such software that can still be supported with up-to-date patches and virus definitions, and is set to receive the most current security updates on a regular basis.

#### C. Vendors.

The Corporation may share personal and financial information with its payroll service, its CPA firm, legal counsel, credit/debit card vendors and Pay Pal. The Corporation requires each of these organizations to send written evidence, signed by an authorized person, confirming that they follow a security plan that fully complies with 201 CMR 17.

### V. TRAINING

The Data Security Coordinator shall ensure that all employees, whether full-time, part-time, seasonal or temporary, and independent contractors, consultants and volunteers who have access to personal information are trained on the data security requirements provided in this CISP.

### VI. PERSONS SEPARATING FROM THE CORPORATION

Seven Point of Massachusetts, Inc.

Appendix 4

All employees, whether full-time, part-time, seasonal or temporary, and independent contractors, consultants and volunteers upon termination or resignation shall immediately be denied access to physical and electronic records containing personal information and will be required to return or destroy all records and files containing personal information in any form that may at the time of such termination or resignation be in their possession or control, including all such information stored on laptops, portable devices, or other media, or in files, records, notes, or papers.

## VII. SECURITY BREACH AND NOTIFICATION

All employees, whether full-time, part-time, seasonal or temporary, and independent contractors, consultants and volunteers, shall as soon as practicable and without unreasonable delay notify the Data Security Coordinator when such person knows or has reason to know of a security breach or when the person knows or has reason to know that personal information was acquired or used by an unauthorized person or used for an unauthorized purpose.

A "security breach" is any unauthorized acquisition or unauthorized use of unencrypted data or, encrypted electronic data and the confidential process or key that is capable of compromising the security, confidentiality, or integrity of personal information that creates a substantial risk of identity theft or fraud. A good faith but unauthorized acquisition of personal information by a person or agency, or employee or agent thereof, for lawful purposes, is not a breach of security unless the personal information is used in an unauthorized manner or subject to further unauthorized disclosure.

When the Data Security Coordinator is informed of a security breach, she will (1) notify the individual whose information was compromised, and (2) notify the Massachusetts Attorney General and the Office of Consumer Affairs and Business Regulation.

The notice to the individual will be in writing, possibly by electronic mail, and will include the following information:

- A general description of the incident;
- Identification of the personal information that may be at risk;
- A description of the security program;
- A phone number to call for further information;
- Suggestion of extra caution, to review account statements, and to obtain a credit report; and
- Phone numbers and addresses of the Federal Trade Commission, state agencies that may be of assistance, and major consumer reporting agencies. The notice will not be provided if law enforcement personnel advise against it.

The notice to the Office of Consumer Affairs and Business Regulation and to the Attorney General will include the following:

- A detailed description of the nature and circumstances of the breach of security;
- The number of people affected as of the time of notification;

Seven Point of Massachusetts, Inc.

Appendix 4



- The steps already taken relative to the incident;
- Any steps intended to be taken relative to the incident subsequent to notification; and
- Information regarding whether law enforcement is engaged investigating the incident.

Non-Retaliation. The Corporation will not retaliate against anyone who reports a security breach or non-compliance with CISP, or who cooperates in an investigation regarding such breach or non-compliance. Any such retaliation will result in disciplinary action by the responsible parties up to and including suspension or termination.

Documentation. The Corporation shall document all responsive actions taken in connection with any incident involving a security breach.

## Appendix 5

### SEVEN POINT OF MASSACHUSETTS, INC. ANTITRUST POLICY

It is the policy of Seven Point of Massachusetts, Inc. the ("*Corporation*") to comply fully with all federal and state antitrust laws, which prohibit companies from working together to restrict competition. It is also the policy of the Corporation that it and its directors and officers are informed about antitrust laws and recognize possible antitrust issues or questions.

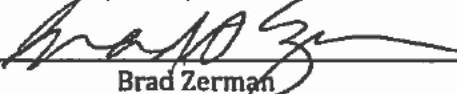
It is legal for competitors within the medical use of marijuana industry to work together, unless such work unlawfully restricts competition within the industry. Although the Corporation's activities generally do not present antitrust issues, to ensure against inadvertent violations of federal and state antitrust laws, directors, except to insure that prices are reasonable and affordable for the Corporation's patients, and to prevent diversion for non-medical purposes, directors, officers and employees shall not discuss with competitors the following: increasing, decreasing, or stabilizing prices for medical marijuana or related products and services; and establishing market monopolies for products or services.

Furthermore, directors, officers, and employees shall not engage in any actions in the context of the Corporation's activities which appear to be anti-competitive in purpose or inconsistent with this policy.

Any questions regarding antitrust issues and the Corporation's activities shall be directed to the President, if any, and referred to counsel if deemed necessary.

Pursuant to 105 CMR 725.100(A)(2), no executive, member, director or any entity owned or controlled by such executive, member or director may directly or indirectly control more than three (3) registered marijuana dispensaries in the Commonwealth of Massachusetts.

I, Brad Zerman, President and Original Member of the Board Directors, attest that these Bylaws with the incorporated Appendices were adopted by Seven Point of Massachusetts, Inc. on June 26, 2015, by a duly authorized vote of the Board of Directors.

  
\_\_\_\_\_  
Brad Zerman  
President and Original Member of the Board of Directors  
Seven Point of Massachusetts, Inc.

**SECTION D. EMPLOYMENT AND EDUCATION FORM**

This Employment and Education form must be completed and signed by each of the following individuals: The Corporation's Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations. Submit one Employment and Education form for each of the above individuals when submitting a *Management and Operations Profile* to the Department of Public Health.

**Name**

Brad Zerman

**Residential Address****Title (at applicant non-profit corporation)**

Chief Executive Officer, Seven Point of Massachusetts, Inc.

**Name of Applicant Non-Profit Corporation**

Seven Point of Massachusetts, Inc.

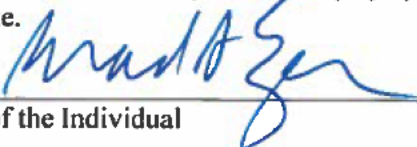
**Highest Education Attained – Institution, Degree, and Year**

University of Wisconsin - Madison, Bachelor of Arts, 1989

**Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.**

Employer	Title	Time Period
FUTURE TRANSACTIONS HOLDINGS LLC	FOUNDER, CEO, MANAGING MEMBER	JULY 2014 - PRESENT
QUALTEX CORPORATION	FOUNDER, CEO AND PRESIDENT	1993 - PRESENT
MIDWEST BANCARD CORPORATION	FOUNDER, CEO AND PRESIDENT	1991 - PRESENT

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate.

  
Signature of the Individual

7/13/15

Date Signed

## Applicant Non-Profit Corporation

**SECTION D. EMPLOYMENT AND EDUCATION FORM**

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**Name**

Ryan Goldstein

**Residential Address****Title (at applicant non-profit corporation)**

Chief Financial Officer, Seven Point of Massachusetts, Inc.

**Name of Applicant Non-Profit Corporation**

Seven Point of Massachusetts, Inc.

**Highest Education Attained – Institution, Degree, and Year**

University of Arizona, Bachelor of Arts, 1999

## Applicant Non-Profit Corporation

**Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.**

Employer	Title	Time Period
RWG Realty Company, LLC	President	2014 - Present
Richard Builders, Inc.	Development Manager	2008 - 2014
Ludwig and Company	Vice President, Property Management	2001-2009

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Signature of the Individual

Date Signed

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**Name**

Marie Swain

**Residential Address****Title (at applicant non-profit corporation)**

Chief Operations Officer, Seven Point of Massachusetts, Inc.

**Name of Applicant Non-Profit Corporation**

Seven Point of Massachusetts, Inc.

**Highest Education Attained – Institution, Degree, and Year**

Austintown Fitch High School - High School Diploma - 1997

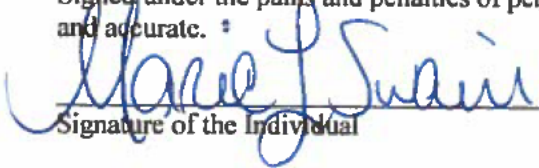


## Applicant Non-Profit Corporation

**Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.**

Employer	Title	Time Period
Greenleaf Compassionate Care Center	Assistant Manager	Dec. 2013 - Dec. 2014
Greenleaf Compassionate Care Center	Bud Tender	Oct. 2013 - Dec. 2014
Edible Arrangements	Customer Service Seasonal Help	Dec. 2010 - June 2013
Amazing Charts	National Sales Representative	Oct. 2006 - Oct. 2009
CVS Pharmacy	Pharmacy Technician	Jan. 2005 - Nov. 2005

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate. \*

  
Signature of the Individual

  
Date Signed

## Applicant Non-Profit Corporation

**SECTION D. EMPLOYMENT AND EDUCATION FORM**

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**Name**

Frank M. Suzan, Jr.

**Residential Address****Title (at applicant non-profit corporation)**

Head of Security, Seven Point of Massachusetts, Inc.

**Name of Applicant Non-Profit Corporation**

Seven Point of Massachusetts, Inc.

**Highest Education Attained – Institution, Degree, and Year**

Bonita Vista High School, Diploma, 1977

## Applicant Non-Profit Corporation \_\_\_\_\_

**Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.**

Employer	Title	Time Period
ECM Security	Owner	11/30/2013-present
Town of Mattapoisett Police	Patrolman	09/25/1987-11/29/2013

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate.

  
Signature of the Individual

7-5-2015  
Date Signed

## Applicant Non-Profit Corporation

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**Name**

Steven W. Hickox

**Residential Address****Title (at applicant non-profit corporation)**

Head of Cultivation, Seven Point of Massachusetts, Inc.

**Name of Applicant Non-Profit Corporation**

Seven Point of Massachusetts, Inc.

**Highest Education Attained – Institution, Degree, and Year**

University of Colorado Law School, JD, December 1980

## Applicant Non-Profit Corporation

**Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.**

Employer	Title	Time Period
Medicinal Wellness Center, LLC	Founding Member	2010-Present
1031x.com	President	1994-Present

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate.

  
Signature of the Individual

9/28/15  
Date Signed